

# The Georgi Algorithms of Jet Clustering

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Howard Georgi, arXiv:1408.1161  
SFG, arXiv:1408.3823

# Conventional Jet Algorithms [implemented in FastJet]

- Longitudinally invariant  $k_t$  algorithm

$$d_{ij} = \min(p_{ti}^2, p_{tj}^2) \Delta R_{ij}^2 / R^2 .$$

- Cambridge/Aachen (C/A) algorithm

$$d_{ij} = \Delta R_{ij}^2 / R^2 , \quad d_{iB} = 1 .$$

- Anti- $k_t$  algorithm

$$d_{ij} = \min(1/p_{ti}^2, 1/p_{tj}^2) \Delta R_{ij}^2 / R^2 , \quad d_{iB} = 1/p_{ti}^2 .$$

- Generalized  $k_t$  algorithm

$$d_{ij} = \min(E_i^{2p}, E_j^{2p}) (1 - \cos \theta_{ij}) / (1 - \cos R) ,$$

$$d_{iB} = E_i^{2p} .$$

- Durham ( $e^+e^- k_t$ ) algorithm

$$d_{ij} = 2 \times \min(E_i^2, E_j^2) (1 - \cos \theta_{ij}) .$$

# The Georgi Algorithms [arXiv:1408.1161]

## Jet function:

$$J_\beta(P_\alpha) \equiv E_\alpha - \beta \frac{P_\alpha^2}{E_\alpha} = E_\alpha \left[ (1 - \beta) + \beta v_\alpha^2 \right],$$

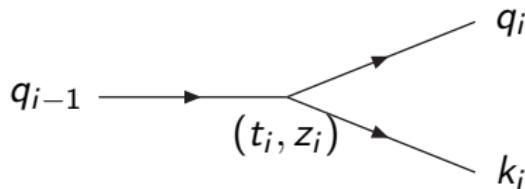
with **jet momentum**  $P_\alpha = (E_\alpha, \mathbf{P}_\alpha) \equiv \sum_{i \in \alpha} p_i$  & **velocity**  $v_\alpha \equiv \frac{|\mathbf{P}_\alpha|}{E_\alpha}$  where  $\alpha$  is a set of subjects.

- $J_\beta$  increases when clustering:
  - $E_\alpha$  increases due to energy conservation;
  - Jet virtuality (mass)  $P_\alpha^2$  doesn't increase that much.
- Not only pair-wisely, but also globally.
- Cone implemented implicitly:

$$J_\beta(P_\alpha + p_j) = (E_\alpha + E_j) \left[ (1 - \beta) + \beta \frac{|\mathbf{P}_\alpha|^2 + 2|\mathbf{P}_\alpha||\mathbf{p}_j|\cos\theta + |\mathbf{p}_j|^2}{(E_\alpha + E_j)^2} \right]$$

# Link to Parton Shower

- $1 \rightarrow 2$  Splitting:



- Sudakov Basis:

$$q_i \equiv \alpha_i p + \frac{q_i^2 - P_{\perp i}^2}{2\alpha_i} n + P_{\perp i},$$

where  $p$  &  $n$  are light-like,  $p \cdot P_{\perp i} = n \cdot P_{\perp i} = 0$  &  $p \cdot n = 2$ .

- Energy fraction:

$$z_i \equiv \frac{\alpha_i}{\alpha_{i-1}} \approx \frac{E_i}{E_{i-1}}.$$

# Link to Parton Shower

- Sudakov Factor for Parton  $i = q, g$ :

$$\Delta_i(t) = \exp \left[ - \int_{t_0}^t \frac{dt'}{t'} \int_{z_{min}(t')}^{z_{max}(t')} dz \sum_j P_{ji}(t', z) \right].$$

- Analogy to Decay:

$$\mathbb{P}(t) = e^{-t\Gamma} = \exp \left( - \int_0^t dt' \Gamma \right) = \exp \left( - \int_0^t dt' \int d\Omega_2 |\mathcal{M}|^2 \right).$$

- Survival Probability:

$$\mathbb{P}(t) = e^{-t \int_0^t dt' \Gamma} \sim \Delta_i(t).$$

- Decay Width:

$$\Gamma(t) \equiv \int d\Omega_2 |\mathcal{M}|^2 \sim \int_{z_{min}(t)}^{z_{max}(t)} dz \sum_j P_{ji}(t, z).$$

- Energy fraction:  $|\mathcal{M}|^2 \sim \sum_j \mathbf{P}_{ji}(t, z).$

# Link to Parton Shower

## • Splitting Functions:

- $q \rightarrow qg$ :

$$P_{qq}(z) = C_F \frac{1 + (1 - z)^2}{z} .$$

- $g \rightarrow gg$ :

$$P_{gg}(z) = C_A \left[ \frac{z}{1 - z} + \frac{1 - z}{z} + z(1 - z) \right] .$$

- $g \rightarrow qq$ :

$$P_{qg}(z) = T_R [z^2 + (1 - z)^2] .$$

## • Stopping Conditions:

- Perturbativity for  $\alpha_s[z^2(1 - z)^2 t] \Rightarrow \textcolor{red}{z^2(1 - z)^2 t > \Lambda^2}$ .
- Larger phase space @ higher scale:

$$\frac{1}{2} - \sqrt{\frac{1}{4} - \frac{\Lambda}{\sqrt{t}}} < z < \frac{1}{2} + \sqrt{\frac{1}{4} - \frac{\Lambda}{\sqrt{t}}} .$$

# Link to Parton Shower

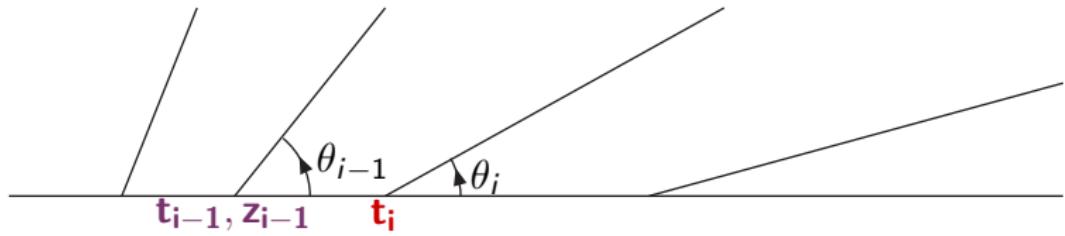
- Tends to emit one **soft parton**,

$$z \rightarrow 0.$$

- Soft parton takes **less fraction of energy @ higher scale**.

$$\frac{1}{2} - \sqrt{\frac{1}{4} - \frac{\Lambda}{\sqrt{t}}} < z < \frac{1}{2} + \sqrt{\frac{1}{4} - \frac{\Lambda}{\sqrt{t}}}.$$

- Angular ordering**



$$\theta_i \approx \frac{t_i}{2\alpha_i^2} = \frac{t_i}{2z_i^2\alpha_{i-1}^2}, \quad \theta_i < \theta_{i-1} \quad \Rightarrow \quad t_i < (1 - z_{i-1})^2 t_{i-1}.$$

# Link to Parton Shower

Consider the clustering of a soft  $p_j$  with  $P_{\alpha-j} \rightarrow P_\alpha$ :

$$J_\beta(P_{\alpha-j}) \Rightarrow J_\beta(P_\alpha) \equiv \textcolor{red}{E}_\alpha - \beta \frac{\mathbf{P}_\alpha^2}{\mathbf{E}_\alpha} = E_\alpha [(1-\beta) + \beta v_\alpha^2] ,$$

- Energy increase is  $z$ :

$$z = \frac{E_j}{E_\alpha} = \frac{\textcolor{red}{E}_\alpha - E_{\alpha-j}}{E_\alpha} .$$

- Jet virtuality (mass) increase is less than  $z$ :

Virtualliy Reconstruction:

$$P_\alpha^2 = \frac{p_j^2}{z} + \frac{P_{\alpha-j}^2}{1-z} + z(1-z)t \approx \frac{P_{\alpha-j}^2}{1-z} + z(1-z)t ,$$

$\Rightarrow$

$$\frac{1}{E_\alpha} \left[ \frac{\mathbf{P}_\alpha^2}{\mathbf{E}_\alpha} - \frac{P_{\alpha-j}^2}{E_{\alpha-j}} \right] \approx z(1-z) \frac{t}{E_\alpha^2} .$$

# Generalized Georgi Algorithms

- The **original** jet function:

$$J_\beta(P_\alpha) \equiv E_\alpha - \beta \frac{P_\alpha^2}{E_\alpha} = E_\alpha \left[ (1 - \beta) + \beta v_\alpha^2 \right] ,$$

- $E_\alpha$  increases fast;
- $v_\alpha$  decreases a little bit.
- For positive  $J_\beta$ ,

$$1 \geq v_\alpha^2 \geq 1 - \frac{1}{\beta} \equiv v_{min}^2 .$$

$\beta \geq 1$  to ensure a positive  $v_{min}^2$ , which is **NOT necessary**.

- **Generalized** version:

$$J_\beta^{(n)}(P_\alpha) \equiv E_\alpha^n \left[ (1 - \beta) + \beta v_\alpha^2 \right] , \quad n > 0$$

- $J_\beta = J_\beta^{(1)}$ .
- As long as  $n$  is not too small,  $J_\beta^{(n)}$  can increase.
- More d.o.f for kinematics.

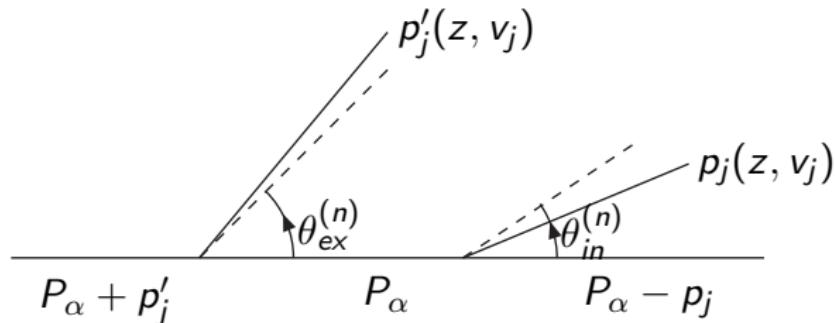
# Kinematic Properties

- **Cone should't shrink**, otherwise:
  - Not self-consistent.
    - For 2 subjets  $\mathbf{p}_j(E, |\mathbf{p}|, \theta)$  &  $\mathbf{p}'_j(E, |\mathbf{p}|, \theta)$ ;
    - The 1st subjet  $p_j$  is within the cone & can be clustered;
    - Cone shrinks;
    - The 2nd subjet  $p'_j$  may be excluded;
    - vice versa
    - The result of jet clustering is procedure-dependent.
  - Cannot comply with angular ordering.
- **Larger cone for smaller  $z$** :
  - Soft emission tends to have larger opening angle.

$$\theta_i \approx \frac{t_i}{2\alpha_i^2} = \frac{t_i}{2z_i^2\alpha_{i-1}^2} .$$

- **Cone is bounded from above**:
  - To avoid mixing up different jets.
  - For  $e^+e^- \rightarrow jj$ , cone < half sphere.

# Property 1: Cone shouldn't shrink



- Inclusion cone:

$$J_{\beta}^{(n)}(P_{\alpha}) > \max \left\{ J_{\beta}^{(n)}(P_{\alpha} - p_j), J_{\beta}^{(n)}(p_j) \right\} .$$

- Exclusion cone:

$$J_{\beta}^{(n)}(P_{\alpha}) > \max \left\{ J_{\beta}^{(n)}(P_{\alpha} + p_j'), J_{\beta}^{(n)}(p_j') \right\} .$$

# Property 1: Cone shouldn't shrink

When **expanded**, the conditions on  $\theta_{\text{in}}^{(n)}$  (-) &  $\theta_{\text{ex}}^{(n)}$  (+),

$$\bar{\beta} + \beta v_\alpha^2 > z^n [\bar{\beta} + \beta v_j^2], \quad \bar{\beta} \equiv 1 - \beta, z_\pm \equiv 1 \pm z.$$

$$\bar{\beta} + \beta v_\alpha^2 > z_\mp^n \left[ \bar{\beta} + \frac{\beta}{z_\mp^2} (v_\alpha^2 + z^2 v_j^2 \mp 2z \cos \theta v_\alpha v_j) \right],$$

- The **1st** is phase space broadening:

$$v_\alpha^2 - v_{min}^2 > z^n (v_j^2 - v_{min}^2).$$

- The **2nd**:

$$\cos \theta_{\text{in}}^{(n)} \equiv \frac{(1 - z_-^{2-n}) (v_\alpha^2 - v_{min}^2) + z^2 (v_j^2 - v_{min}^2) + 2zv_{min}^2}{2zv_\alpha v_j},$$

$$\cos \theta_{\text{ex}}^{(n)} \equiv \frac{(z_+^{2-n} - 1) (v_\alpha^2 - v_{min}^2) - z^2 (v_j^2 - v_{min}^2) + 2zv_{min}^2}{2zv_\alpha v_j}.$$

# Property 1: Cone shouldn't shrink

- **Distance** between inclusion & exclusion cones:

$$\cos \theta_{in}^{(n)} - \cos \theta_{ex}^{(n)} = \frac{2 - z_-^{2-n} - z_+^{2-n}}{2zv_\alpha v_j} (v_\alpha^2 - v_{min}^2) + \frac{z}{v_\alpha v_j} (v_j^2 - v_{min}^2)$$

To ensure self-consistency,  $n \leq 2$ .

- **Expansion** for  $z \rightarrow 0$ ,

$$\cos \theta_{in}^{(n)} - \cos \theta_{ex}^{(n)} \approx -\frac{(2-n)(1-n)(v_\alpha^2 - v_{min}^2) + 2(v_j^2 - v_{min}^2)}{2v_\alpha v_j} z$$

Together with **phase space broadening**,

$$\left[ \frac{1}{z^n} - \frac{(2-n)(1-n)}{2} \right] (v_\alpha^2 - v_{min}^2) \geq 0,$$

which is always true for  $n \geq 0$ .

## Property 2: Larger cone for smaller $z$

$$\cos \theta_{in}^{(n)} \equiv \frac{(1 - z_-^{2-n}) (v_\alpha^2 - v_{min}^2) + z^2 (v_j^2 - v_{min}^2) + 2zv_{min}^2}{2zv_\alpha v_j},$$

$$\cos \theta_{ex}^{(n)} \equiv \frac{(z_+^{2-n} - 1) (v_\alpha^2 - v_{min}^2) - z^2 (v_j^2 - v_{min}^2) + 2zv_{min}^2}{2zv_\alpha v_j}.$$

- **Mirror Symmetry:**

$$\cos \theta_{ex}^{(n)}(z) = \cos \theta_{in}^{(n)}(-z).$$

- **Property 1 = Property 2:**

$$\cos \theta_{ex}^{(n)} - \cos \theta_{in}^{(n)} > 0 \quad \Rightarrow \quad \theta_{in}^{(n)}(z) \text{ increases with } z$$

## Property 3: Cone is bounded from above

- The **largest opening angle** is associated with the **first emission** & usually the **smallest  $z$** .
- In the **expanded** form,

$$\begin{aligned}\cos \theta_{\text{ex}}^{(n)} &\approx \frac{1}{2v_\alpha v_j} [(2-n)(v_\alpha^2 - v_{\min}^2) + 2v_{\min}^2] \\ &+ \frac{1}{2v_\alpha v_j} \left[ \frac{(2-n)(1-n)}{2} (v_\alpha^2 - v_{\min}^2) - (v_j^2 - v_{\min}^2) \right] z.\end{aligned}$$

There is an **upper limit** if  $1 \leq n \leq 2$ ,

$$\begin{aligned}\cos \theta_{\text{ex}}^{(n)} &\gtrsim \frac{1}{2v_\alpha v_j} [(2-n)(v_\alpha^2 - v_{\min}^2) + 2v_{\min}^2] \\ &+ \frac{1}{2v_\alpha v_j} \left[ \frac{(2-n)(1-n)}{2} (v_\alpha^2 - v_{\min}^2) - (v_j^2 - v_{\min}^2) \right] \\ &\quad \left( \frac{v_\alpha^2 - v_{\min}^2}{v_j^2 - v_{\min}^2} \right)^{\frac{1}{n}}.\end{aligned}$$

## Property 3: Cone is bounded from above

- There is an **upper limit** if  $1 \leq n \leq 2$ ,

$$\begin{aligned}\cos \theta_{ex}^{(n)} &\gtrsim \frac{1}{2v_\alpha v_j} [(2-n)(v_\alpha^2 - v_{min}^2) + 2v_{min}^2] \\ &+ \frac{1}{2v_\alpha v_j} \left[ \frac{(2-n)(1-n)}{2} (v_\alpha^2 - v_{min}^2) - (v_j^2 - v_{min}^2) \right] \\ &\quad \left( \frac{v_\alpha^2 - v_{min}^2}{v_j^2 - v_{min}^2} \right)^{\frac{1}{n}}.\end{aligned}$$

- In the relativistic limit  $v_\alpha \approx v_j \approx 1$ ,

$$2 \sin^2 \left( \frac{1}{2} \theta_{ex}^{(n)} \right) \lesssim \frac{n(5-n)}{4} \frac{1}{\beta}, \quad \Rightarrow \quad \beta > \frac{4}{n(5-n)}.$$

- $\beta$  &  $n$  v.s. **phase space boundary/maximal cone size**.
  - $n = 1$  (original),  $\beta > 1$ ,  $v_{min}^2 > 0$ .
  - $1 < n \leq 2$  (generalized),  $v_{min}^2 < 0$ .

# Lorentz Invariance

$$J_{\beta}^{(n)}(P_{\alpha}) \equiv E_{\alpha}^n \left( 1 - \beta \frac{P_{\alpha}^2}{E_{\alpha}^2} \right).$$

- Jet virtuality  $P_{\alpha}^2$  is invariant.
- Change only comes from jet energy,

$$E_{\alpha} \rightarrow \gamma_B E_{\alpha}$$

- The effect of boost on the dimensionless part can be compensated by,

$$\beta \rightarrow \gamma_B^2 \beta$$

- jet by jet.
- Jet function should be redefined,

$$J_{\beta}^{(n)} \rightarrow \gamma_B^{-n} J_{\gamma_B^2 \beta}^{(n)}$$

to retain the jet function value.

- **Jet sequence not affected!**

# Summary

$$J_{\beta}^{(n)}(P_{\alpha}) \equiv E_{\alpha}^n [(1 - \beta) + \beta v_{\alpha}^2] .$$

- $J_{\beta}$  increases when clustering:
  - $E_{\alpha}$  increases due to energy conservation;
  - Jet virtuality  $P_{\alpha}^2$  doesn't increase that much.
- Not only pair-wise, but also can be defined globally.
- Cone implemented implicitly:

$$J_{\beta}^{(n)}(P_{\alpha} + p_j) = (E_{\alpha} + E_j)^n \left[ \bar{\beta} + \beta \frac{|\mathbf{P}_{\alpha}|^2 + 2|\mathbf{P}_{\alpha}| |\mathbf{p}_j| \cos \theta + |\mathbf{p}_j|^2}{(E_{\alpha} + E_j)^2} \right]$$

- Kinematic Properties:
  - Cone shouldn't shrink;
  - Larger cone for smaller  $z$ ;
  - Cone is bounded from above.
- Parameter space:  $1 \leq n \leq 2$ ,  $\beta > 4/n(5-n)$ .
- Lorentz invariance.

# Thank You!