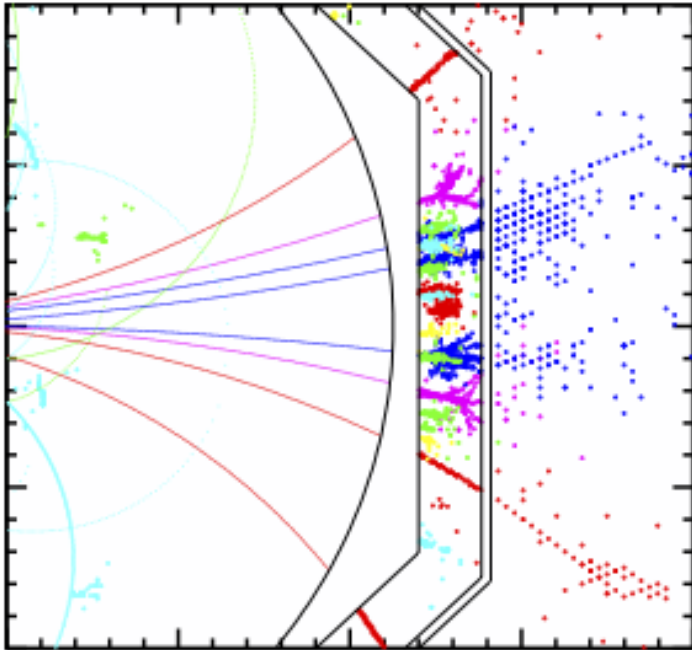


# Software Compensation for AHCAL Optimisation



*Huong Lan Tran*

*ILD Analysis/Software Meeting*

*16 December 2015*

# Outlines

- Efforts for AHCAL optimisation are converging
  - Fruitful collaboration with Munich and Cambridge group concerning software compensation and its implementation
  - Good results achieved

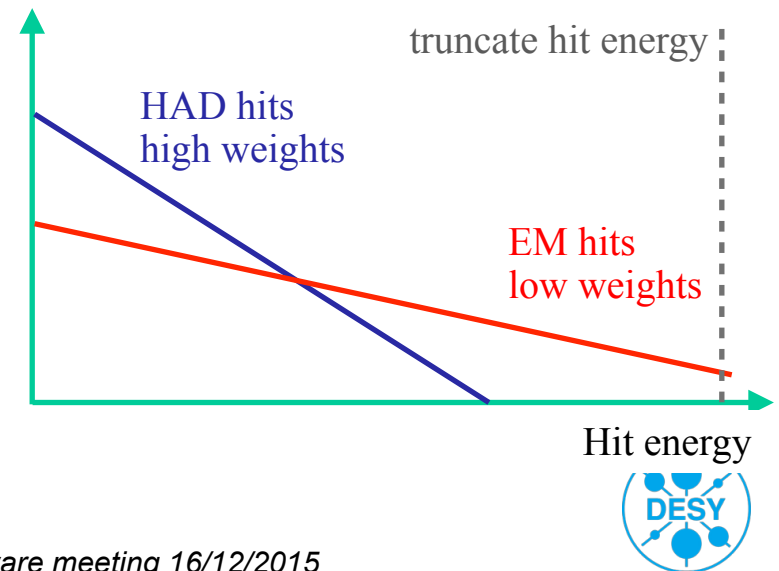
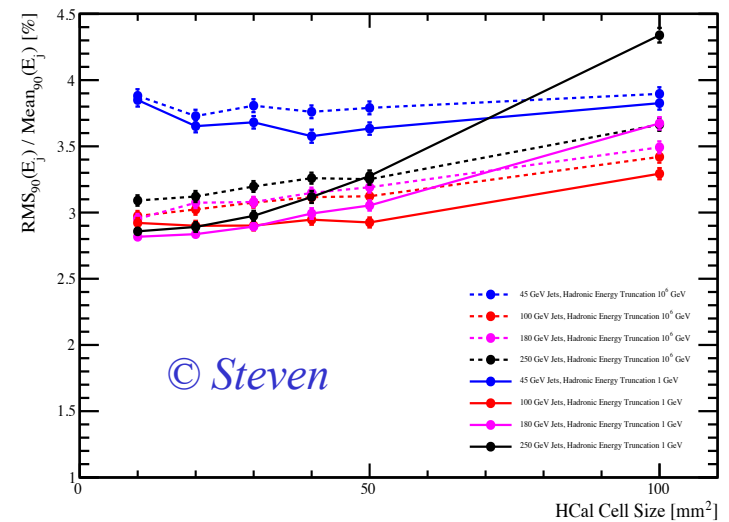
In this talk: Software compensation for AHCAL optimisation

- Brief on software compensation technique & results
- Implementation in Particle Flow Algorithm
- Bonus: Towards cost optimisation



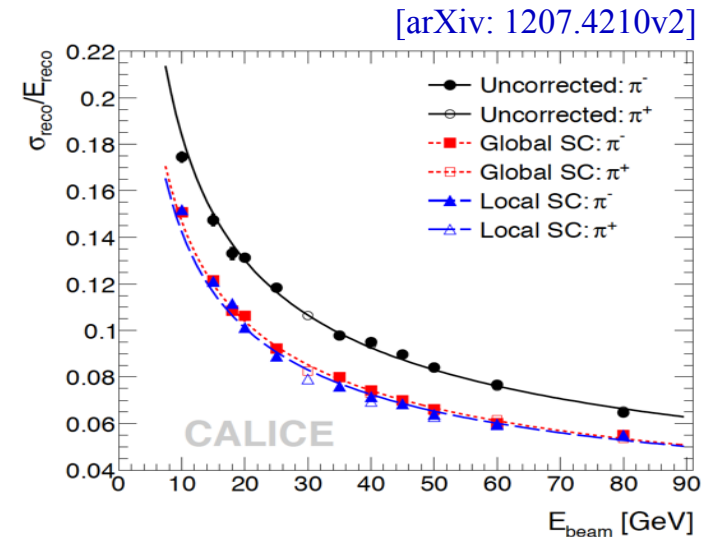
# Software compensation in AHCAL optimisation

- Dependence of JER on HCAL cell size apparently reduced compared to results from LoI: for LoI results *HCAL cell energy truncation cut at 1 GeV*
  - Improves JER for small cell sizes and at small energies
  - Degrades JER for large cell sizes at higher energies
- HCAL cell energy truncation mimics idea of *software compensation*: reduce response to electromagnetic sub-showers.
- Ways to recover JER at high energies for large cell sizes:
  - Using *optimised* HCAL cell energy truncation (see Steve's talk)
  - *Software compensation*



# Software compensation in AHCAL optimisation

- Software compensation applied to test beam data from CALICE-AHCAL physics prototype:
  - Improvement of hadronic energy resolution by 20% for single hadrons from 10 to 80 GeV



- **Idea:** Applying different weights for hits of different energy densities
- **Weight** defined as:

$$\omega(\rho) = p_1 \cdot \exp(p_2 \cdot \rho) + p_3$$

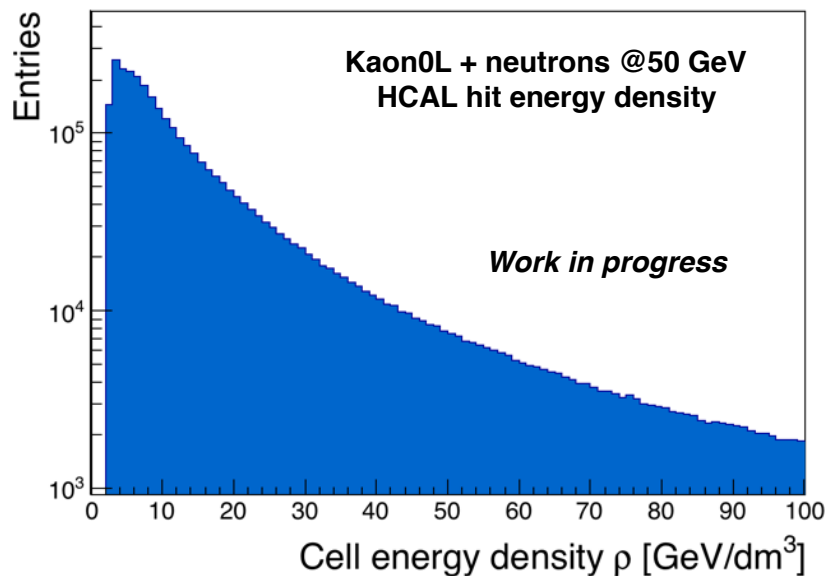
where  $\rho$  is hit energy density,  $p_1, p_2, p_3$  are *beam energy dependent parameters*

- Energy of cluster then computed in software compensation method as:

$$E_{SC} = \sum_{\text{hits}} E_{ECAL} + \sum_{\text{hits}} (E_{HCAL} \cdot \omega(\rho))$$



# Hit energy density and Weights



## Samples:

- Kaon0L and neutrons from 10 to 95 GeV generated from IP, targeted only to barrel part
- Select events with 1 cluster, no hit in muon chamber
  - Events where hadronic showers started already in EM calorimeter: only HCAL hits are weighted

## Weight determination:

- Through  $\chi^2$  minimisation
- For each beam energy weights are defined with three parameters  $p_1, p_2, p_3$

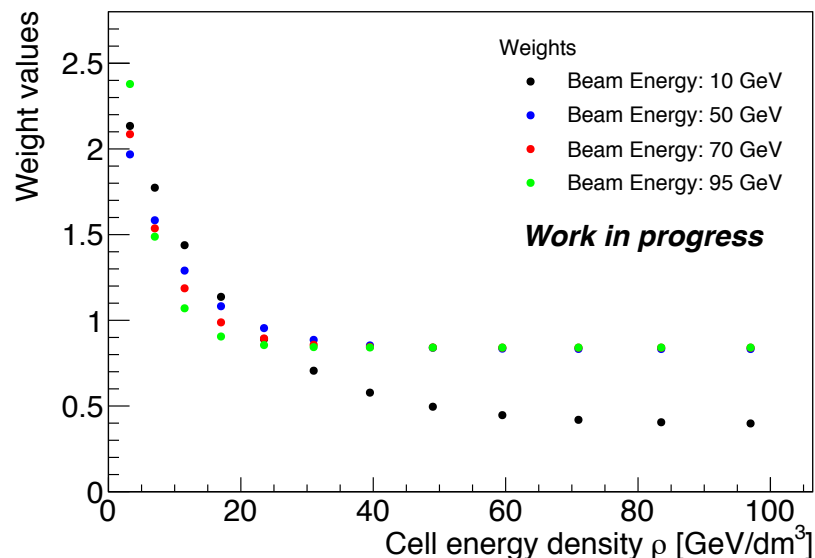
$$\omega(\rho) = p_1 \cdot \exp(p_2 \cdot \rho) + p_3$$

where

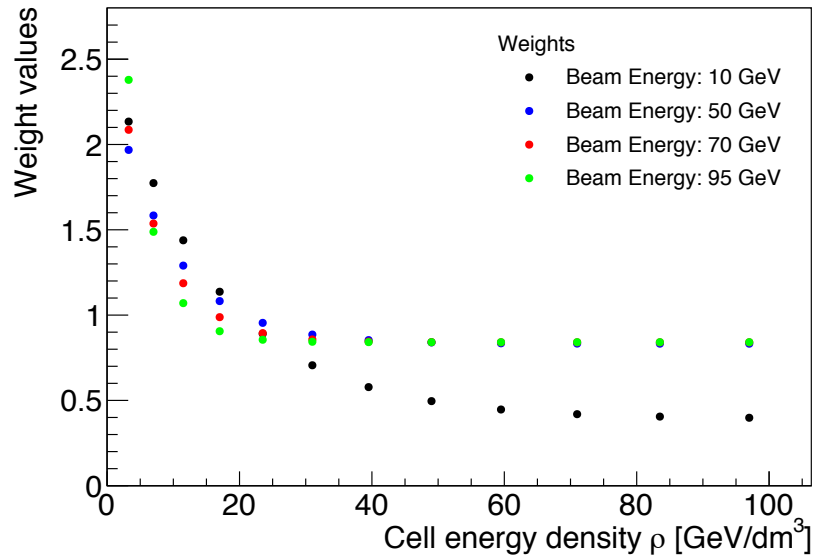
$$p_1 = p_{10} + p_{11} \times E_{ini} + p_{12} \times E_{ini}^2$$

$$p_2 = p_{20} + p_{21} \times E_{ini} + p_{22} \times E_{ini}^2$$

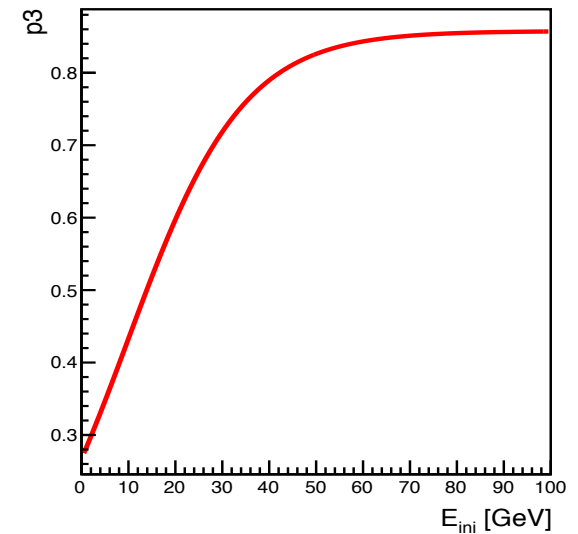
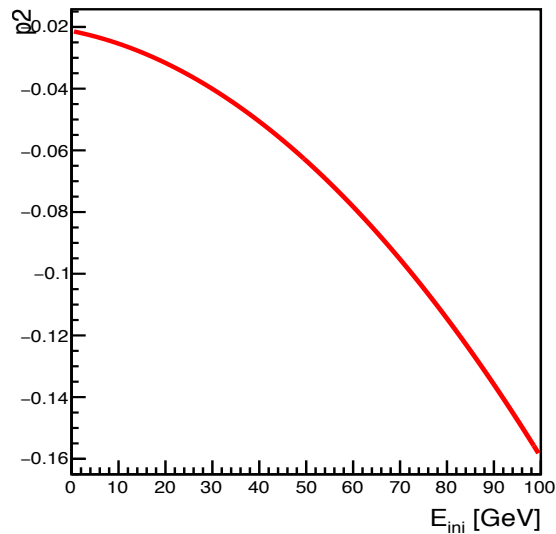
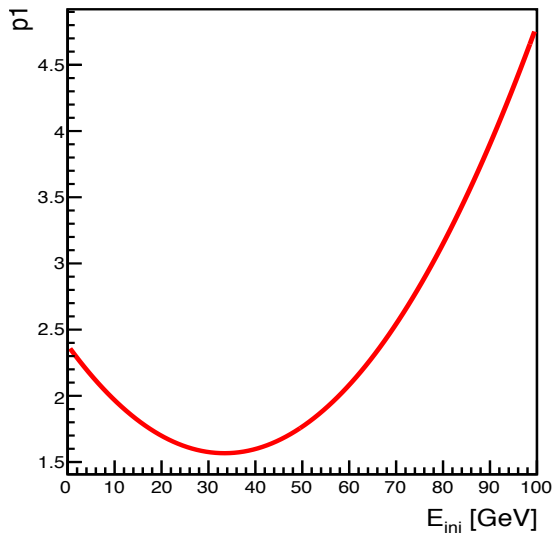
$$p_3 = \frac{p_{30}}{p_{31} + e^{p_{32} \times E_{ini}}}$$



# Weight parameters

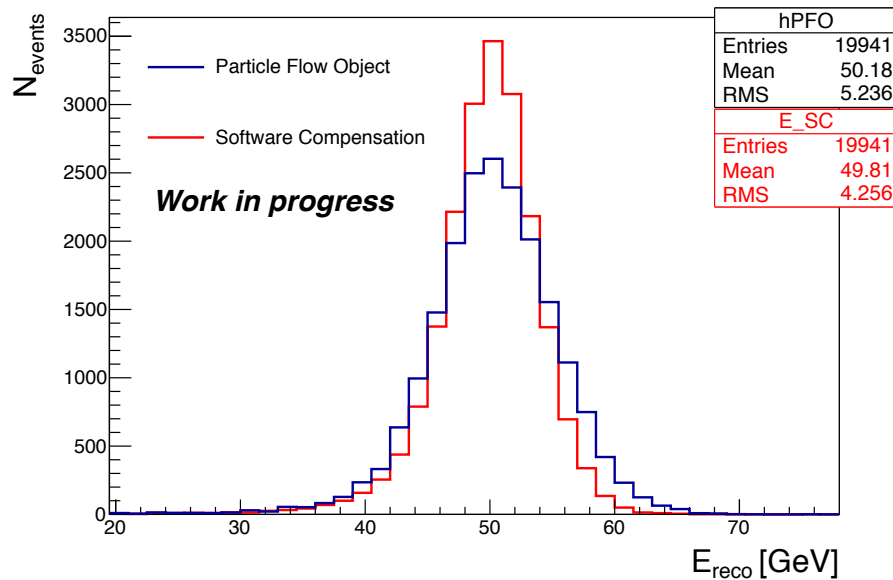


$$\omega(\rho) = p_1 \cdot \exp(p_2 \cdot \rho) + p_3$$



# Single particle energy reconstruction

- Correction of neutral hadron PFOs energy
- Initial estimation of cluster's energy used for determination of weights
- Apply to set of Kaon0L and neutron samples from 10 to 95 GeV

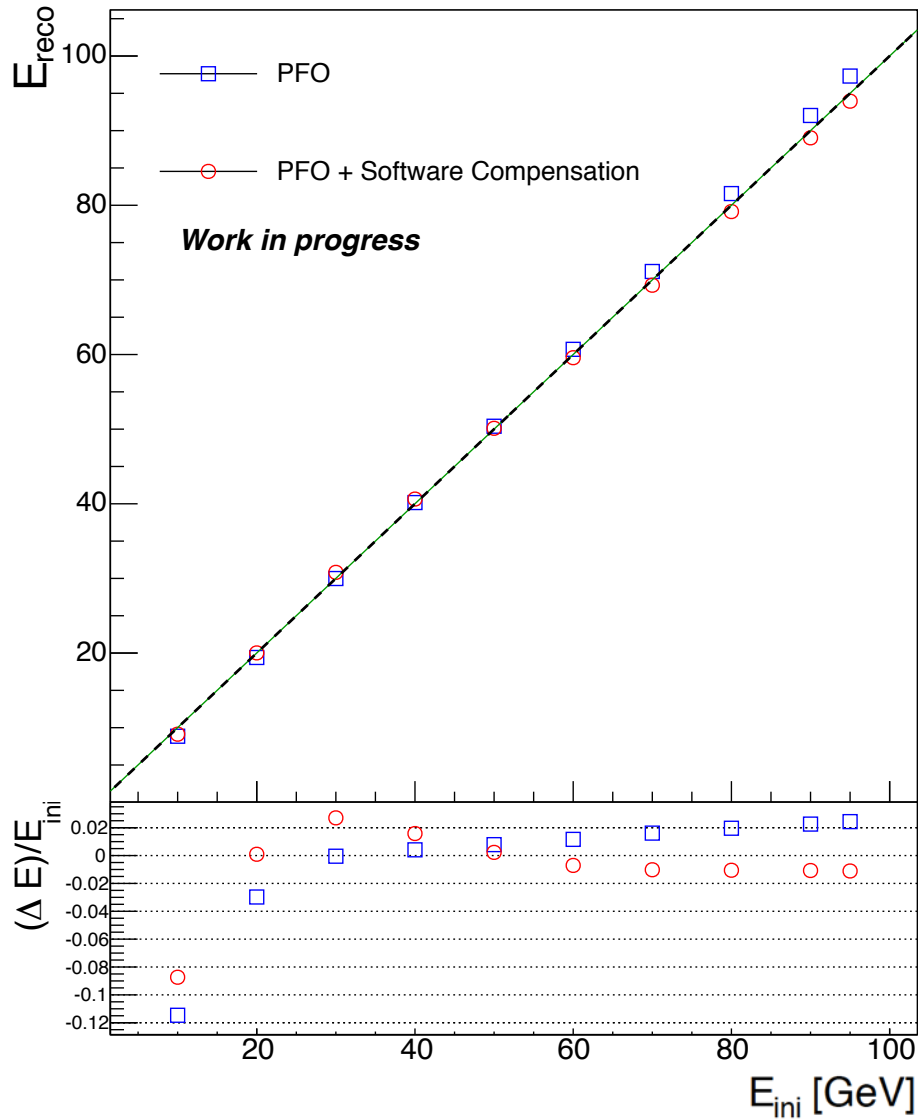


50 GeV Kaon0L

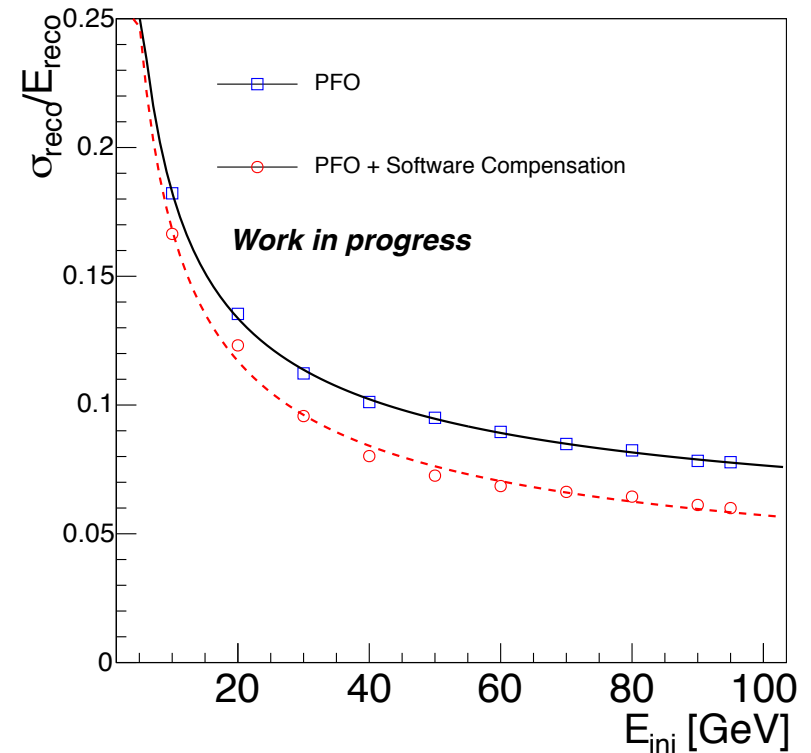
- Improvement of mean reconstructed energy
- RMS significantly reduced



# Single particle energy reconstruction

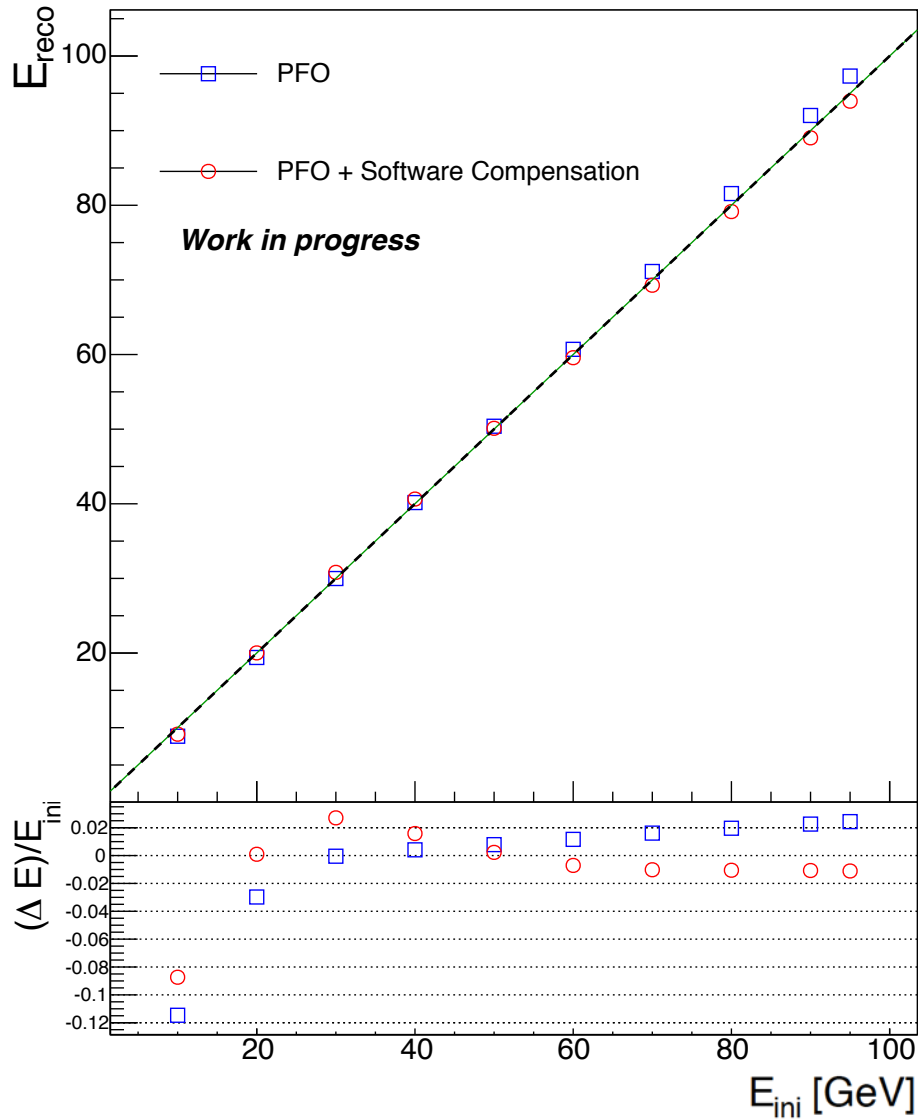


- Improves linearity in whole range
- Improves resolution by  $\sim 20\%$  (similar to results obtained for physics prototype)

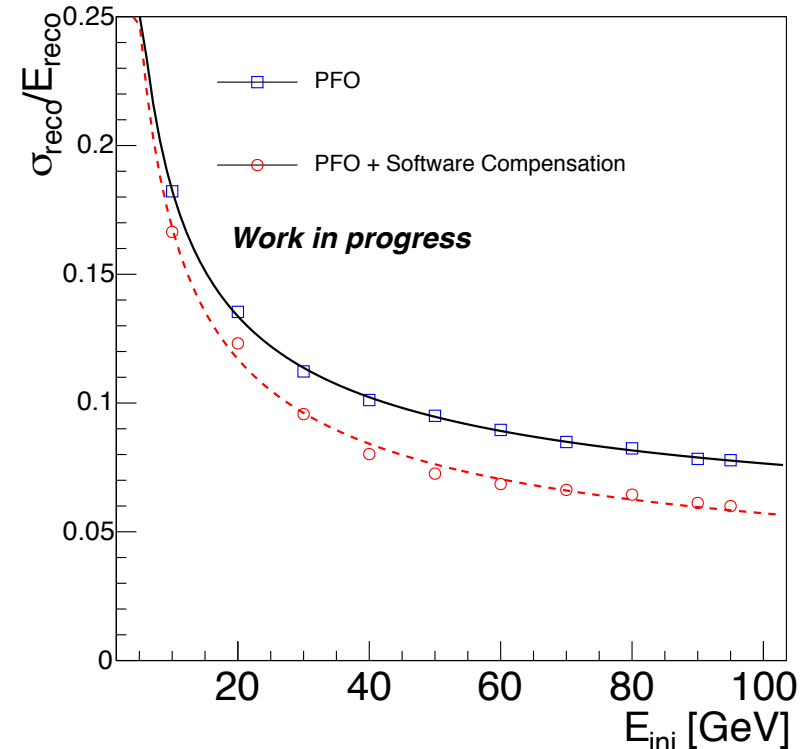




# Single particle energy reconstruction



- Improves linearity in whole range
- Improves resolution by  $\sim 20\%$  (similar to results obtained for physics prototype)

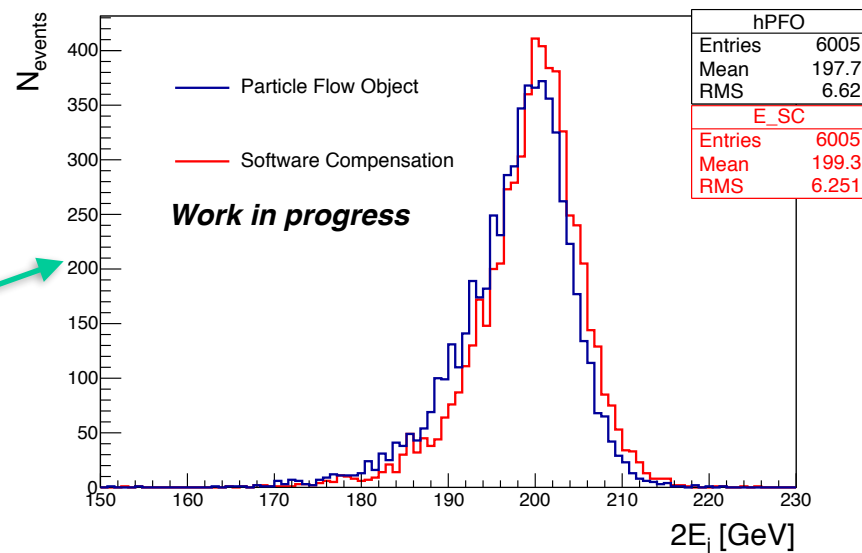
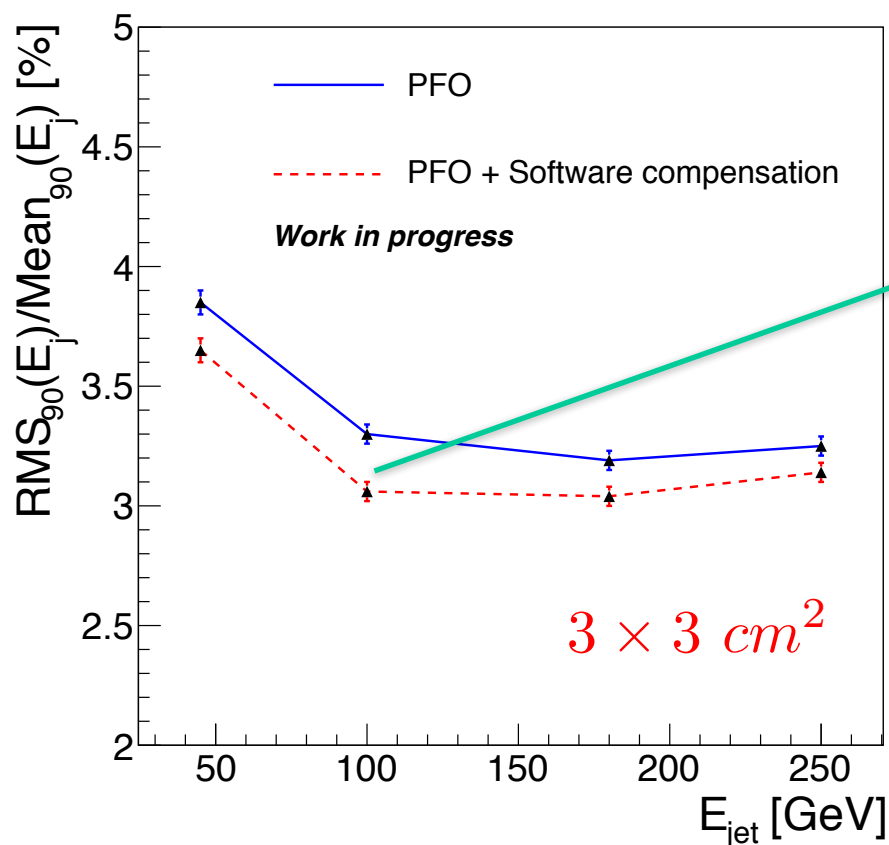


- Testbeam results reproduced
- Overall slightly worse because of missing tail catcher



# Jet energy resolution

- Software compensation applied for jets
  - Only for neutral hadrons, after clustering and re-clustering step
  - Only hits in HCAL are weighted as explained previously

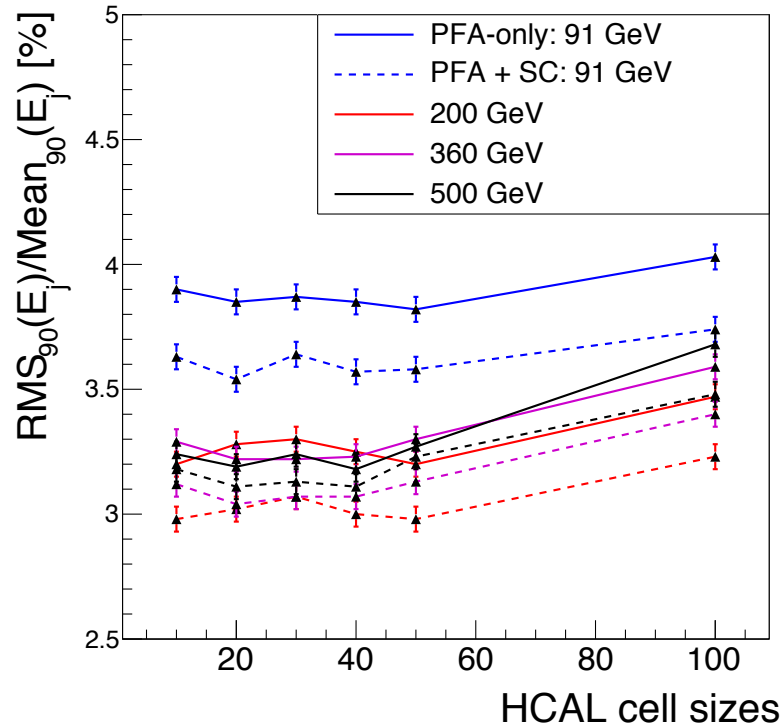


- Reconstructed energy distribution closer to simulated energy and width of distribution smaller
- Improves jet energy resolution in whole range



# JER vs cell size

- SC weights depend on hit energy density ➤ Dependence on detector model (cell size, ...)
  - one SC weights set per each detector model
- JER improved overall with software compensation
- At high energy slightly worse compared to Steve's numbers using cell energy truncation cut
  - Direct comparison see Steve's talk
  - For higher energy jets (large confusion) this is expected (*see next slide*)



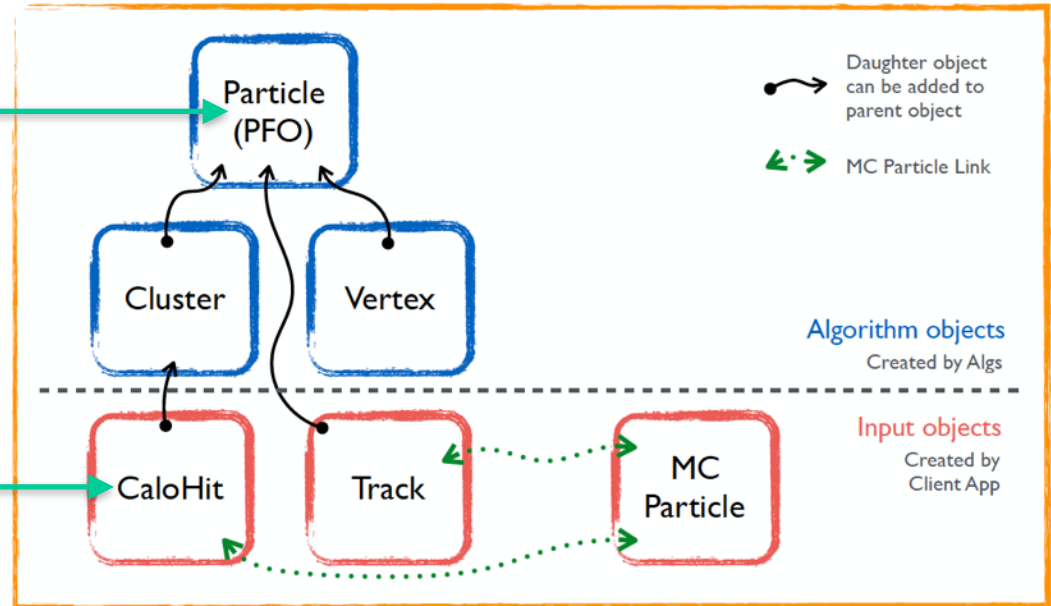
# JER vs cell size

- HCAL cell energy truncation and software compensation are applied at different stages

Current software compensation:  
Neutral hadron PFO energy correction

HCAL cell energy truncation applied at CaloHit level:

- Already takes effect at *clustering step*
- Software compensation cannot be applied at CaloHit since it needs *first energy estimation*

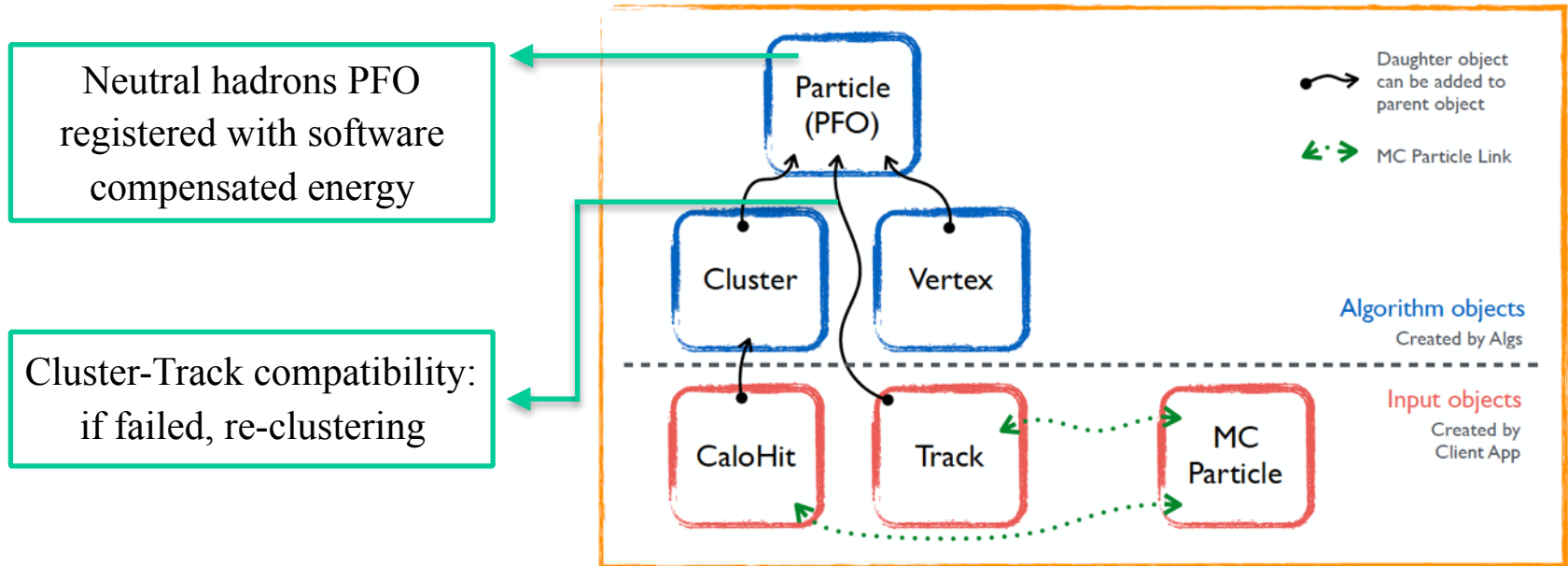


➤ How to implement software compensation into Pandora in the most effective way?



# Implementation into Pandora

- Software compensation can help at different stages of Particle Flow Algorithm:
  - Particle Flow Object creation: Correction of neutral hadrons energy (**Current status**)
  - Re-clustering: Cluster-Track compatibility

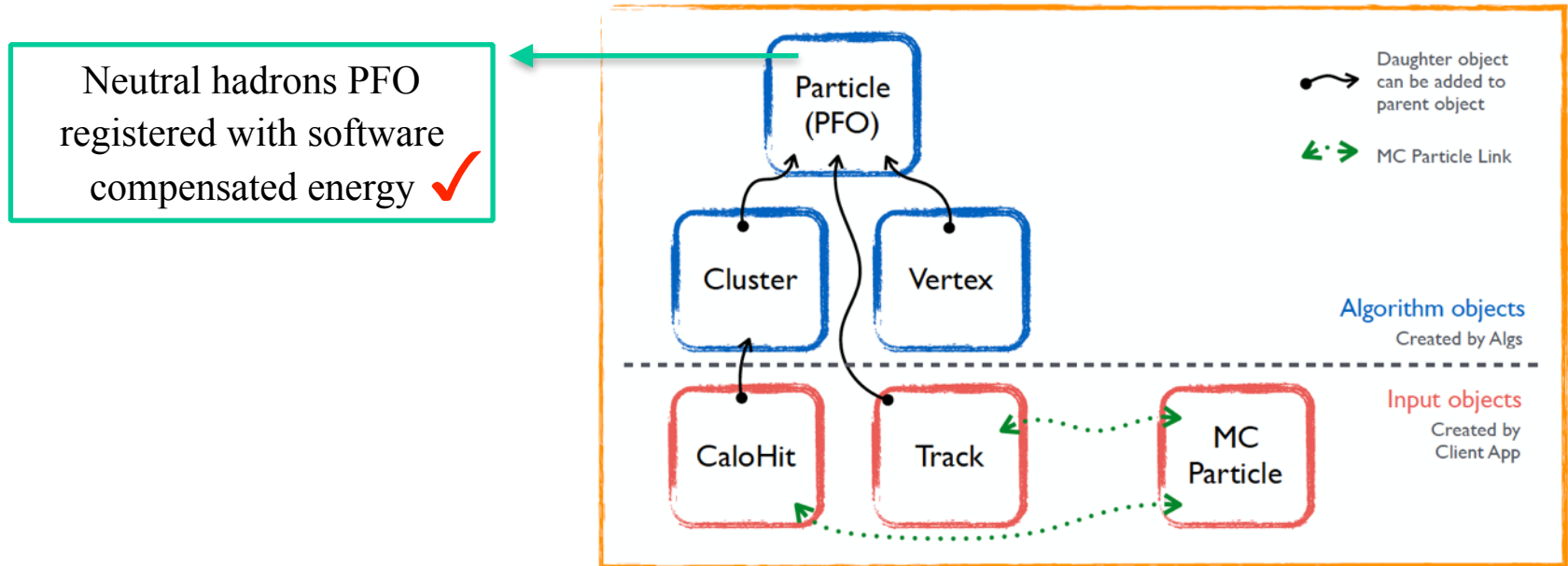


...



# Implementation into Pandora

- Software compensation can help at different stages of Particle Flow Algorithm:
  - Particle Flow Object creation: Correction of neutral hadrons energy (**Current status**)
  - Re-clustering: Cluster-Track compatibility



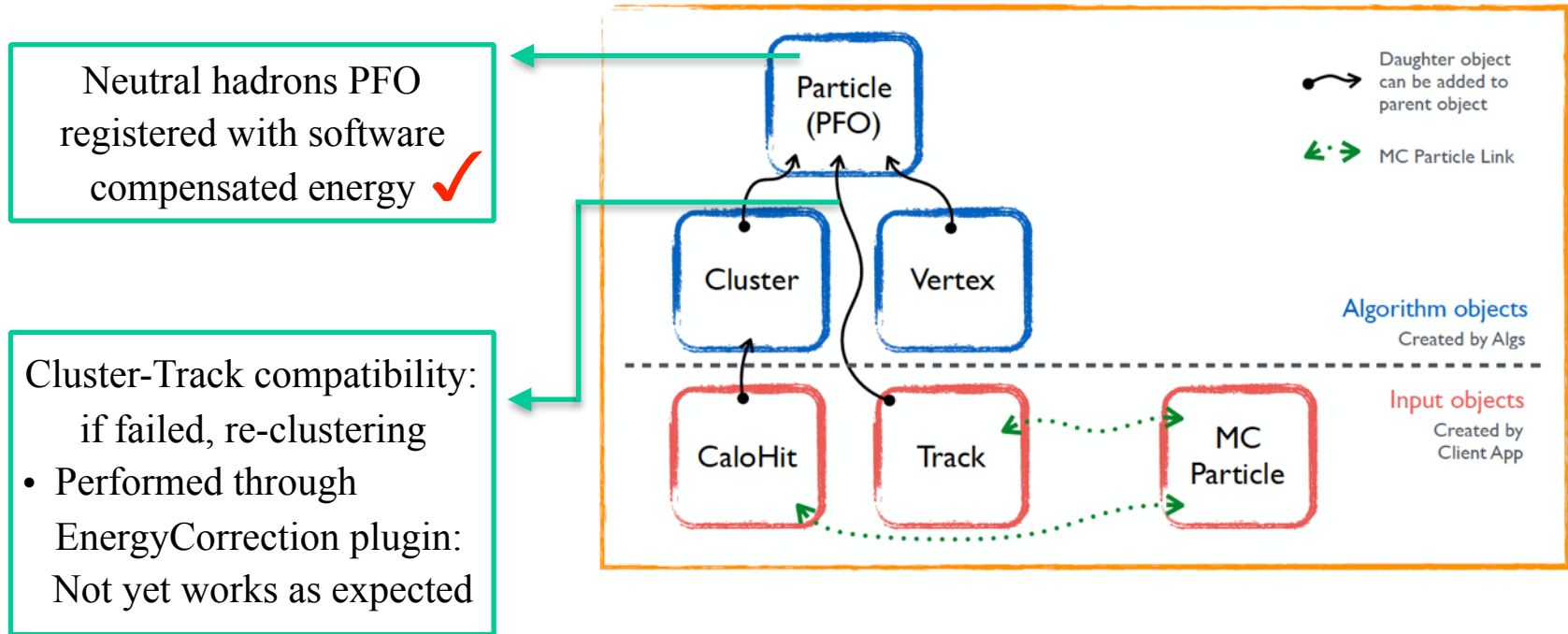
- Flag in MarlinPandora steering to apply software compensation:

```
<parameter name="ApplySoftwareCompensation" type="bool"> false </parameter>
<parameter name="SoftwareCompensationParameters" type="FloatVec"> 2.54231 -0.0470912 ...
</processor>
```



# Implementation into Pandora

- Software compensation can help at different stages of Particle Flow Algorithm:
  - Particle Flow Object creation: Correction of neutral hadrons energy (**Current status**)
  - Re-clustering: Cluster-Track compatibility



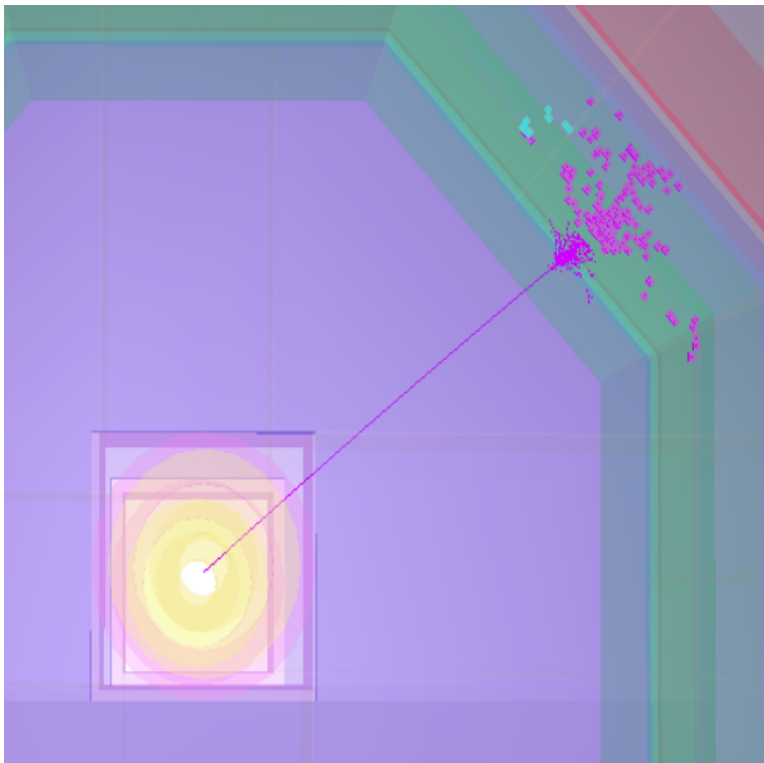
...



# Software compensation in re-clustering

- 50 GeV Pi-
  - Standard PFA:  $12.32 \pm 0.60$  %
  - PFA + Software compensation :  $12.48 \pm 0.62$  %

## User cluster energy estimation for SC

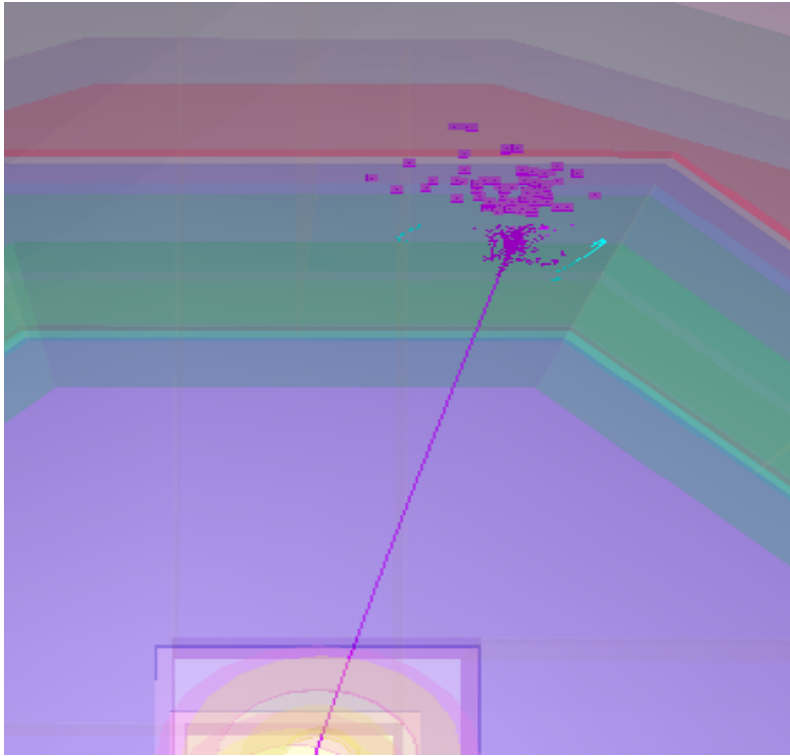


- **Event 15:**
  - Charged object: EtrackPFO = 50.09  
Ecluster = **48.80**
  - Neutral object: EneutralPFO = **0.46**  
Ecluster = 0.46
- Energy correction with SC:
  - Charged object: EtrackPFO = 50.09  
Ecluster = **48.81**
  - Neutral object: EneutralPFO = **0.71**  
Ecluster = 0.46
- After re-clustering :  
two objects are not merged



# Software compensation in re-clustering

- 50 GeV Pi-



## User cluster energy estimation for SC

- **Event 44:**
  - Charged object:  $E_{\text{trackPFO}} = 50.22$   
 $E_{\text{cluster}} = \mathbf{51.09}$
  - Neutral object 1:  $E_{\text{neutralPFO}} = \mathbf{1.05}$   
 $E_{\text{cluster}} = 1.05$
  - Neutral object 2:  $E_{\text{neutralPFO}} = \mathbf{0.41}$   
 $E_{\text{cluster}} = 0.41$
- Energy correction with SC:
  - Charged object:  $E_{\text{trackPFO}} = 50.22$   
 $E_{\text{cluster}} = \mathbf{51.30}$
  - Neutral object 1:  $E_{\text{neutralPFO}} = \mathbf{2.00}$   
 $E_{\text{cluster}} = 1.05$
  - Neutral object 2:  $E_{\text{neutralPFO}} = \mathbf{0.78}$   
 $E_{\text{cluster}} = 0.41$
- After re-clustering :  
three objects are not merged

# Towards cost optimisation

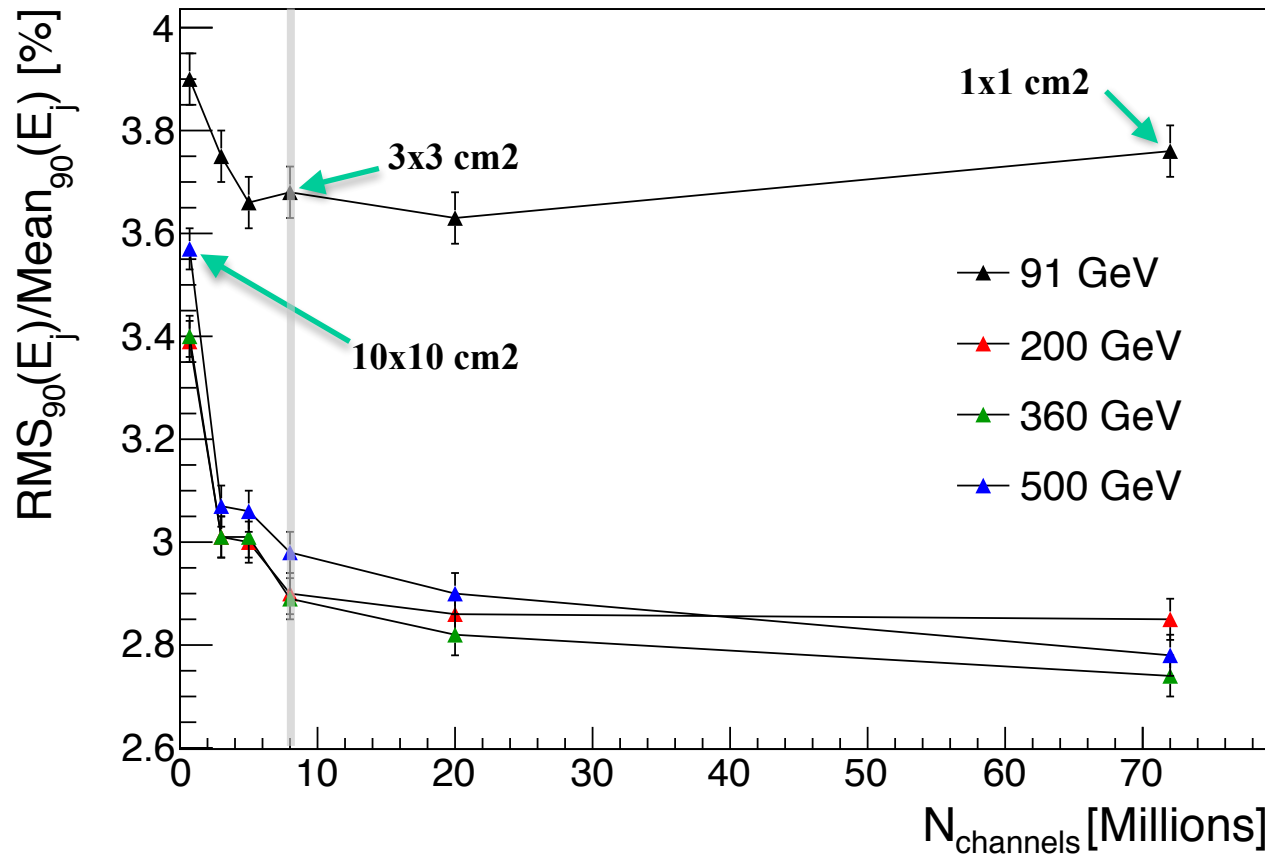
- Optimisation study has to achieve best balance between:
  - Physics performance
  - Detector cost
  - Reality: sometimes not always as expected/simulated



# Towards cost optimisation

- Look at jet energy resolution as a function of number of channels
- Plot shows that 3x3 cm<sup>2</sup> cell size is still a very reasonable choice with latest Pandora
- Software compensation to be applied

© Steven



Latest results from Steven  
To be updated with  
software compensation



# Summary & Outlook

- *Software compensation and cell size optimisation:*
  - Software compensation *implemented in Pandora*
  - *Improves* single particle and jet energy resolution
  - Re-clustering step to be done
- Common SC technique for different types of HCAL developed (not mentioned here)
- For a more complete view about software compensation and different approaches:
  - [http://www.desy.de/~huonglan/FLCLongTalk\\_30Nov2015/Lan\\_FLCLongTalk.pdf](http://www.desy.de/~huonglan/FLCLongTalk_30Nov2015/Lan_FLCLongTalk.pdf)
  - <http://agenda.linearcollider.org/event/6931/session/4/contribution/22/material/slides/0.pdf>
- Final goal: HCAL cell size and sampling optimisation (3D granularity) as a function of depth and for different detector radii
- First week of January in Cambridge



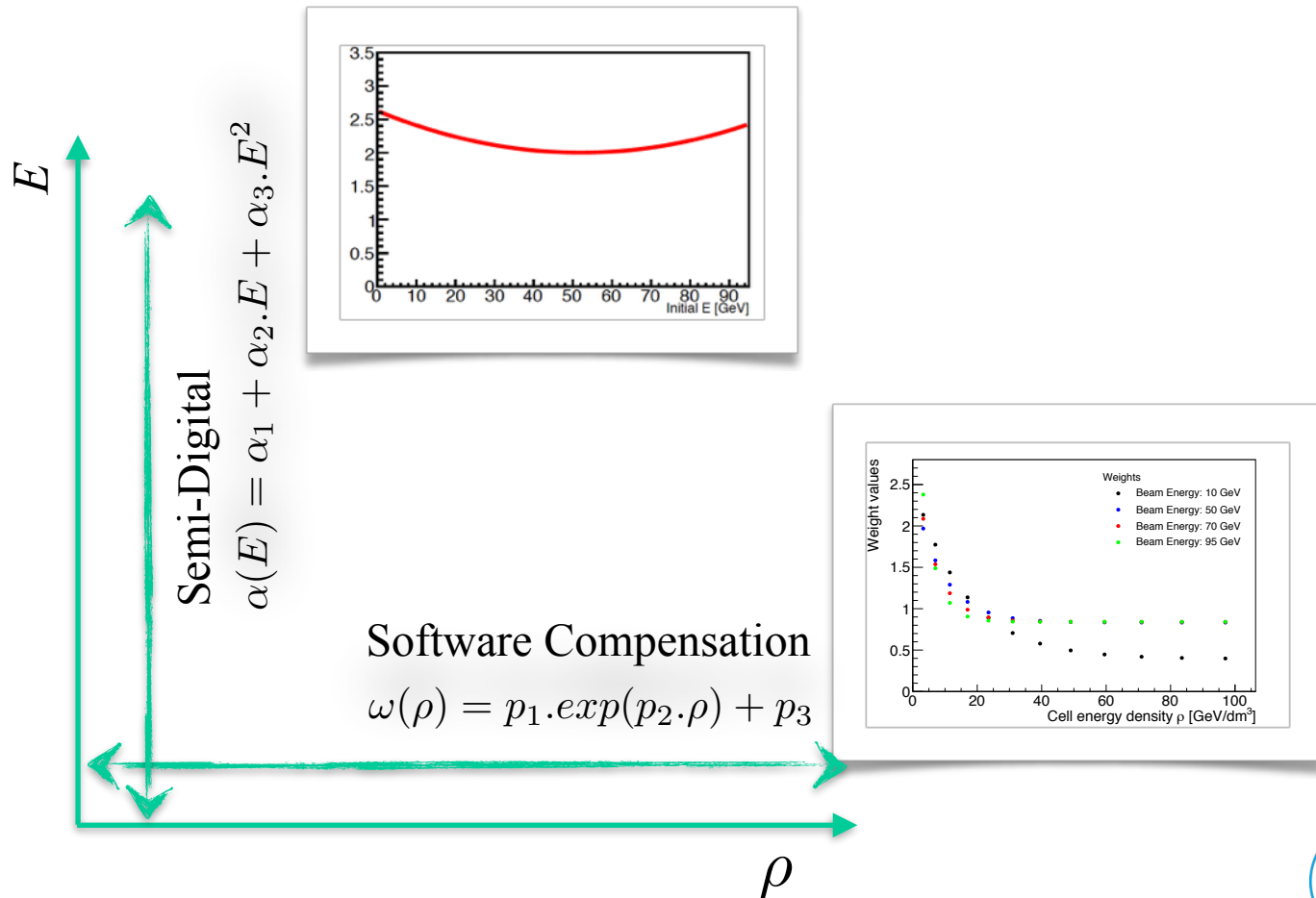
# Back-up slides



# Towards a common SC technique for different types of HCAL

# Semi-Digital and Software Compensation

- Software Compensation: weight optimised as a function of *hit energy density*  $\rho$
- Semi-Digital: weight optimised as a function of *particle energy*  $E$

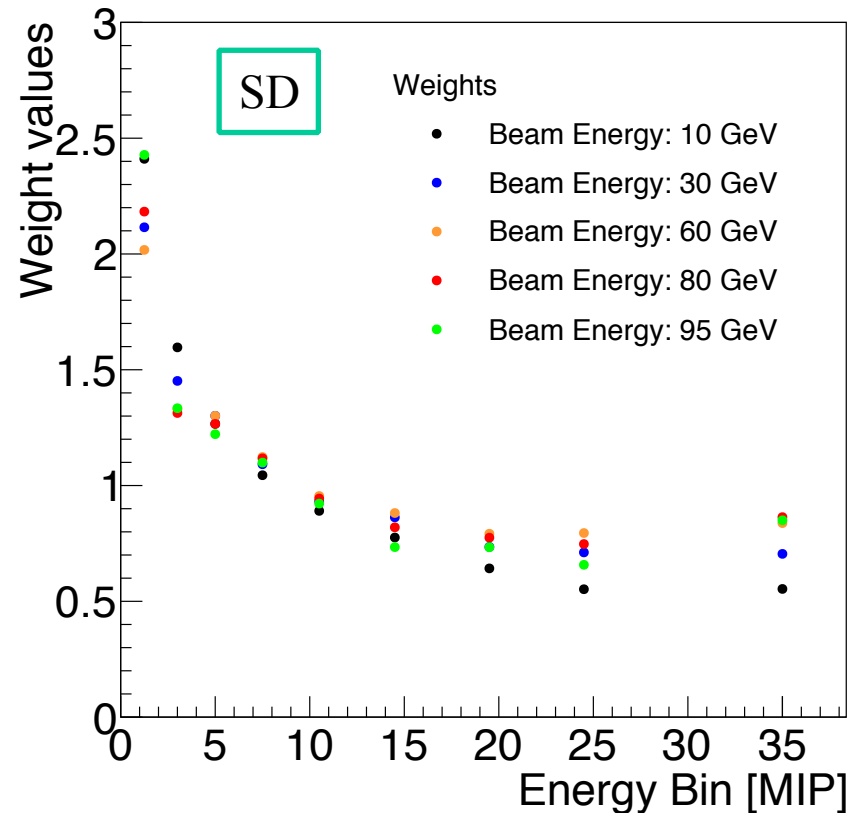
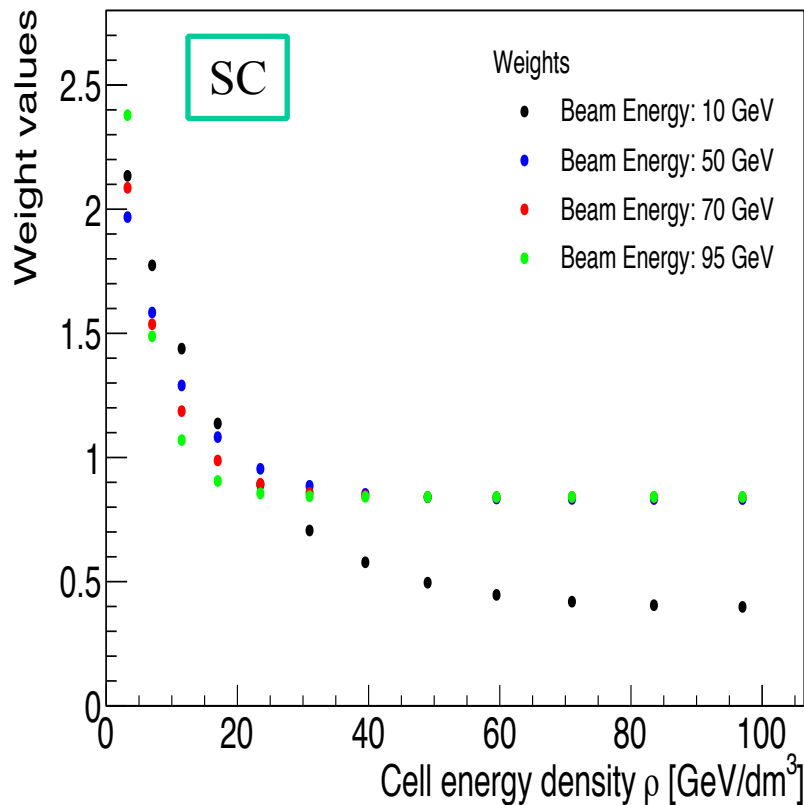


# Software Compensation in S-D style

- New procedure defined:

- No longer enforce weight to follow exponential behaviour
- Weights determined for each bin of hit energy as a function of beam energy (all-at-one fit)

$$\omega(\rho) = p_1 \exp(p_2 \cdot \rho) + p_3$$



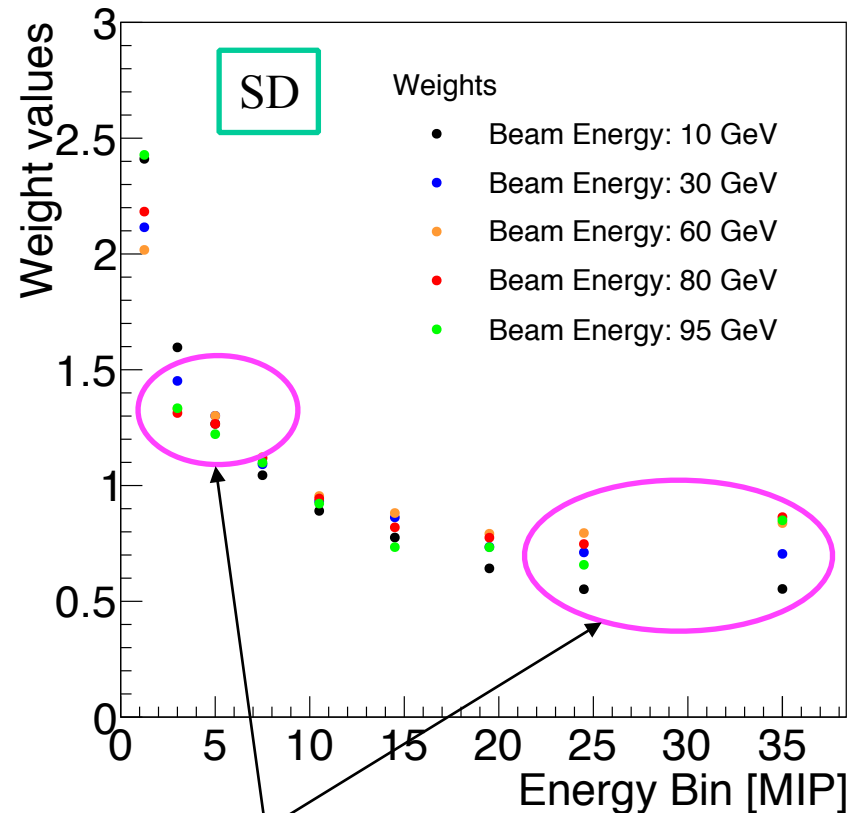
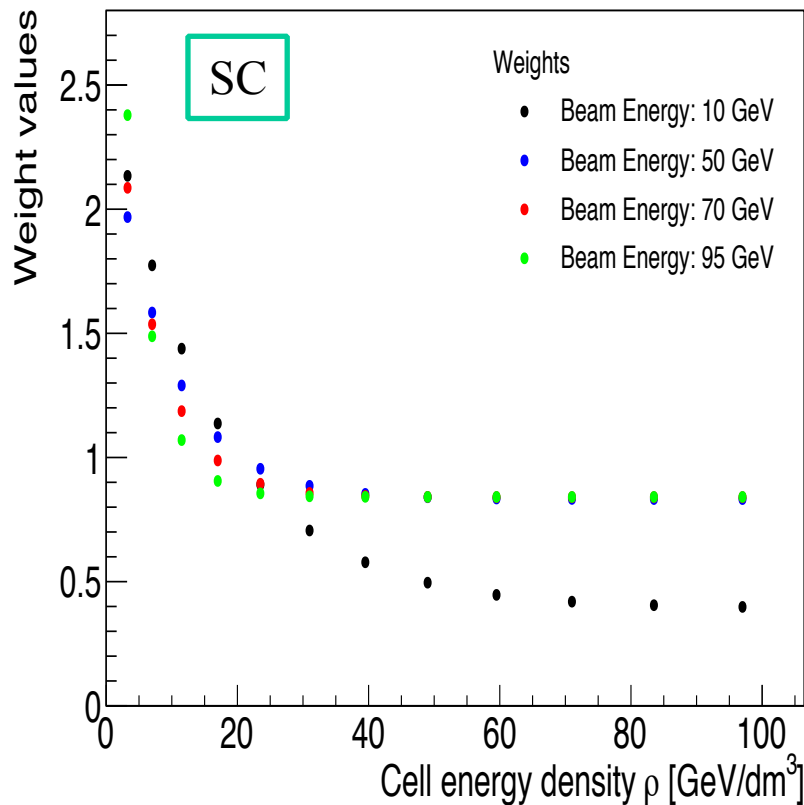


# Software Compensation in S-D style

- New procedure defined:

- No longer enforce weight to follow exponential behaviour
- Weights determined for each bin of hit energy as a function of beam energy (all-at-one fit)

$$\omega(\rho) = p_1 \exp(p_2 \cdot \rho) + p_3$$



Different behaviour

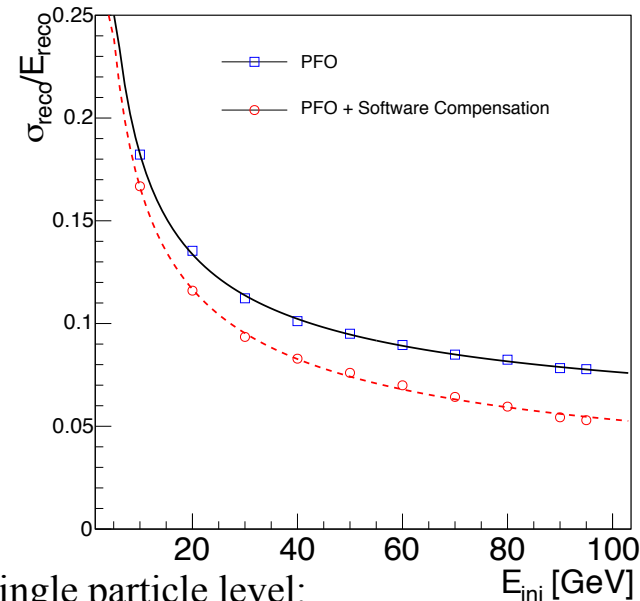
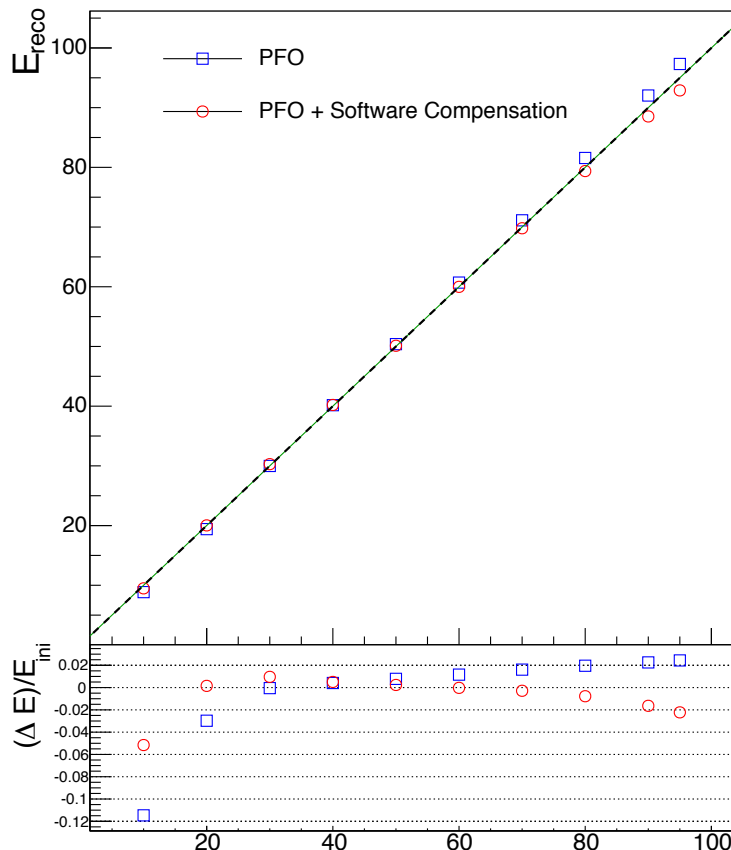


# Software Compensation in S-D style

- New procedure defined:

- No longer enforce weight to follow exponential behaviour
- Weights determined for each bin of hit energy as a function of beam energy (all-at-one fit)
- Correction for neutral hadrons energy, after clustering and re-clustering step

$$\omega(\rho) = p_1 \exp(p_2 \cdot \rho) + p_3$$



Single particle level:

- Better compared to previous results
  - Improves linearity in whole range
  - Improves resolution  $\sim 20\%$
- For higher energies  $\sim 30\%$

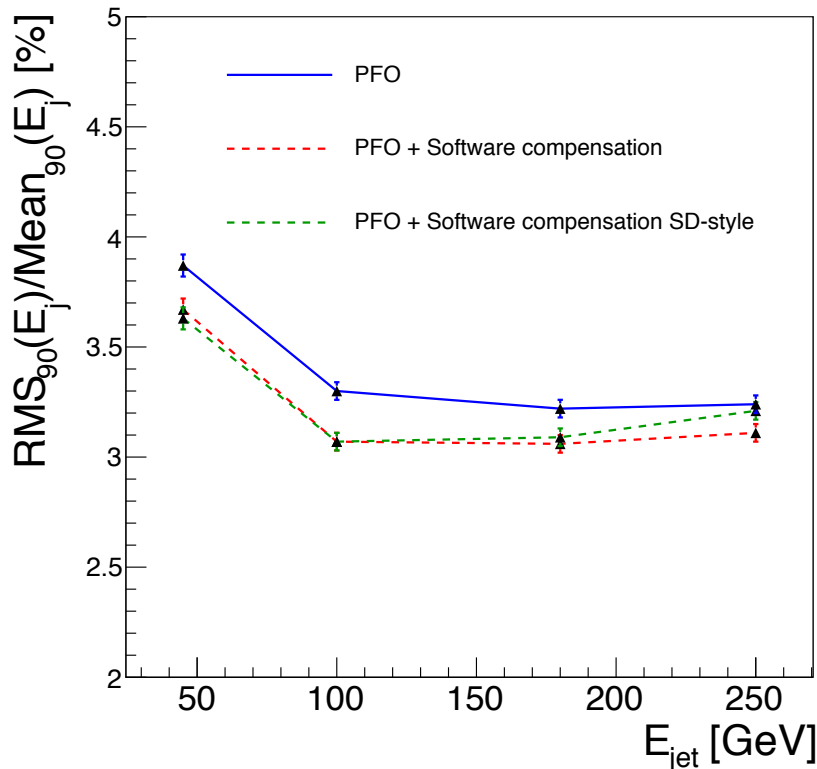


# Software Compensation in S-D style

- New procedure defined:

- No longer enforce weight to follow exponential behaviour
- Weights determined for each bin of hit energy as a function of beam energy (all-at-one fit)
- Correction for neutral hadrons energy, after clustering and re-clustering step

$$\omega(\rho) = p_1 \exp(p_2 \cdot \rho) + p_3$$

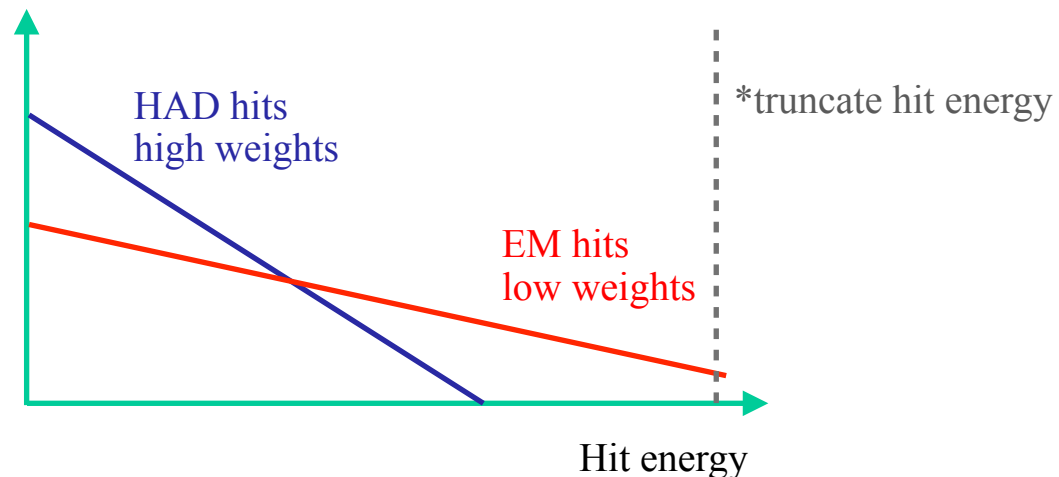


- At jet level gives more or less the same result as previously



# Methods to achieve Compensation

- Reducing electromagnetic response
- Increasing hadronic response
- “Offline” compensation: **Software Compensation**
  - Electromagnetic showers denser than hadronic showers  $\Rightarrow$  energy of hits inside electromagnetic sub-showers are typically higher compared to hits inside hadronic sub-showers.
    - $\Rightarrow$  Cut out high energy hits to reduce EM response \*
    - $\Rightarrow$  Applying different weights for hits of different energy densities

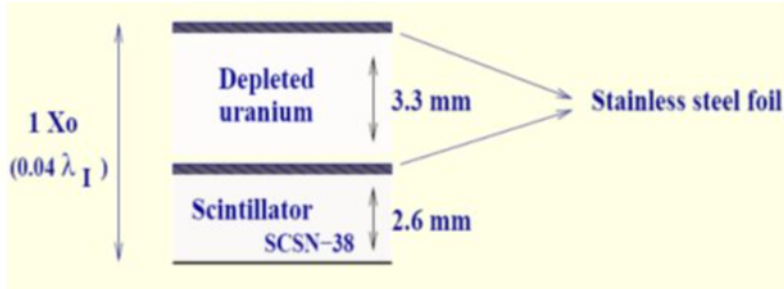


# Methods to achieve Compensation

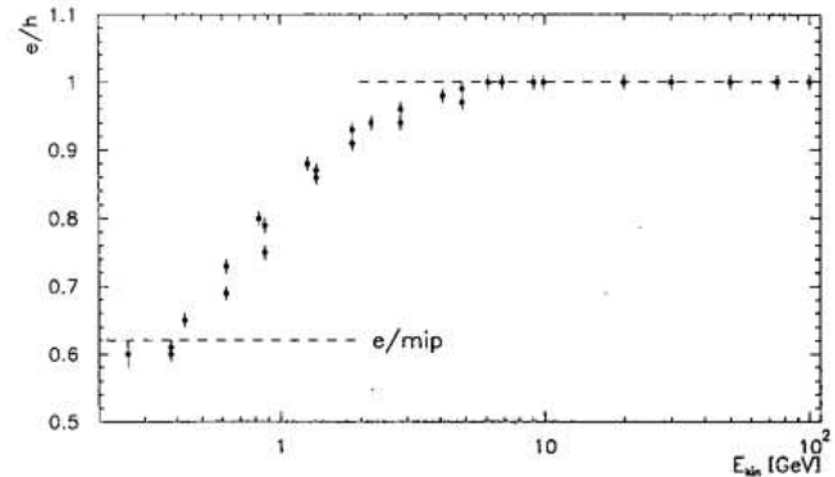
- Reducing electromagnetic response
- Increasing hadronic response

Achievable with detector design

- Increase nuclear fission with absorber material
  - Example: **ZEUS detector using  $^{238}\text{U}$**
- Manipulating response to (slow) neutrons
- Sampling fractions
- ...



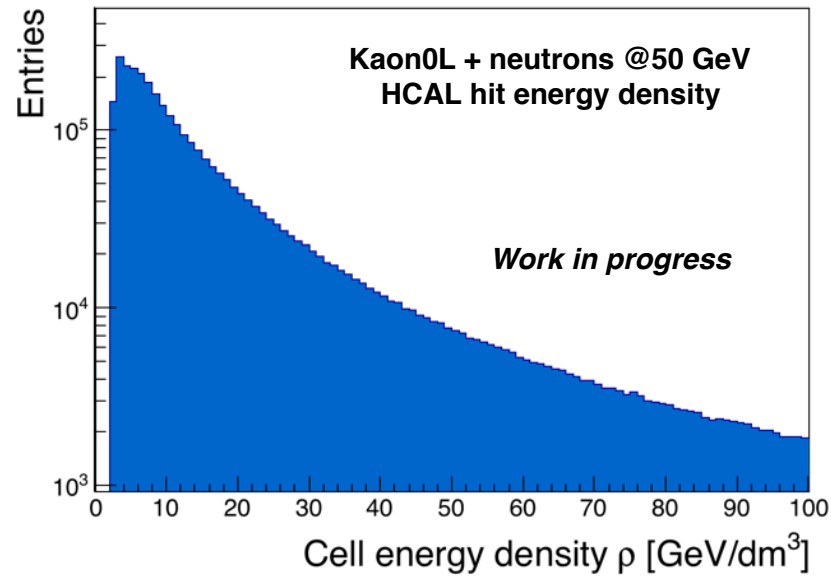
ZEUS Uranium-Scintillator calorimeter



ZEUS e/h response ratio  
= 1 within 1% for  $E > 3\text{GeV}$



# Reminder: Hit Energy Density and Weights



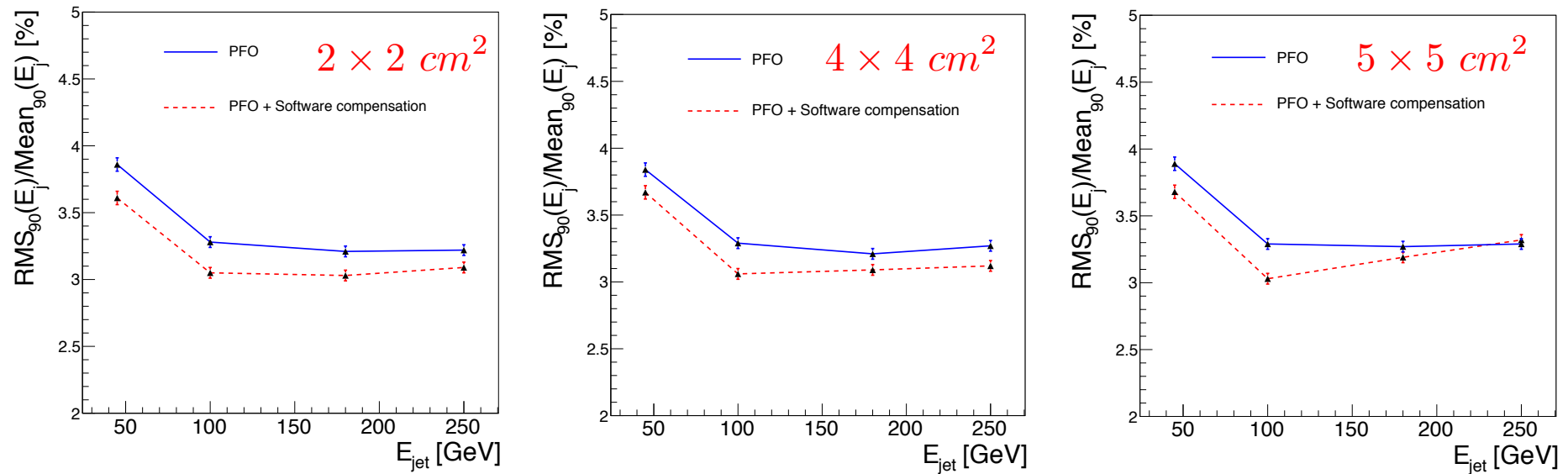
## Samples:

- Kaon0L and neutrons from 10 to 95 GeV generated from IP, targeted only to barrel part
- Select only events with 1 cluster
  - Events where hadronic showers started already in EM calorimeter: only HCAL hits are weighted
  - Cluster with no hit in muon chamber



# Jet Energy Resolution for Different Cell Sizes

- For similar cell sizes still expect improvement using weights defined with  $3 \times 3 \text{ cm}^2$



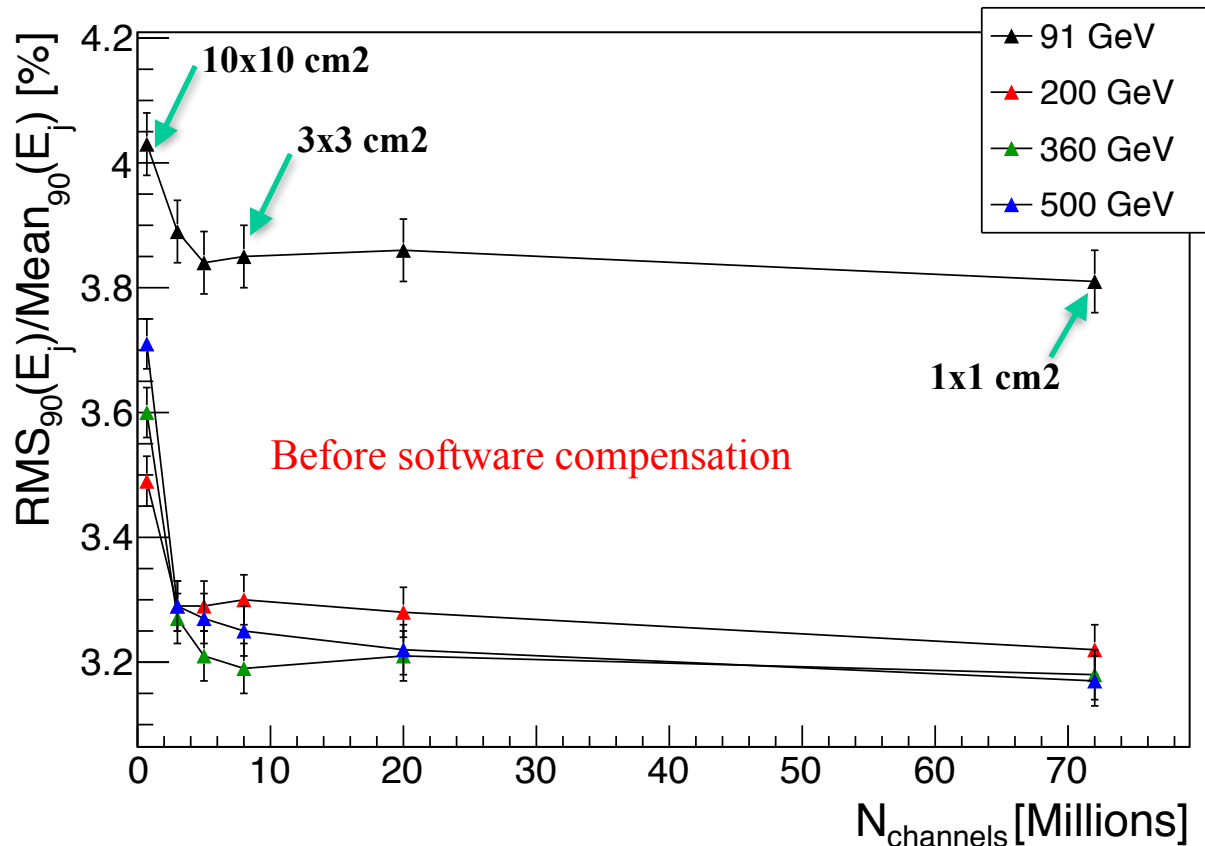
- Proper weights to be done, especially for very small or very large granularities
- SC could also help at re-clustering stage of Pandora
  - At the moment degrades JER, under investigation



# Outlook - Using my numbers

## Towards cost optimisation

- Look at jet energy resolution as a function of number of channels
- Plot shows clear preference for 3x3 cm<sup>2</sup> cell size
- Software compensation to be applied





# Semi-digital Reconstruction

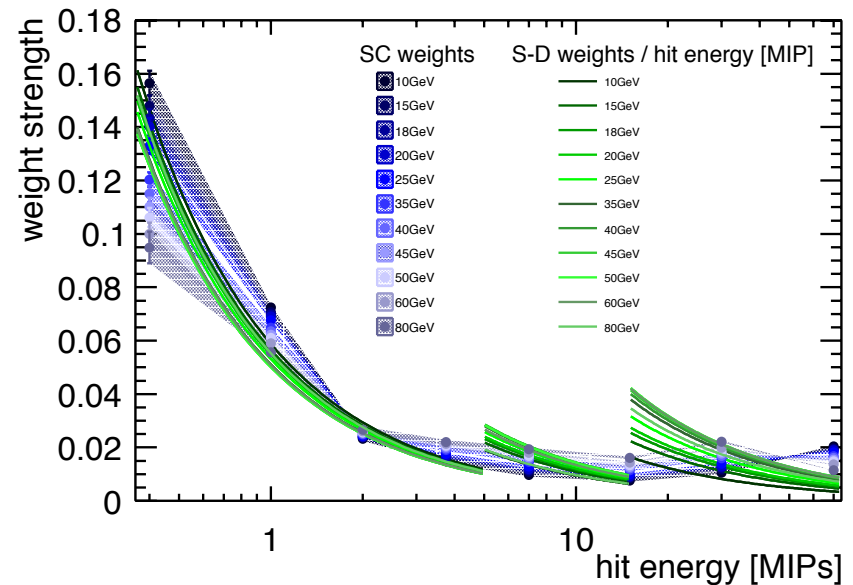
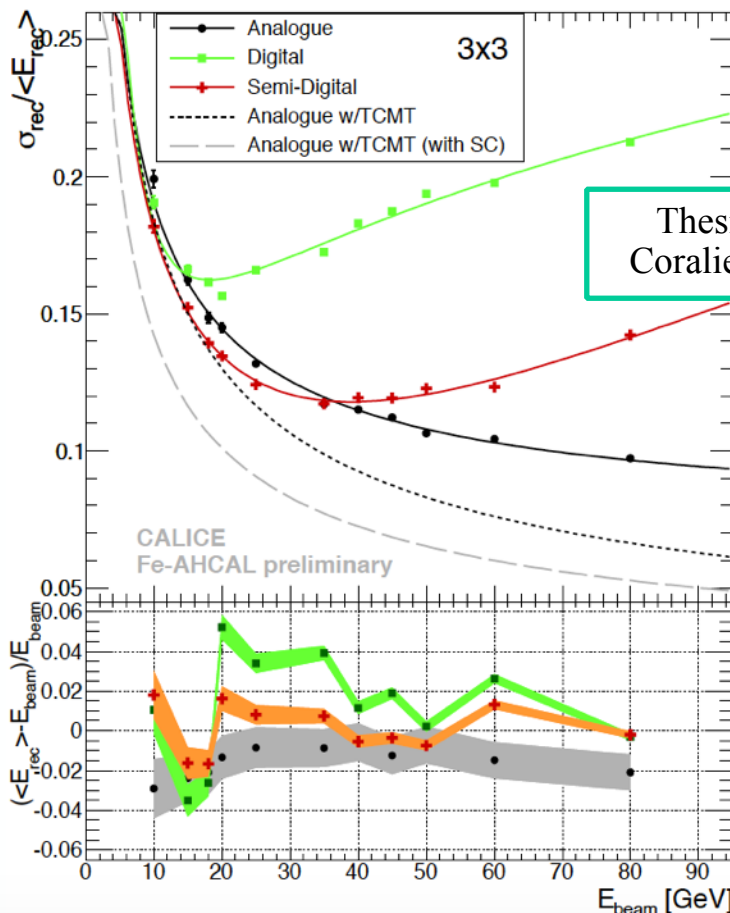
- Semi-digital reconstruction is particularly successful at low energies
  - Counting hits at 3 thresholds  $N_1, N_2, N_3$

- Reconstructed energy:  $E_{SD} = \sum_{bins} \alpha_i \cdot N_i$

or

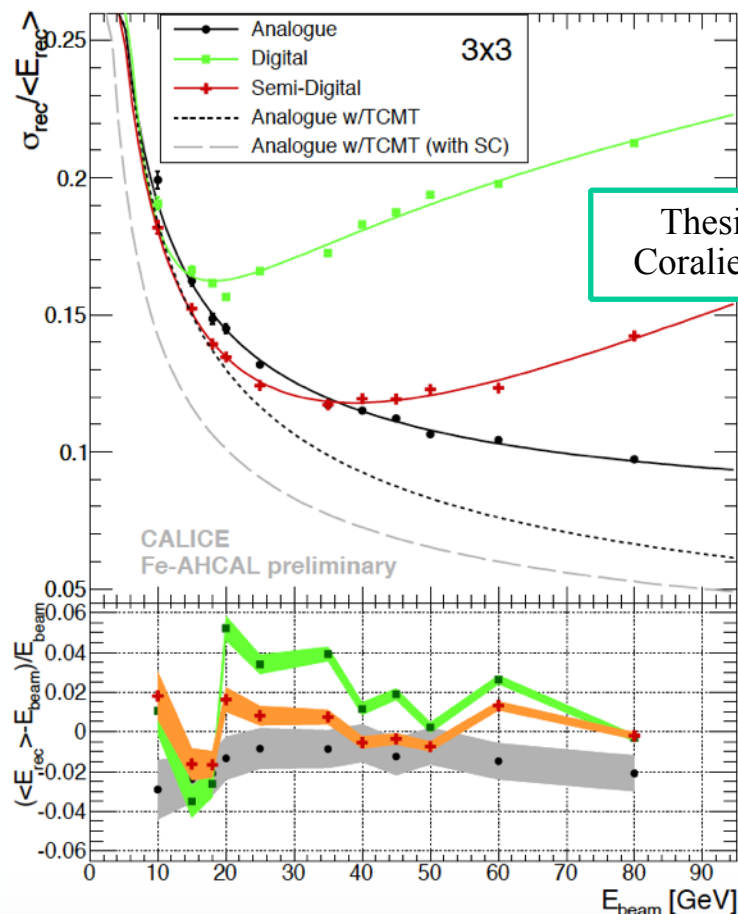
$$E_{SD} = \sum_{hits} \alpha_j \cdot \frac{E_j}{E_j} = \sum_{hits} \omega_j \cdot E_j \text{ with } \omega_j = \frac{\alpha_j}{E_j}$$

- Both reconstruction methods in same formalism
- Understand differences and learn from each other



# Semi-digital Reconstruction

- Semi-digital reconstruction is particularly successful at low energies
  - Counting hits at 3 thresholds  $N_1, N_2, N_3$



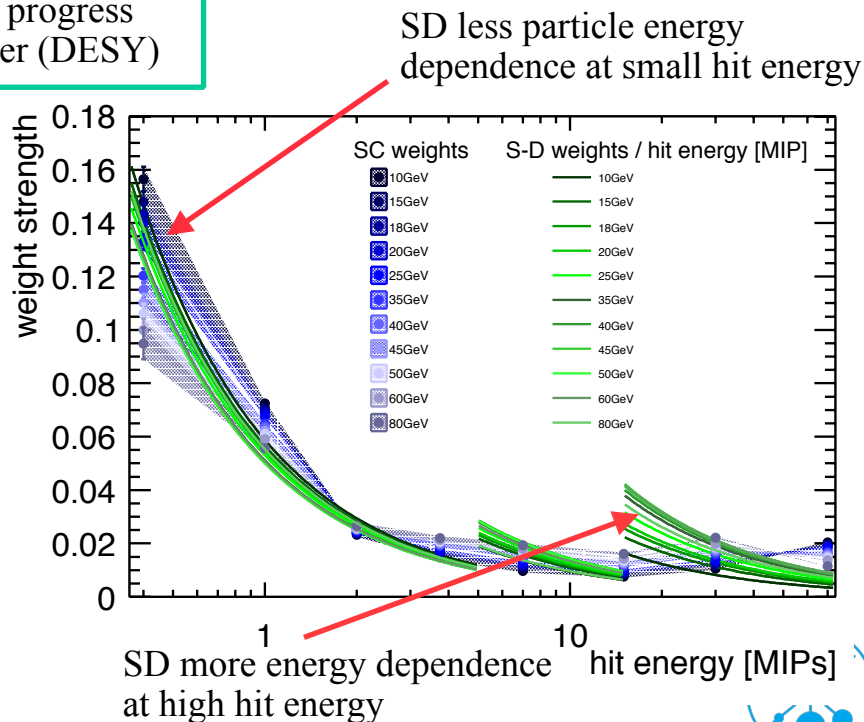
Thesis work in progress  
Coralie Neubueser (DESY)

- Reconstructed energy:  $E_{SD} = \sum_{bins} \alpha_i \cdot N_i$

or

$$E_{SD} = \sum_{hits} \alpha_j \cdot \frac{E_j}{E_j} = \sum_{hits} \omega_j \cdot E_j \text{ with } \omega_j = \frac{\alpha_j}{E_j}$$

- Both reconstruction methods in same formalism
- Understand differences and learn from each other



# Semi-digital Reconstruction

- Semi-digital reconstruction:
  - Counting hits at 3 thresholds  $N_1, N_2, N_3$
  - $N_{\text{tot}} = N_1 + N_2 + N_3$
  - $\text{EnergySD} = \alpha * N_1 + \beta * N_2 + \gamma * N_3$

where:

$$\alpha = \alpha_1 + \alpha_2 * N + \alpha_3 * N * N$$

$$\beta = \beta_1 + \beta_2 * N + \beta_3 * N * N$$

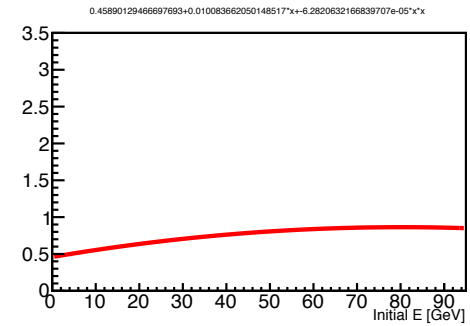
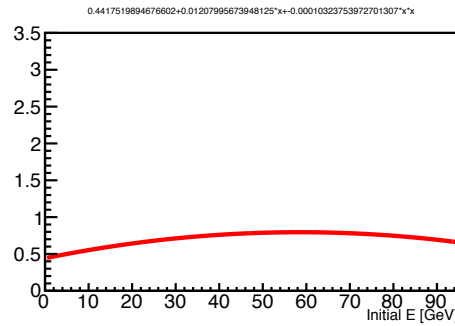
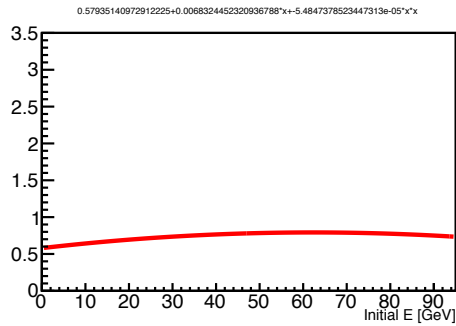
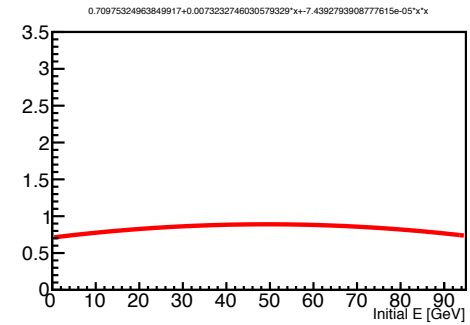
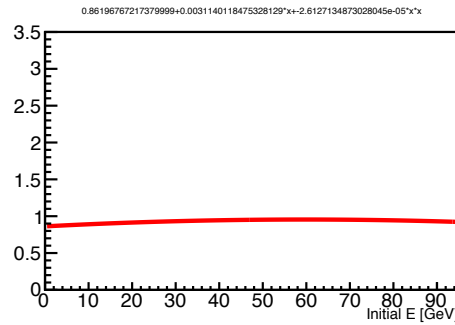
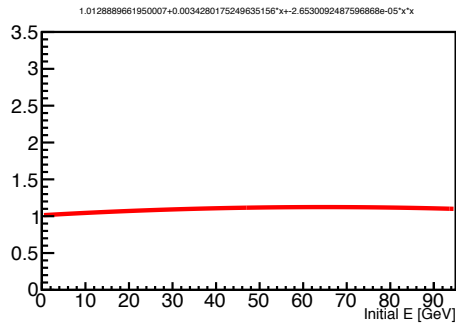
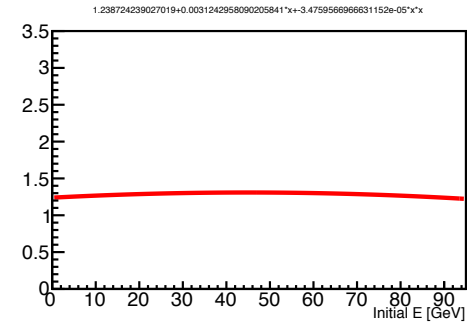
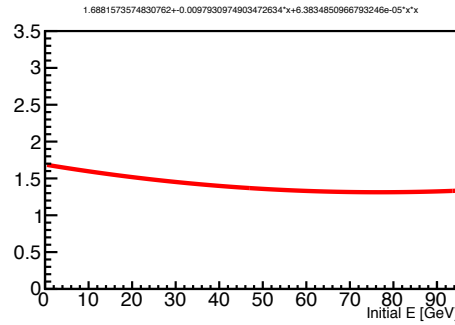
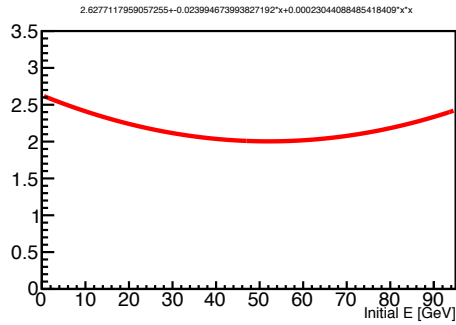
$$\gamma = \gamma_1 + \gamma_2 * N + \gamma_3 * N * N$$

Software compensation mimics Semi-Digital:

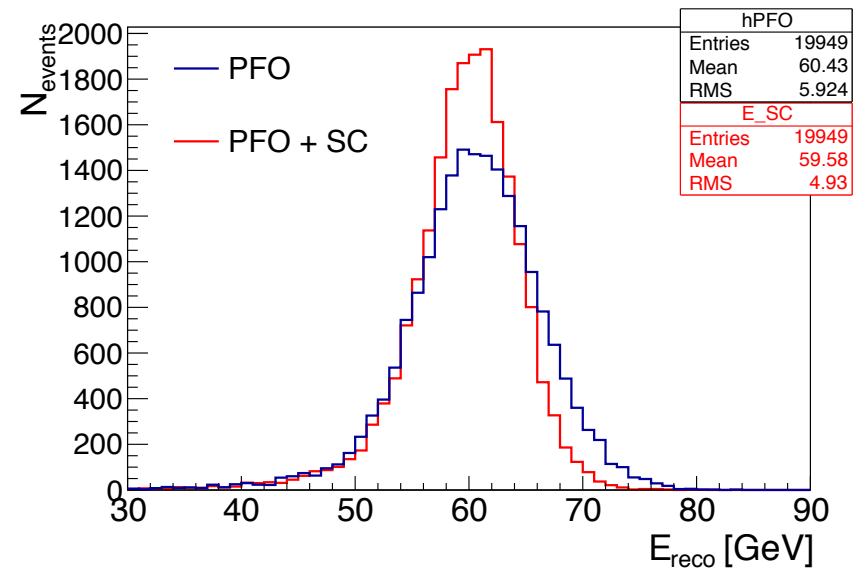
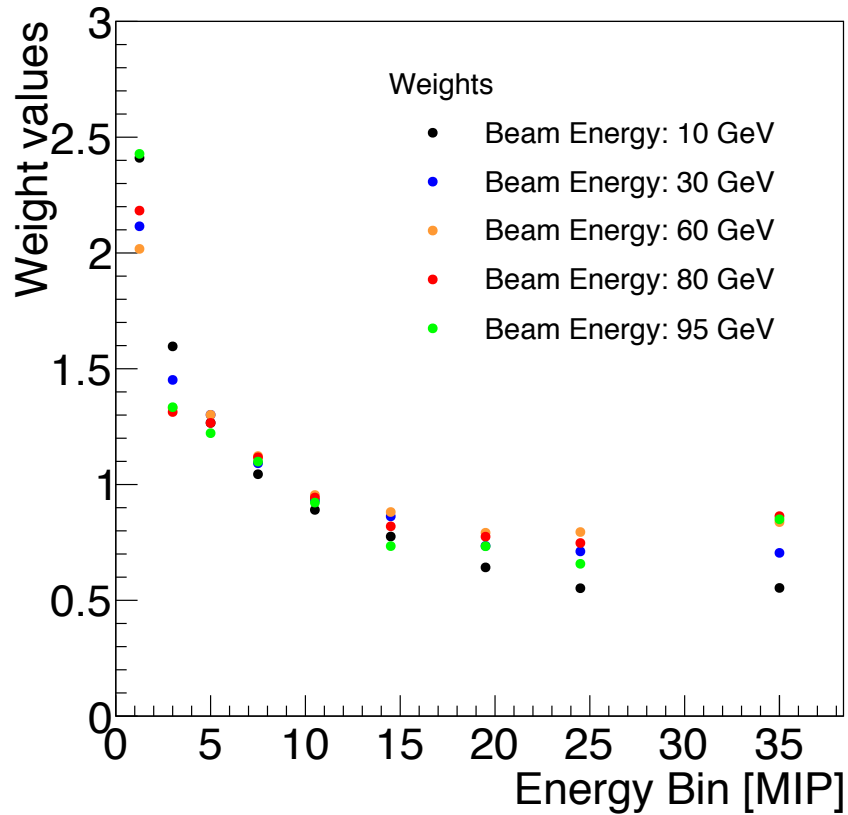
- Define bin
- $\text{Energy total} = \text{Sum\_bin} (\text{weight\_bin} * \text{SumEnergy\_bin})$
- $\text{weight\_bin} = a + b * E + c * E * E$



# Semi-digital Reconstruction



# Semi-digital Reconstruction



# JER vs cell size

