



# Workshops on X-band and high gradients: collaboration and resource



# International workshop on breakdown science and high gradient technology 18-20 April 2012 in KEK





International workshop on breakdown science and high gradient  
technology 18-20 April 2012 in KEK



<https://indico.cern.ch/conferenceDisplay.py?confId=165513>

Addressed getting high gradients in rf accelerators – CLIC, FELs, medical accelerators, Compton sources, accelerating structures, photo-injectors, deflecting cavities, power sources, components etc.

The next one will be held in Trieste on 3-6 June 2012.



# MEVARC3 – Breakdown physics workshop hosted this year by Sandia National Laboratory




**Summary**   **Lodging & Location**   **Agenda**

## 3rd International Workshop on Mechanisms of Vacuum Arcs (MeVArc 2012)

Monday, October 01, 2012 6:00 PM - Thursday, October 04, 2012 (Mountain Time)

Hotel Albuquerque at Old Town  
1-866-505-7829  
800 Rio Grande Blvd., NW  
Albuquerque, New Mexico 87104  
United States  
[Map and Directions](#)

Vacuum arcs are a concern in essentially every vacuum electronic device. Sometimes they form the basis for device operation, but all too often they are the primary failure mode. They are often described as high voltage breakdown (HVB) and electrostatic discharge (ESD) as well. The purpose of this workshop is to bring together scientists and engineers to discuss the latest improvements in our understanding of vacuum arcs, including their initiation and evolution.

**Register Now**

**Contact Information**



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Albuquerque International Balloon Fiesta  
October 6-13, 2012



Specific topics include:

- High electric field gradient devices (e.g., accelerators)
- Effect of electrode material processing
- Material/electrode damage characterization
- Primary mechanisms for discharge
- Diagnostic methods for interrogating breakdown, surface structure, plasma constituents, etc.
- Modeling and simulation

We welcome new areas of investigation in addition to the above. The multidisciplinary nature of vacuum arcs and vacuum devices provides a rich environment for finding physics of shared interest from multiple sources.

Past workshops:

- [1st workshop, May 2010, CERN](#)
- [2nd workshop, June 2011, Univ. Helsinki](#)

Organizers:

- Matt Hopkins ([mmhopki@sandia.gov](mailto:mmhopki@sandia.gov)), Sandia National Laboratories, USA
- Flyura Djurabekova ([flyura.djurabekova@helsinki.fi](mailto:flyura.djurabekova@helsinki.fi)), University of Helsinki, Finland
- Walter Wuensch ([walter.wuensch@cern.ch](mailto:walter.wuensch@cern.ch)), CERN, Switzerland
- André Anders ([aanders@lbl.gov](mailto:aanders@lbl.gov)), Lawrence Berkeley National Laboratory, USA

<http://www.regonline.com/builder/site/default.aspx?EventID=1065351>

<https://indico.cern.ch/conferenceDisplay.py?ovw=True&confId=208932>

25 October 2012

LCWS2012



# MEVARC3



Focused on the physics of vacuum arcs.

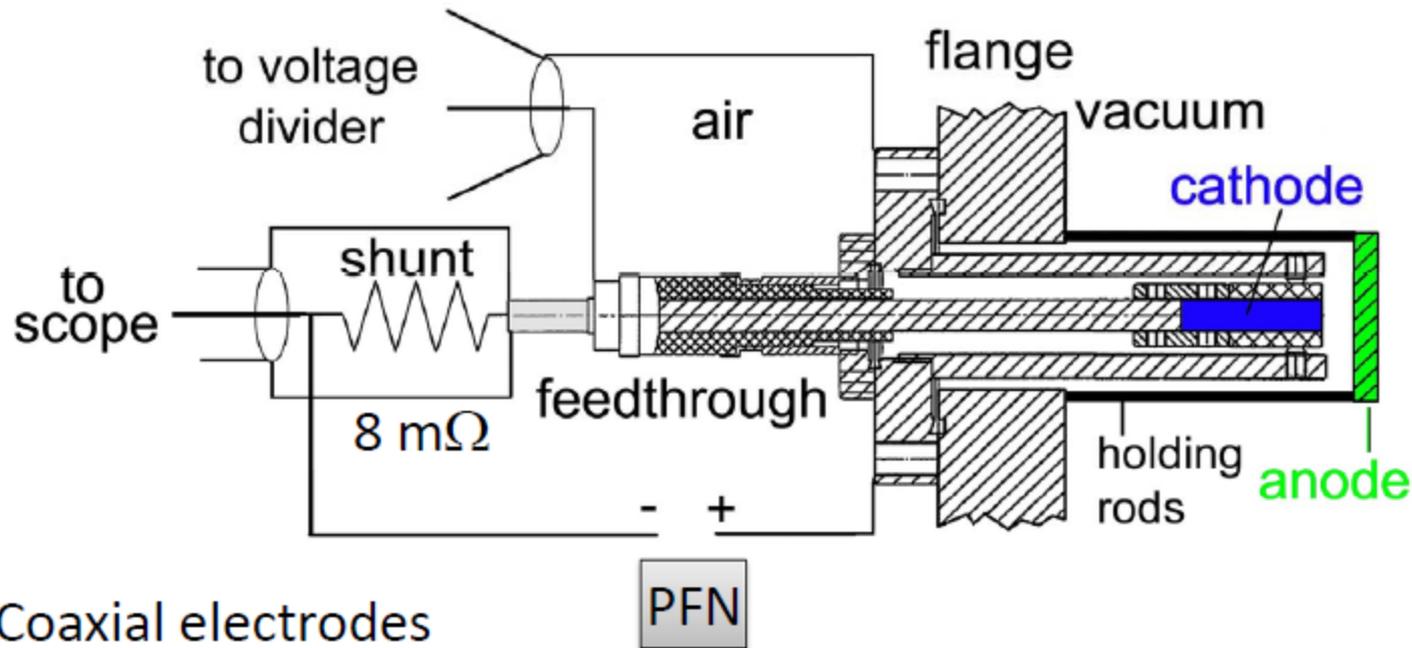
Representatives from many communities: accelerators, fast switches, satellites, micro-scale gaps, vacuum interrupters.

Many specialities: rf, plasma, material science, simulation and diagnostics

Many issues: breakdown, field emission, gas discharge, multipactor, dc and rf.

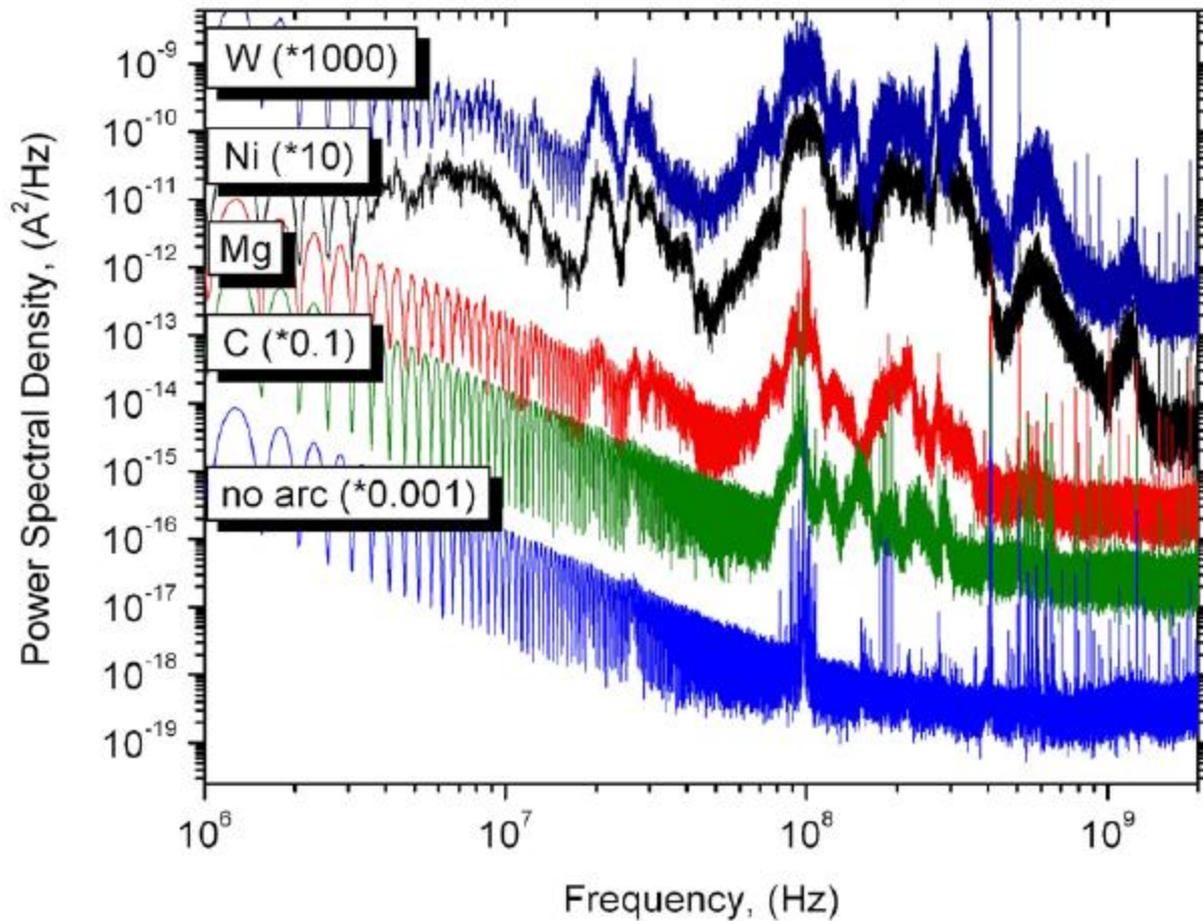
Next one planned for late 2013, early 2014

# Experimental Setup For Arc Noise Measurements



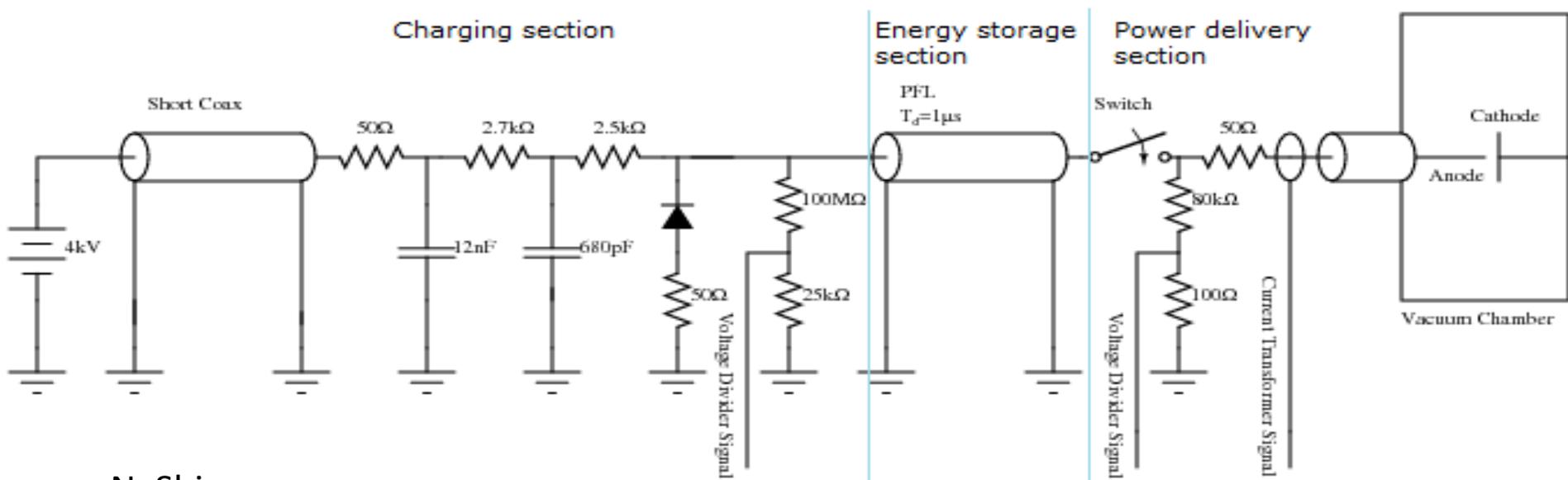
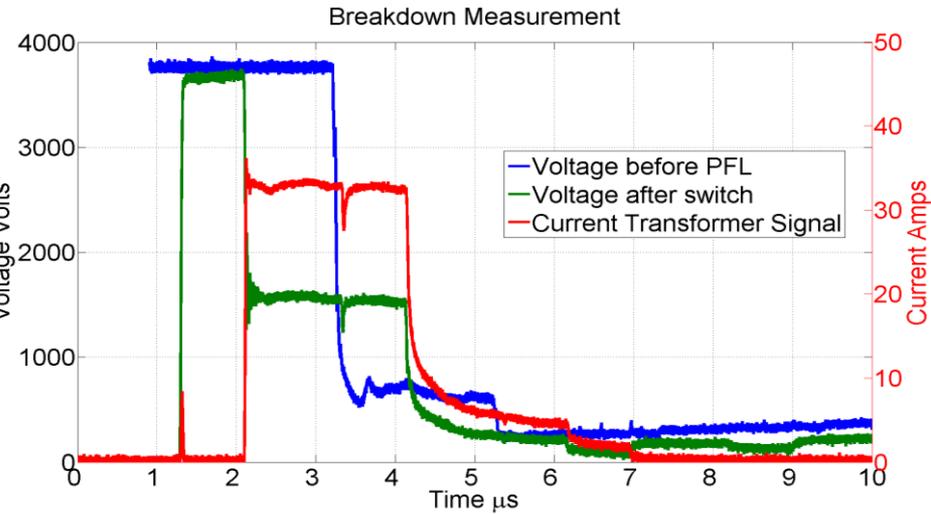
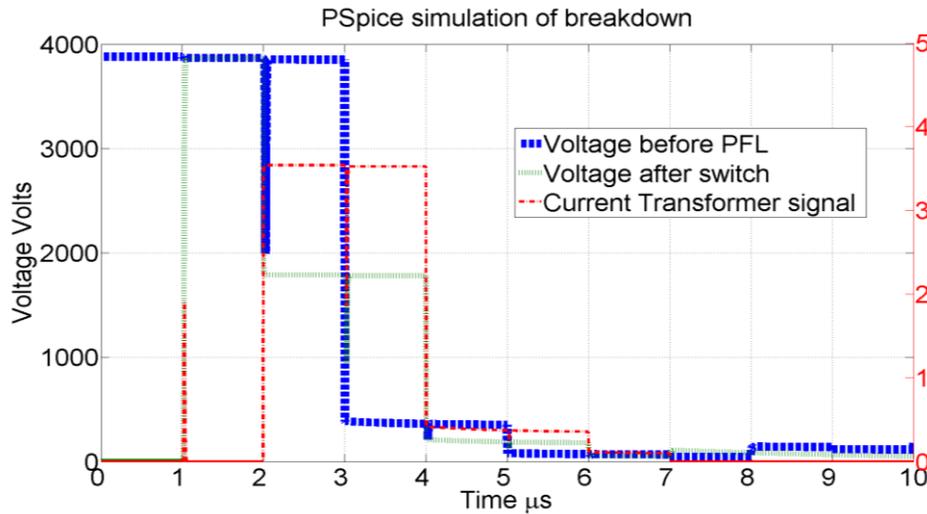
- Coaxial electrodes
- fast digital oscilloscopes
- selecting only the “flat” portion of arc discharge pulse
- Fast Fourier Transform of each individual data set
- Repeat all steps 10 times and produce average

# Power Spectrum of Arc Current for Different Materials

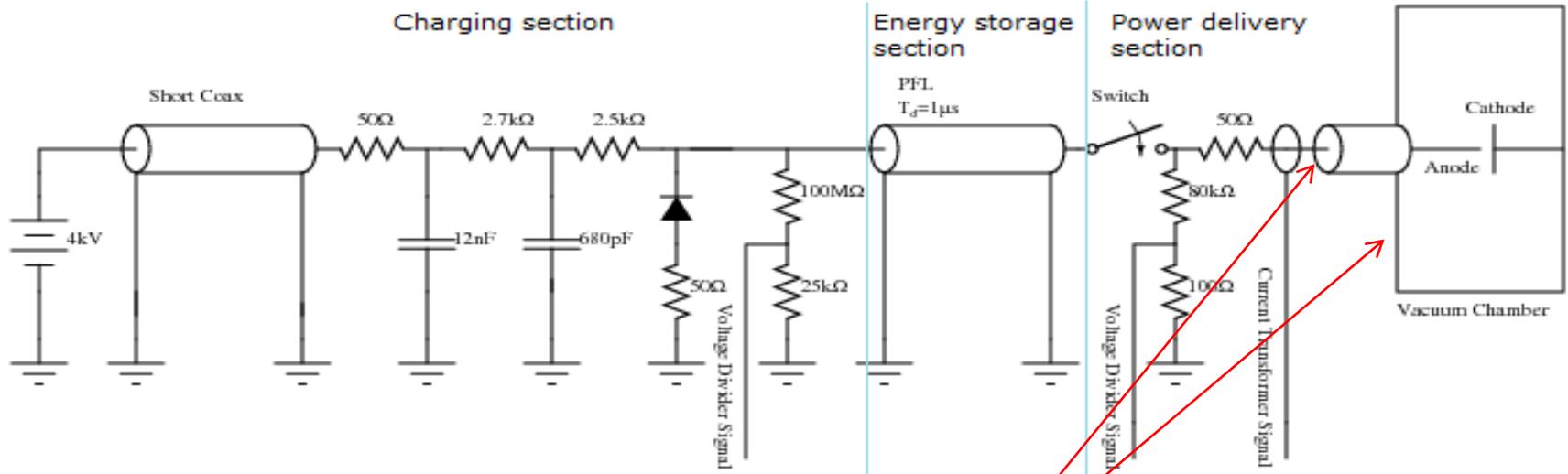


20 A

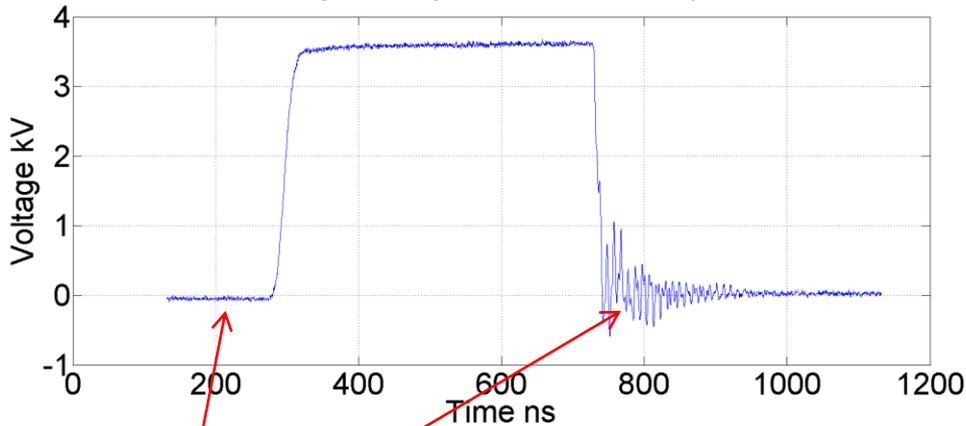
# The High Rep Rate System



# Measured Burning Voltages



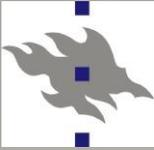
Voltage during breakdown example 1



The burning voltage was measured across here.

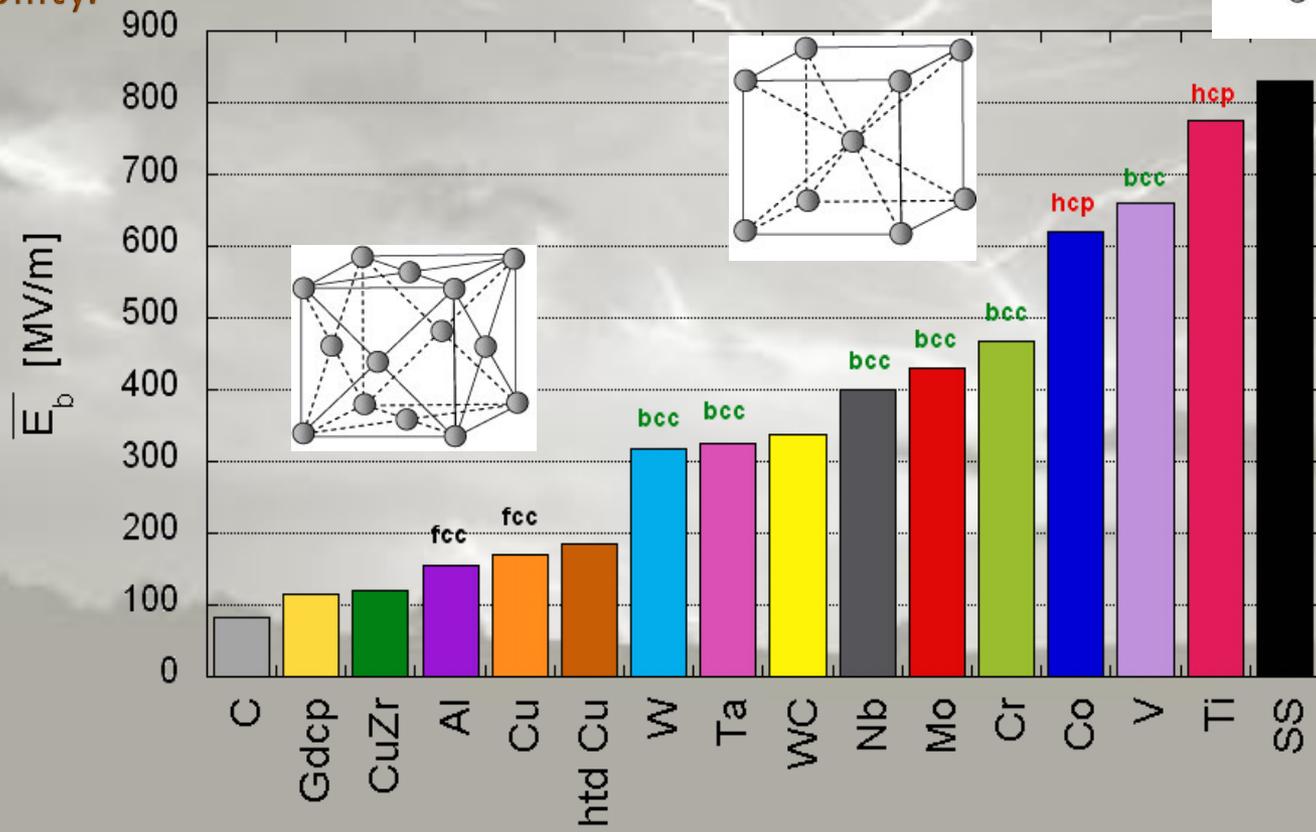
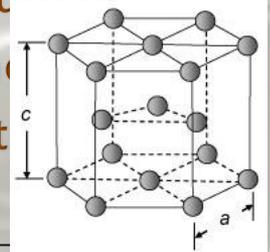
It is the “steady state” voltage across the plasma of a spark during a breakdown at which point most of the voltage is dropped across the 50 Ohm resistor. It is a property of the material.

Subtract average voltage with switch closed from Average voltage during breakdown after initial voltage fall.

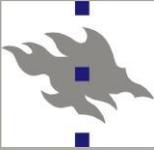


# What are the field emitters? Why do we look for dislocations?

- The dislocation motion is strongly bound to the atomic structure of metals. In FCC (face-centered cubic) the dislocation are the most mobile and HCP (hexagonal close-packed) are the hardest for dislocation mobility.



A. Descoedres, F. Djurabekova, and K. Nordlund, DC Breakdown experiments with cobalt electrodes, CLIC-Note XXX, 1 (2010).



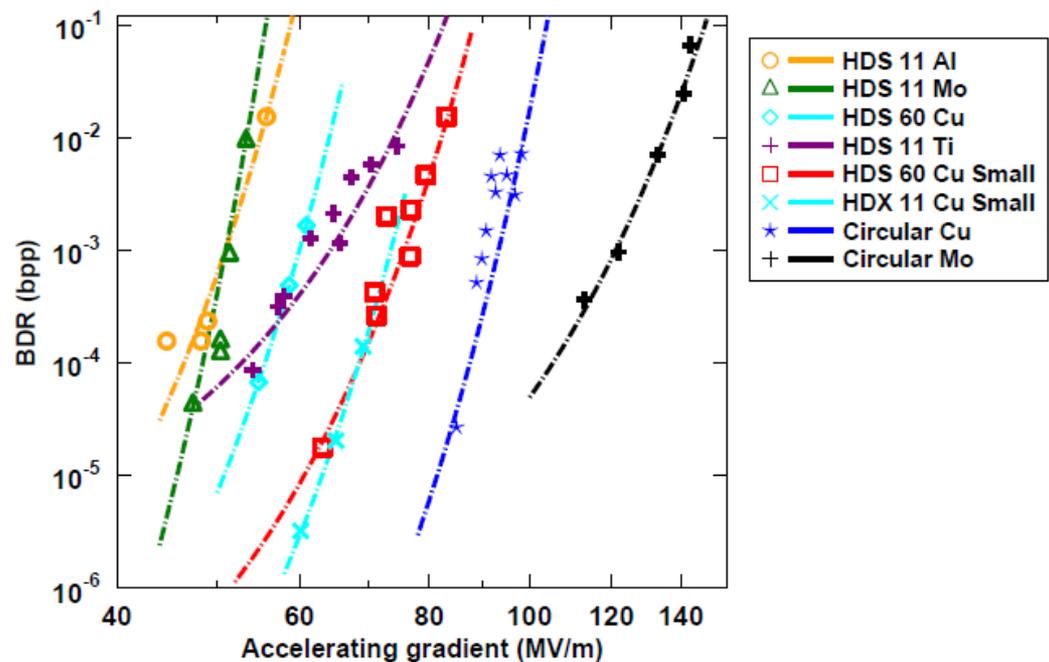
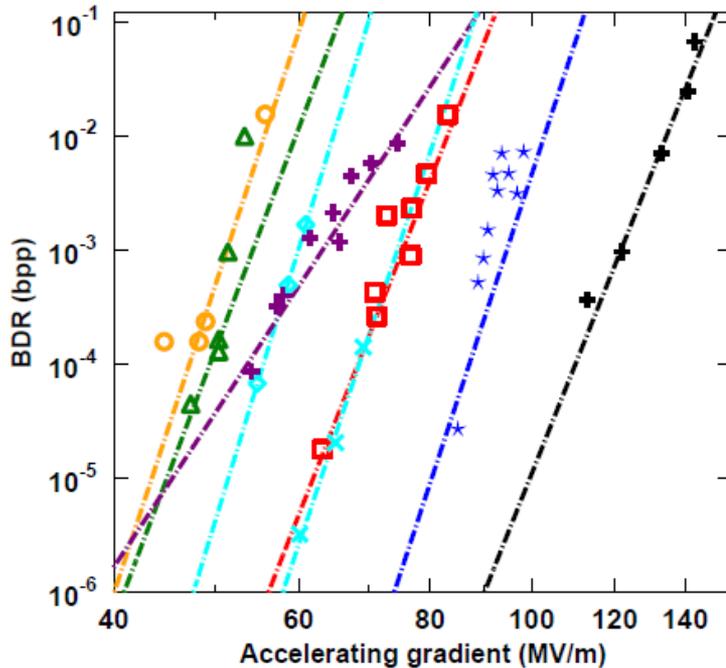
# Dislocation-based model for electric field dependence

- Now to test the relevance of this, we fit the experimental data
- The result is:

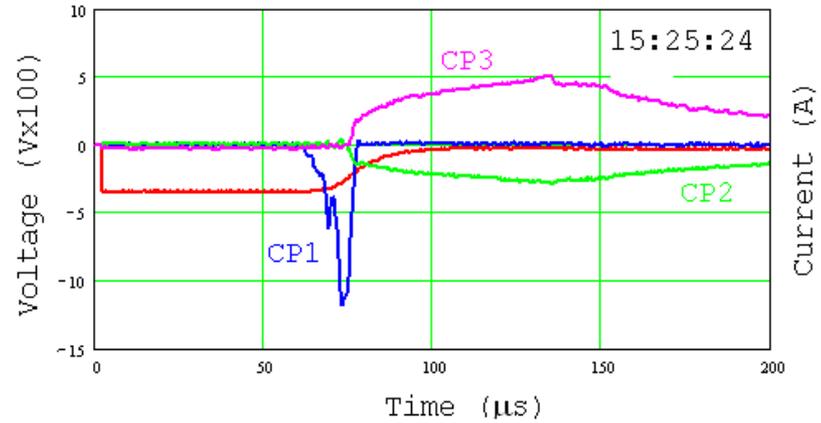
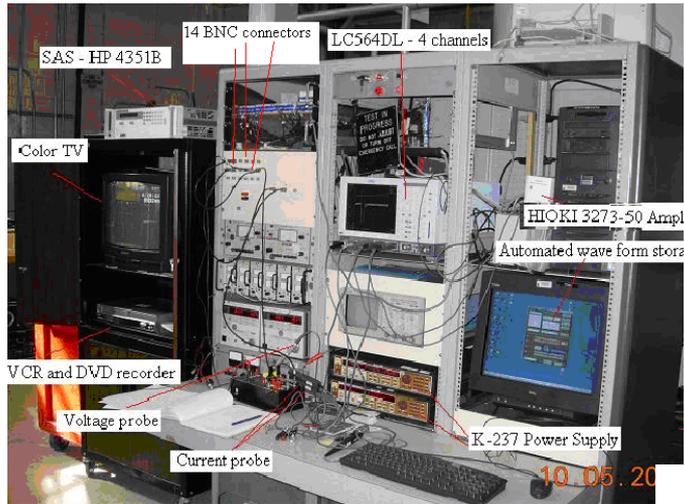
$$BDR \propto BDR_0 e^{-\frac{E^f - \epsilon_0 E^2 \Delta V}{kT}} = A e^{-\frac{E^f - \epsilon_0 E^2 \Delta V}{kT}} = c_0 e^{-E^f / kT} e^{\epsilon_0 E^2 \Delta V / kT}$$

Power law fit

Stress model fit



[W. Wuensch, public presentation at the CTF3, available online at <http://indico.cern.ch/conferenceDisplay.py?confId=8831.>] with the model.]

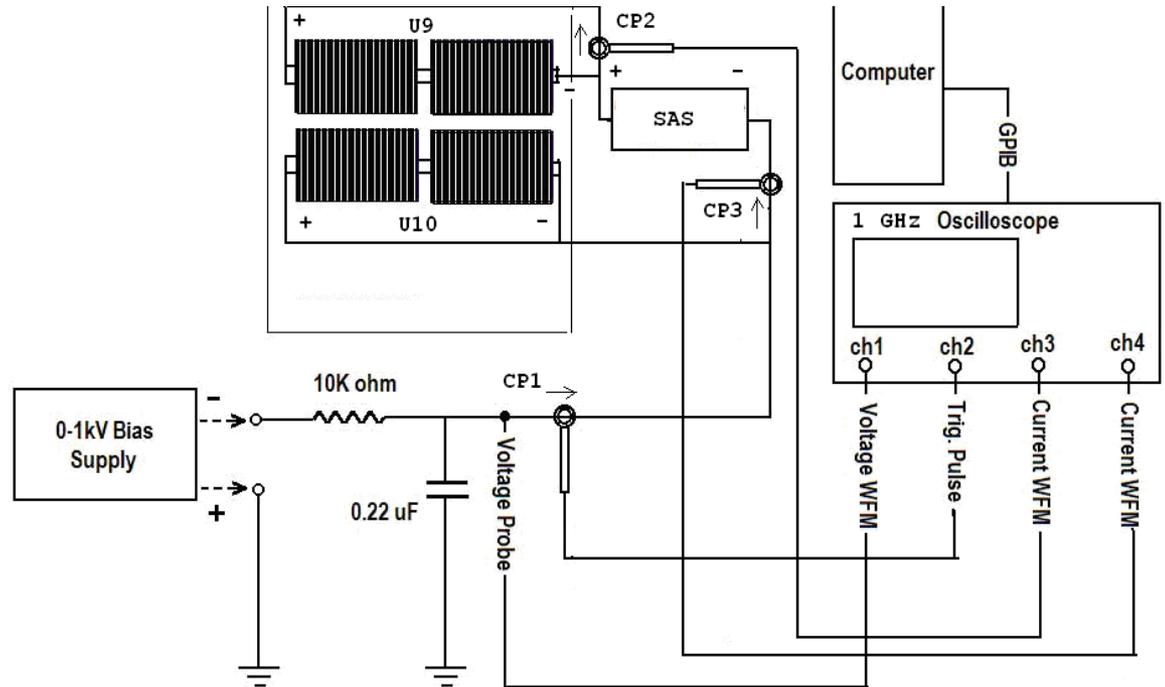


$P=30 \mu\text{Torr (Xe)}$



Arc in LEO plasma

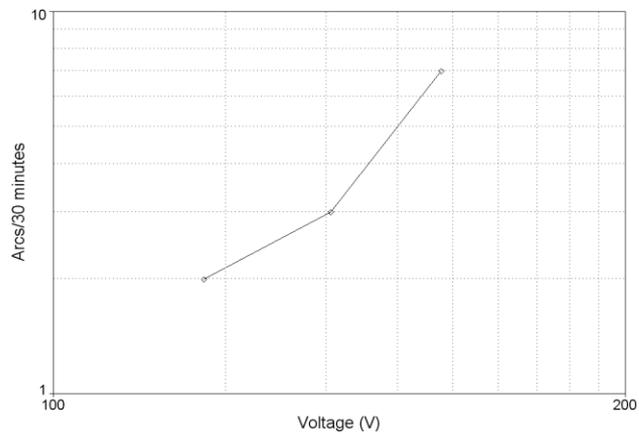
$T_e=0.2-0.5 \text{ eV}; n_e=10^5-10^6 \text{ cm}^{-3}$



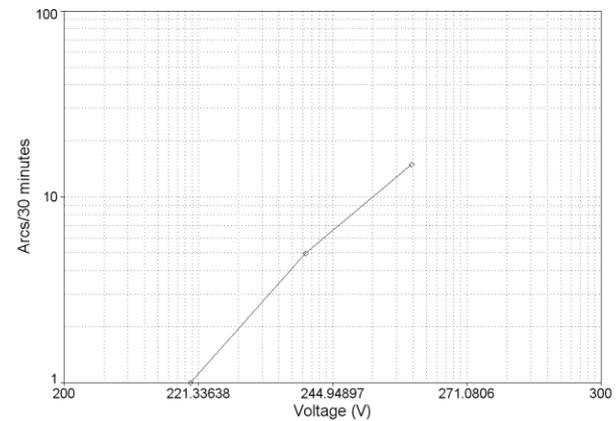
Circuitry diagram for arc parameter measurements.



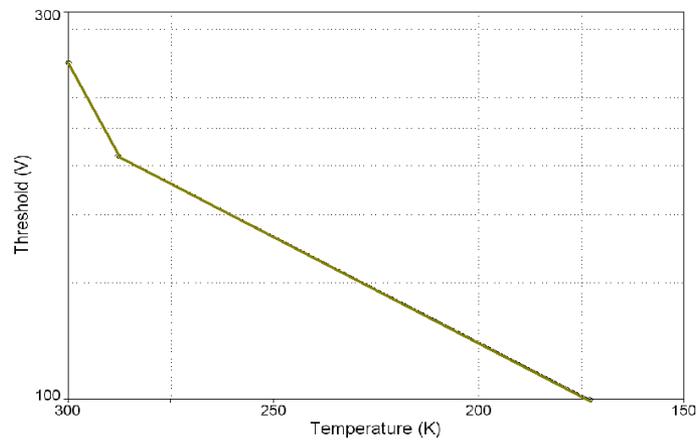
## LEO



Arc rate vs. bias voltage at low temperature (-100 C).



Arc rate vs. bias voltage at the temperature +10 C



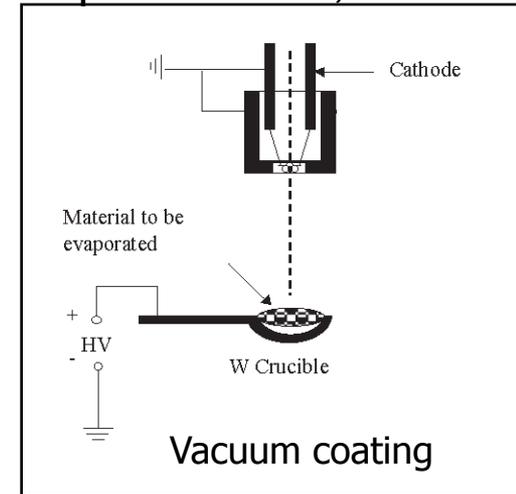
Arc threshold vs. sample temperature

# Applications and Model Requirements

We're interested in low temperature collisional plasma phenomena, and transient start-up of arc-based devices.

Examples:

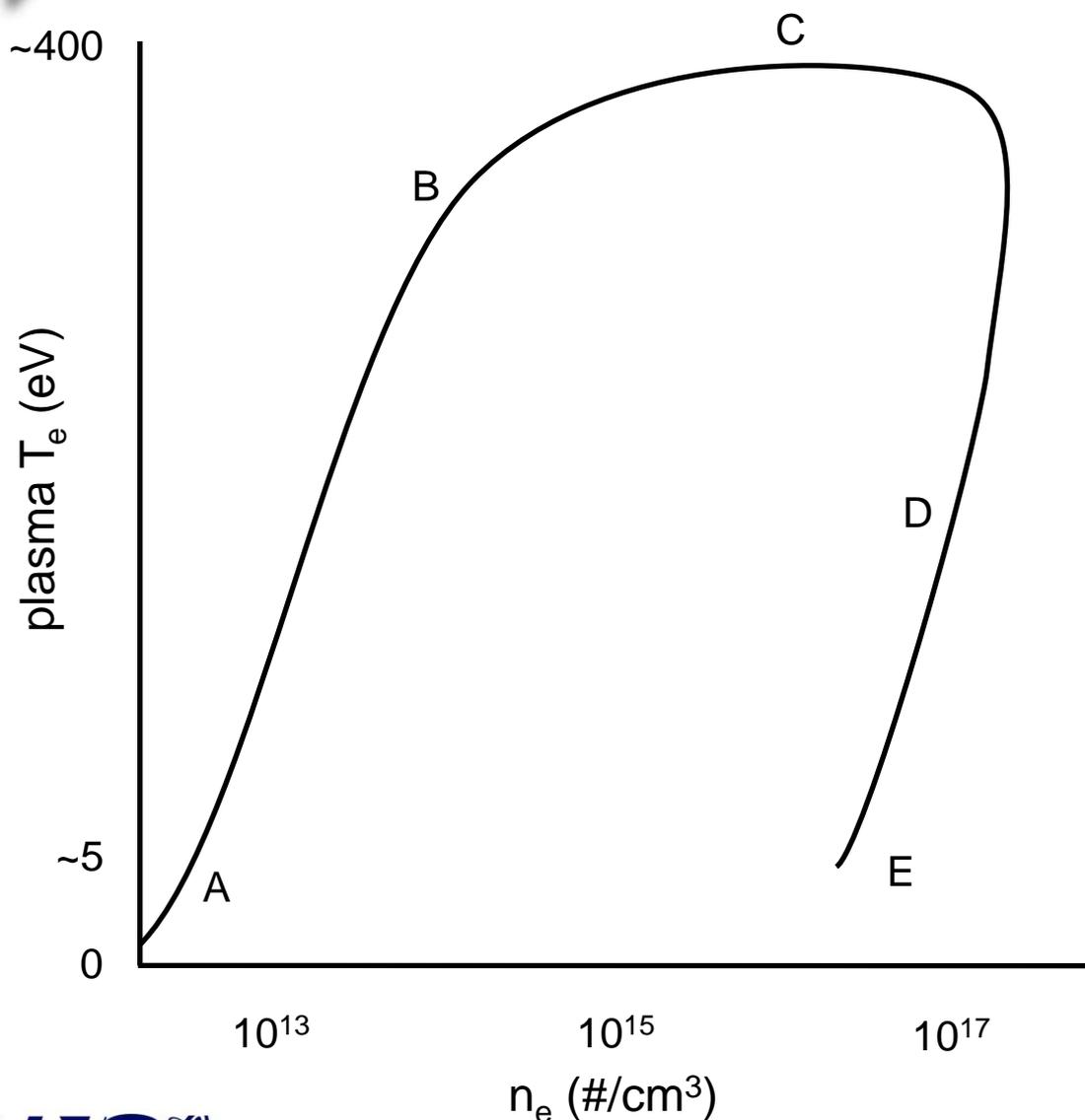
- Vacuum arc discharge
- Plasma processing
- Spark gap devices
- Gas switches
- Ion and neutral beams



Our applications generally share the following requirements:

- Kinetic description to capture non-equilibrium or non-neutral features, including sheaths, particle beams, and transients.
- Collisions/chemistry, including ionization for arcs. Neutrals are important.
- Very large variations in number densities over time and space.
- Real applications with complex geometry.

# Plasma Properties Through Breakdown



- A: Initial injection of e- (no plasma yet)
- B: Cathode plasma grows
- C: Breakdown
- D: Relax to steady operation ( $\Delta V$  drops to ~50V)
- E: Steady operation ( $\Delta V$  ~50V,  $I$  ~100A)

Model parameters:

$$\Delta x \sim \lambda_D \sim (T_e/n_e)^{1/2}$$

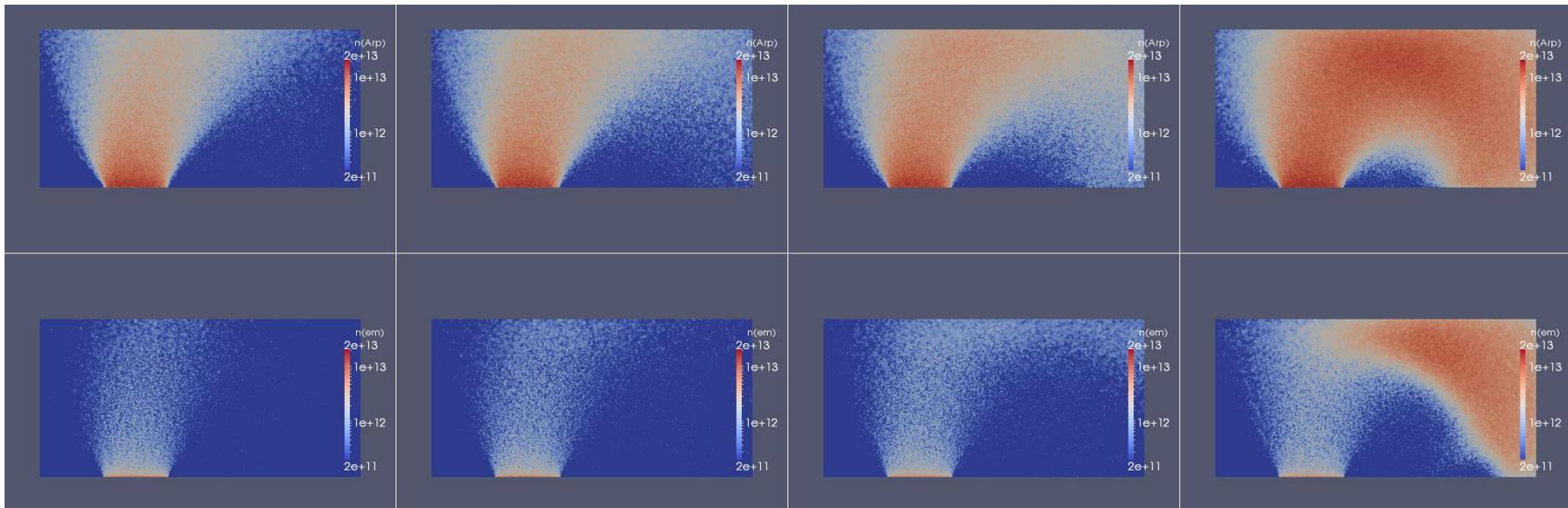
$$\Delta t \sim \omega_p^{-1} \sim n_e^{-1/2}$$

# Comments on Hierarchical Time Stepping

## Performance Impact

- Using kinetic time, converged to 53,800 Xe+ and 30,800 e-, after 1:32.
- Using hierarchy time, converged to 53,600 Xe+ and 30,900, after 0:17.
- Hierarchical time stepping achieves 5.5x speed up, or 82% time savings.

Limitation: Need to keep time factor small ( $N < 10$ ) for “physical” solution.



N=1

N=3

N=5

N=10

Electron fountain ionizing argon at 1 torr, 300 K, using different time factors. N = 10 is clearly too large.

# Emission model

## Electrons

SEY from Cu impact  
(constant)

Injection from “flat”  
surface with  $\beta_f$

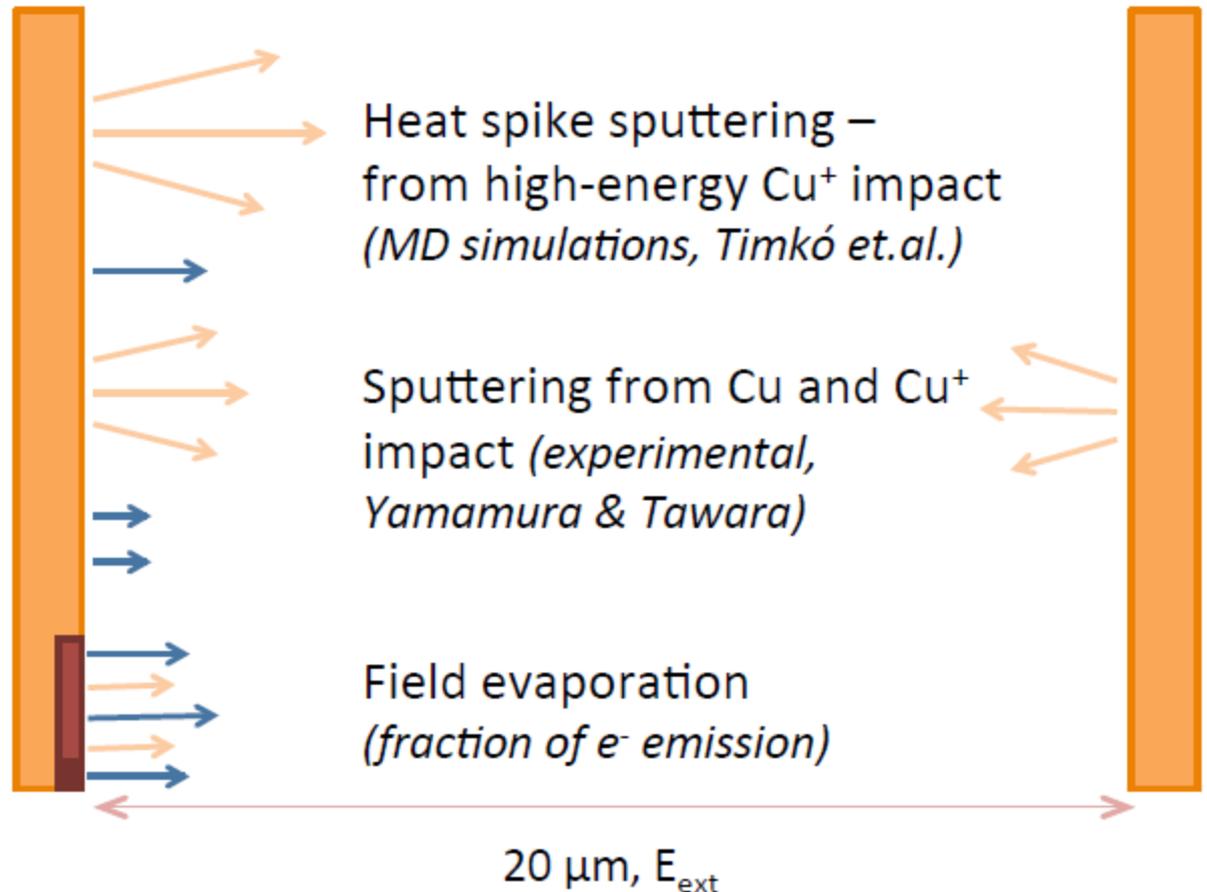
Injection over  $R_{em}$   
(calculated from  
 $J_{FN}$  through  $R_{tip}$  with  $\theta_o$ )

## Neutrals

Heat spike sputtering –  
from high-energy  $\text{Cu}^+$  impact  
(MD simulations, Timkó et.al.)

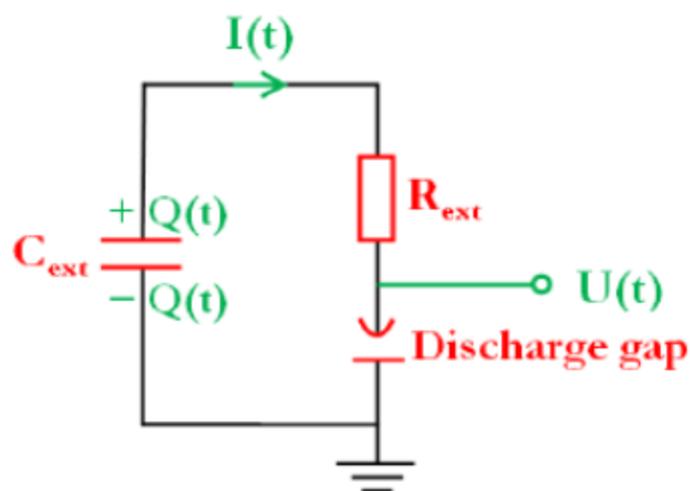
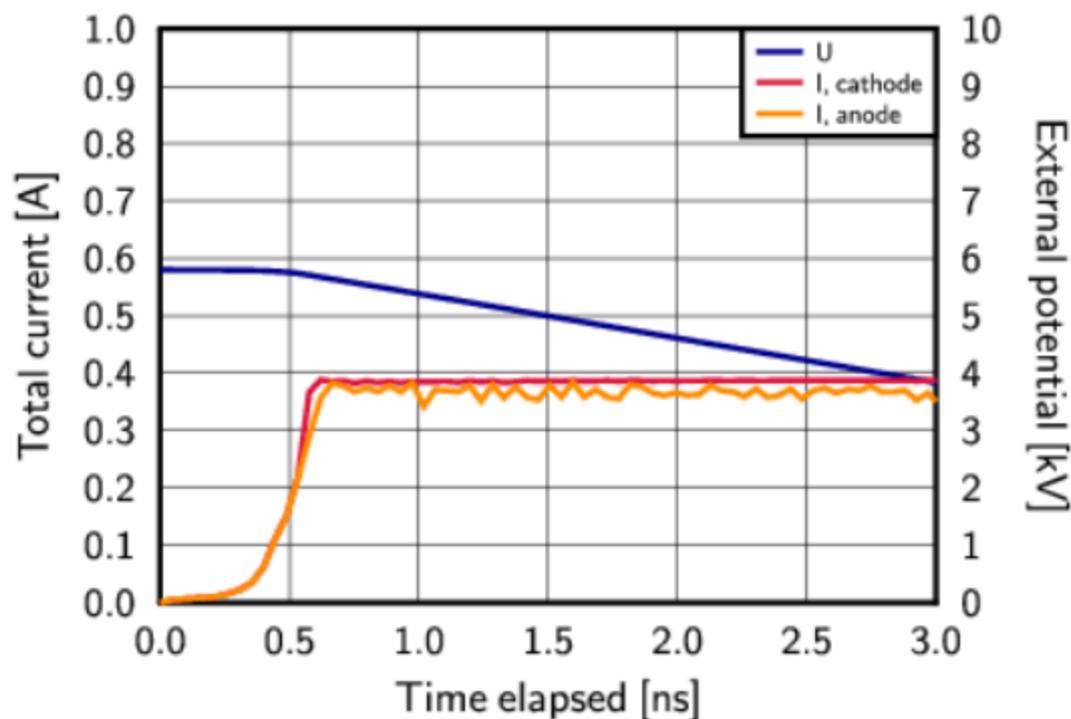
Sputtering from Cu and  $\text{Cu}^+$   
impact (experimental,  
Yamamura & Tawara)

Field evaporation  
(fraction of  $e^-$  emission)



# Current-voltage characteristics

- Current reaches maximum value  $\approx 0.4$  A
- Voltage decreases as capacitor is drained
- Plasma self-maintaining as long as energy is available



$$Q(t_{i+1}) = Q(t_i) - I(t_i)\Delta t$$

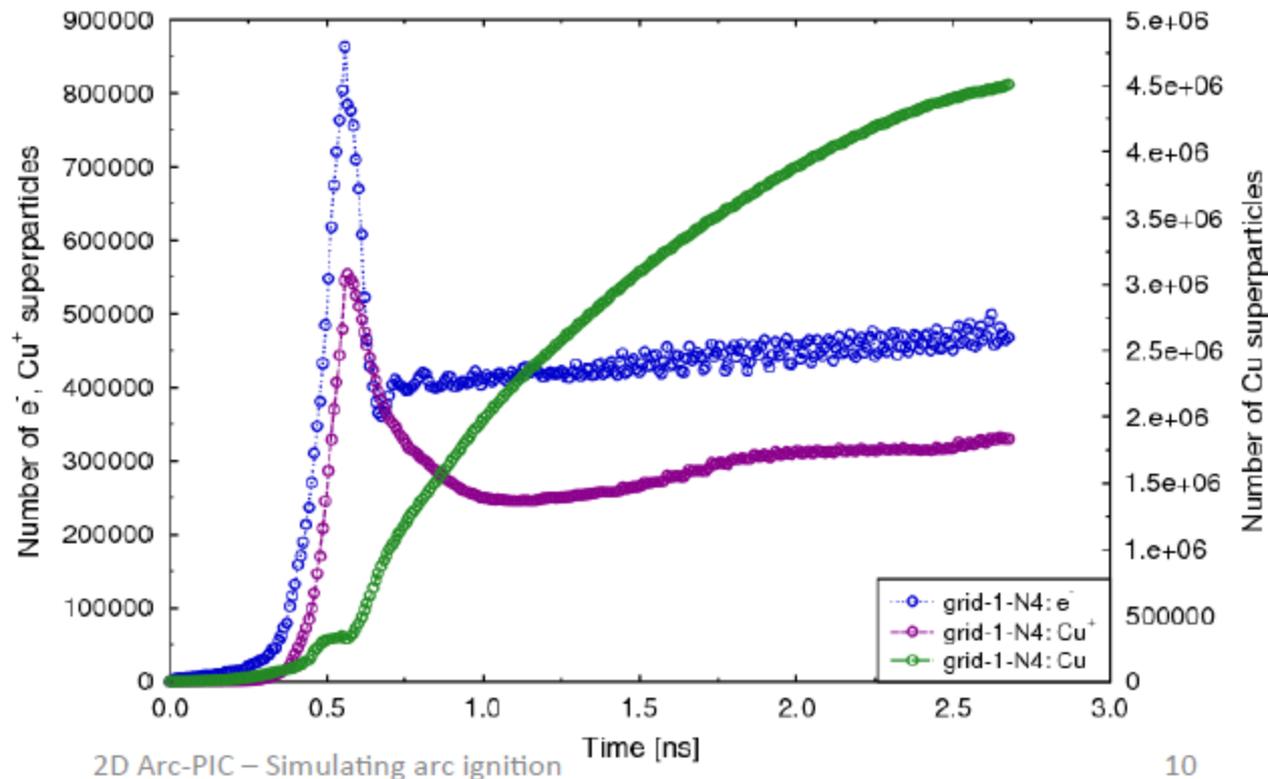
$$U(t_{i+1}) = Q(t_{i+1}) / C_{ext} - R_{ext} I(t_i)$$

Here  $R_{ext} = 0$

# Why is the plasma current so low?

- Experimentally measured currents  $\approx 10$  -100 A
- Because of the field emission model
  - FN emission set to cut-off at 12 GV/m ( $\approx$  end of validity range)

- Need to improve emission model
- How?
  - Thermionic effects?
    - How define T?
    - Shape of tip?

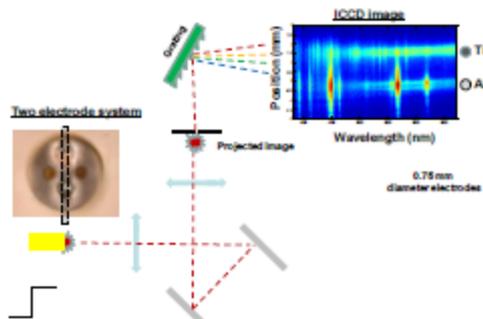


# A wide range of diagnostic techniques are needed to study arc physics

- A wide range of techniques can be utilized to probe aspects of plasma generated in an arc
  - Our challenge is to match the right tool to the right job
- Tools can consist of
  - "Global" current and voltage
  - Semi-localized optical emission and ion beam spectroscopies
  - Localized laser induced fluorescence, absorption and or scattering

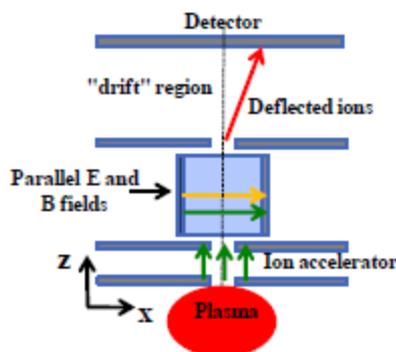
## Optical diagnostics

“Near the source”



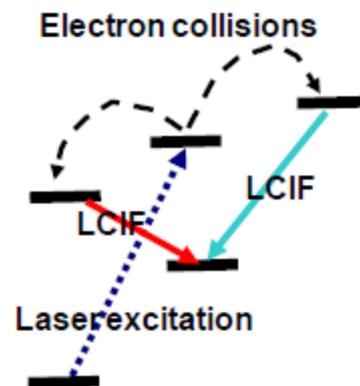
## Ion-based diagnostics

“Downstream”



## Laser spectroscopy

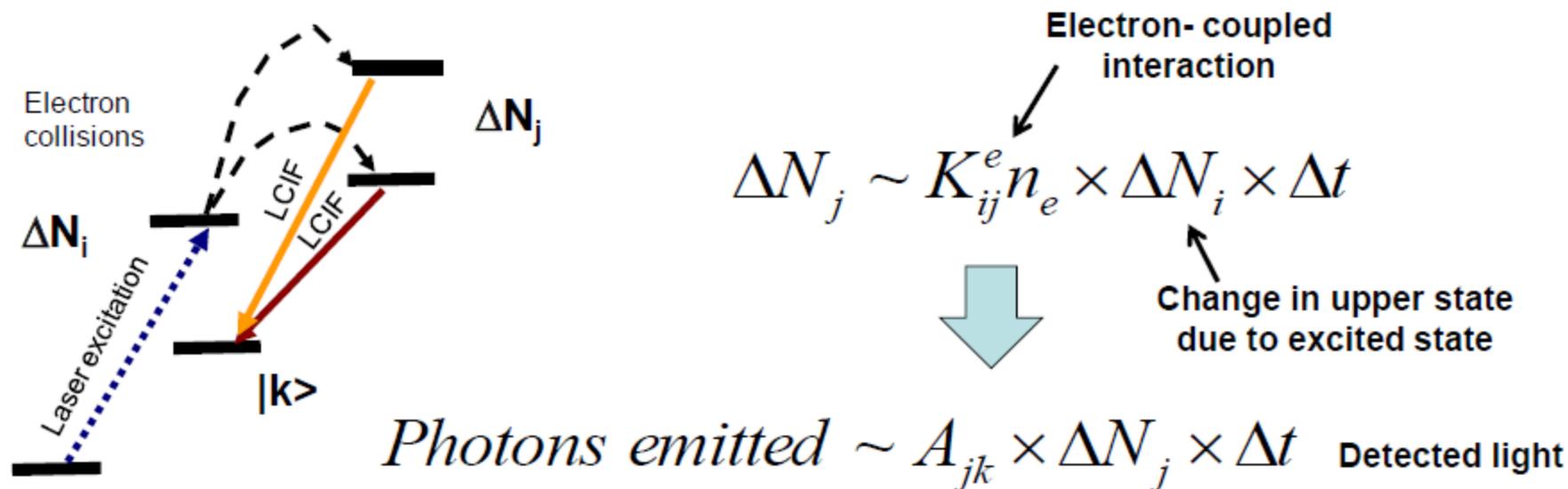
“Bridge the gap”



*Emphasis is placed on laser based diagnostics*

# LCIF is based on redistribution of excited state by plasma electrons

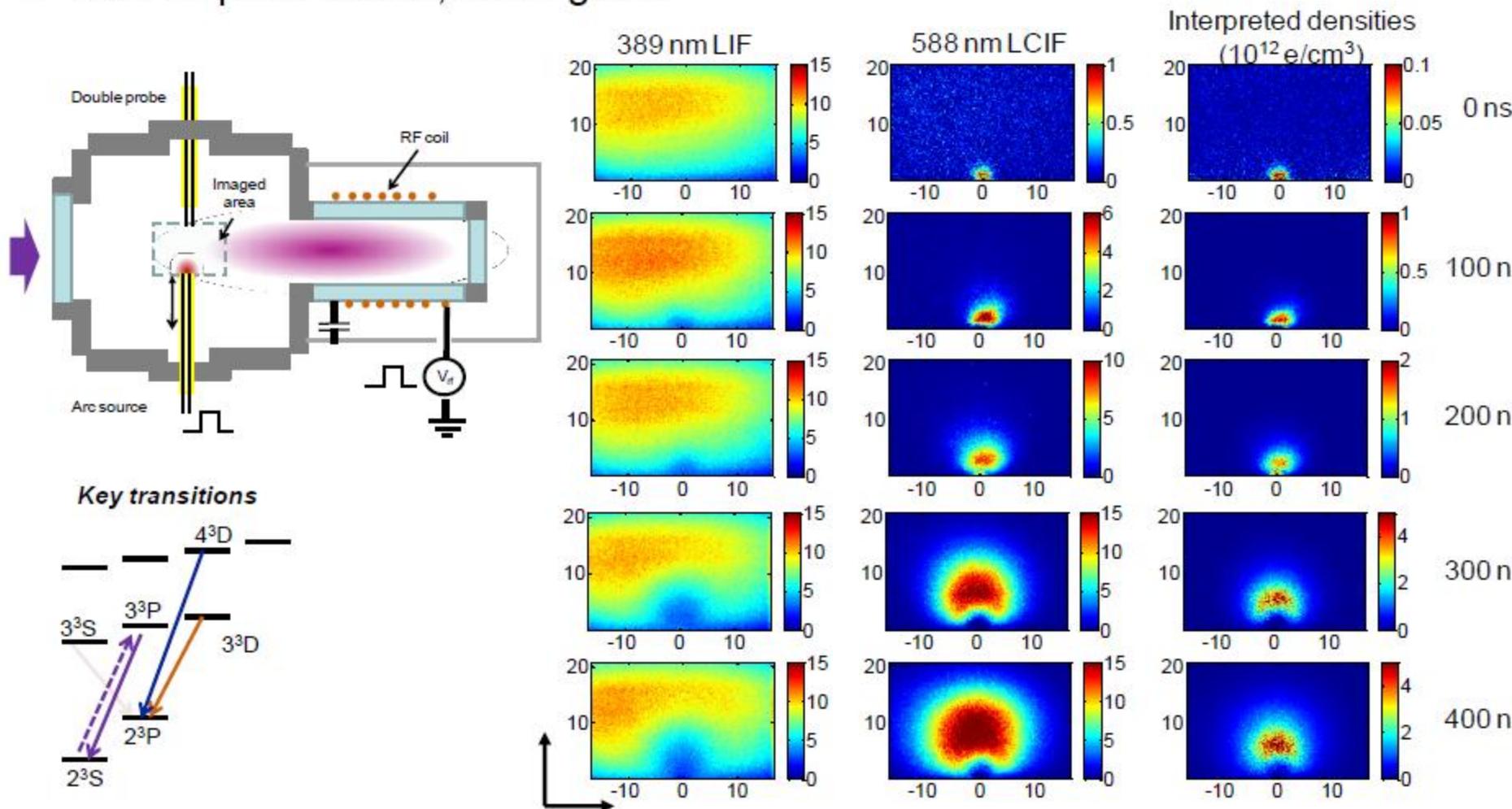
- Laser excitation populates an intermediate state
  - Relaxation processes deplete the excited state
- Portion of excited state population gets redistributed into "uphill" states
  - Driven by interaction with energetic plasma species (electrons)



**LCIF looks for changes in emission of neighboring "uphill" states after laser excitation**

# LCIF captures transient phenomenon

- Examine generation of arc
  - Low pressure (30 mTorr) helium after glow
  - Time steps of 100 ns, 50 ns gates



Spatial-temporal maps of arc expansion are illustrated with LCIF  Sandia National



# What is APT?

- Method to determine the structure and chemical composition of a sample in 3D
- Very high resolution ( $\sim$ nm)
  - Atomic resolution is the ultimate goal
- Destructive method

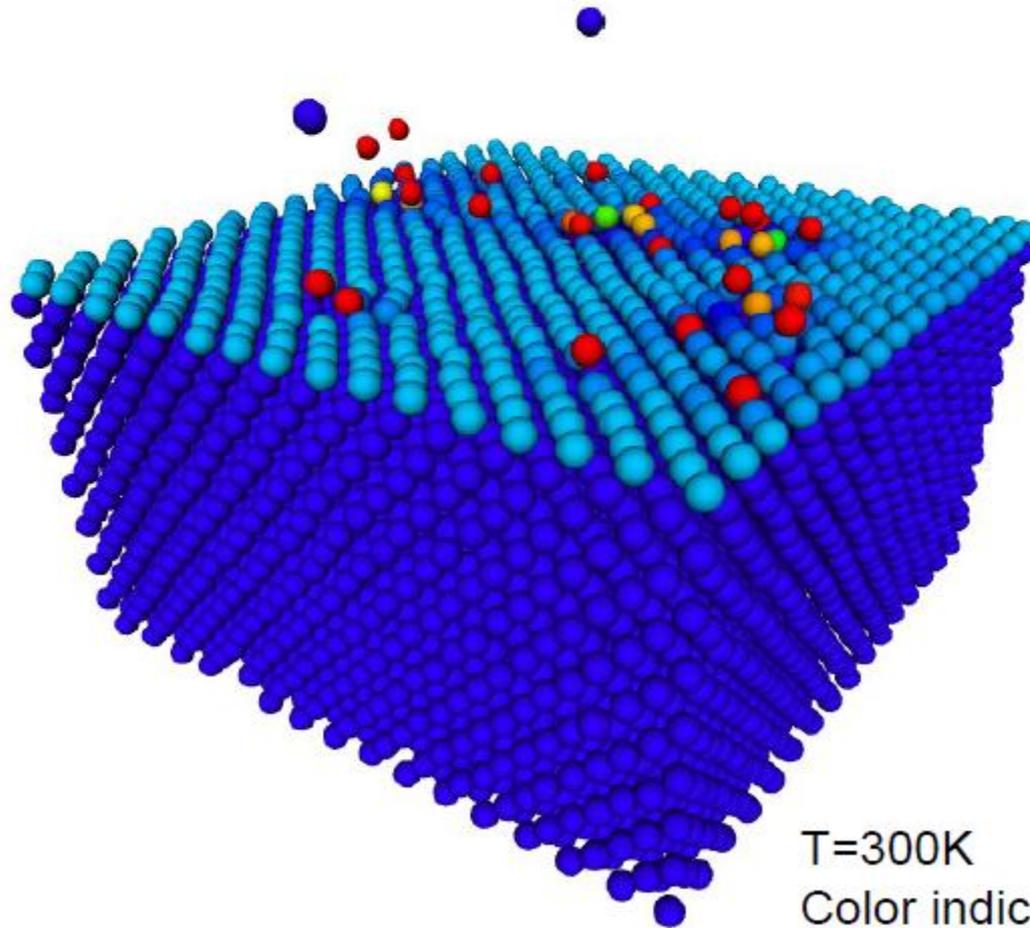


(Cameca SAS)



# Simulating evaporation

- In principle the hybrid ED&MD code is all that is needed to simulate field assisted evaporation

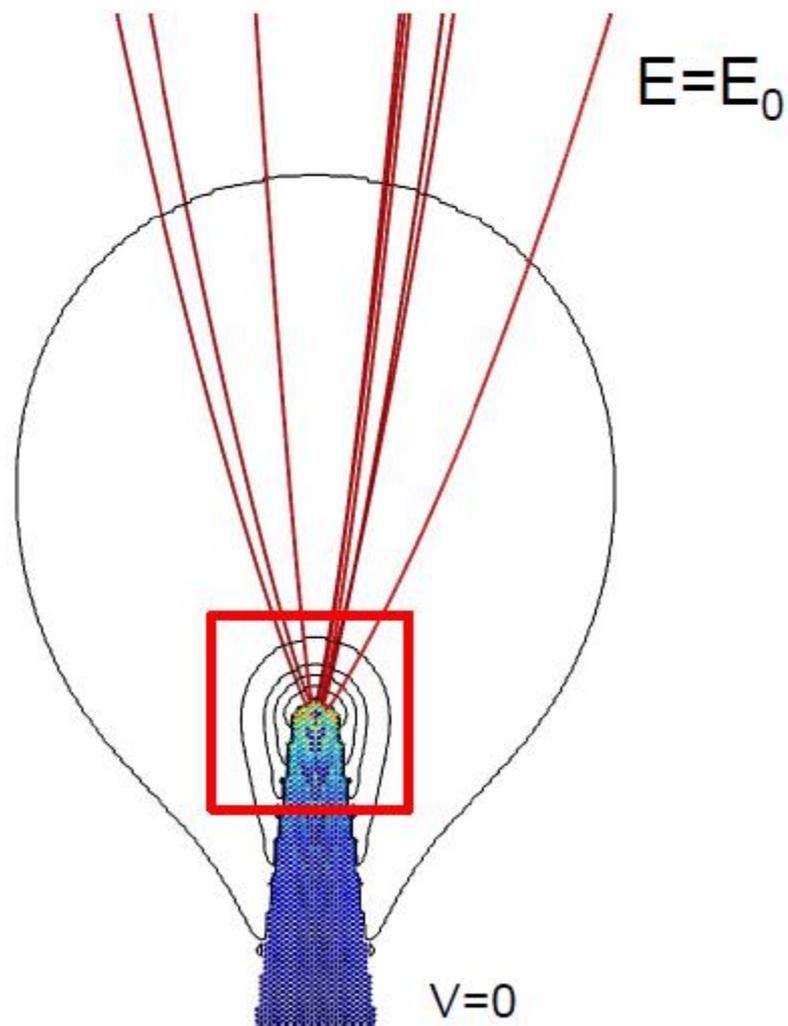


T=300K

Color indicates charge



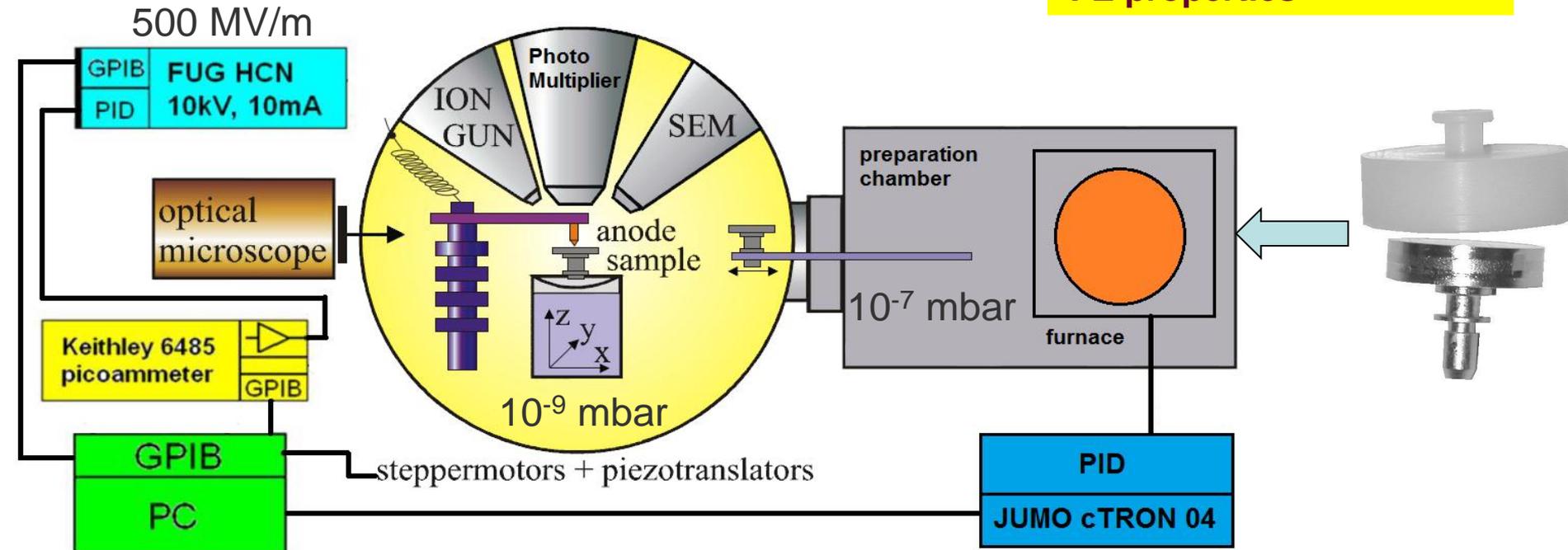
# Reconstruction - trajectories



## Field emission scanning microscope (FESM):



- localisation of emitters
- FE properties



- Regulated  $V(x,y)$  scans for FE current  $I=1$  nA & gap  $\Delta z \Rightarrow$  emitter density at  $E=U/\Delta z$
- Spatially resolved  $I(E)$  measurements of single emitters  $\Rightarrow E_{on}, \beta_{FN}, S$
- Ion bombardment (**Ar**,  $E_{ion} = 0 - 5$  kV) and SEM (low res.)
- In-situ heat treatments up to  $1000^\circ\text{C}$

## Ex-situ SEM + EDX

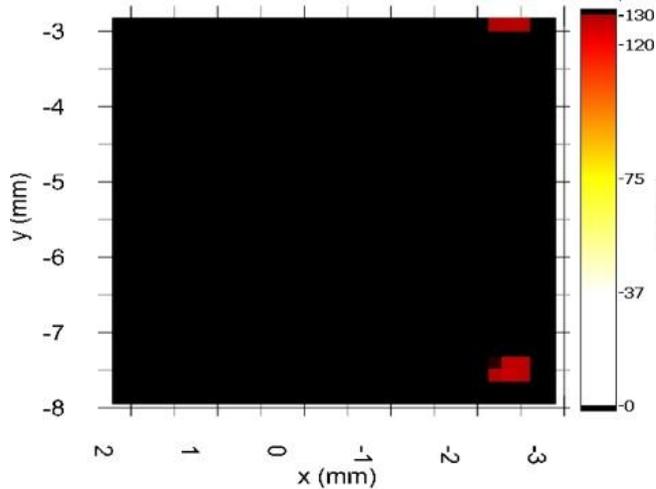


## Identification of emitting defects

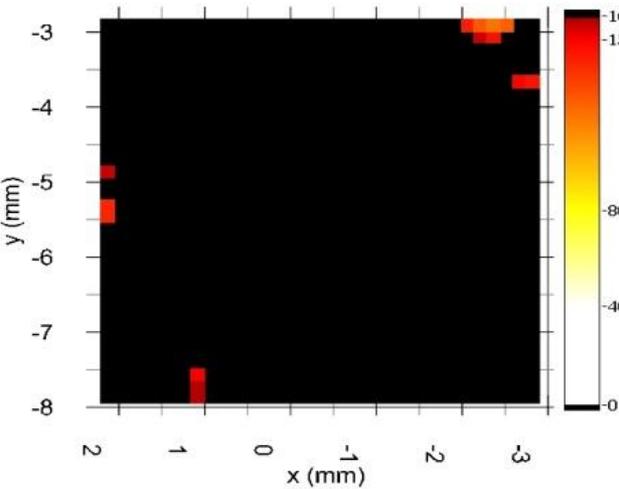
Correlation of surface features to FE properties (positioning accuracy  $\sim \pm 100 \mu\text{m}$ )

Regulated  $E(x,y)$  maps for  $I = 1 \text{ nA}$ ,  $\Delta z \approx 50 \mu\text{m}$  of the same area

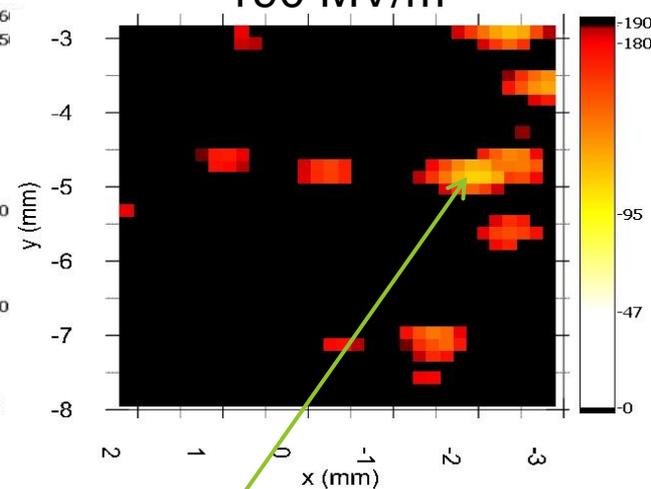
130 MV/m



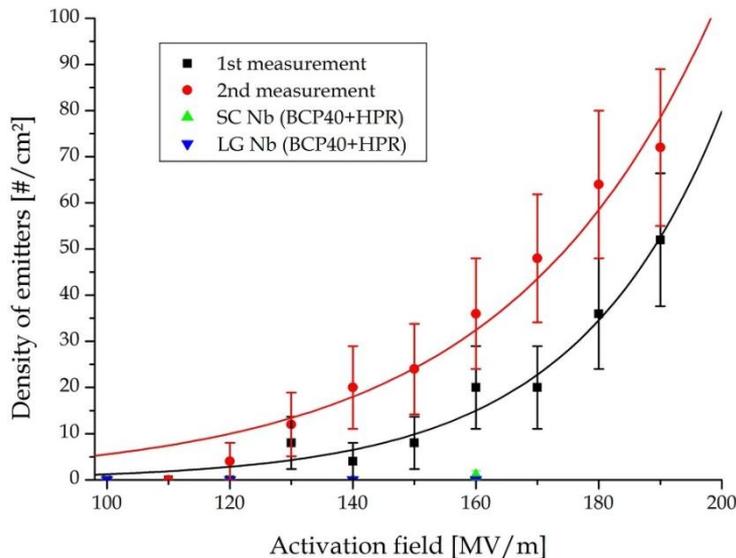
160 MV/m



190 MV/m



$E_{\text{on}} = 120 \text{ MV/m}$

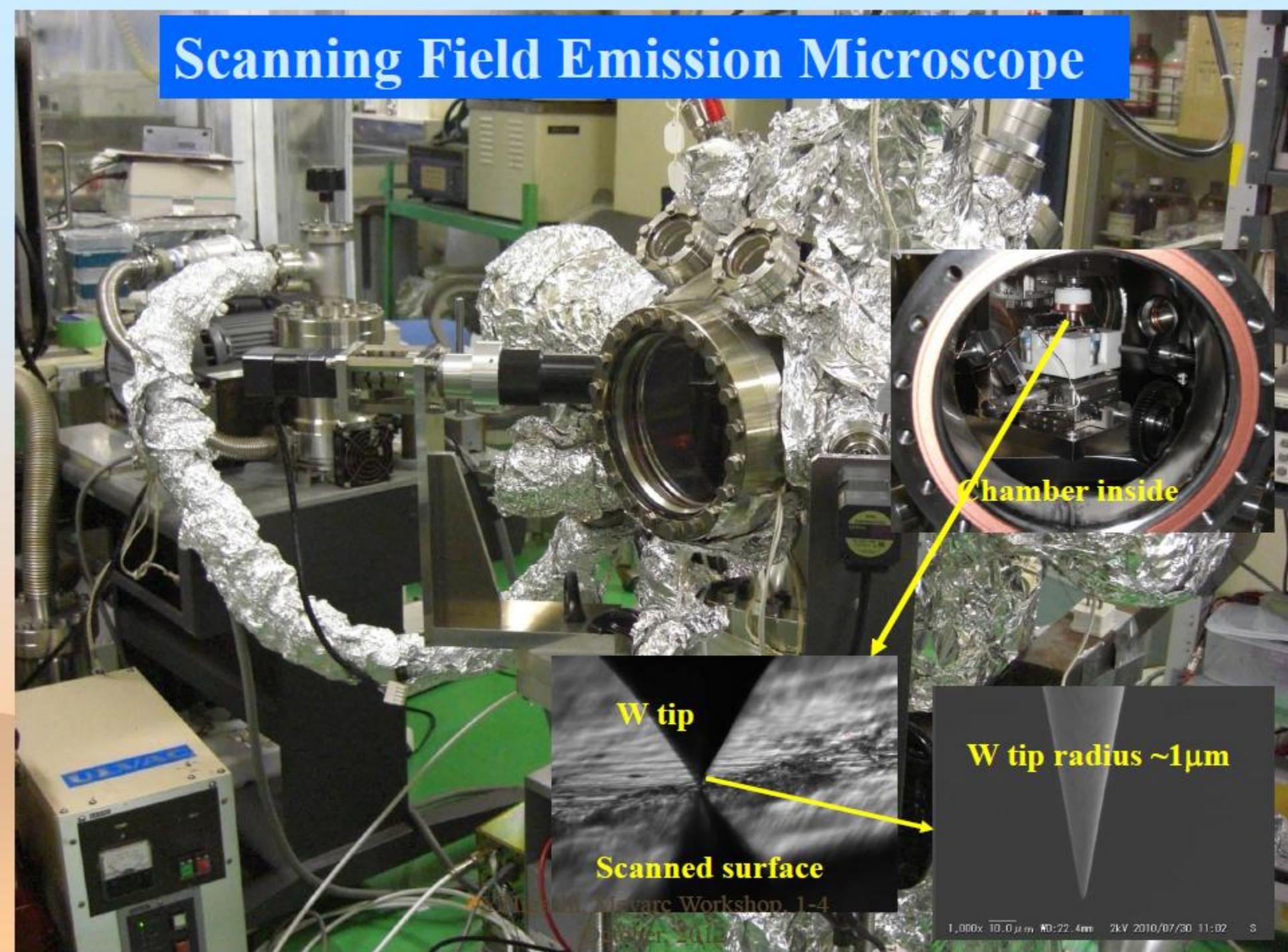


- **EFE starts at 130MV/m and not 500MV/m**
- Emitter density increases **exponentially** with field
- Activated emitters:  $E_{\text{act}} = (1,2 - 1,4) \cdot E_{\text{on}}$
- 2nd measurement: shifted to lower fields

Possible explanations:

- Surface oxide
- adsorbates

# Scanning Field Emission Microscope



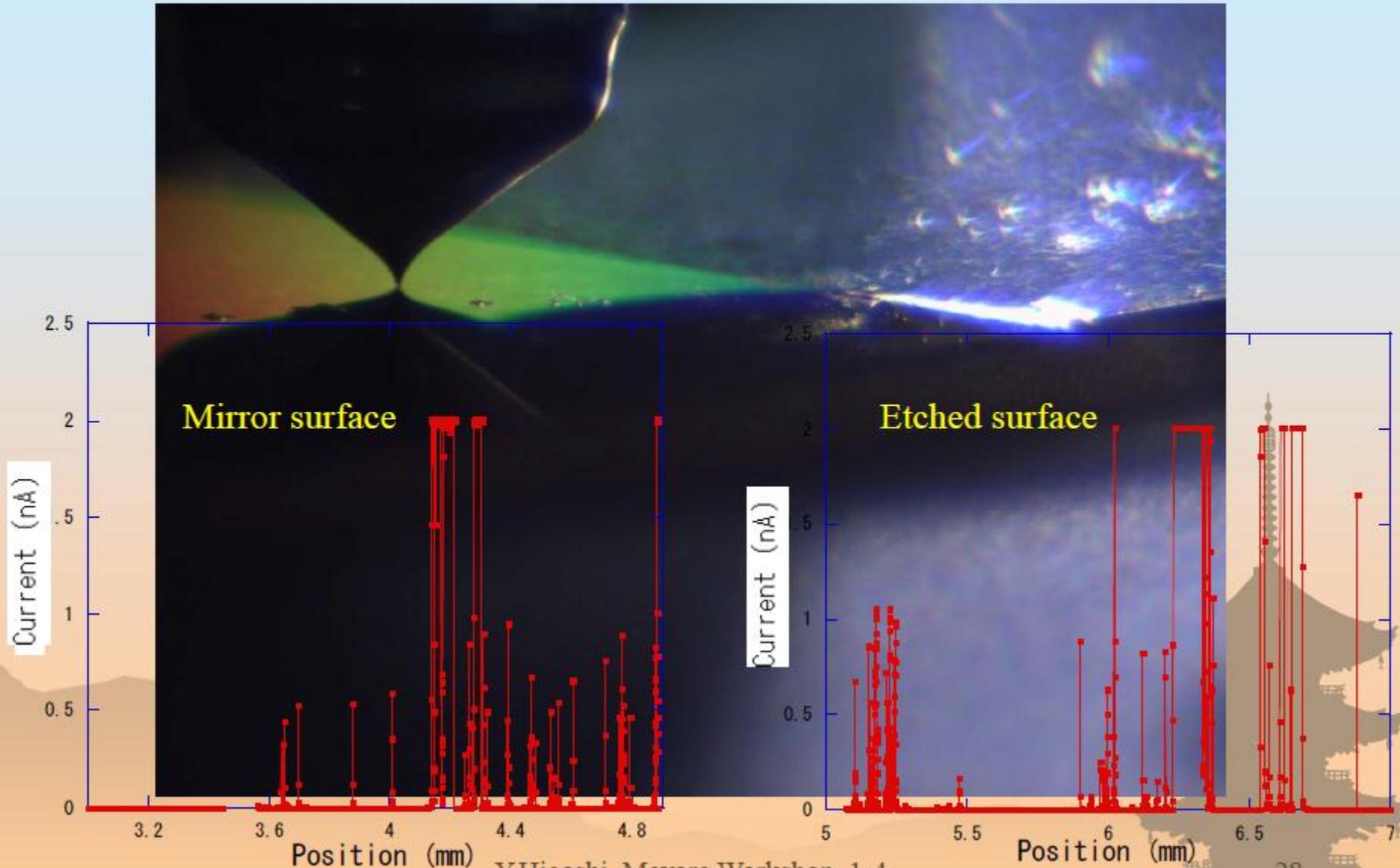
Chamber inside

W tip

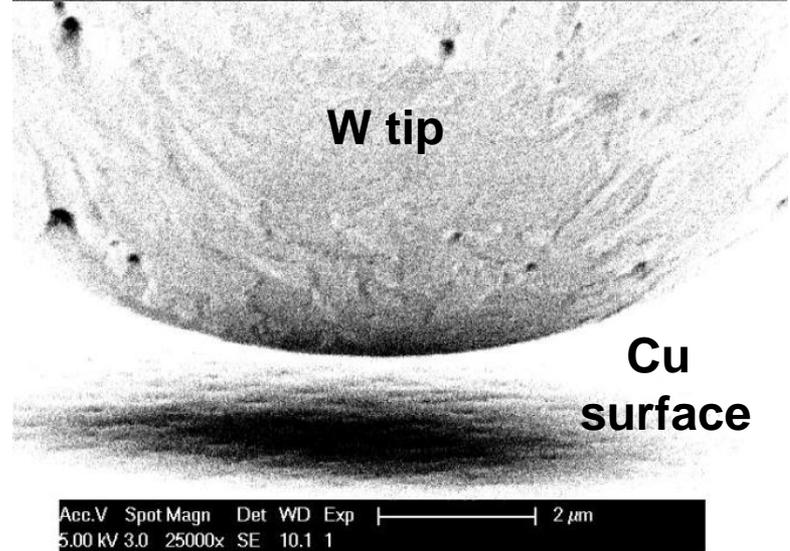
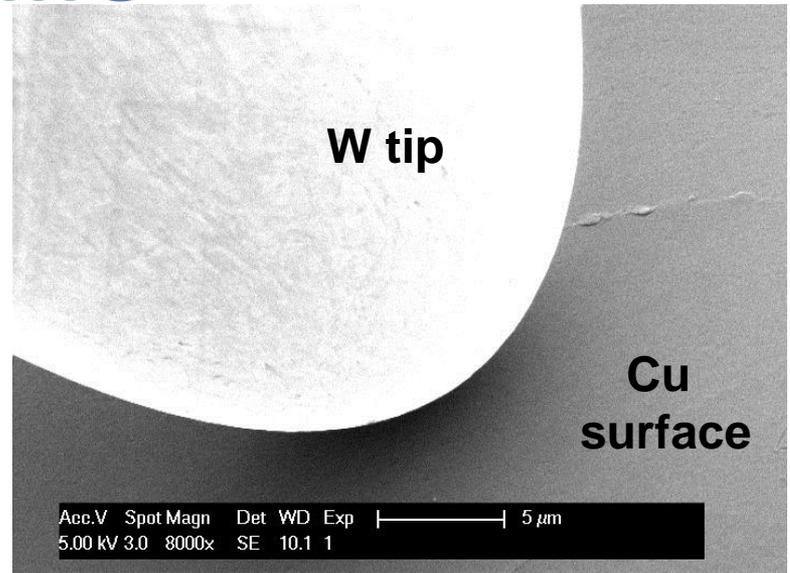
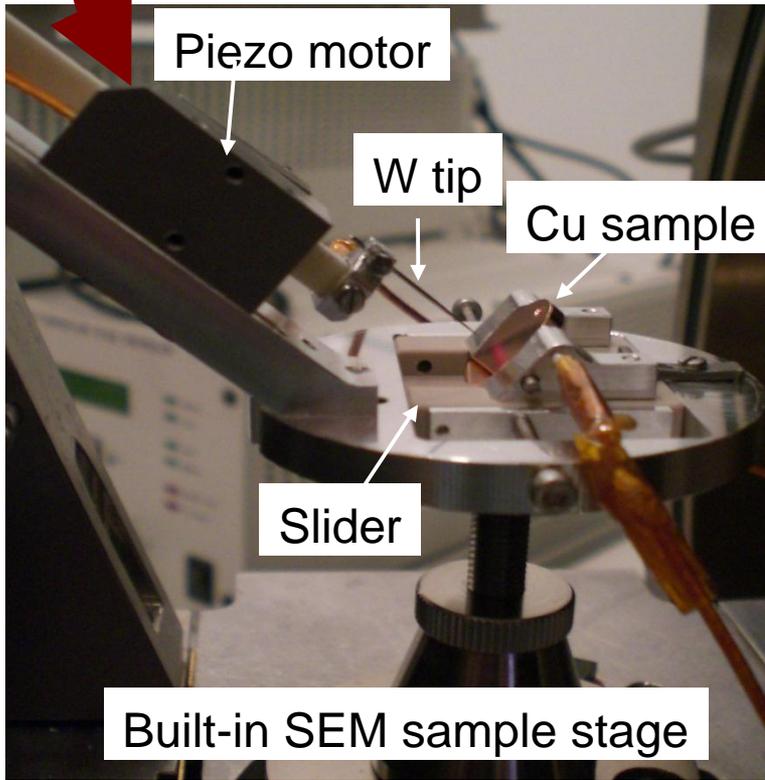
Scanned surface

W tip radius  $\sim 1\mu\text{m}$

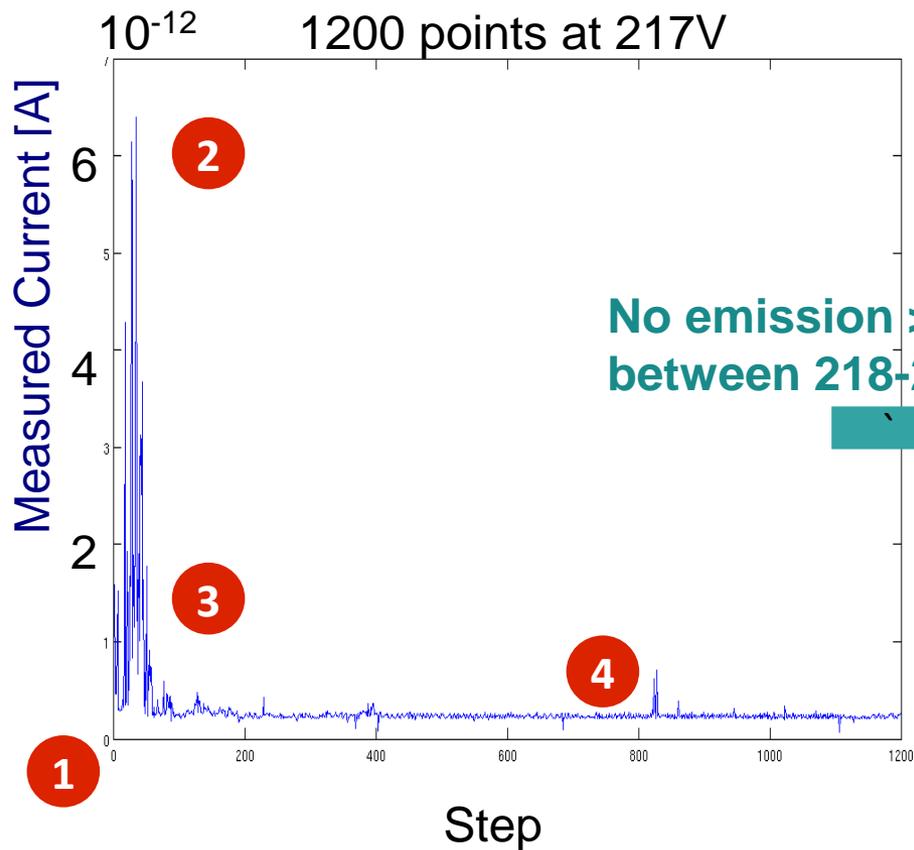
# Measured field emission distributions



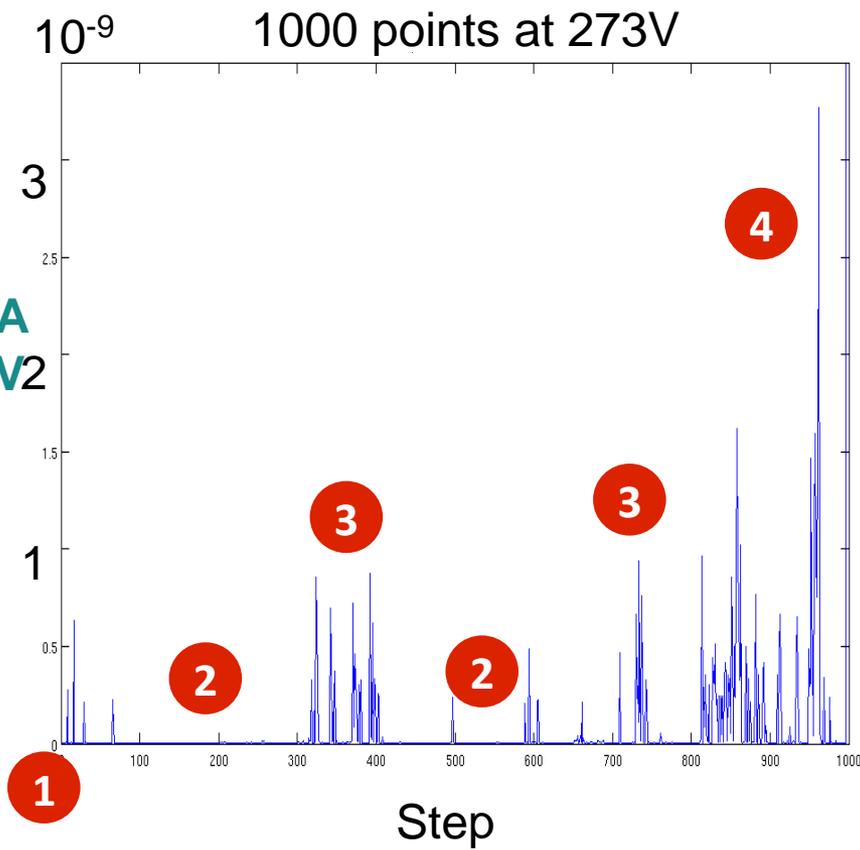
# Real life



# Emission stability measurement



1. Measured current exceeded 1pA
2. Up to 6 pA
3. Decreased to the bg-level
4. Stayed at the bg-level



1. Measured current exceeded 1pA
2. Decreased to the bg-level
3. Spikes ~1nA
5. Emissions > nA then exceeded 10nA

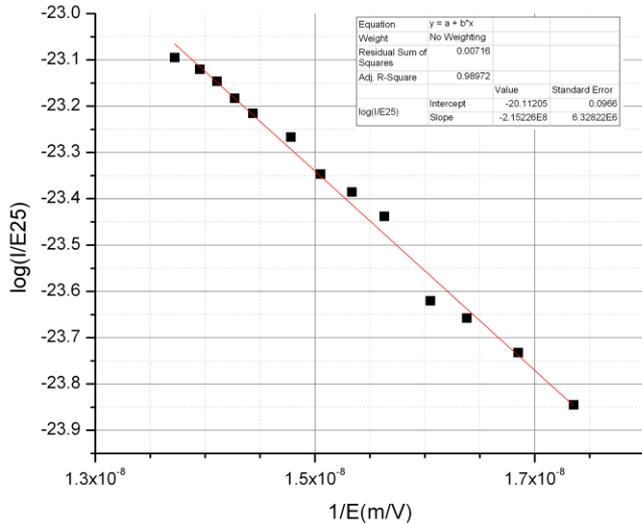
# Electron emission

## Fowler Nordheim Law (RF fields):

$$I_{\text{FN}}(\beta, \phi_0, A_e, E_0)$$

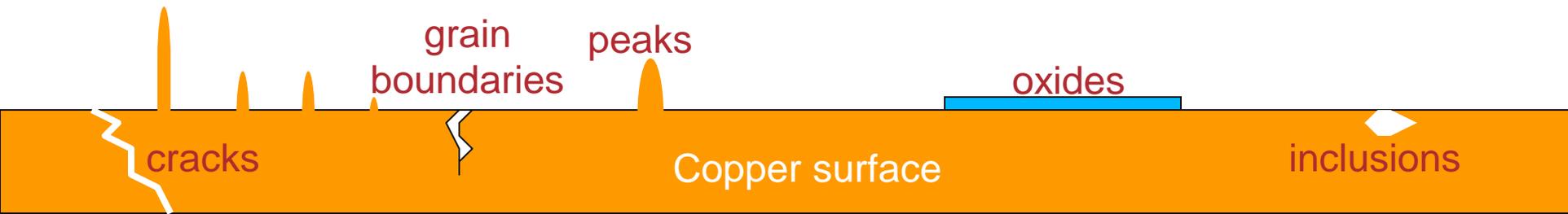
$$\bar{I} = \frac{5.79 \times 10^{-12} \exp(9.35 \phi_0^{-0.5}) A_e (\beta E_0)^{2.5}}{\phi_0^{1.75}} \exp\left(\frac{-6.53 \times 10^9 \phi_0^{1.5}}{\beta E_0}\right)$$

1. High field enhancements ( $\beta$ ) can field emission.
2. Low work function ( $\phi_0$ ) in small areas can cause field emission.



typical picture →  
geometric perturbations ( $\beta$ )

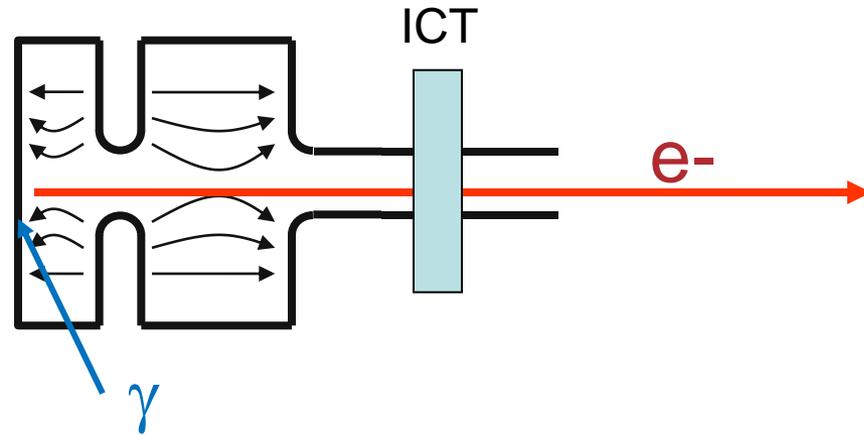
alternate picture →  
material perturbations ( $\phi_0$ )



(suggested by Wuensch and colleagues)

# Schottky Enabled Photo-electron Emission Measurements

Data 2010-10-04  
First results  
from Tsinghua



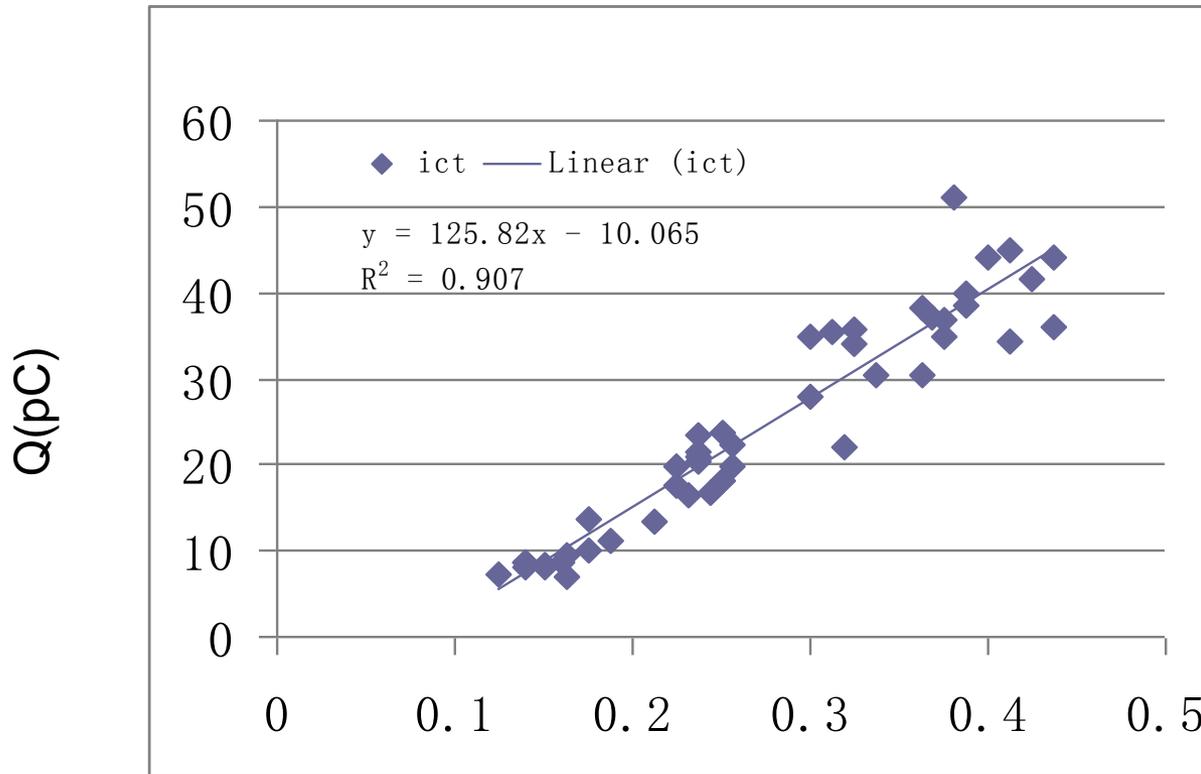
## ■ Experimental parameters

- work function of copper =  $\phi_0 = 4.65$  eV
- energy of  $\lambda=400\text{nm}$  photon =  $h\nu = 3.1$  eV
- Laser pulse length
  - Long = 3 ps
  - Short = 0.1 ps
- Laser energy  $\sim 1$  mJ (measured before laser input window)
- Field (55 – 70 MV/m)

Should not get  
photoemission

→ Long Laser Pulse (~ 3ps)

→  $E=55 \text{ MV/m}$  @ injection phase=80 →  $55\sin(80)=54$



$Q \propto I$   
single photon emission

laser energy (mJ)  
photocathode input window