Experimental prospects for BSM searches in $e^+e^- \rightarrow q\bar{q}$ (q = b, c) at Higgs Factories





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Background adapted from Katinka Reinke's illustration





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Outline

- ILC physics program for heavy quark studies.
 - Full Simulation at 250 & 500 GeV.
- BSM framework: Gauge-Higgs Unification (GHU).
 - Phenomenology of two kinds of models (A & B).
- Physical observables at ILC250/500.
 - Forward-backward asymmetry (A_{FB}).
- **TPC PID** role in flavour tagging & charge measurement.
- Discrimination power for GHU's Models.







ILC physics program

- The ILC is more than a Higgs factory:
 - It provides access to all SM particles.
- It also features polarized beams $P(e^-, e^+)=(\pm 0.8, \pm 0.3)$.
 - Allow us to inspect all 4 helicity amplitudes:

 $\frac{d\sigma_{XY}^{q\bar{q}}}{d\cos\theta}(\cos\theta) \approx \frac{s}{32\pi} \left\{ (1+\cos\theta)^2 \left| \underline{Q_{e_Xq_X}} \right|^2 + (1-\cos\theta)^2 \left| \underline{Q_{e_Xq_Y}} \right|^2 \right\}$

- It can aim for specific processes by adjusting:
 - Center-of-mass energy.
 - Beam polarisation.
- ILC run plan:
 - Also envisions runnings at Z-pole and 1 TeV.









- GHU [Hos. et al] models unify all forces under the same gauge group. It's defined in a Randall-Sundrum metric (5D).
- The symmetry breaking pattern is different than in the SM and features the so-called *Hosotani's mechanism*.
 - **One parameter**, ϕ_{H} , determines the projection of the 5D fields, fixing most of the physical effects:
 - **KK-resonances** of $Z/\gamma!$
 - But m_{kk} ~10 TeV, only indirect measurements.
 - Effects in EW couplings/helicity amplitudes.
 - Deviations from SM scale with energy:
 - It start being noticeable at 250 GeV!
 - We distinguish **A-Models** and **B-Models**.
 - A-Models are more sensitive to Right-Handed helicity & B-Models to Left-Handed helicity.
 - A-Models (1705.05282) & B-Models (2006.02157).
 [Funatsu, Hatanaka, Hosotani, Orikasa, Yamatsu]



Projection of couplings and EW mixing angle:

$$g_Y^{5D} = \frac{g_A g_B}{\sqrt{g_A^2 + g_B^2}} \sin \theta_W^0 = \frac{s_\phi}{\sqrt{1 + s_\phi^2}}$$



Observable (A_{FB})



- Forward-backward asymmetry (A_{FB}):
 - Quark ID + charge measurement.
 - Angular measurement needed.

$$A_{\rm FB} = \frac{\int_0^1 \frac{d\sigma}{d\cos\theta} d\cos\theta - \int_{-1}^0 \frac{d\sigma}{d\cos\theta} d\cos\theta}{\int_{-1}^1 \frac{d\sigma}{d\cos\theta} d\cos\theta} \qquad \qquad A_{FB}^{Exp} = \frac{N_F - N_B}{N_{Total}}$$

Normalized & **differential** observables are highly preferred: Control of systematic uncertainties.

Up to a total of 8 different measurements.



Preselection of bb & cc signals

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- Experimental procedure:
 - Preselection of $q\overline{q}$ events.
 - Removal of backgrounds.
 - Mostly radiative return.
 - Up to x10 more data than the signal!
 - Flavour tagging.
 - Using standard ILD Tool: LCFI+.
 - Boosted Decision Trees (ROOT TMVA).
 - Jet charge measurement:
 - VTX method: Use all secondary tracks.
 - Kaon method: Use TPC's kaon PID

Double Tag method: *Only* events with 2 opposite-charged identified jets are accepted.

Previous Full Simulation Study (250 GeV). Public ILD Note (2306.11413). A. Irles, R. Poeschl, F. Richard





Kaon ID in A_{FB} (250 GeV)



• Note how:

0

- A_{FB} highly depends of identifying Kaons for charge measurement. After applying the **double-charge** selection criteria:
 - B-jets: Only ~18% of events survive.
 - Of which ~40% requires PID.
 - C-jets: Only ~4% of events survive.
 - Of which ~90% requires PID!



Improving the use of TPC PID

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- New ways to improve the use of TPC-PID:
 - Include PID in the **Flavour Tagging (LCFI+)**.
 - More details in back-up!
 - Improve the PID performance itself.
 - From traditional dEdx to cluster counting method (+35%[1] in K/p separation power!)

Cluster Counting (dNdx) improvement in PID is rewritten into the NTuples by an ILCSOFT processor

Full retraining of the flavour tagging is performed for each case by using a Particle Swarm Optimisation (PSO). More info in back-up.



[1] Y. Aoki et al., Double hit separation and dE/dx resolution of a time projection chamber with GEM readout, JINST 17 11 (2022) P11027, arXiv: 2205.12160 [physics.ins-det]



Effects of improving the use of PID

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Statistical Uncertainties for R_q and A_{FB}



A comprehensive assessment of the dominant systematic uncertainties have been done (back-up)



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Discrimination of BSM Models

- Assumption: A measurement of one specific model is conducted.
 - Row/Column combination for comparison.
 - The uncertainties are considered normally distributed:
 - Significance in σ : $d_{\sigma} = \frac{\|AFB_{test} AFB_{ref}\|}{\Delta_{AFB_{ref}}}$

0

- P-value: Gaussian at d_{σ} .
- Combination of multiple measurements is done with a *multivariate gaussian*.
 - Assuming no correlations for A_{FB} .



R_q expected to be highly correlated



GHU's Models ILC250







GHU's Models ILC250 (combined)







GHU's Models ILC250 (TPC impact)



We do need TPC PID to discriminate these models



GHU's Models ILC250 (Polarisation impact)



Effects of **polarised beams** at 250 GeV





Using **dNdx** optimises the use of the TPC



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GHU's Models ILC500







GHU's Models ILC500 (combined)





Accessing higher energies is a key factor to discriminate these models!

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GHU's Models ILC250+500 (combined)



Above 5σ level wrt SM for all models, above 10σ for most of them!



Summary/Conclusions

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- ILC+ILD are powerful tools to discriminate BSM Models thanks to:
 - Polarisation.

- Up to 4 different measurements per energy!

- Energy range.
- Key role of TPC PID.
 - Flavour Tagging & jet charge reconstruction.

Full discrimination for GHU models is possible combining ILC250/500.





Thanks for your attention!





BACK-UP





GHU Hosotani's Models



 In the Hosotani Models the GHU unify all the force carriers under a single gauge group by using an extra physical dimension (Randall-Sundrum metric):



- The breaking pattern is way more complex than in the SM and features the Hosotani's mechanism.
 - Most of the fields are localized in the bulk and we feel the IR-projections.
 - We distinguish **A-Models** (GHU) and **B-Models** (GHU+GUT).

Projection of couplings and EW mixing angle:

$$g_Y^{5D} = \frac{g_A g_B}{\sqrt{g_A^2 + g_B^2}} \sin \theta_W^0 = \frac{s_\phi}{\sqrt{1 + s_\phi^2}}$$



• The metric of the warped Randall-Sundrum space-time:

 $ds^{2} = g_{MN} dx^{M} dx^{N} = e^{-2\sigma(y)} \eta_{\mu\nu} dx^{\mu} dx^{\nu} + dy^{2}$

- This is inspired by conformal symmetry, a.k.a. "scale symmetry"; used in cosmology, string theory and holography.
 - Conformal coordinates:

 $z = e^{ky}$

• The metric in conformal coordinates:

$$ds^{2} = \frac{1}{z^{2}} \left(\eta_{\mu\nu} dx^{\mu} dx^{\nu} + \frac{dz^{2}}{k^{2}} \right)$$

Extra-dimension (+1D)
Minkowski space-time (4D)



M. C. Escher "Circle Limit 1". Example of conformal symmetry with hyperbolic scaling



• How the Randall-Sundrum space-time works:





• Kaluza-Klein resonances:





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- How the Hosotani's Models work:
 - Most of the fields are localized in the bulk and the effects in our brane are projections.
 - The breaking pattern is way more complex than in the SM and features the Hosotani's

```
SU(3)_C \times SO(5) \times U(1)_X
```

$$\xrightarrow{BC} SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_X$$
 at $y = 0, L$

$$\xrightarrow{\langle \Phi \rangle} SU(3)_C \times SU(2)_L \times U(1)_Y$$
 by the VEV $\langle \Phi_{(\mathbf{1},\mathbf{4})} \rangle \neq 0$ at $y = 0$

 $\xrightarrow{\theta_H} SU(3)_C \times U(1)_{EM}$ by the Hosotani mechanism,

| | B-model | A-model | | |
|---------------|---|--|--|--|
| Quark | $egin{array}{rcl} ({f 3},{f 4})_{rac{1}{6}} & ({f 3},{f 1})^+_{-rac{1}{2}} & ({f 3},{f 1})^{-rac{1}{2}} \end{array}$ | $({f 3},{f 5})_{rac{2}{3}}~~({f 3},{f 5})_{-rac{1}{3}}$ | | |
| Lepton | $(1, 4)_{-rac{1}{2}}$ | $({f 1},{f 5})_0 \; ({f 1},{f 5})_{-1}$ | | |
| Dark fermion | $({f 3},{f 4})_{rac{1}{6}} \ \ ({f 1},{f 5})_0^+ \ \ ({f 1},{f 5})_0^-$ | $(1,4)_{rac{1}{2}}$ | | |
| Brane fermion | $({f 1},{f 1})_0$ | $\frac{({\bf 3},[{\bf 2},{\bf 1}])_{\frac{7}{6},\frac{1}{6},-\frac{5}{6}}}{({\bf 1},[{\bf 2},{\bf 1}])_{\frac{1}{2},-\frac{1}{2},-\frac{3}{2}}}$ | | |
| Brane scalar | $(1, 4)_{rac{1}{2}}$ | $({f 1}, [{f 1}, {f 2}])_{rac{1}{2}}$ | | |

Field content in the group representation

- Different limits for the two types of models:
 - A-Models (1705.05282): Adjusted to LEP data (Z-Pole and ~200GeV range). Constrained with LHC pp-collisions (non detection of Z'). Up to 0.01% deviation in Z couplings to leptons.
 - B-Models (2006.02157): Similar constrains from LHC. Up to 0.1% deviation in Z couplings to leptons.

In both cases, only indirect measurement of Z' is possible (m>1 TeV)





General



Observables



 P_{e^+}

- **Differential Cross-Section:** •
 - General case with polarisation dependence: 0

$$\frac{d\sigma^{f\bar{f}}}{d\cos\theta}(P_{\rm e^-},P_{\rm e^+},\cos\theta) = (1-P_{\rm e^-}P_{\rm e^+})\frac{1}{4}\left\{(1-P_{eff})\frac{d\sigma^{f\bar{f}}_{LR}}{d\cos\theta}(\cos\theta) + (1+P_{eff})\frac{d\sigma^{f\bar{f}}_{RL}}{d\cos\theta}(\cos\theta)\right\} \qquad P_{\rm eff} \equiv \frac{P_{e^-}-P_{e^+}}{1-P_{e^-}P_{e^+}}$$

Polarization contributions: 0

$$\frac{d\sigma_{LR}^{ff}}{d\cos\theta}(\cos\theta) \simeq \frac{s}{32\pi} \left\{ (1+\cos\theta)^2 |Q_{e_L f_L}|^2 + (1-\cos\theta)^2 |Q_{e_L f_R}|^2 \right\}$$
$$\frac{d\sigma_{RL}^{f\bar{f}}}{d\cos\theta}(\cos\theta) \simeq \frac{s}{32\pi} \left\{ (1+\cos\theta)^2 |Q_{e_R f_R}|^2 + (1-\cos\theta)^2 |Q_{e_R f_L}|^2 \right\}$$

- Helicity amplitudes from the s-channel (may include BSM mediators): ٠
 - They could only be inspected by using polarisation. 0

$$Q_{e_X f_Y} = \sum_{i} \frac{g_{V_{ie}}^X g_{V_{if}}^Y}{(s - m_{V_i}^2) + i m_{V_i} \Gamma_{V_i}}$$





Observables

- Hadronic fraction (R_q):
 - Quark ID (flavour tagging).
 - Angular measurement *possible*, but not needed.
- Forward-backward asymmetry (A_{FB}):
 - Quark ID + charge measurement.
 - Angular measurement needed.

Normalized & **differential** observables are highly preferred: Control of systematic uncertainties.

 $A_{\rm FB}$

Up to a total of *16 different measurements*. But this study **will only explore result on AFB**.

$$A_{FB}^{Exp} = \frac{N_F - N_B}{N_{Total}}$$
$$R_q^{Exp} = \frac{N_q}{N_{hadron}}$$



$$R_q = \frac{\sigma_{e^-e^+ \to q\bar{q}}}{\sigma_{hadron}}$$

$$= \frac{\int_0^1 \frac{d\sigma}{d\cos\theta} d\cos\theta - \int_{-1}^0 \frac{d\sigma}{d\cos\theta} d\cos\theta}{\int_{-1}^1 \frac{d\sigma}{d\cos\theta} d\cos\theta}$$

Preselection of q\overline{q} signals

- ILCSOFT cluster the pfos in jets (VLC algorithm):
 - The algorithm packs together the PFOs into two backto-back jets.
 - $^{\circ}$ Most of the data is background! (~x10).
 - Most of the background is radiative return (yqq).
 - Most of the backgrounds (ZZ, WW, ISR, tt) are removed with topological, kinematical and energetic cuts.
 - And additional cut by identifying photon pfos in the detector is used for ISR.
 - PFA detector!







| ILI | | |
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| Source | $e^-e^+ \rightarrow c\overline{c}$ | | $e^-e^+ { ightarrow} b\overline{b}$ | | | | | |
|--------------------------|---|-------------------|-------------------------------------|-------------------|-------------------------|---------------|-------|---------------|
| | $P_{e^{-}e^{+}}(-0.8,+0.3)$ $P_{e^{-}e^{+}}(+0.8,-0.3)$ | | $P_{e^-e^+}(-0.8,+0.3)$ | | $P_{e^-e^+}(+0.8,-0.3)$ | | | |
| | R_c | $A_{FB}^{car{c}}$ | R_c | $A_{FB}^{car{c}}$ | R_b | A_{FB}^{bb} | R_b | A_{FB}^{bb} |
| Statistics | 0.18% | 0.38% | 0.27% | 0.52% | 0.12% | 0.24% | 0.23% | 0.70% |
| Preselection eff. | <0.01% | 0.12% | 0.02% | 0.16% | <0.01% | 0.08% | 0.06% | 0.12% |
| Background | 0.01% | 0.01% | 0.02% | 0.02% | 0.01% | 0.01% | 0.06% | <0.01% |
| heavy quark mistag | 0.11% | <0.01% | 0.06% | <0.01% | 0.12% | <0.01% | 0.22% | <0.01% |
| uds mistag | 0.03% | <0.01% | 0.02% | <0.01% | 0.08% | <0.01% | 0.14% | <0.01% |
| Angular correlations | 0.10% | 0.10% | 0.10% | 0.10% | 0.10% | 0.10% | 0.10% | 0.10% |
| Beam Polarisation | <0.01% | <0.01% | 0.02% | 0.01% | <0.01% | 0.01% | 0.03% | 0.15% |
| Systematics | 0.15% | 0.16% | 0.12% | 0.19% | 0.18% | 0.13% | 0.29% | 0.22% |
| Total | 0.24% | 0.41% | 0.30% | 0.55% | 0.21% | 0.27% | 0.37% | 0.73% |



Kinematics of secondary tracks







Selection efficiency for A_{FB}





b-quarks & c-quarks Signals are close to:

- Background-free
 - uncorrelated

b-quarks & c-quarks after applying the double-charge method to them



ILD overview

- ILD: International Large Detector.
 - Excellent resolution:
 - Beam IP constraining capability.
 - Tracking efficiency (>99%).
 - Vertexing.
 - Secondary vtcs and flavour tagging!
 - Compact and hermetic high granularity calorimetry system (>10⁸ cells!).
 - Optimized for Particle Flow Concept, i.e., single particle reconstruction.











ILD: Interim Design Report. ArXiv:1003.01116





Adding PID in FT (LCFI+)



dEdx – Preselection of pfos





Adjusting this points to the Bethe-Bloch formula: Estimate PID



dEdx – KDS for different quark flavours



We repeat this also with Pions and Protons. We build 3 variables NKaonSec, NPionSec & NProtonSec and add them to the FT!



Flavour tagging: LCFI+

- Vertex finder:
 - Reconstruct collinear or close-to-collinear vertexes by merging particle tracks from the event information.
 - $^\circ$ Distance ($\tau_q \cdot c$) from the IP is key for b and c quark ID: Displaced vertexes.
 - We also encounter single track vertexes: pseudo-vertexes.
- Jet Clustering & vertex refiner:
 - Use the vertexing information.
 - ^{\circ} Different algorithms could be used (k_T, Durham, **VLC**, etc.).
 - In our case, we expect two back-to-back jets with ISR.
- Flavour tagging:
 - TMVA (BDT based).
 - 3-class classifier b/c/uds.





Events for each category





Z-Pole (LCFI+ paper₁)

Events

b jets

22.9

39.7

13.5

23.8

(%)

c jets

59.5

39.8

0.54

0.19

1. LCFIPlus: A Framework for Jet Analysis in Linear Collider Studies

| Category | А | В | С | D |
|---------------------------------------|-----|---|---|---|
| Number of vertices | 0 | 1 | 1 | 2 |
| Number of single-track pseudovertices | 0-2 | 0 | 1 | 0 |



Cat.

А

В

С

D

Re-training flavor tagging (coding)





LCFI+ MakeNtuple Workflow (+dEdx)





Effects of dNdx in Flavour Tagging (250 GeV)







Effects of dNdx in Flavour Tagging (500 GeV)







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Particle Swarm Optimisation



Boosted Decision Trees (BDT) - TMVA



- We are already working with these Gradient Boosted Decision trees using ROOT's Toolkit for MultiVariate data Analysis (TMVA). We use the following parameters:
 - BoostType=Grad.
 - NTrees.
 - Shrinkage.
 - UseBaggedBoost:BaggedSampleFraction.
 - Bagging: A new sampling is performed before each step (removes biases).
 - NCuts (binning used when sampling).
 - MaxDepth (Nº of leaves).

The Particle Swarm Algorithm optimizes the use of *these parameters*

We used all but the orange ones, which are method definitions



PSO - Overview



- Particle Swarm Optimization is a Gradient-free, bio-inspired, stochastic, population-based algorithm to optimize any kind of process towards a certain goal:
 - No maths involved in the optimization (no gradients or loss functions!).
 - It just try configurations and saves the best-performing one.
 - It mimics how animals look for resources, by trial and error.
- How it works:
 - We have N "particles" (in our case: configurations of the BDT). Then:
 - **1)** The BDT runs with the configuration of the particle.
 - 2) When finished, each particle gets a performance score. -We define a Function Of Merit (FOM) for this scoring
 - 3) We track each particle's best configuration and the best global one.
 - 4) The particles move to a new configuration (next slide).



For each iteration



PSO - Overview







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PSO – Adaptation to FT in LCFI+



- We need:
 - A 3-class classifier (b quarks, c quarks, uds quarks).
 - We also want to avoid overfitting:
 - Kolmogorov-Smirnov test
 - Anderson-Darling test
 - We need a FOM adapted to 3 different classes.
 - Important remark: A final check is **always needed**:



Trial and error can go wrong sometimes!

Control biased test scores. (more info in back-up)



PSO – Function Of Merit (FOM)



- The FOM being used is the averaged value of the Integral of the Receiver Operating Characteristic curve for each of the 3 data classes.
 - Considering the target class as signal and the others as background.
- Our FOM is simply:

 $FOM = (AUC[b_{quark}] + AUC[c_{quark}] + AUC[uds_{quarks}]) / 3,$

where AUC = "Area Under Curve" (ROC Integral).







GHU phenomenology



Total Uncertainties for R_q and A_{FB}





Full Simulation Studies. (arXiv 2307.14888)

A. Irles, J. P. Márquez



GHU's Models ILC250 (PID in Flavour Tagging)



Effects of introducing **PID** in **Flavour tagging**.

