

Prospects for Gauge-Higgs Unification models in $e^+e^- \rightarrow q\bar{q}$ production ILC250/500 at ILD using PID capabilities

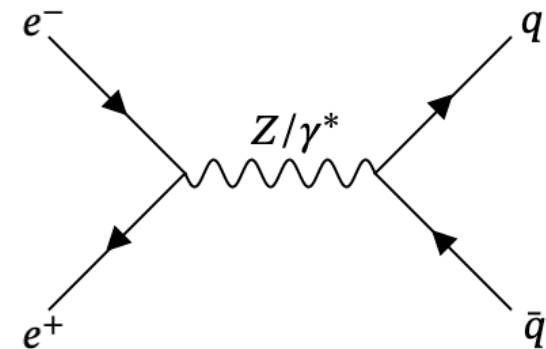
ILD Software & Analysis meeting

2/08/23

Jesús P. Márquez Hernández



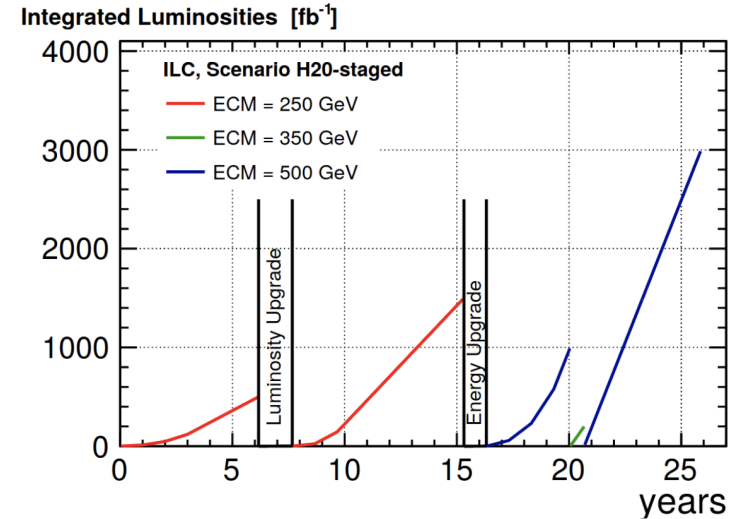
- **Direct production** ($Z/\gamma/Z'$) of heavy-quarks (b&c) at high energies.
 - Precision measurement of EW couplings.
- BSM framework: **Gauge-Higgs Unification (GHU)**.
 - Phenomenology of two kinds of models (A & B).
- Physical observables at **ILC250/500**.
 - Hadronic fraction (R_q) and Forward-Backward asymmetry (A_{FB}).
- **TPC PID** role in Flavour Tagging & Charge measurement.
- **Discrimination power** for GHU's Models.



- The ILC is more than a Higgs factory:
 - It provides access to **all SM particles**.
- It also features polarized beams $P(e^-, e^+) = (0.8, 0.3)$.
 - Allow us to inspect all 4 helicity amplitudes:

$$\frac{d\sigma_{XY}^{q\bar{q}}}{d\cos\theta}(\cos\theta) \approx \frac{s}{32\pi} \left\{ (1 + \cos\theta)^2 |Q_{eXqX}|^2 + (1 - \cos\theta)^2 |Q_{eXqY}|^2 \right\}$$

- It can aim for specific processes by adjusting:
 - Center-of-mass energy**.
 - Beam polarisation**.
- ILC run plan:
 - 4 different energies: Z-Pole, **250**, **500**, 1000 GeV.
 - 4 different polarisation configurations:
 - $\text{sgn}(P(e^-), P(e^+)) = (+, -), (-, +), (+, +), (-, -)$

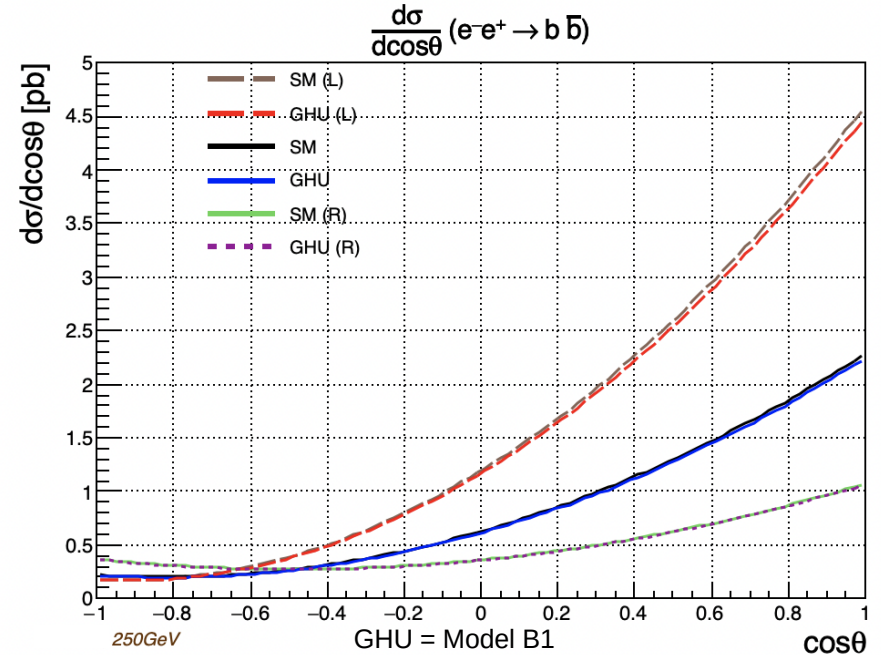


Luminosity upgrade: 5 Hz to 10 Hz.
Energy upgrade: Extend the linac

\sqrt{s}	$\text{sgn}(P(e^-), P(e^+))$			
	(-, +)	(+, -)	(-, -)	(+, +)
250 GeV	900	900	100	100
350 GeV	135	45	10	10
500 GeV	1800	1800	200	200

Gauge-Higgs Unification Models

- GHU [Hos. et al] models unify all forces under the same gauge group. It's defined in a Randall-Sundrum metric (5D).
 - The symmetry breaking pattern is different than in the SM and features the so-called *Hosotani's mechanism*.
 - **Only one parameter**, ϕ_H , determines the projection of the 5D fields, fixing all physical effects:
 - **KK-resonances** of Z/ γ !
 - But $m_{kk} \sim 10$ TeV, **only indirect measurements**.
 - Effects in **EW couplings/helicity amplitudes**.
 - Deviations from SM **scale with energy**:
 - **It start being noticeable at 250 GeV!**
 - We distinguish **A-Models** and **B-Models**.
 - A-Models are more sensitive to Right-Handed helicity & B-Models to Left-Handed helicity.
 - A-Models (1705.05282) & B-Models (2006.02157).
- [Funatsu, Hatanaka, Hosotani, Orikasa, Yamatsu]



Projection of couplings and EW mixing angle:

$$g_Y^{5D} = \frac{g_A g_B}{\sqrt{g_A^2 + g_B^2}} \quad \sin\theta_W^0 = \frac{s_\phi}{\sqrt{1 + s_\phi^2}}$$

Observables

- Hadronic fraction (R_q):

- Quark ID (flavour tagging).
- Angular measurement *possible*, but not needed.

$$R_q = \frac{\sigma_{e^-e^+ \rightarrow q\bar{q}}}{\sigma_{hadron}}$$

- Forward-backward asymmetry (A_{FB}):

- Quark ID + charge measurement.
- Angular measurement needed.

$$A_{FB} = \frac{\int_0^1 \frac{d\sigma}{d\cos\theta} d\cos\theta - \int_{-1}^0 \frac{d\sigma}{d\cos\theta} d\cos\theta}{\int_{-1}^1 \frac{d\sigma}{d\cos\theta} d\cos\theta}$$

Normalized & differential observables are highly preferred:
Control of systematic uncertainties.

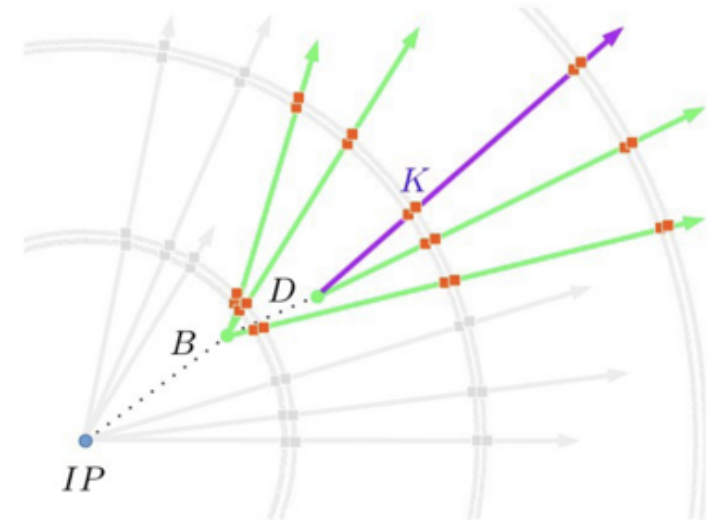
Up to a total of *16 different measurements*.
But this talk **will only explore result on AFB**.

$$A_{FB}^{Exp} = \frac{N_F - N_B}{N_{Total}}$$

$$R_q^{Exp} = \frac{N_q}{N_{hadron}}$$

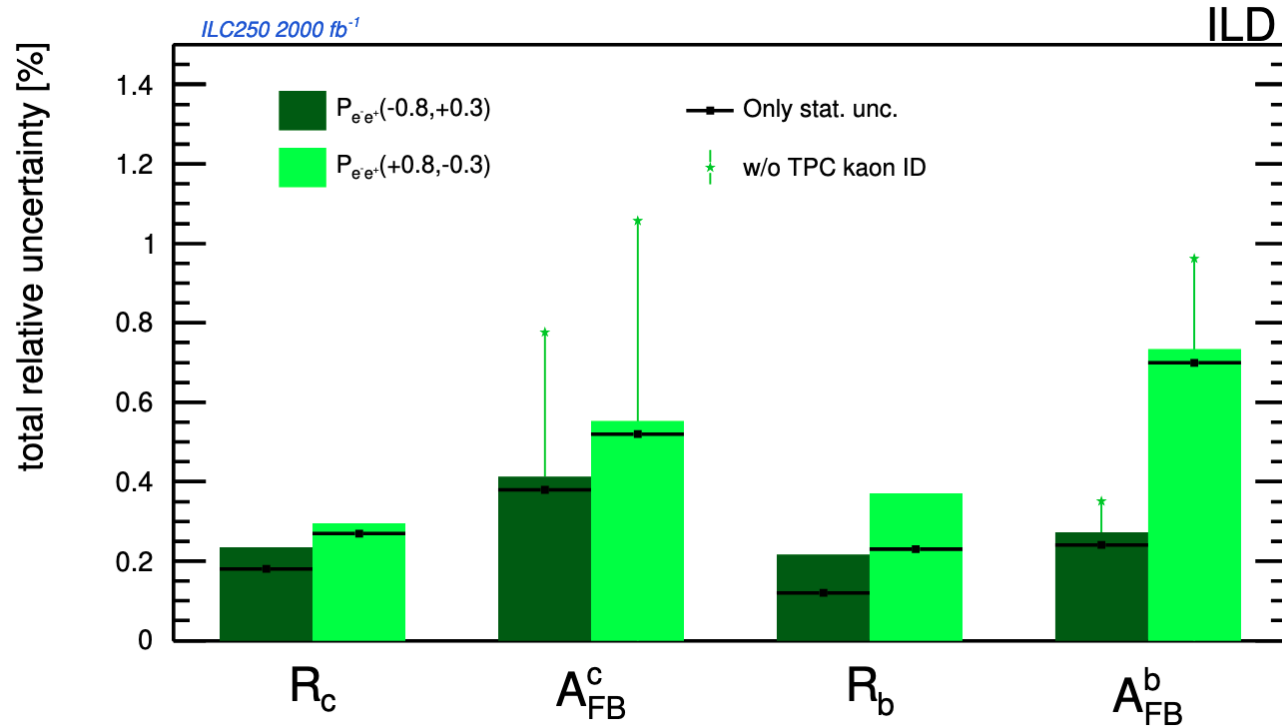
Preselection of $b\bar{b}$ & $c\bar{c}$ signals

- Experimental procedure:
 - Preselection of $q\bar{q}$ events.
 - Removal of backgrounds.
 - Mostly **radiative return**.
 - Up to x10 more data than the signal!
 - Flavour tagging.
 - Using standard ILD Tool: **LCFI+**.
 - Boosted Decision Trees (ROOT TMVA).
 - Jet charge measurement:
 - **VTX method**: Use all secondary tracks.
 - **Kaon method**: Use **TPC's kaon PID**



Double Tag method: *Only* events with 2 opposite-charged identified jets are accepted.

Previous work for R_q and A_{FB} (250 GeV)



Full Simulation Study. Public ILD Note (2306.11413)

A. Irlles, R. Poeschl, F. Richard
(K. Fuji, M. Berggren as ILD PSB Ed. members)

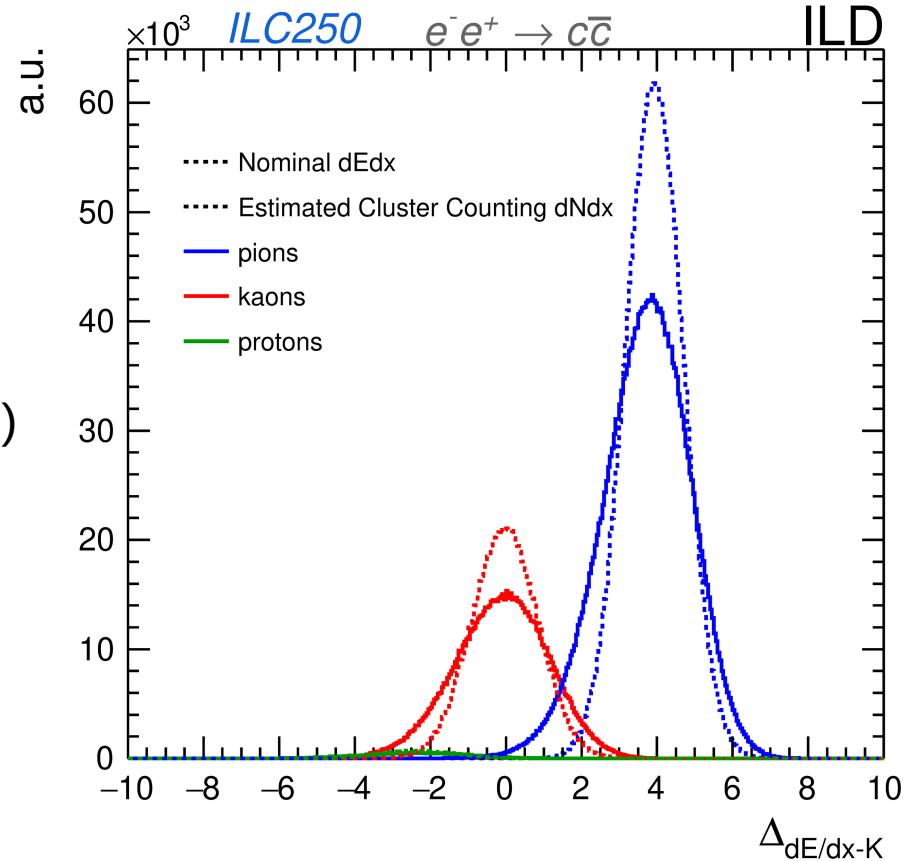
J.P. Márquez - Sw & Ana 02/08/23

- Note how:
 - R_q are not affected by Kaon ID, since we only need flavour tagging.
 - A_{FB} highly depends of identifying Kaons for charge measurement.
After applying the **double-charge** selection criteria:
 - B-jets: Only ~18% of events survive.
 - Of which ~**40% requires PID.**
 - C-jets: Only ~4% of events survive.
 - Of which ~**90% requires PID!**

Improving the use of TPC PID

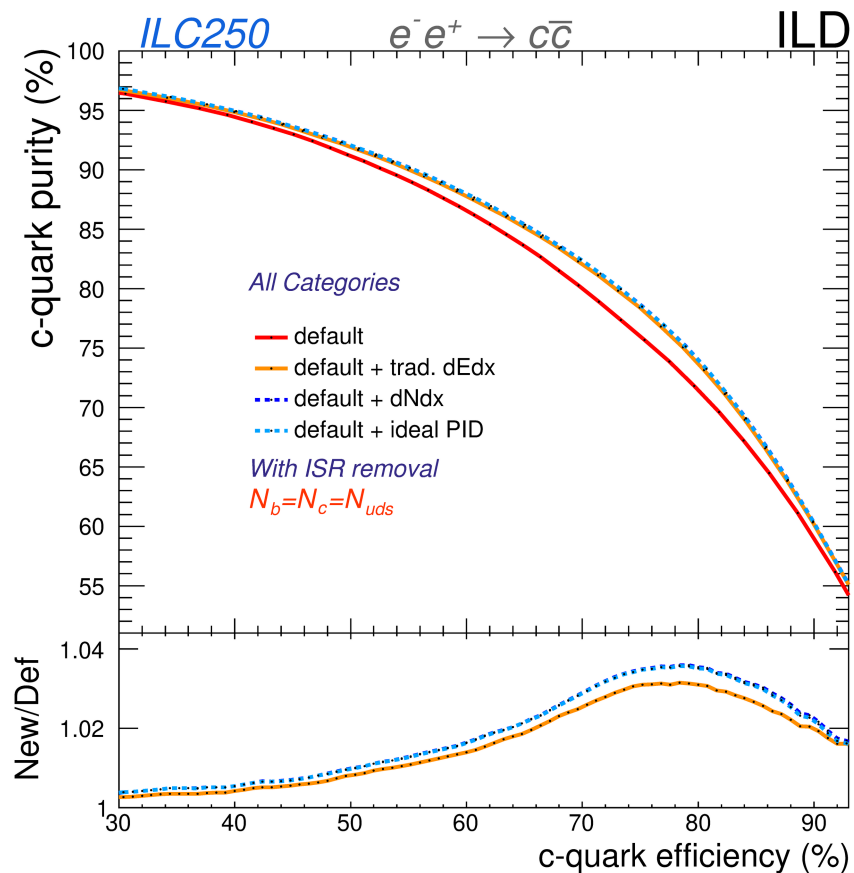
- New ways to improve the use of TPC-PID:
 - Include PID in the **Flavour Tagging (LCFI+)**.
 - More details in back-up & poster!
 - Improve the PID performance itself.
 - From traditional dEdx to **cluster counting** method (+35%[1] in K/p separation power!)

PID information is rewritten by an ILCSoft processor which estimates the expected improvements we'd have when working with Cluster Counting (dNdx).

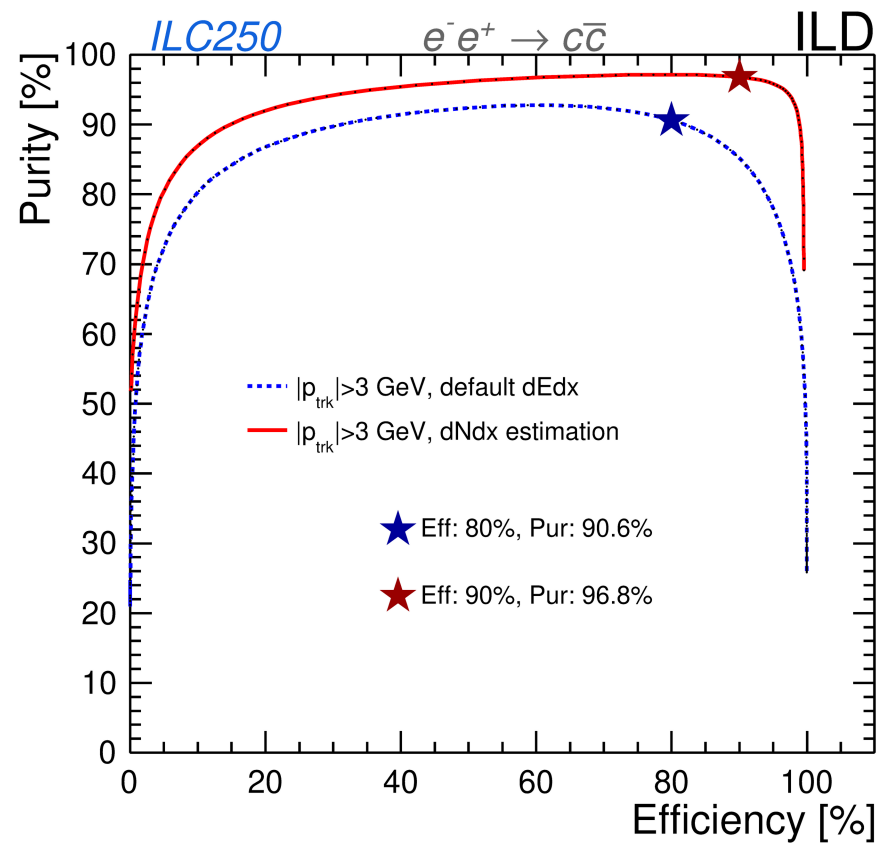


[1] Y. Aoki et al., Double hit separation and dE/dx resolution of a time projection chamber with GEM readout, JINST 17 11 (2022) P11027, arXiv: [2205.12160](https://arxiv.org/abs/2205.12160) [physics.ins-det]

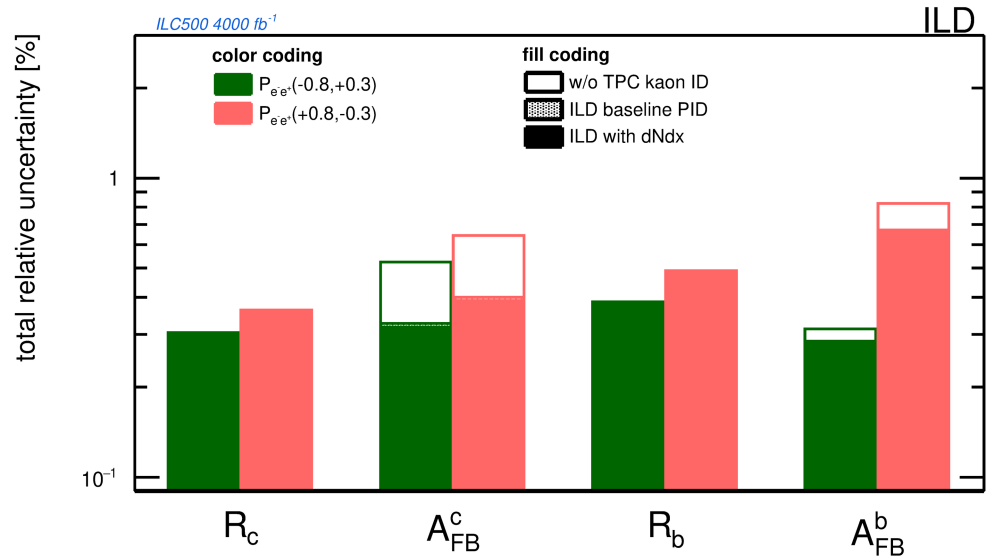
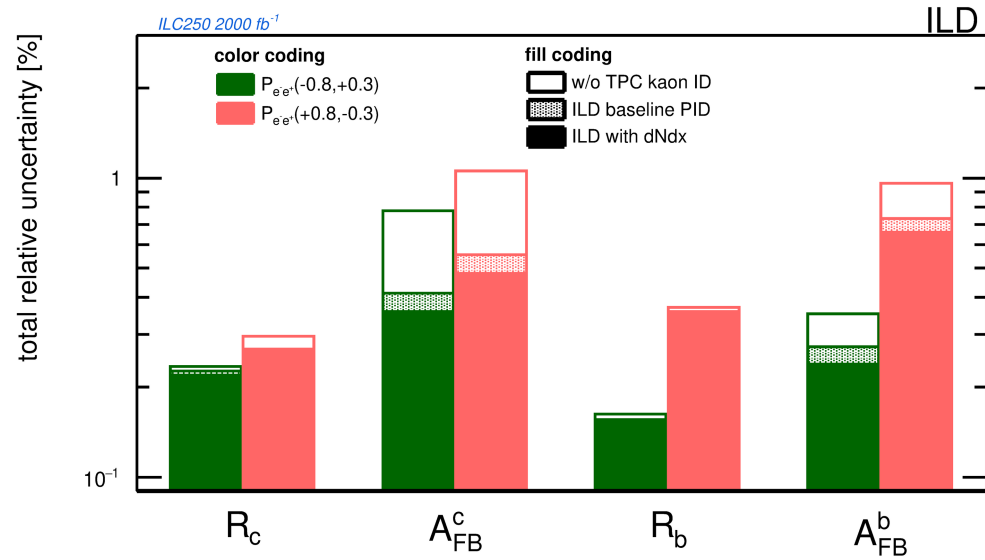
Effects of improving the use of PID



Effects in **Flavour Tagging**



Effects in **Kaon ID** for charge reco.



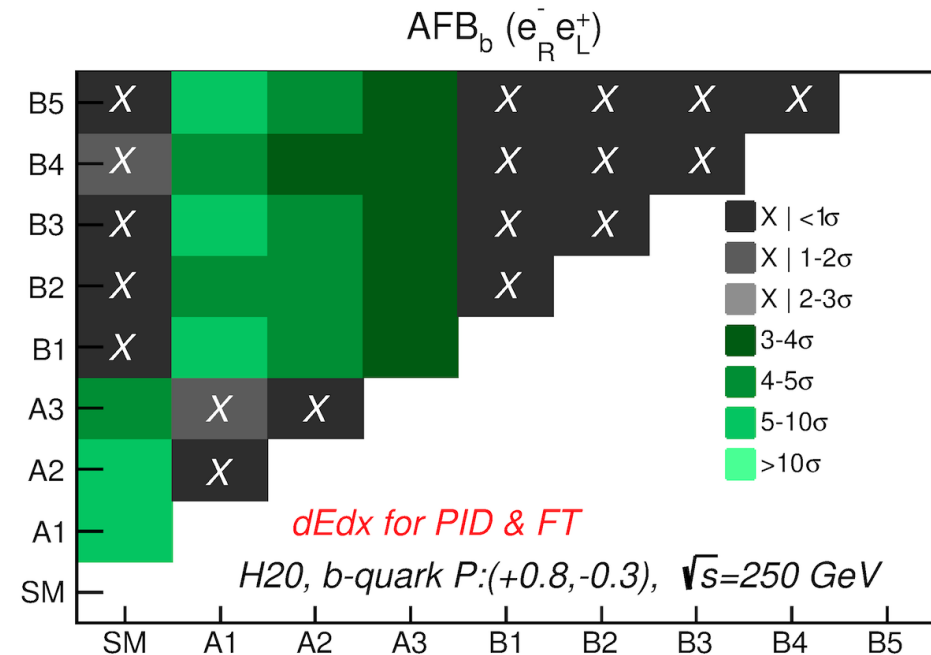
Full Simulation Studies. Proceedings for LCWS2023: [arXiv 2307.14888](https://arxiv.org/abs/2307.14888)

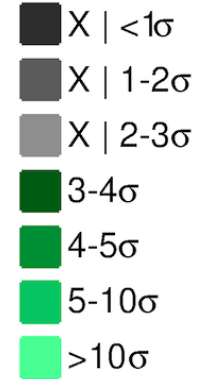
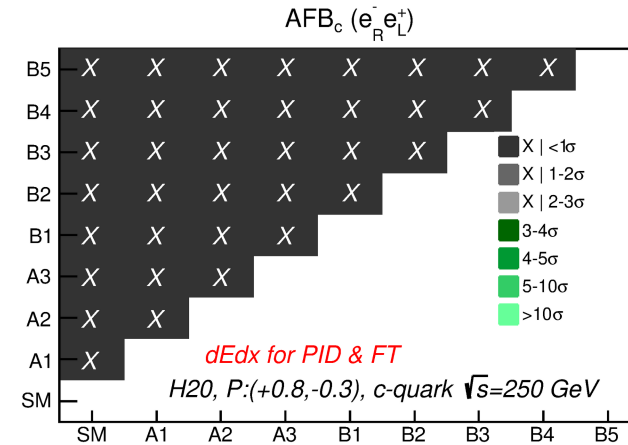
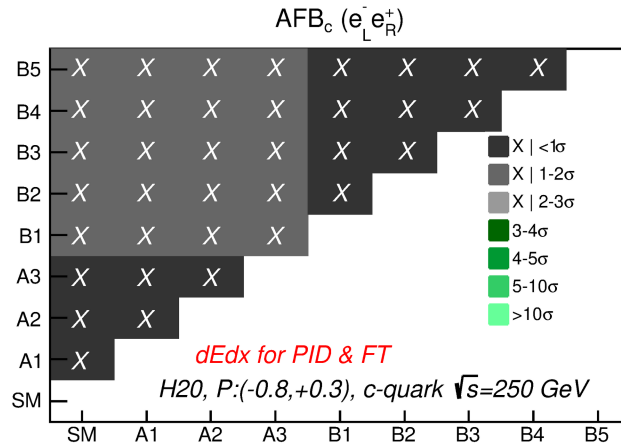
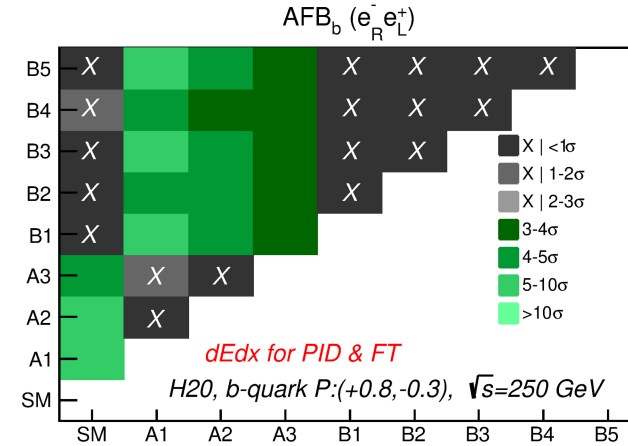
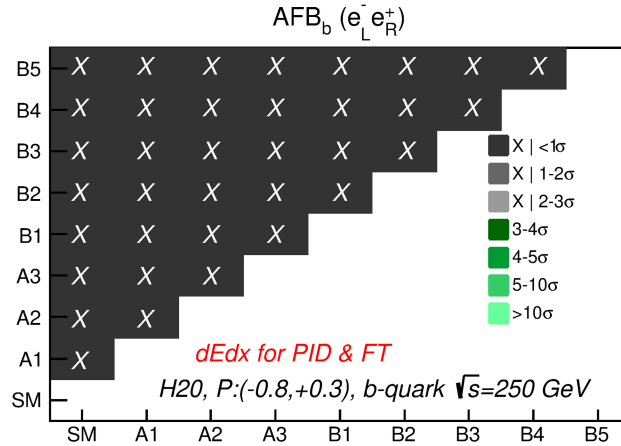
A. Irlles, J. P. Márquez | Reviewed by A. Ruiz (as ILD PSB Ed. member)

J.P. Márquez - Sw & Ana 02/08/23

Discrimination of BSM Models

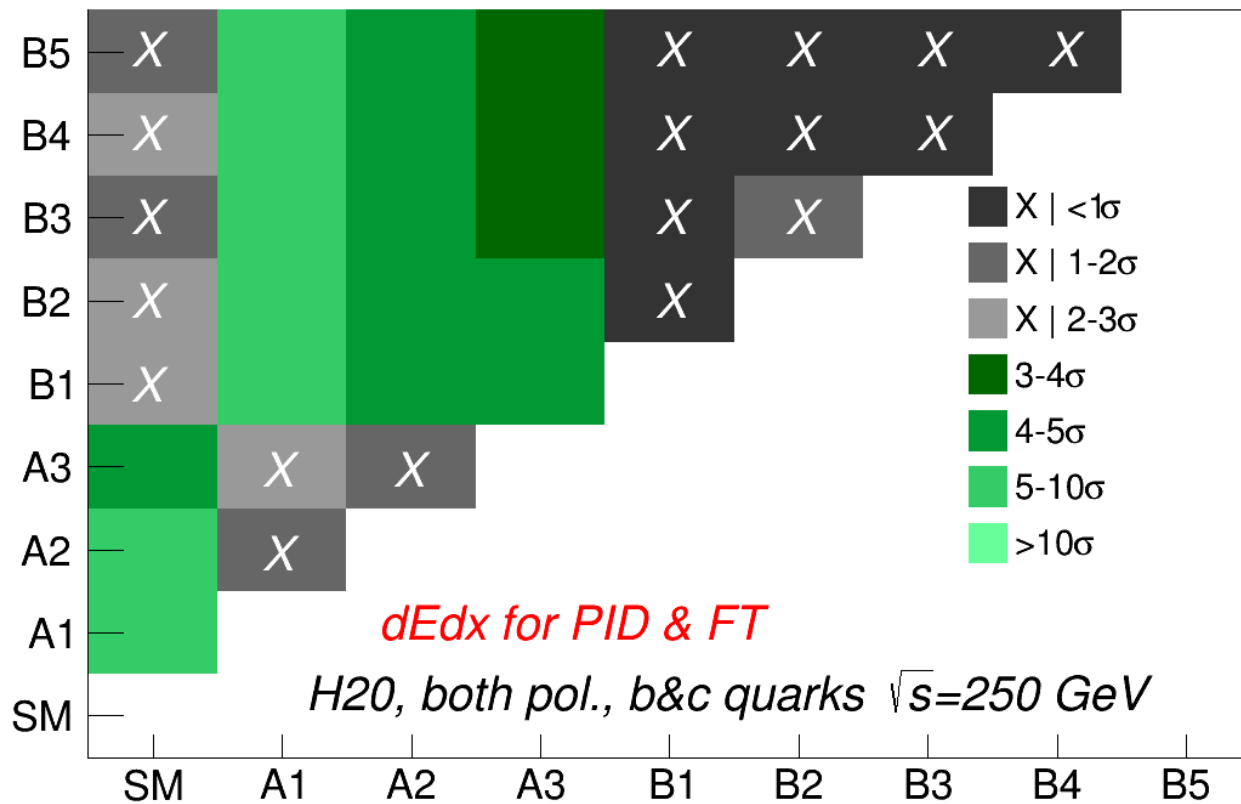
- Assumption: A measurement of one specific model is conducted.
 - Row/Column combination for comparison.
- The uncertainties are considered normally distributed:
 - Significance in σ** : $d_\sigma = \frac{\|AFB_{\text{test}} - AFB_{\text{ref}}\|}{\Delta_{AFB_{\text{ref}}}}$
 - P-value: Gaussian at d_σ .
- Combination of multiple measurements is done with a *multivariate gaussian*.
 - Assuming no correlations for A_{FB} .





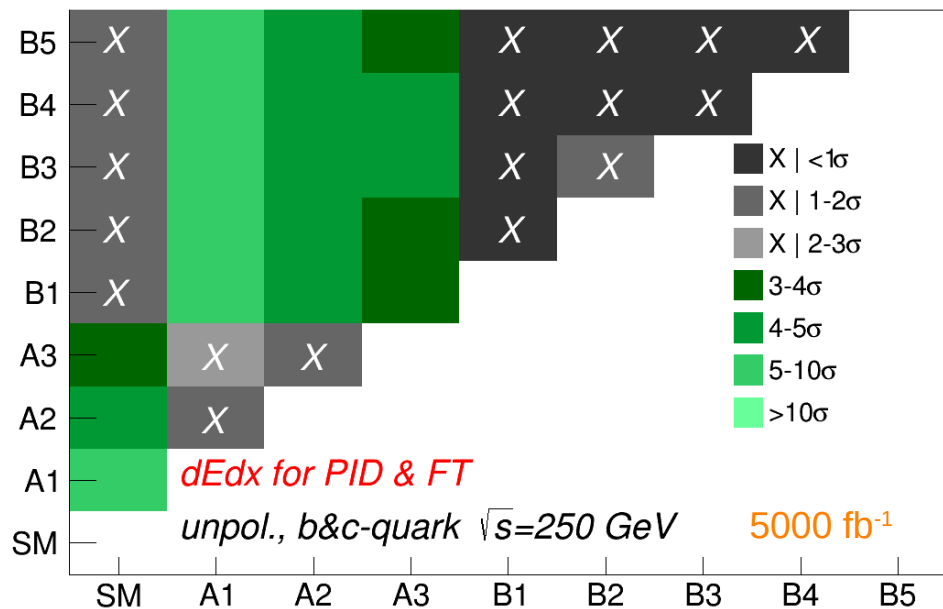
GHU's Models ILC250 (combined)

AFB_b & AFB_c (Both pol.)

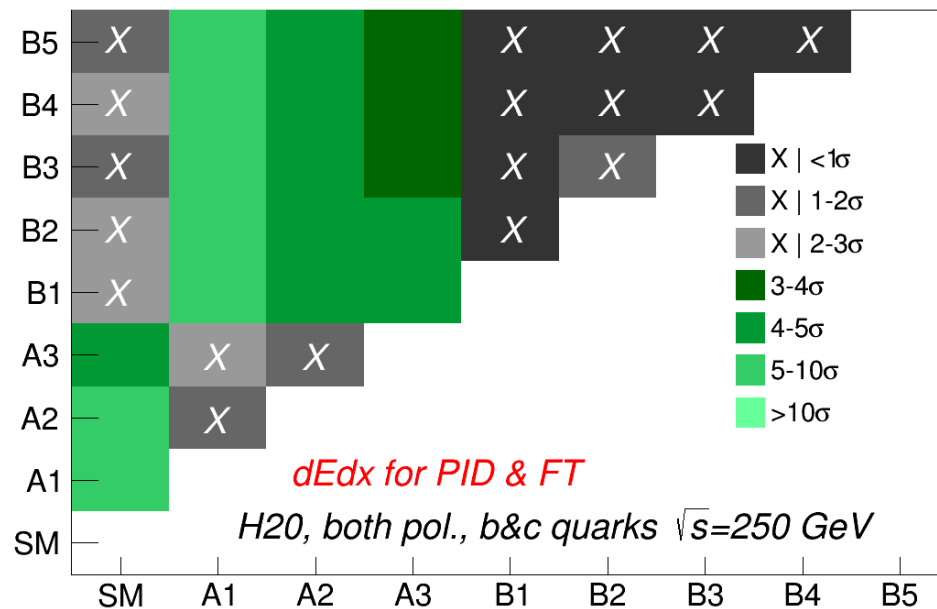


GHU's Models ILC250 (Polarisation)

AFB_b & AFB_c (unpol.)



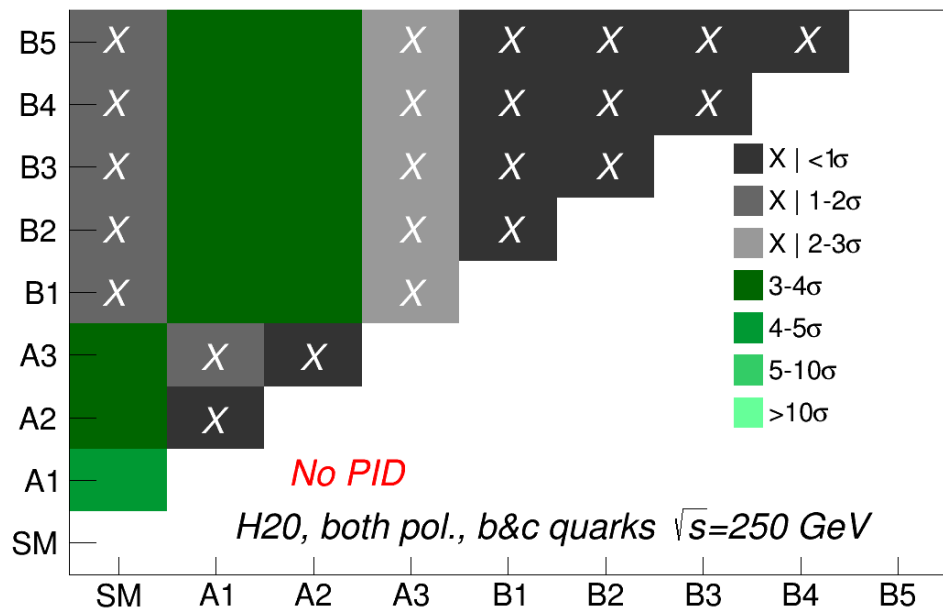
AFB_b & AFB_c (Both pol.)



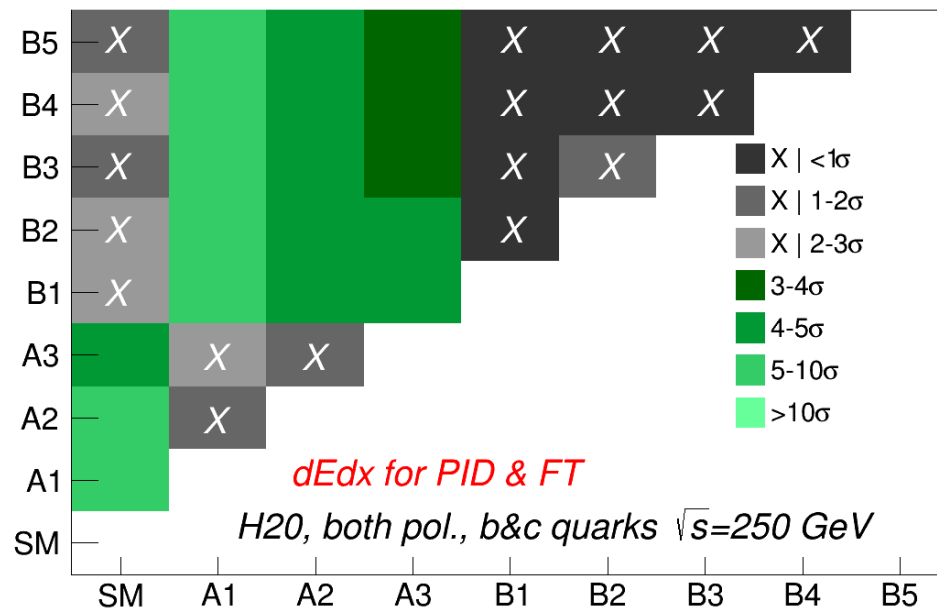
Effects of polarised beams at 250 GeV

GHU's Models ILC250 (TPC impact)

AFB_b & AFB_c (Both pol.)



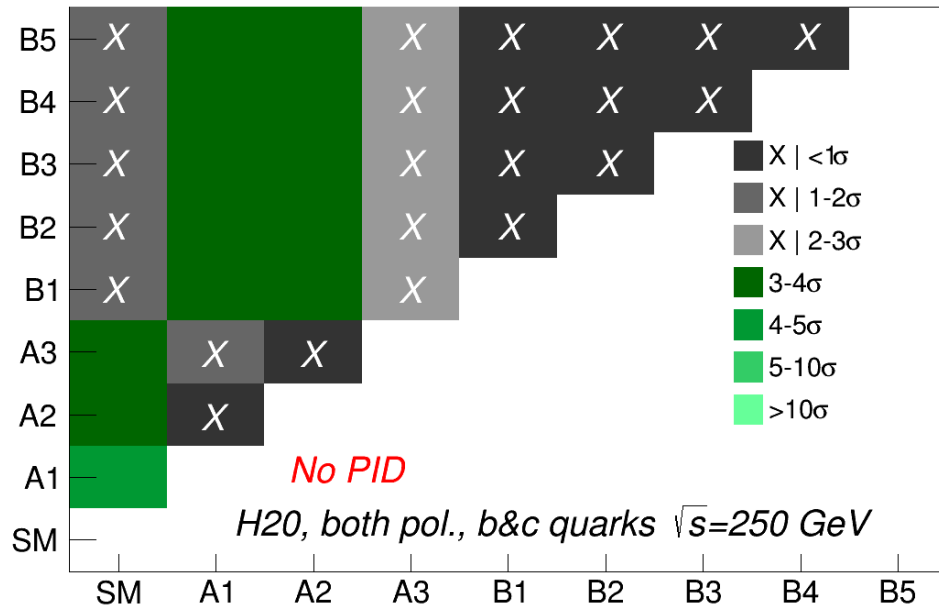
AFB_b & AFB_c (Both pol.)



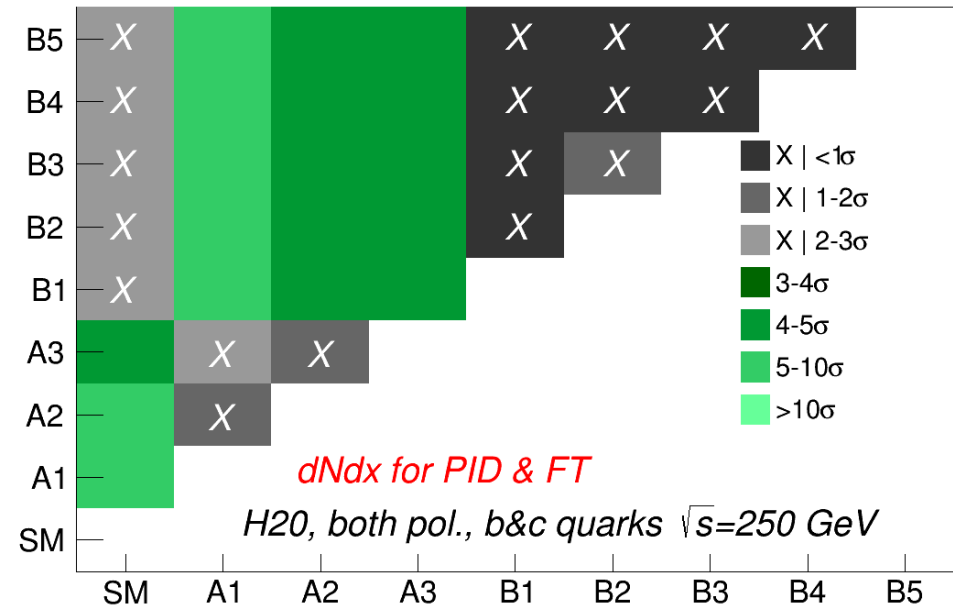
We do **need TPC PID** to discriminate these models

GHU's Models ILC250 (TPC+dNdx impact)

AFB_b & AFB_c (Both pol.)

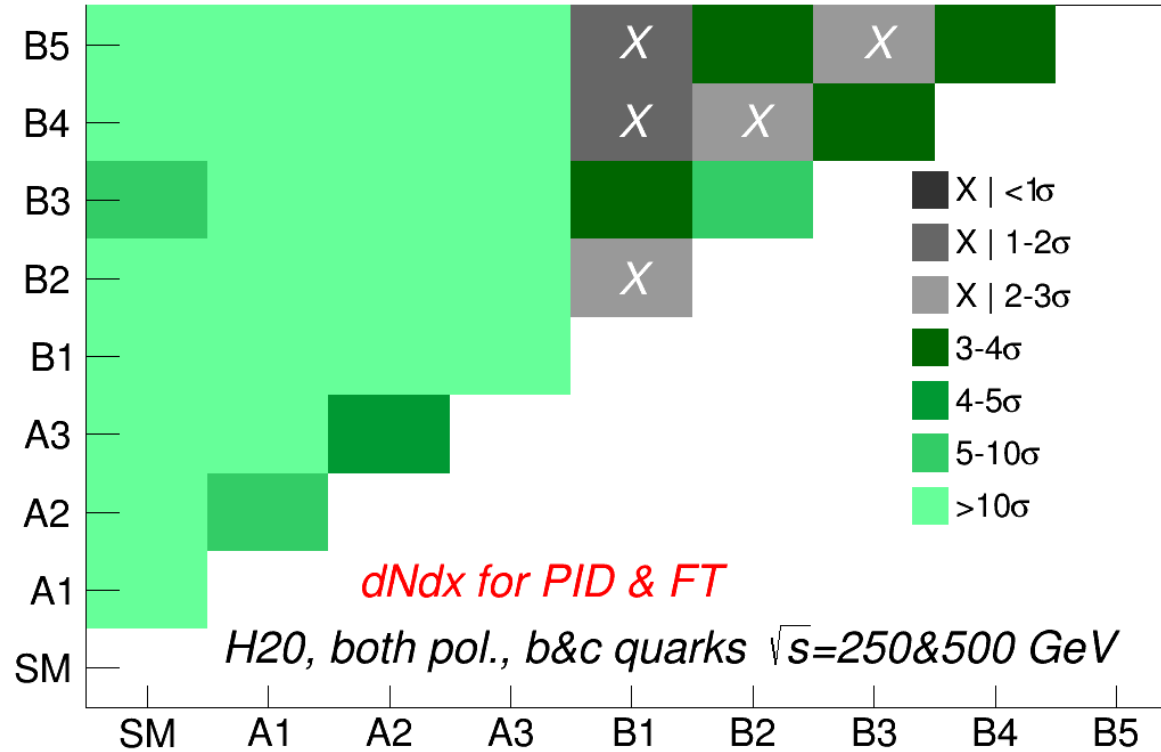


AFB_b & AFB_c (Both pol.)



Using **dNdx** optimises the use of the TPC

AFB_b & AFB_c (Both pol.)



Accessing **higher energies** is a key factor to discriminate these models!

- ILC+ILD are powerful tools to discriminate BSM Models thanks to:
 - Polarisation.
 - Energy range. } **Up to 8 different measurements per energy!**
 - **Key role of TPC PID.**
 - Flavour Tagging & jet charge reconstruction.
- There's still work to do:
 - More than H20: Giga-Z? 1 TeV?
 - R_q and statistical combinations!
 - What about other BSM models?

Thanks for your attention!

BACK-UP

General

Observables

- Differential Cross-Section:
 - General case with polarisation dependence:

$$\frac{d\sigma^{f\bar{f}}}{d\cos\theta}(P_{e^-}, P_{e^+}, \cos\theta) = (1 - P_{e^-}P_{e^+}) \frac{1}{4} \left\{ (1 - P_{eff}) \frac{d\sigma_{LR}^{f\bar{f}}}{d\cos\theta}(\cos\theta) + (1 + P_{eff}) \frac{d\sigma_{RL}^{f\bar{f}}}{d\cos\theta}(\cos\theta) \right\}$$

$P_{eff} \equiv \frac{P_{e^-} - P_{e^+}}{1 - P_{e^-}P_{e^+}}$

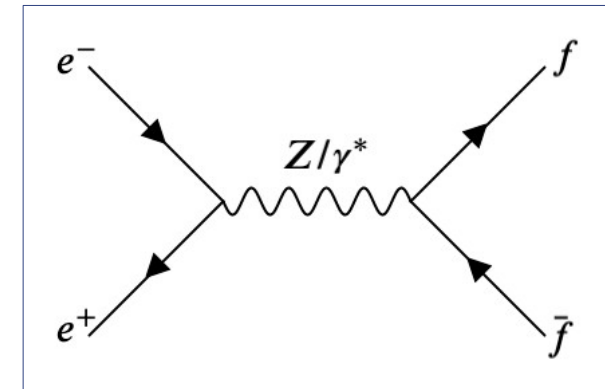
- Polarization contributions:

$$\frac{d\sigma_{LR}^{f\bar{f}}}{d\cos\theta}(\cos\theta) \simeq \frac{s}{32\pi} \left\{ (1 + \cos\theta)^2 |Q_{eLfL}|^2 + (1 - \cos\theta)^2 |Q_{eLfR}|^2 \right\}$$

$$\frac{d\sigma_{RL}^{f\bar{f}}}{d\cos\theta}(\cos\theta) \simeq \frac{s}{32\pi} \left\{ (1 + \cos\theta)^2 |Q_{eRfR}|^2 + (1 - \cos\theta)^2 |Q_{eRfL}|^2 \right\}$$

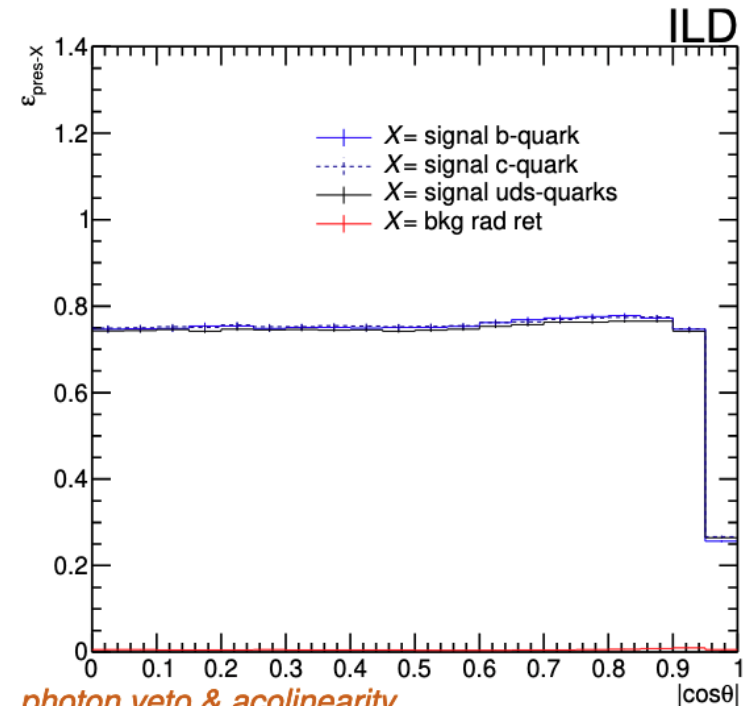
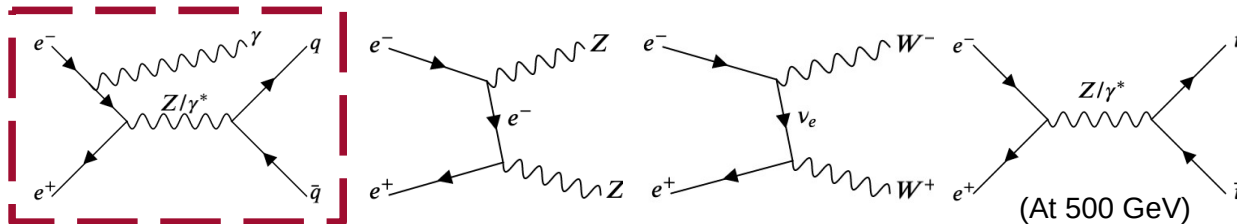
- Helicity amplitudes from the s-channel (may include BSM mediators):
 - They could only be inspected by using polarisation.

$$Q_{exfY} = \sum_i \frac{g_{V_{ie}}^X g_{V_{if}}^Y}{(s - m_{V_i}^2) + im_{V_i} \Gamma_{V_i}}$$



Preselection of $q\bar{q}$ signals

- ILCSOFT cluster the pfos in jets (VLC algorithm):
 - The algorithm packs together the PFOs into two back-to-back jets.
 - Most of the data is background! ($\sim \times 10$).
 - Most of the background is **radiative return ($yq\bar{q}$)**.
 - Most of the backgrounds (ZZ, WW, ISR, tt) are removed with topological, kinematical and energetic cuts.
 - And additional cut by identifying photon pfos in the detector is used for ISR.
 - PFA detector!

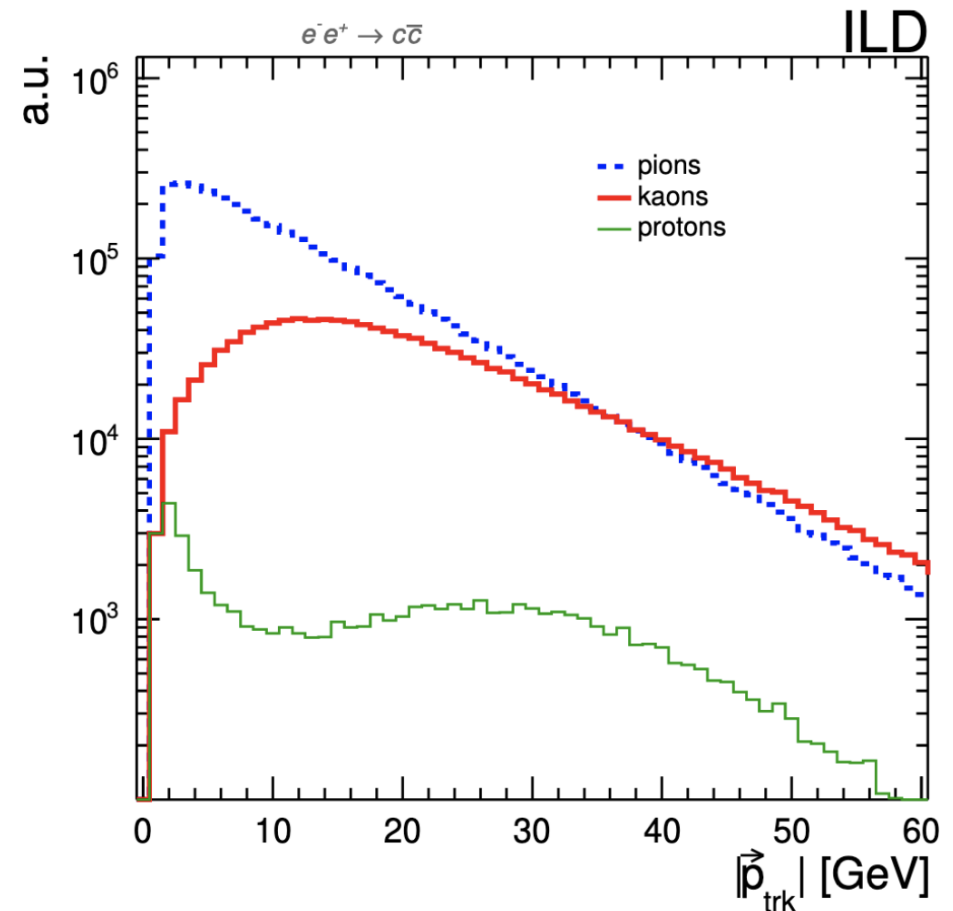
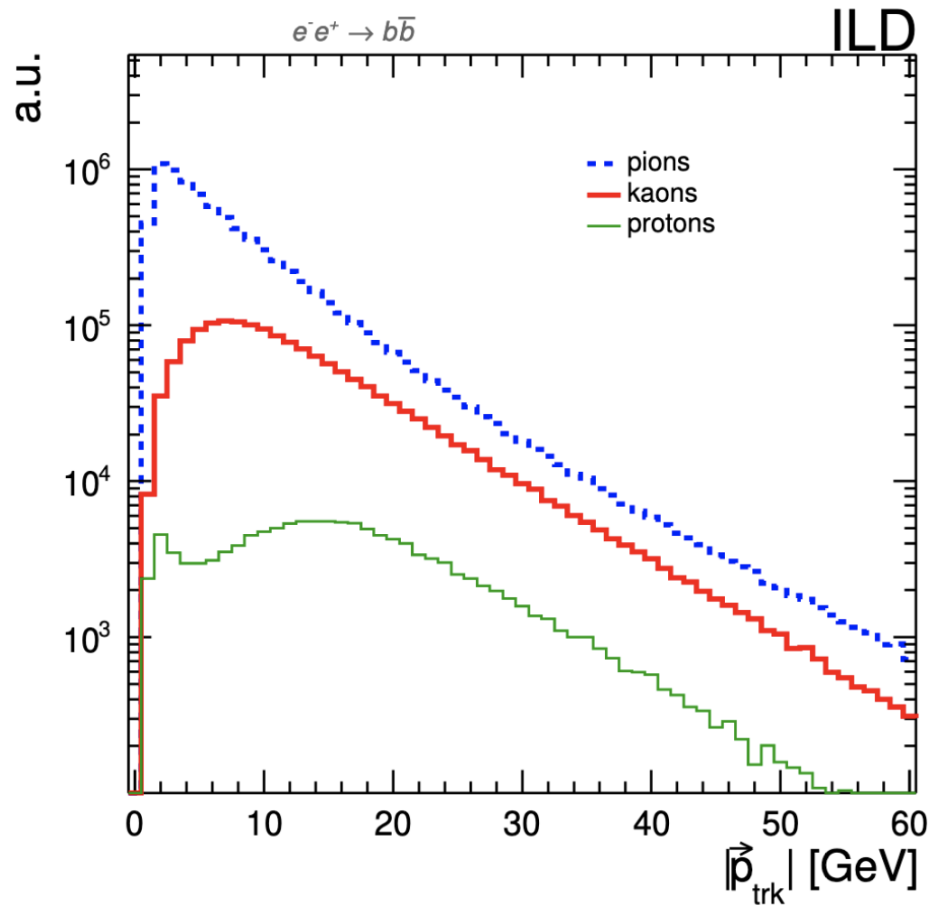


photon veto & acolinearity
& m_{jj} & y_{23} cuts

Systematical uncertainties (250 GeV)

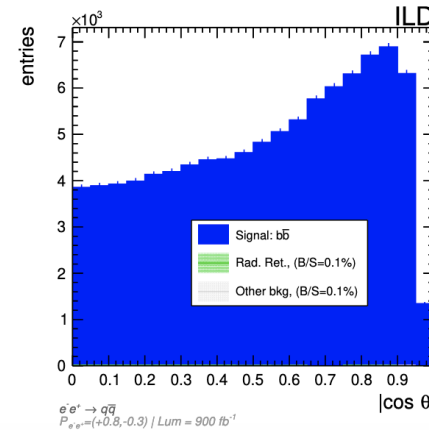
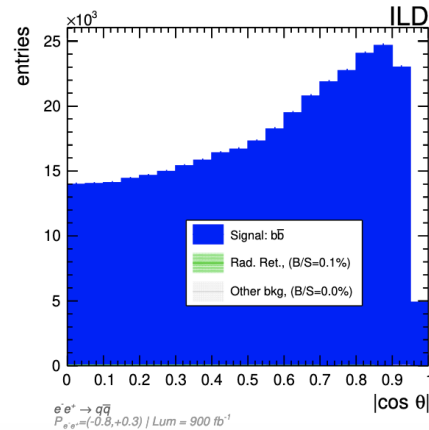
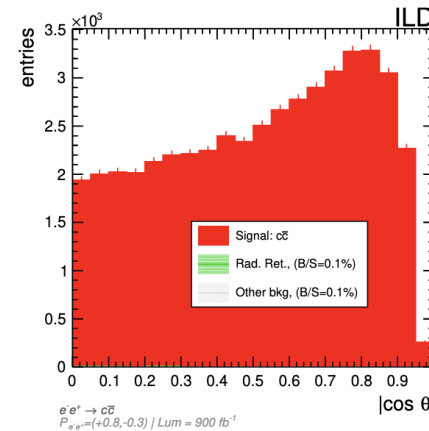
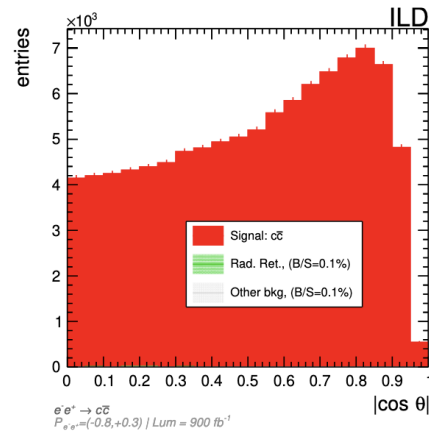
Source	$e^-e^+ \rightarrow c\bar{c}$				$e^-e^+ \rightarrow b\bar{b}$			
	$P_{e^-e^+}(-0.8, +0.3)$ R_c	$A_{FB}^{c\bar{c}}$	$P_{e^-e^+}(+0.8, -0.3)$ R_c	$A_{FB}^{c\bar{c}}$	$P_{e^-e^+}(-0.8, +0.3)$ R_b	$A_{FB}^{b\bar{b}}$	$P_{e^-e^+}(+0.8, -0.3)$ R_b	$A_{FB}^{b\bar{b}}$
Statistics	0.18%	0.38%	0.27%	0.52%	0.12%	0.24%	0.23%	0.70%
Preselection eff.	<0.01%	0.12%	0.02%	0.16%	<0.01%	0.08%	0.06%	0.12%
Background	0.01%	0.01%	0.02%	0.02%	0.01%	0.01%	0.06%	<0.01%
heavy quark mistag	0.11%	<0.01%	0.06%	<0.01%	0.12%	<0.01%	0.22%	<0.01%
<i>uds</i> mistag	0.03%	<0.01%	0.02%	<0.01%	0.08%	<0.01%	0.14%	<0.01%
Angular correlations	0.10%	0.10%	0.10%	0.10%	0.10%	0.10%	0.10%	0.10%
Beam Polarisation	<0.01%	<0.01%	0.02%	0.01%	<0.01%	0.01%	0.03%	0.15%
Systematics	0.15%	0.16%	0.12%	0.19%	0.18%	0.13%	0.29%	0.22%
Total	0.24%	0.41%	0.30%	0.55%	0.21%	0.27%	0.37%	0.73%

Kinematics of secondary tracks



Selection efficiency for A_{FB}

b-quarks & c-quarks
after applying the
double-charge
method to them

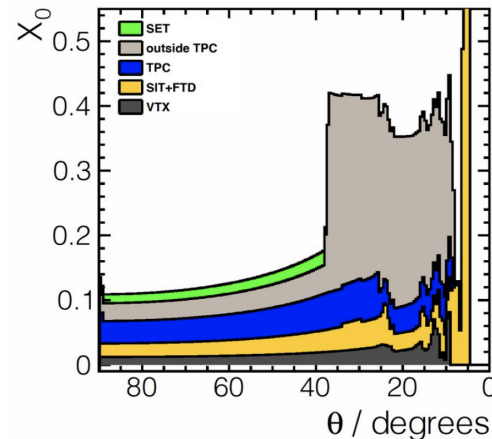
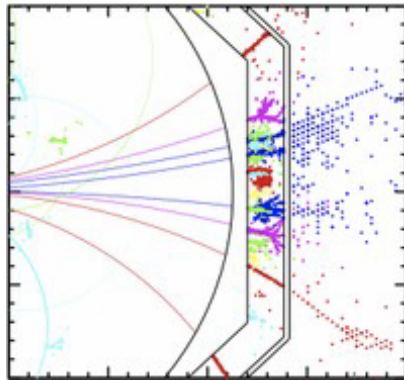


b-quarks & c-quarks
Signals are close to:

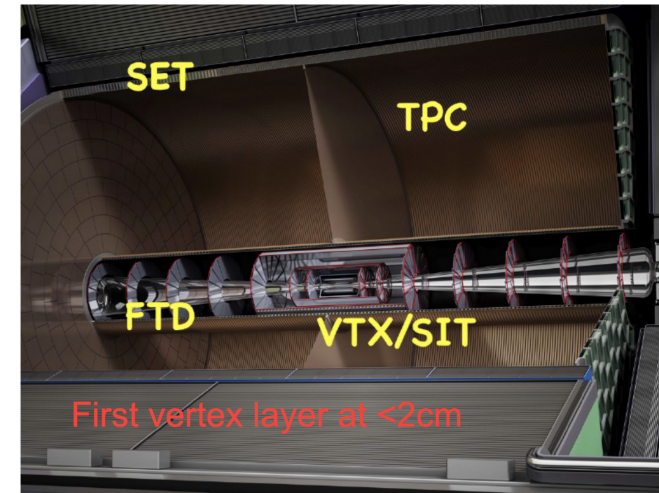
- Background-free
- uncorrelated

ILD overview

- ILD: International Large Detector.
 - Excellent resolution:
 - Beam IP constraining capability.
 - Tracking efficiency (>99%).
 - Vertexing.
 - Secondary vtxs and flavour tagging!
 - Compact and hermetic high granularity calorimetry system (>10⁸ cells!).
 - Optimized for Particle Flow Concept, i.e., single particle reconstruction.



ILD design

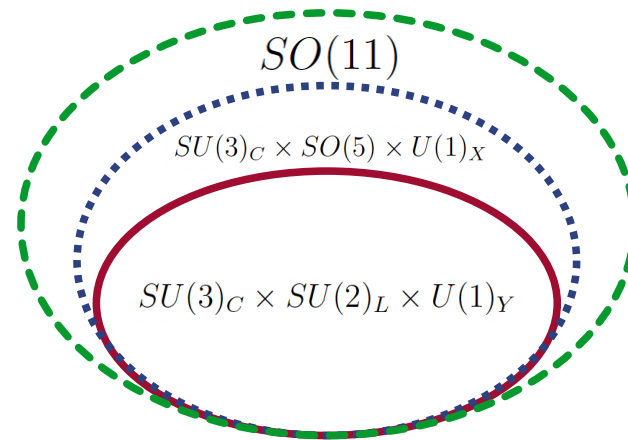
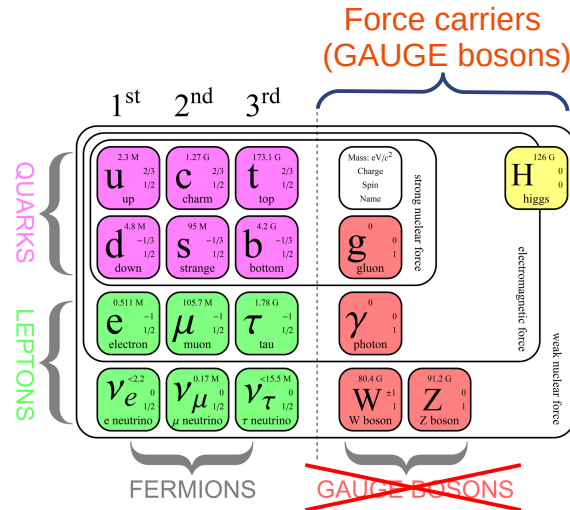


ILD: Interim Design Report.
ArXiv:1003.01116

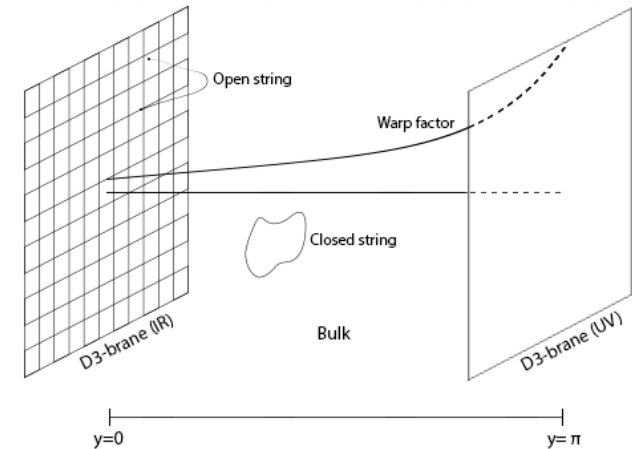
Hosotani's Models

Gauge-Higgs Unification (GHU) Models

- In the Hosotani Models the GHU unify all the force carriers under a single gauge group by using an extra physical dimension (Randall-Sundrum metric):



$$ds^2 = g_{MN} dx^M dx^N = e^{-2\sigma(y)} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2$$



- The breaking pattern is way more complex than in the SM and features the Hosotani's mechanism.

- Most of the fields are localized in the bulk and we feel the IR-projections.
- We distinguish **A-Models** (GHU) and **B-Models** (GHU+GUT).

Projection of couplings and EW mixing angle:

$$g_Y^{5D} = \frac{g_A g_B}{\sqrt{g_A^2 + g_B^2}} \sin \theta_W^0 = \frac{s_\phi}{\sqrt{1 + s_\phi^2}}$$

- The metric of the warped Randall-Sundrum space-time:

$$ds^2 = g_{MN}dx^M dx^N = e^{-2\sigma(y)}\eta_{\mu\nu}dx^\mu dx^\nu + dy^2,$$

- This is inspired by conformal symmetry, a.k.a. “scale symmetry”; used in cosmology, string theory and holography.
 - Conformal coordinates:

$$z = e^{ky}$$

- The metric in conformal coordinates:

$$ds^2 = \frac{1}{z^2} \left(\eta_{\mu\nu} dx^\mu dx^\nu + \frac{dz^2}{k^2} \right)$$

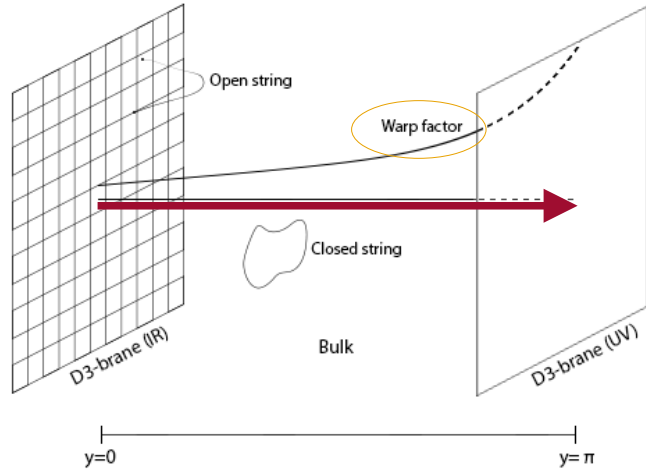
Extra-dimension (+1D)

Minkowski space-time (4D)



M. C. Escher “Circle Limit 1”. Example of conformal symmetry with hyperbolic scaling

- How the Randall-Sundrum space-time works:

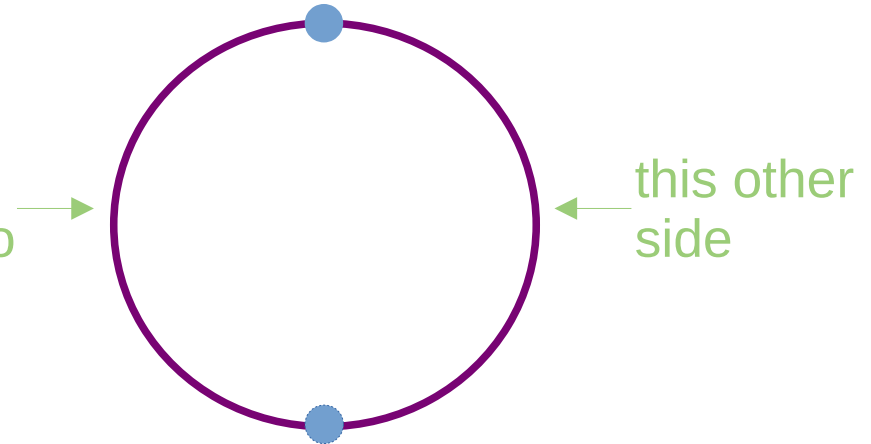


warping

$$ds^2 = g_{MN}dx^M dx^N = e^{-2\sigma(y)} \eta_{\mu\nu} dx^\mu dx^\nu + dy^2,$$

5h dimension compactified in a ring-shaped, two branes at opposite points, orbifold b.c. in both parts of the circle

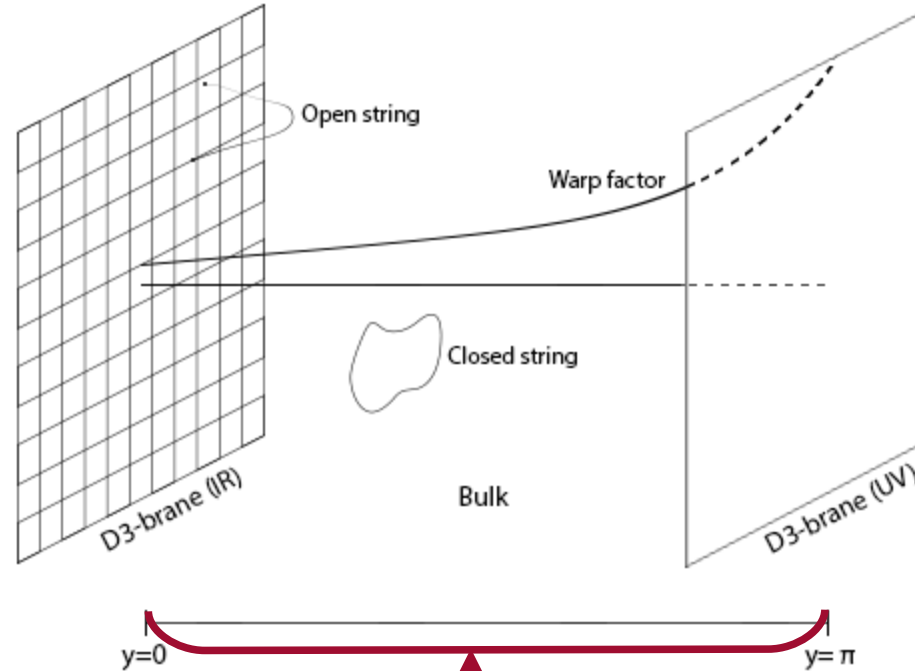
This side is symmetric to



This was proposed as a way to explain why gravity is so much weaker than the rest of the forces: gravitons (closed strings) leak into the extra-dimension

Gauge-Higgs Unification (GHU) Models

- Kaluza-Klein resonances:



Normal modes over this interval
(fifth component)

KK-resonances!

Gauge-Higgs Unification (GHU) Models

- How the Hosotani's Models work:
 - Most of the fields are localized in the bulk and the effects in our brane are projections
 - The original group symmetry is in 5 dimensions
 - ▶ The breaking pattern is way more complex than in the SM and features the Hosotani's mechanism

$$SU(3)_C \times SO(5) \times U(1)_X$$

$$\xrightarrow{BC} SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_X \quad \text{at } y = 0, L$$

$$\xrightarrow{\langle \Phi \rangle} SU(3)_C \times SU(2)_L \times U(1)_Y \quad \text{by the VEV } \langle \Phi_{(1,4)} \rangle \neq 0 \text{ at } y = 0$$

$$\xrightarrow{\theta_H} SU(3)_C \times U(1)_{EM} \quad \text{by the Hosotani mechanism,}$$

Remember we will be working with two different kind of models!



	B-model			A-model	
Quark	$(\mathbf{3}, \mathbf{4})_{\frac{1}{6}}$	$(\mathbf{3}, \mathbf{1})_{-\frac{1}{3}}^+$	$(\mathbf{3}, \mathbf{1})_{-\frac{1}{3}}^-$	$(\mathbf{3}, \mathbf{5})_{\frac{2}{3}}$	$(\mathbf{3}, \mathbf{5})_{-\frac{1}{3}}$
Lepton		$(\mathbf{1}, \mathbf{4})_{-\frac{1}{2}}$		$(\mathbf{1}, \mathbf{5})_0$	$(\mathbf{1}, \mathbf{5})_{-1}$
Dark fermion	$(\mathbf{3}, \mathbf{4})_{\frac{1}{6}}$	$(\mathbf{1}, \mathbf{5})_0^+$	$(\mathbf{1}, \mathbf{5})_0^-$	$(\mathbf{1}, \mathbf{4})_{\frac{1}{2}}$	
Brane fermion		$(\mathbf{1}, \mathbf{1})_0$		$(\mathbf{3}, [\mathbf{2}, \mathbf{1}])_{\frac{7}{6}, \frac{1}{6}, -\frac{5}{6}}$	
Brane scalar		$(\mathbf{1}, \mathbf{4})_{\frac{1}{2}}$		$(\mathbf{1}, [\mathbf{2}, \mathbf{1}])_{\frac{1}{2}, -\frac{1}{2}, -\frac{3}{2}}$	

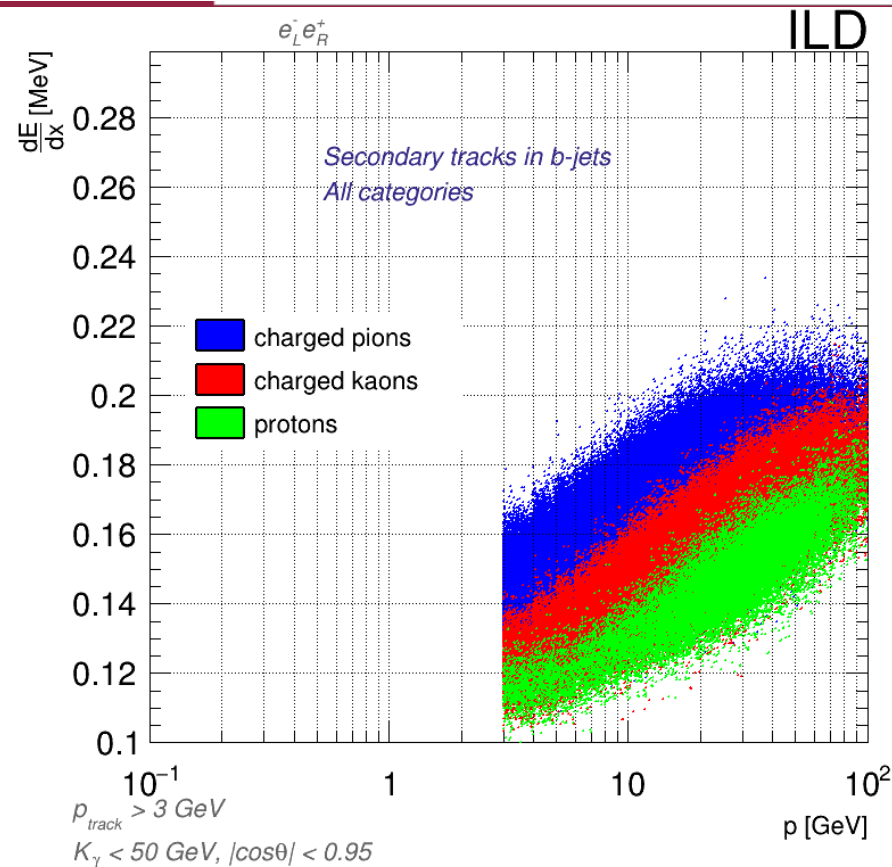
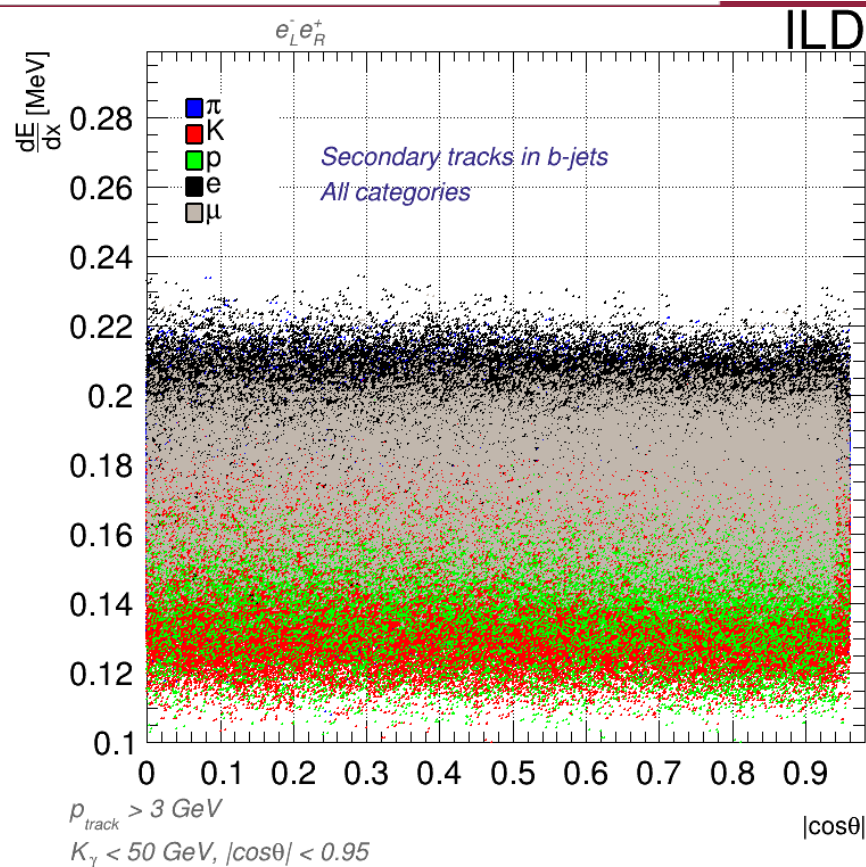
Field content in the group representation

Projection of couplings and EW mixing angle:

$$g_Y^{5D} = \frac{g_A g_B}{\sqrt{g_A^2 + g_B^2}}, \quad \sin \theta_W^0 = \frac{s_\phi}{\sqrt{1 + s_\phi^2}}$$

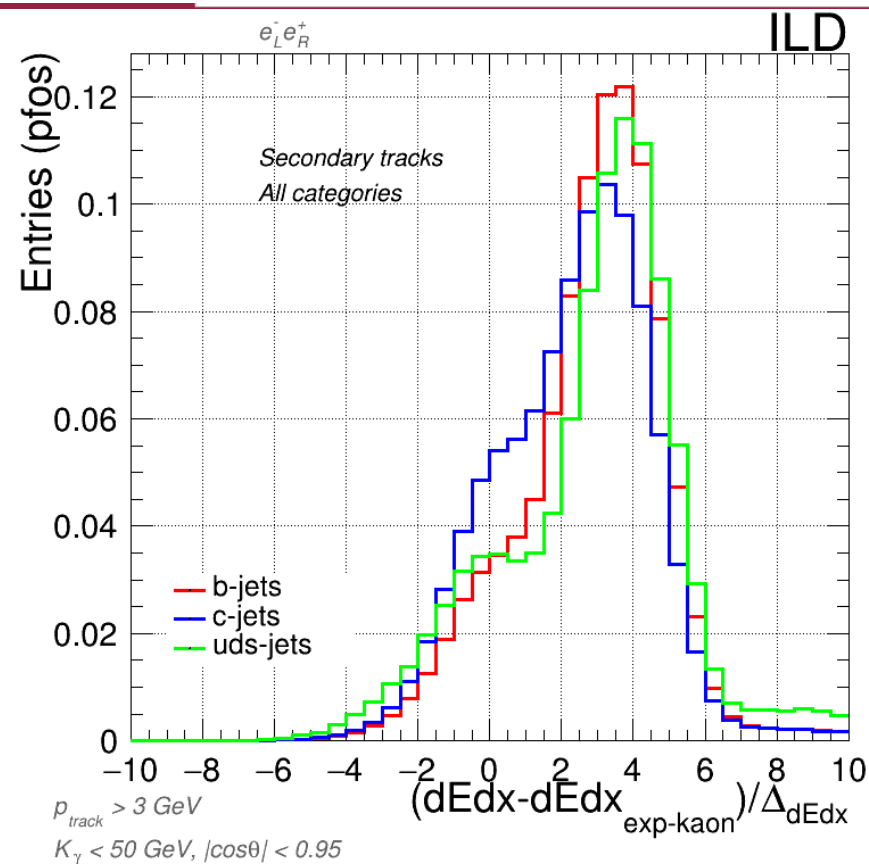
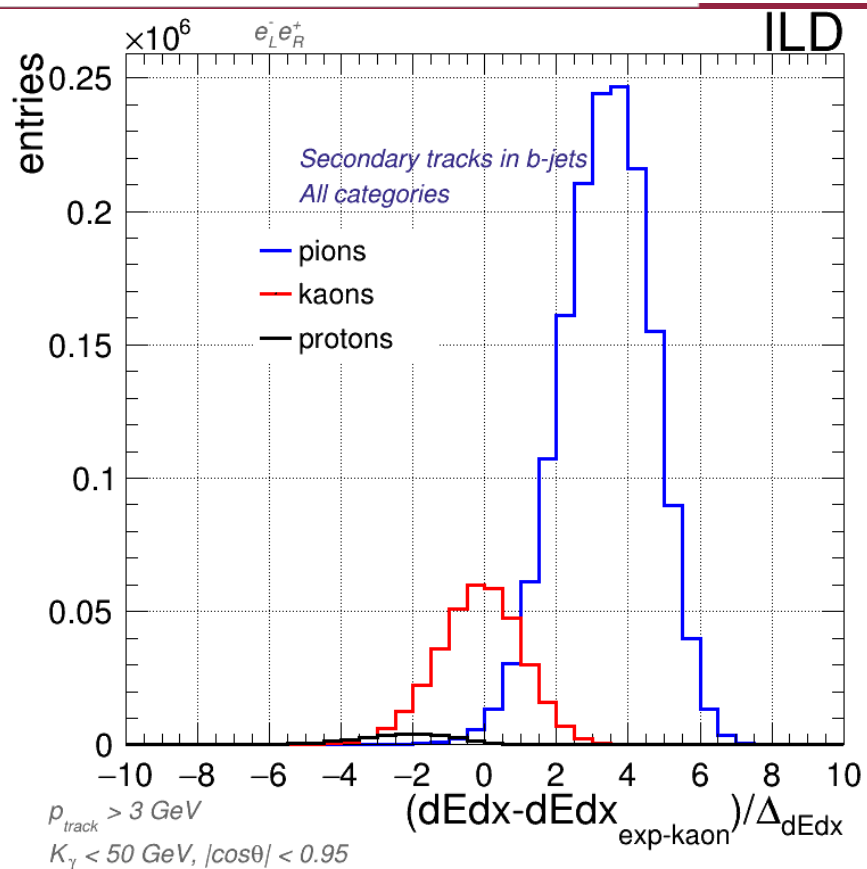
Adding dEdx in LCFI+

dEdx – Preselection of pfos



Adjusting this points to the Bethe-Bloch formula: Estimate PID

dEdx – KDS for different quark flavours

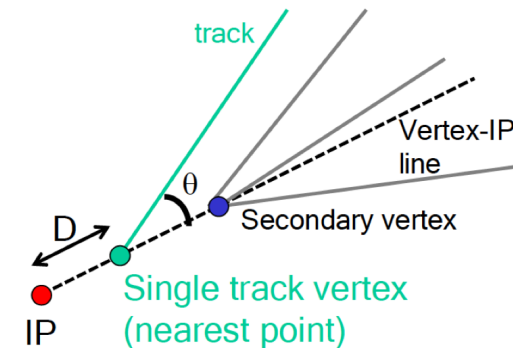
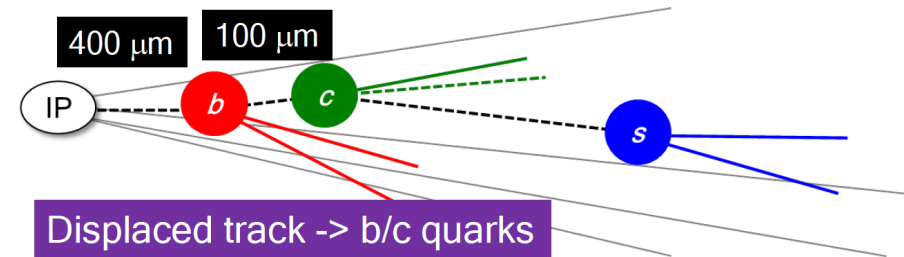


We repeat this also with Pions and Protons.

We build 3 variables NKaonSec, NPionSec & NProtonSec and add them to the FT!

Flavour tagging: LCFI+

- Vertex finder:
 - Reconstruct collinear or close-to-collinear vertexes by merging particle tracks from the event information.
 - Distance ($\tau_q \cdot c$) from the IP is key for b and c quark ID: Displaced vertexes.
 - We also encounter single track vertexes: pseudo-vertexes.
- Jet Clustering & vertex refiner:
 - Use the vertexing information.
 - Different algorithms could be used (k_T , Durham, **VLC**, etc.).
 - In our case, we expect two back-to-back jets with ISR.
- Flavour tagging:
 - TMVA (BDT based).
 - 3-class classifier b/c/uds.



arXiv:1506.08371

With ISR removal

Z-Pole (LCFI+ paper₁)

250 GeV samples

500 GeV samples

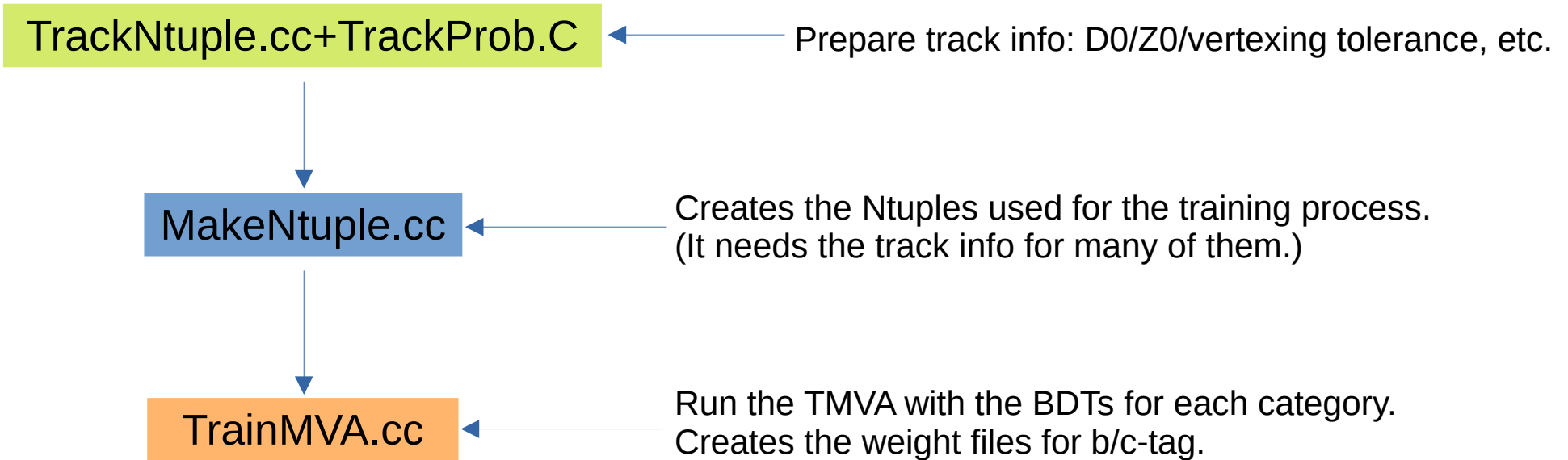
Events (%)			
Cat.	b jets	c jets	uds jets
A	22.9	59.5	98.1
B	39.7	39.8	1.80
C	13.5	0.54	0.02
D	23.8	0.19	0.04

Events (%)			
Cat.	b jets	c jets	uds jets
A	13.9	46.2	98.2
B	30.5	51.0	1.59
C	23.9	2.29	0.11
D	31.7	0.55	0.14

Events (%)			
Cat.	b jets	c jets	uds jets
A	11.2	35.8	96.7
B	28.6	58.3	2.64
C	22.9	4.65	0.26
D	37.3	1.27	0.42

1. LCFIPlus: A Framework for Jet Analysis in Linear Collider Studies

Category	A	B	C	D
Number of vertices	0	1	1	2
Number of single-track pseudovertrices	0-2	0	1	0



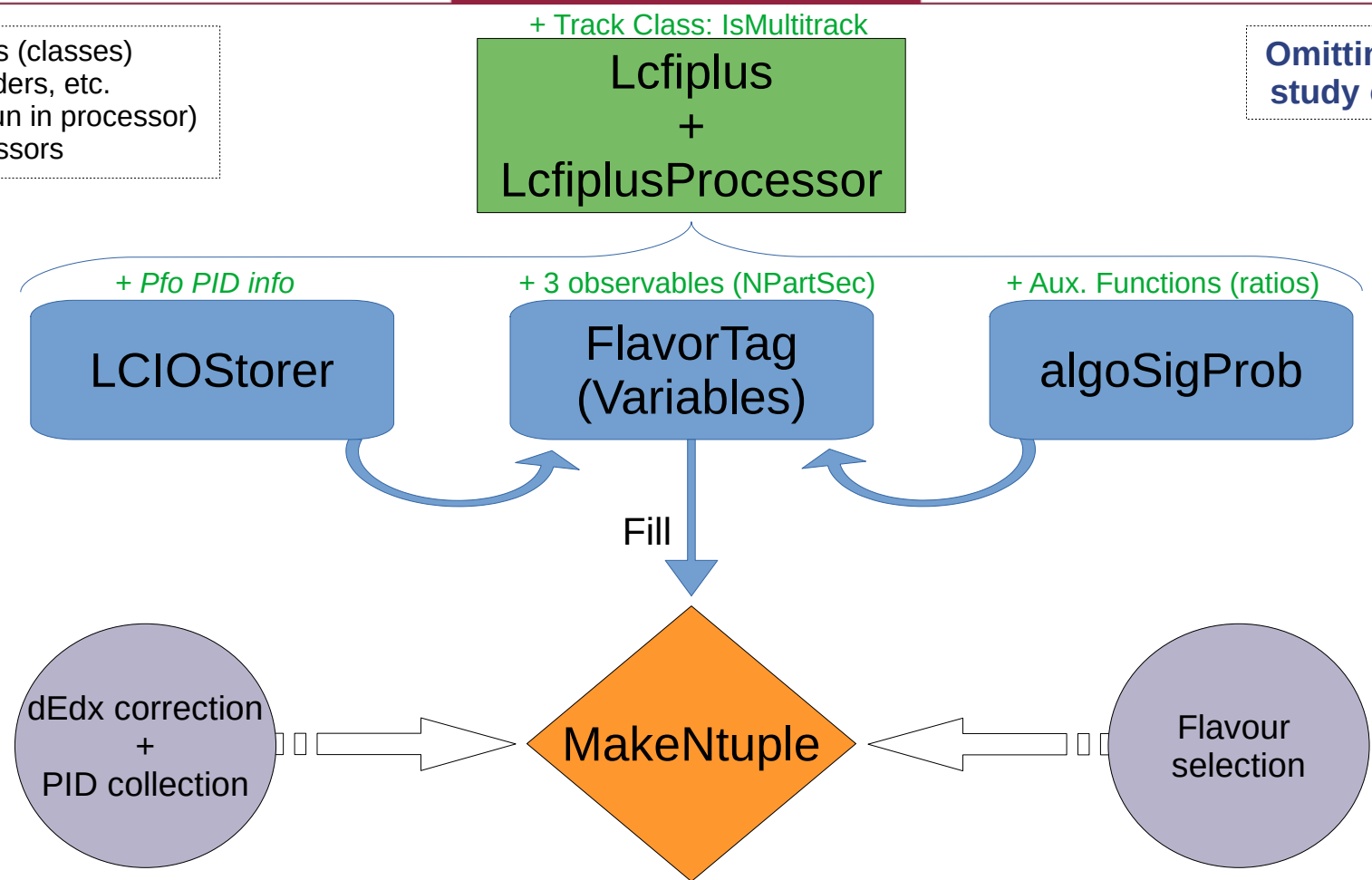
To introduce dEdx in the training process we need to:

1. Load it into the Ntuples when we run MakeNtuple.cc
2. Re-Train to get new weights.
3. Check that this training is optimal:
3.1 **Particle Swarm Optimization** + Statistical tests (KS & AD)

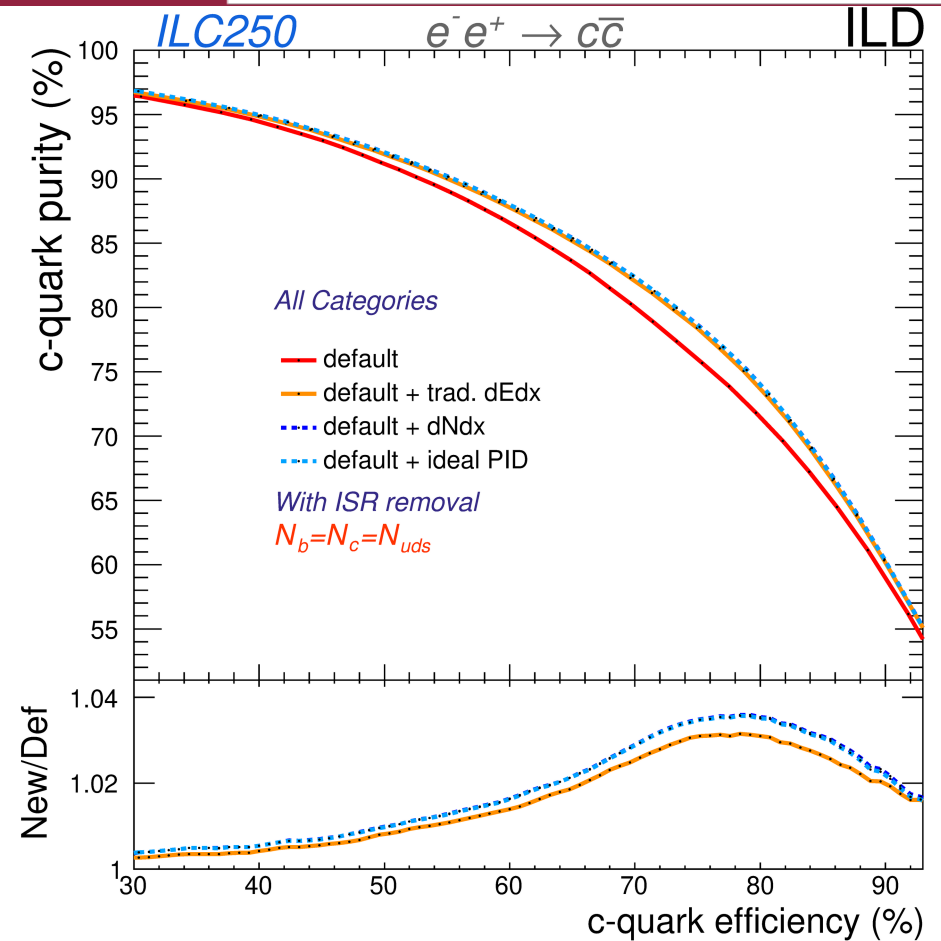
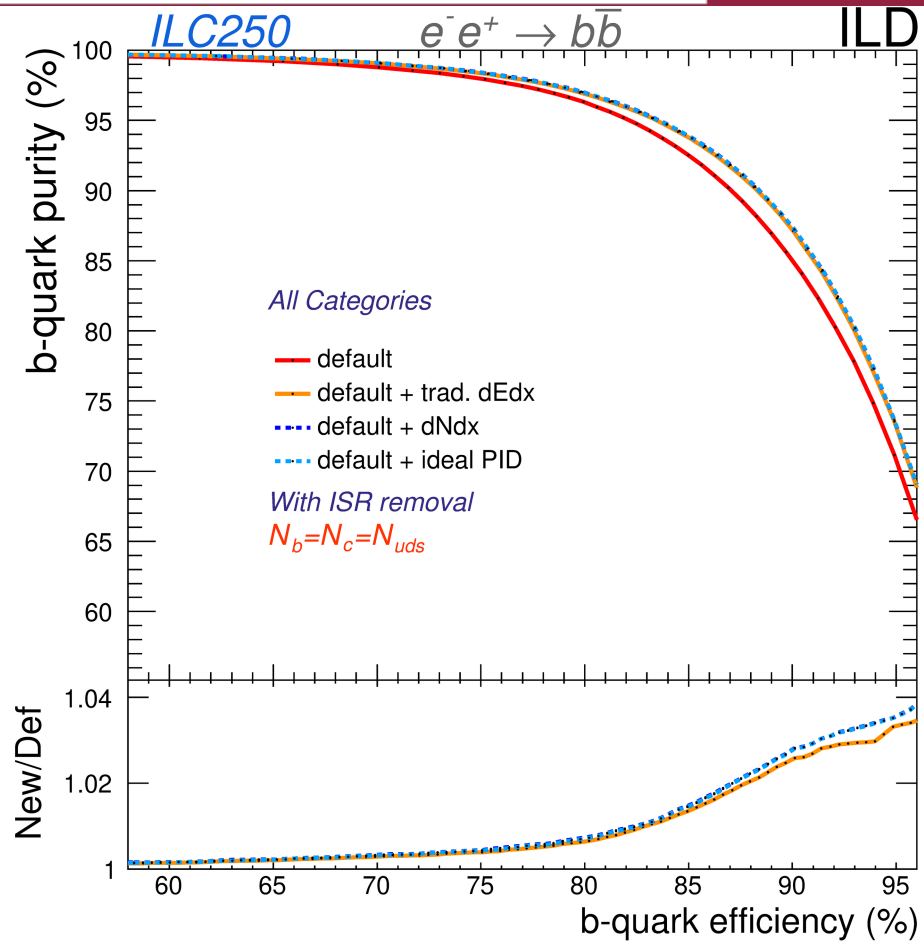
LCFI+ MakeNtuple Workflow (+dEdx)

- Main definitions (classes)
- Functions, readers, etc.
- Algorithm (to run in processor)
- External processors

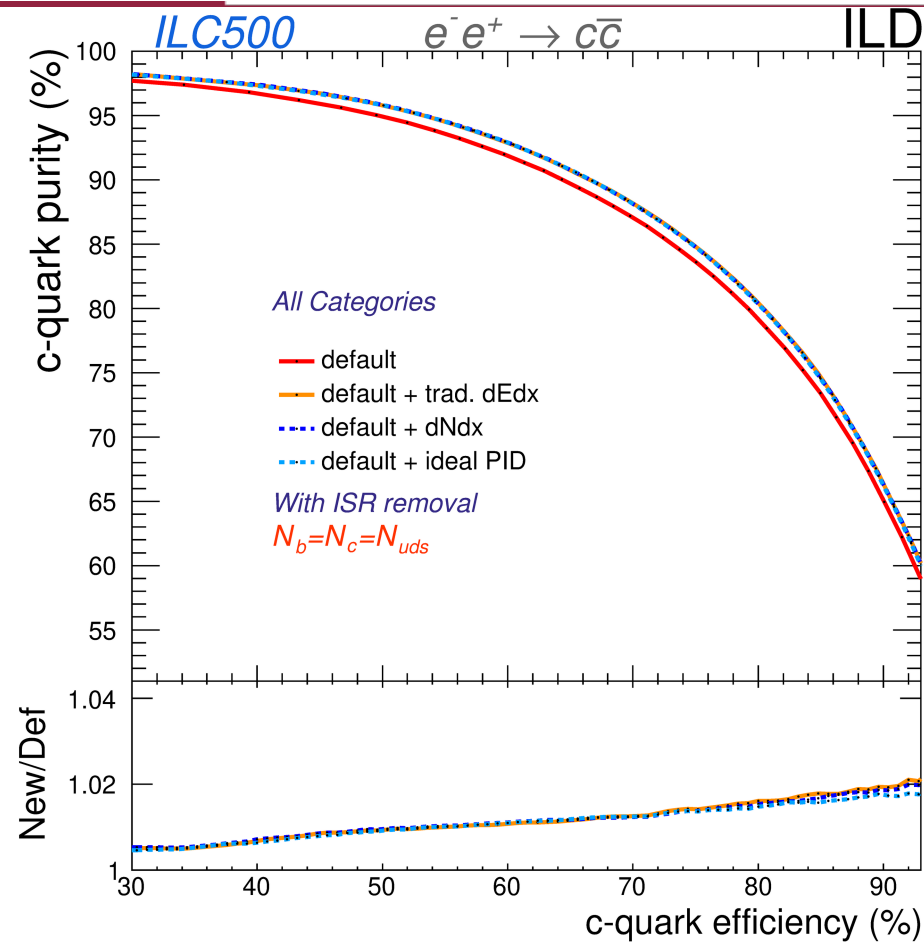
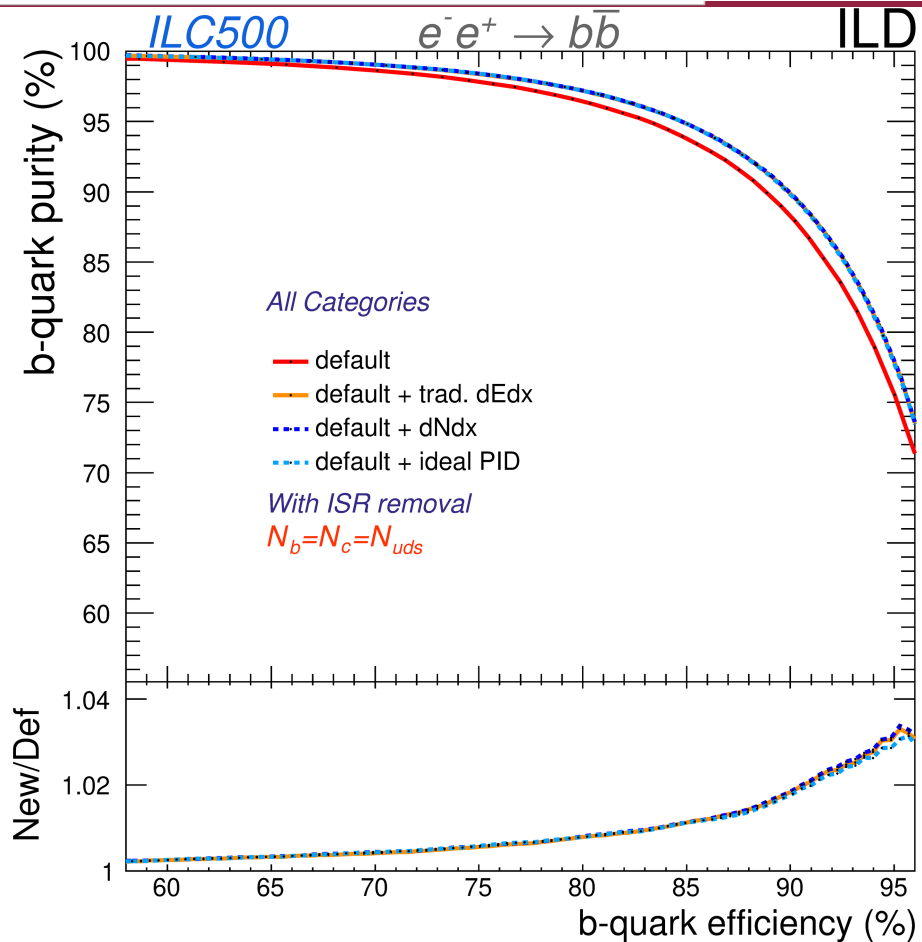
Omitting parts I didn't study or interact with



Effects of dNdx in Flavour Tagging (250 GeV)



Effects of dNdx in Flavour Tagging (500 GeV)



Particle Swarm Optimization

- We are already working with these Gradient Boosted Decision trees using ROOT's Toolkit for MultiVariate data Analysis (TMVA). We use the following parameters:
 - **BoostType=Grad.**
 - NTrees.
 - Shrinkage.
 - UseBaggedBoost:BaggedSampleFraction.
 - **Bagging:** A new sampling is performed before each step (removes biases).
 - NCuts (binning used when sampling).
 - MaxDepth (N^o of leaves).

The Particle Swarm Algorithm optimizes the use of *these parameters*

We used all but the orange ones, which are method definitions

PSO - Overview

- Particle Swarm Optimization is a Gradient-free, bio-inspired, stochastic, population-based algorithm to optimize any kind of process towards a certain goal:
 - No maths involved in the optimization (no gradients or loss functions!).
 - It just try configurations and saves the *best-performing one*.
 - It mimics how animals look for resources, by trial and error.
- How it works:
 - We have N “particles” (in our case: configurations of the BDT). Then:
 - 1) The BDT runs with the configuration of the particle.
 - 2) When finished, each particle gets a performance score.
 - We define a Function Of Merit (FOM) for this scoring
 - 3) We track each particle’s best configuration and the best global one.
 - 4) The particles move to a new configuration (next slide).

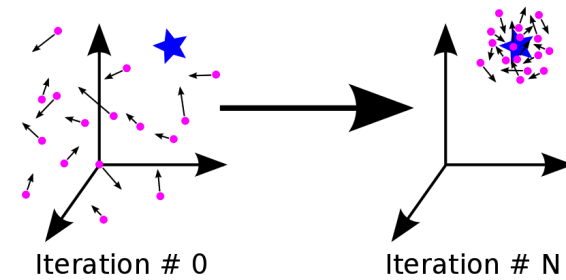
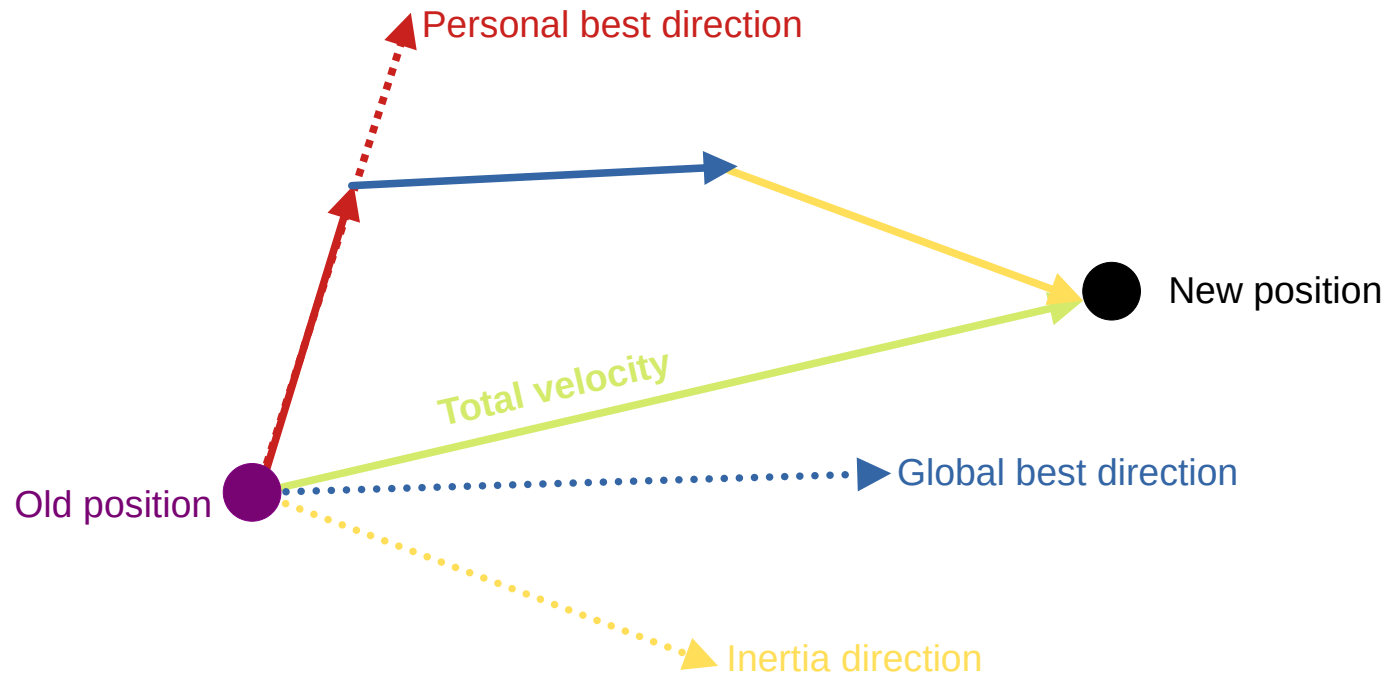


Image taken from a [website](#)

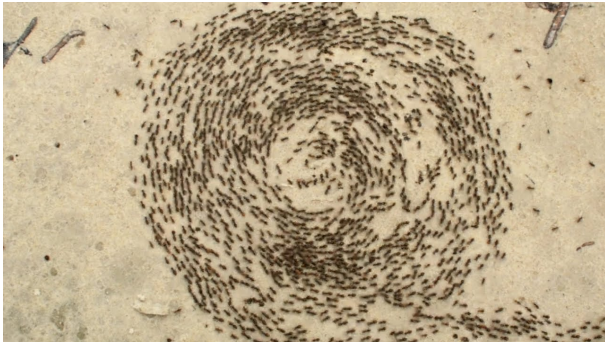
For each iteration

Position: $\vec{X}_i^{t+1} = \vec{X}_i^t + \vec{V}_i^{t+1}$

Velocity: $\vec{V}_i^{t+1} = w\vec{V}_i^t + c_1r_1(\vec{P}_i^t - \vec{X}_i^t) + c_2r_2(\vec{G}^t - \vec{X}_i^t)$



- We need:
 - A 3-class classifier (b quarks, c quarks, uds quarks).
 - We also want to avoid overfitting:
 - Kolmogorov-Smirnov test
 - Anderson-Darling test
- } Control biased test scores. (more info in back-up)
- We need a FOM adapted to 3 different classes.
 - Important remark: A final check is **always needed**:



Trial and error can go wrong sometimes!

PSO – Function Of Merit (FOM)

- The FOM being used is the averaged value of the Integral of the Receiver Operating Characteristic curve for each of the 3 data classes.
 - Considering the target class as signal and the others as background.

- Our FOM is simply:

$$\text{FOM} = (\text{AUC}[b_{\text{quark}}] + \text{AUC}[c_{\text{quark}}] + \text{AUC}[uds_{\text{quarks}}]) / 3,$$

where AUC = "Area Under Curve" (ROC Integral).

