

# CP violating top-Higgs coupling in SMEFT

International Workshop on Future Linear Colliders (LCWS2024)

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Based on [1] Vernon Barger, Kaoru Hagiwara and YJZ, Phys.Lett.B 850 (2024) 138547.

[2] Morgan Cassidy, Zhongtian Dong, Kyoungchul Kong, Ian Lewis, Yanzhe Zhang and YJZ, JHEP05(2024) 176.

[3] Kaoru Hagiwara, Junichi, Kanzaki, Olivier Mattelaer, Kentarou Mawatari, YJZ, [arXiv:2405.01256].

# Outline

- CP violating top Yukawa from effective Lagrangian
- LHC searches and  $e^-e^+$  collider prospect
- Muon collider process
- Gauge invariant Lagrangian from a dimension-6 operator
- Unitarity constraints
- Individual diagram contributions in the Feynman-Diagram gauge

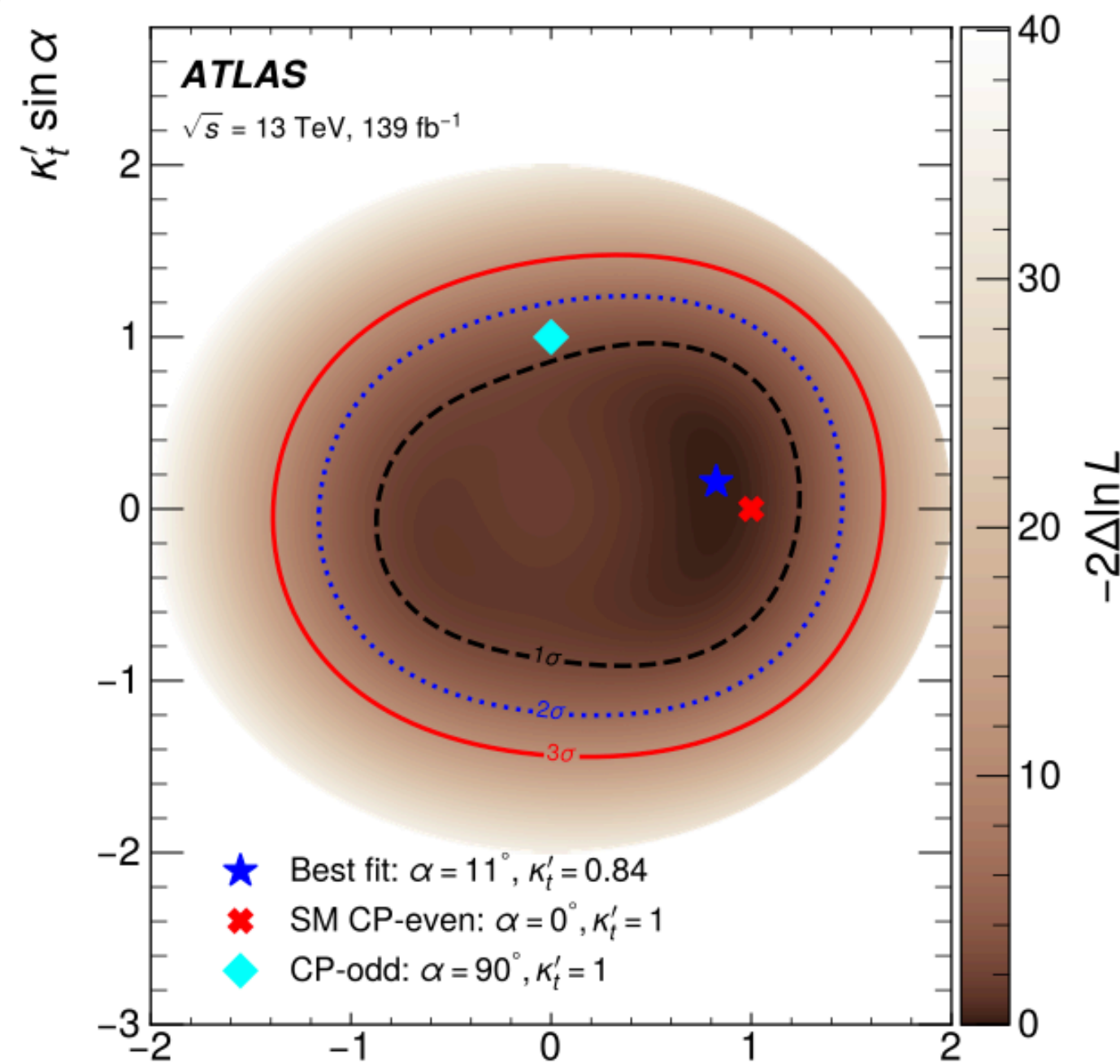
# LHC searches and constraints

$$\mathcal{L}_{ttH} = -gH\bar{t}(\cos \xi + i\gamma_5 \sin \xi)t$$

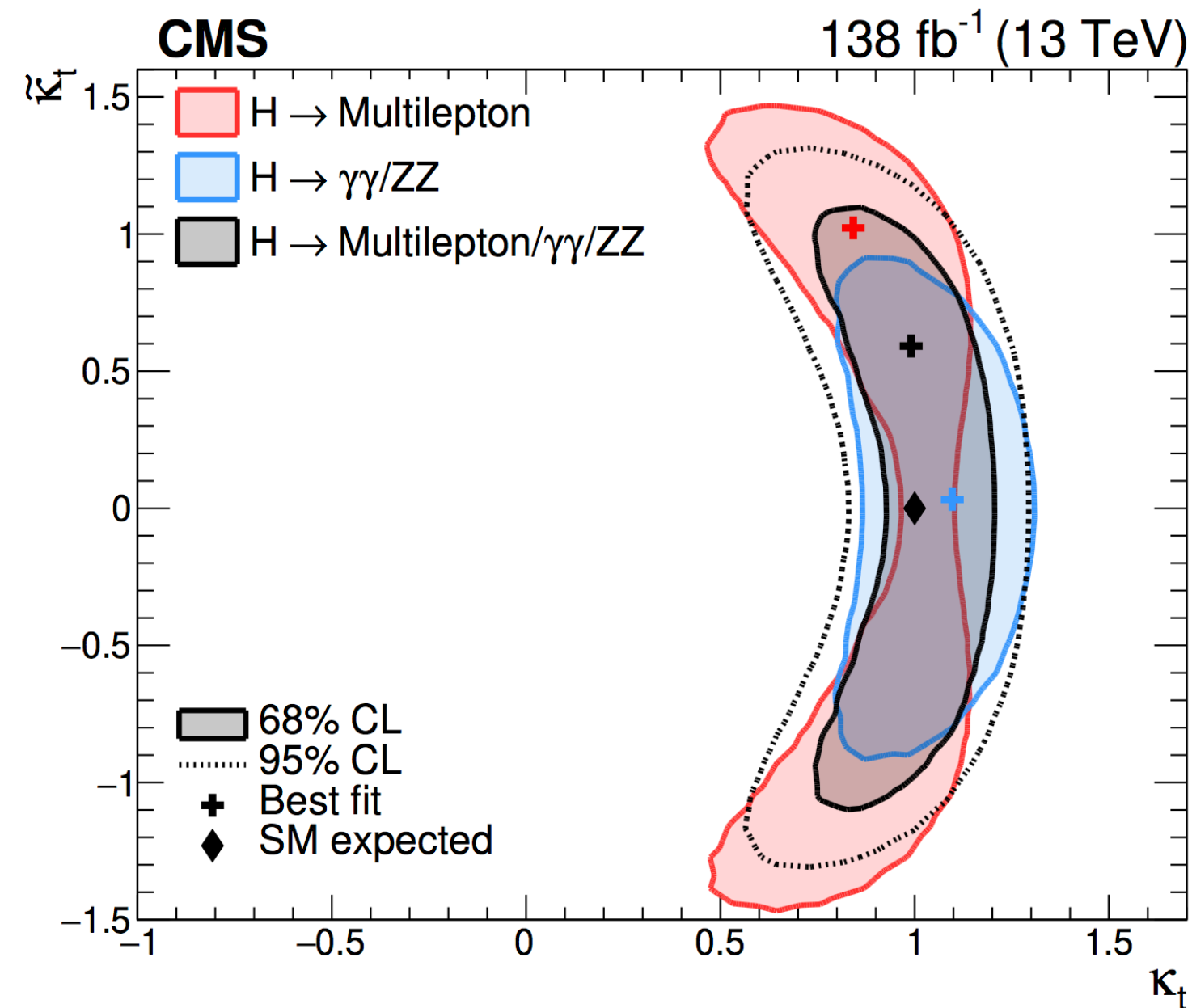
$g$  is real and positive,  $-\pi < \xi < \pi$

When  $g=g_{SM}=m_t/v$ ,  $\xi=0 \rightarrow$  SM

- X. Zhang, S. K. Lee, K. Whisnant, and B. L. Young, "Phenomenology of a nonstandard top quark Yukawa coupling," Phys. Rev. D 50 (1994) 7042–7047, arXiv:hep-ph/9407259.
- H. Bahl, E. Fuchs, S. Heinemeyer, J. Katzy, M. Menen, K. Peters, M. Saimpert, and G. Weiglein, "Constraining the CP structure of Higgs-fermion couplings with a global LHC fit, the electron EDM and baryogenesis," Eur. Phys. J. C 82 (2022) no. 7, 604, arXiv:2202.11753 [hep-ph].

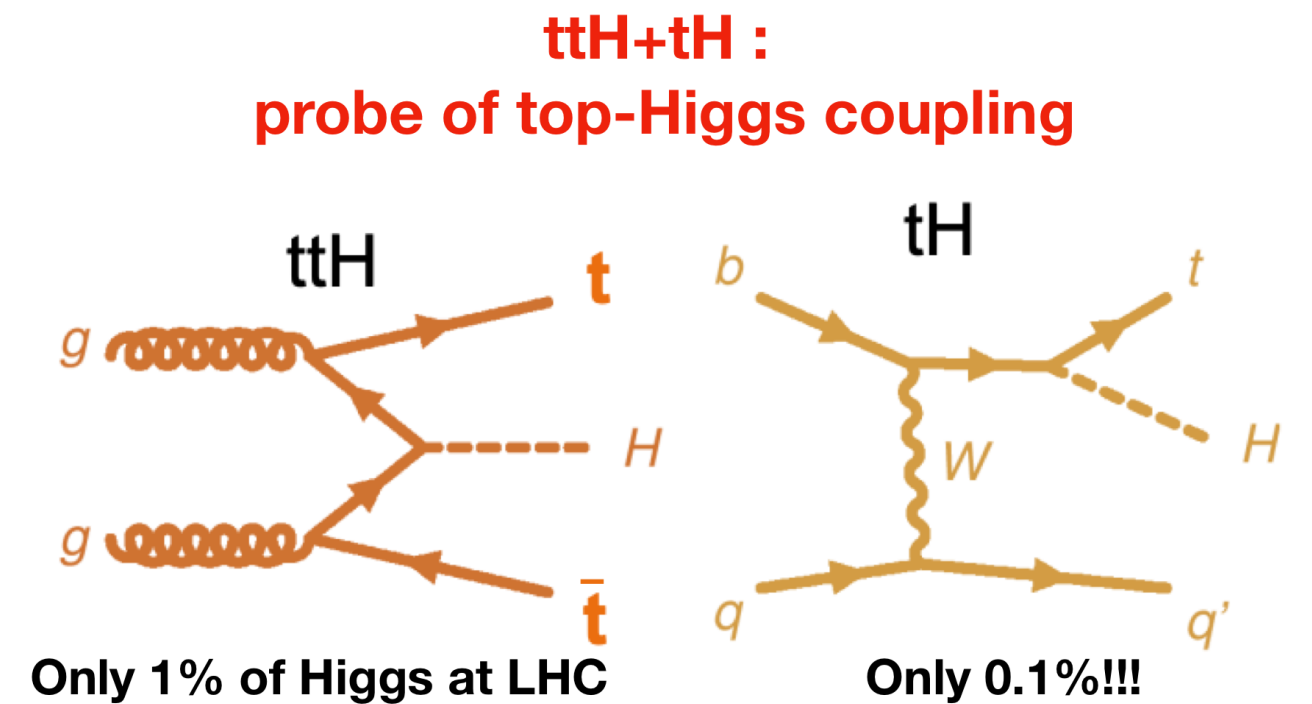


ATLAS:  $ttH+tH, H \rightarrow bb$   
 arXiv:2303.05974



CMS:  $ttH+tH, H \rightarrow ls/rr/ZZ$   
 JHEP07(2023)092

Measurement of Higgs boson production in association with top quarks



by Nedaa-Alexandra Asbah, CERN

LHC direct searches:  
 ATLAS best fit:  $11+52-73$  degree

# Direct measurement of a CP violating top-Higgs Yukawa Lagrangian at LHC and $e^-e^+$ collider

## Top pair +Higgs, $pp \rightarrow ttH$

X.G.He, G.N.Li, YJZ.

*Int.J.Mod.Phys.A*30(2015)  
25,1550156.

## Single top +Higgs, $pp \rightarrow tHj$

V.Barger, K. Hagiwara,

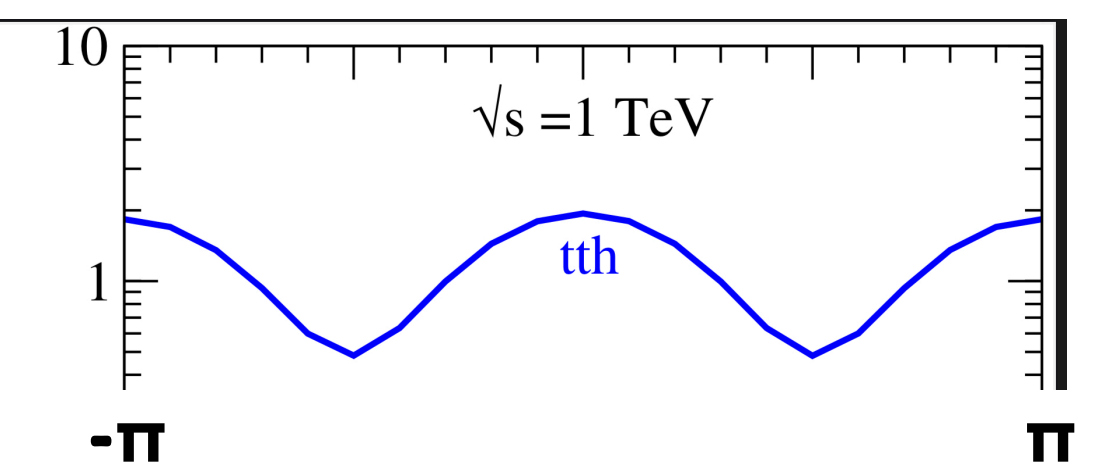
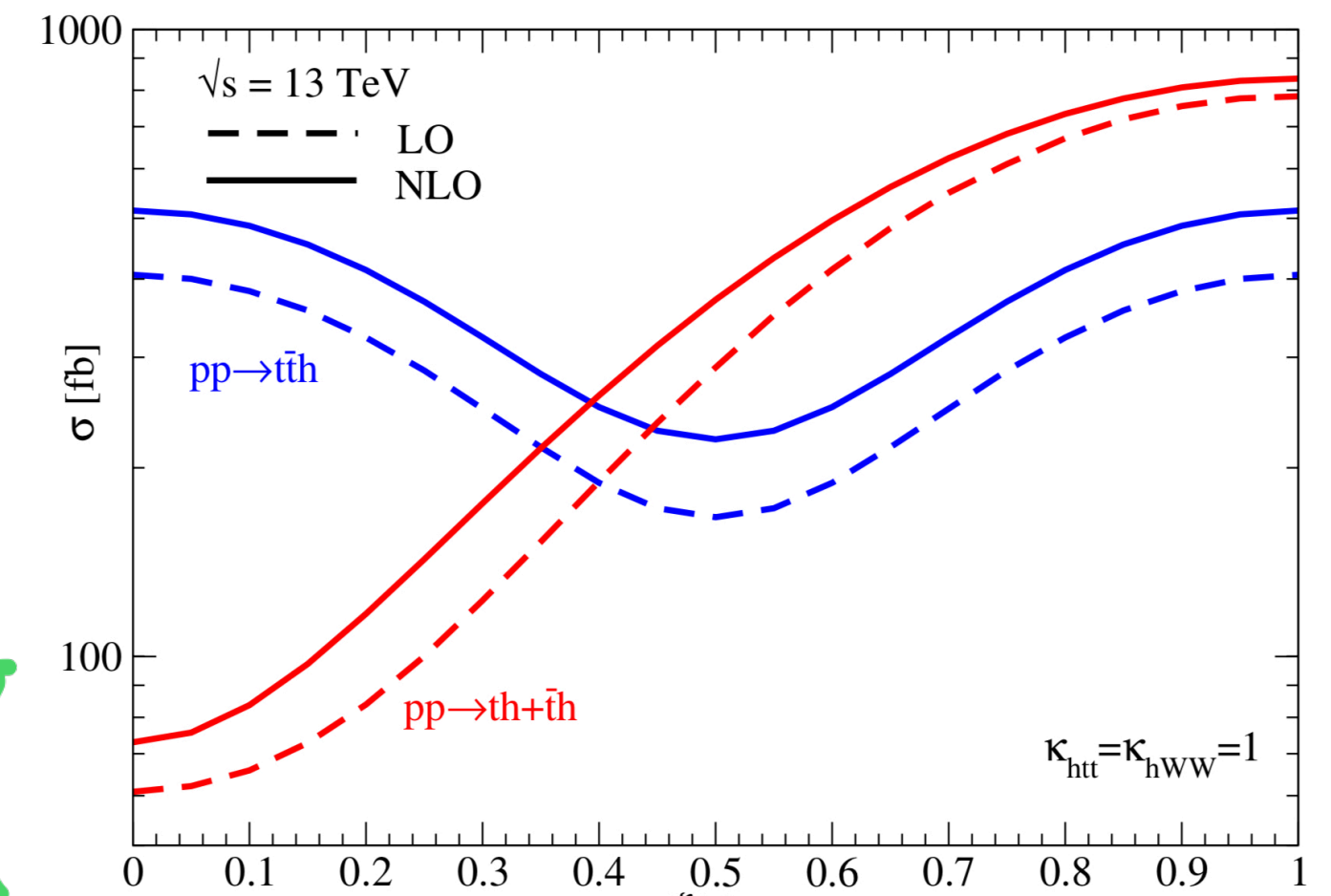
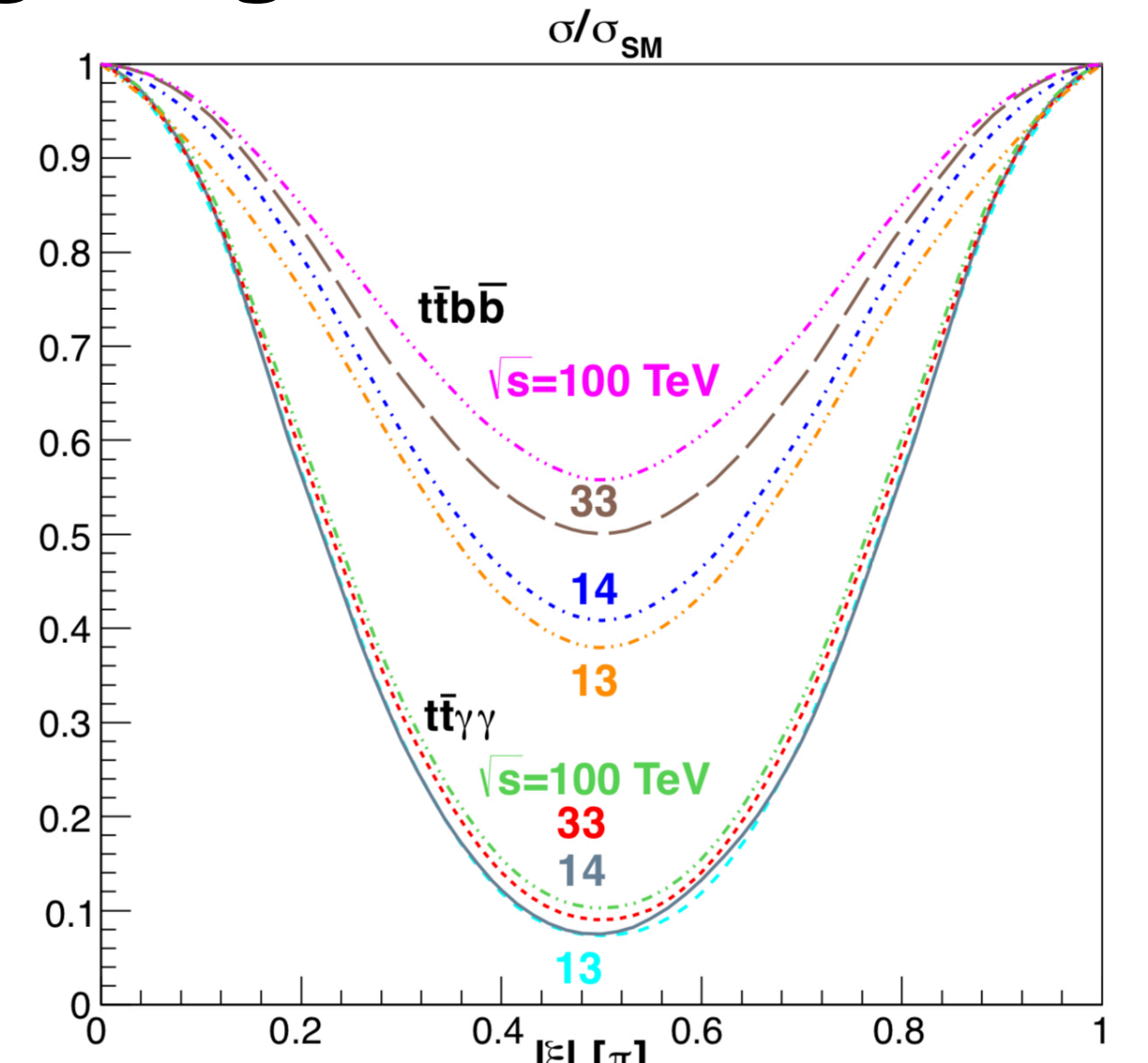
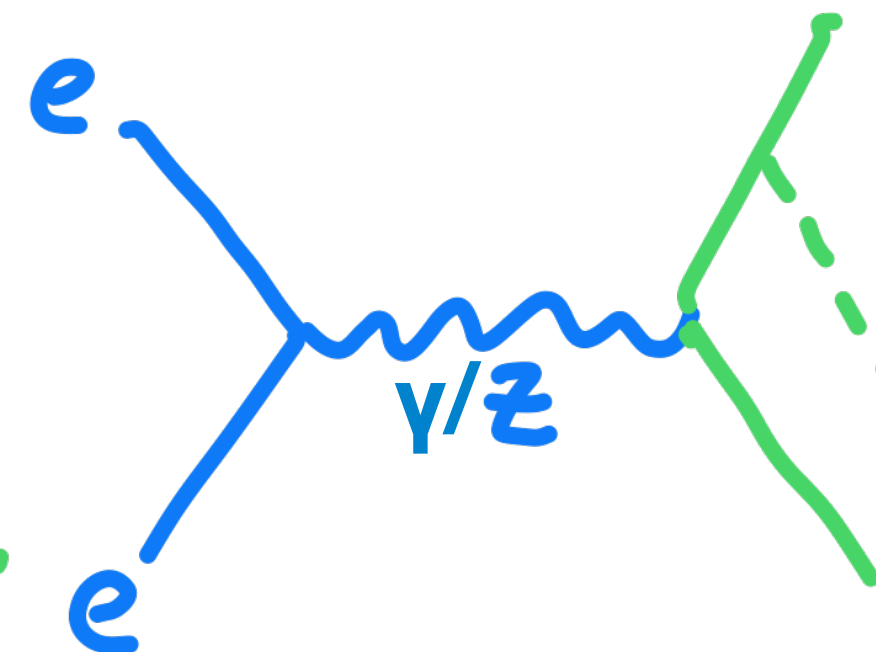
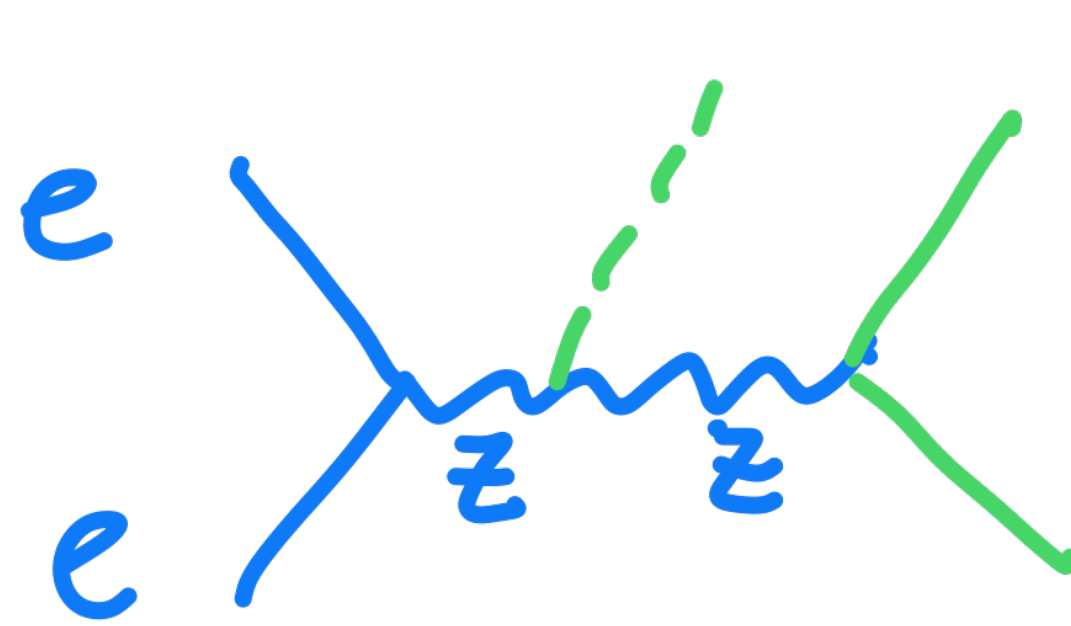
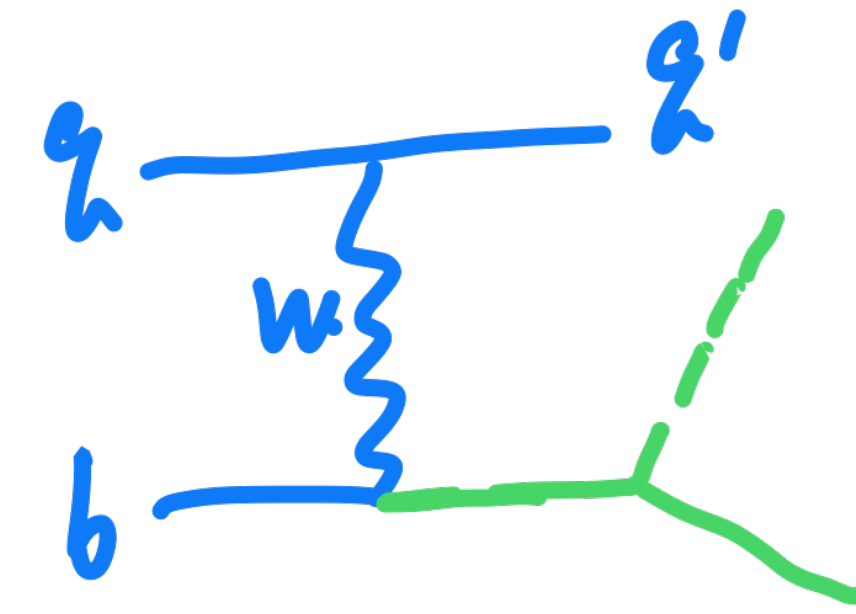
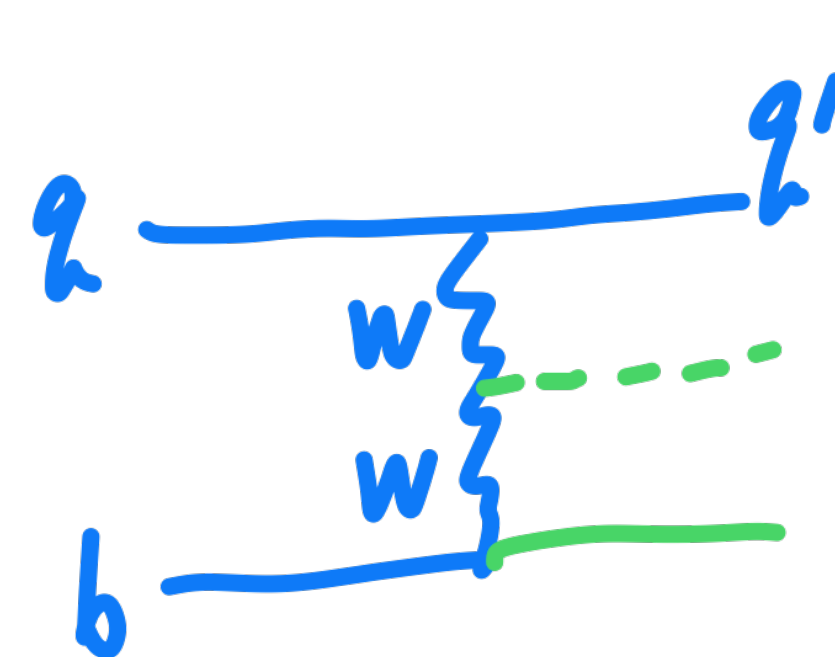
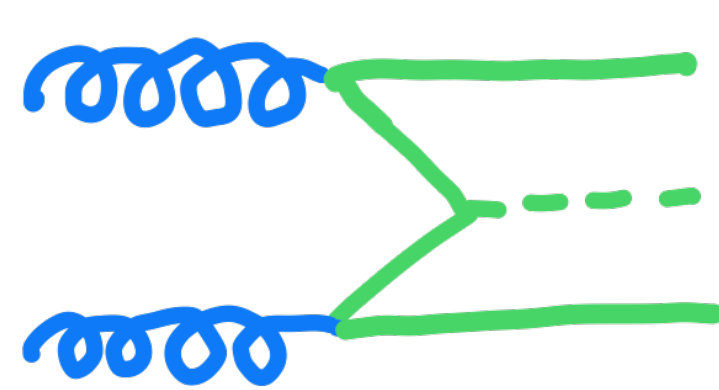
YJZ.*Phys.Rev.D*99(2019)3,0  
31701, *JHEP*09(2020)101.

## Top pair +Higgs, $ee \rightarrow ttH$

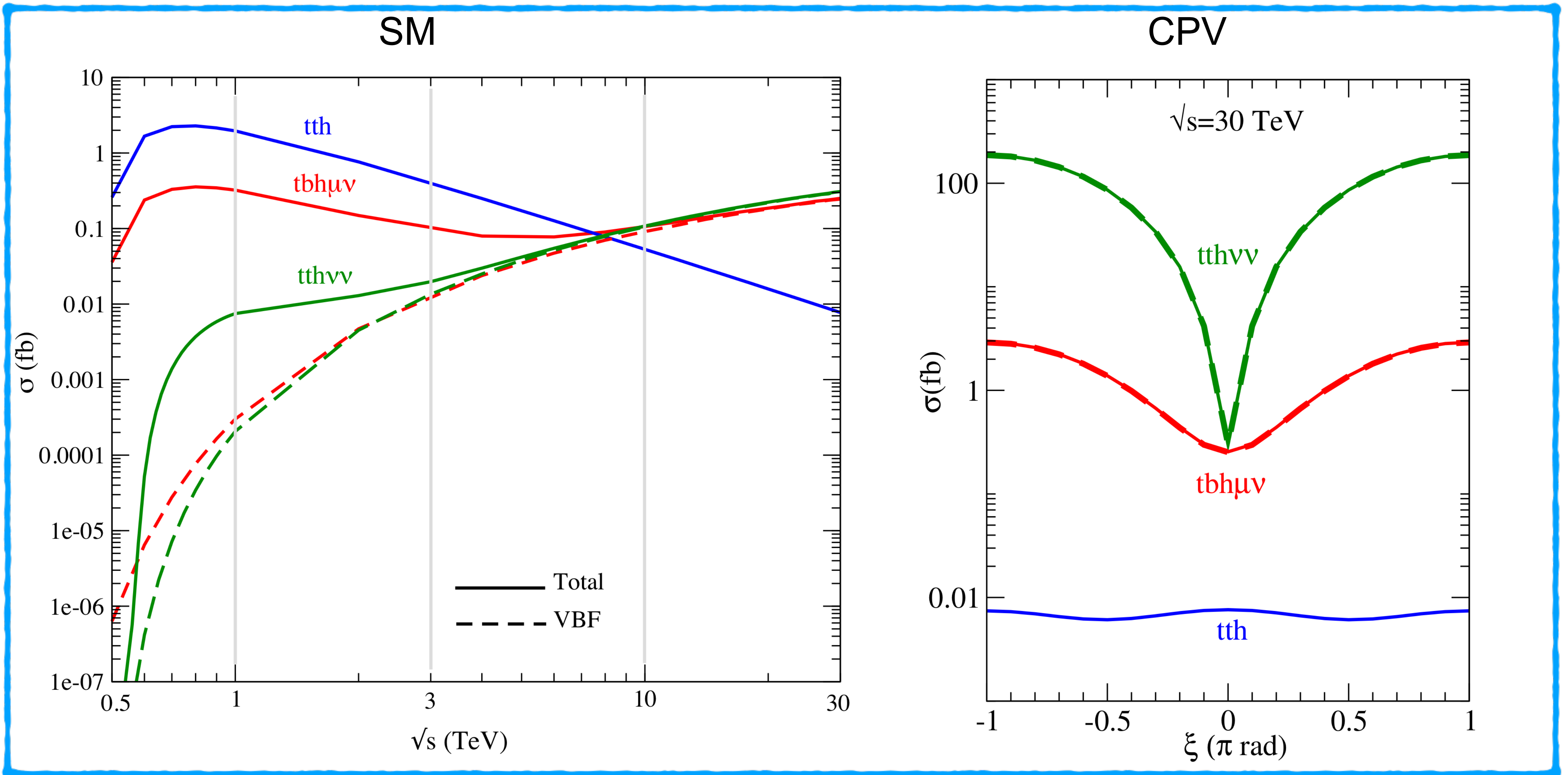
K. Hagiwara, H.Yokoya, YJZ.

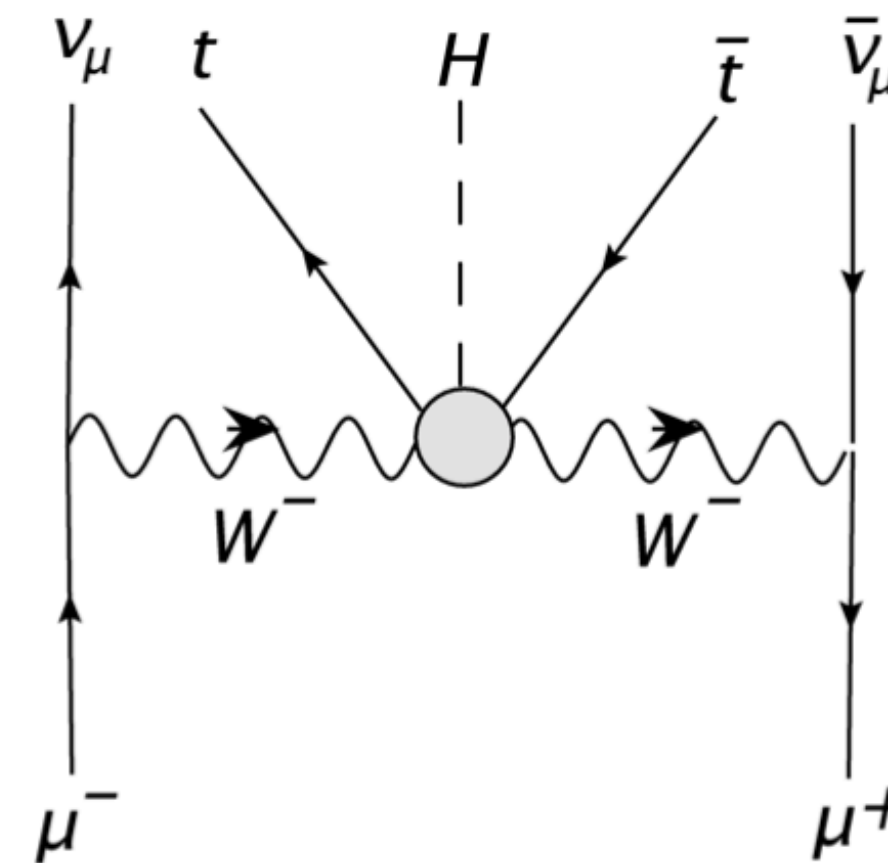
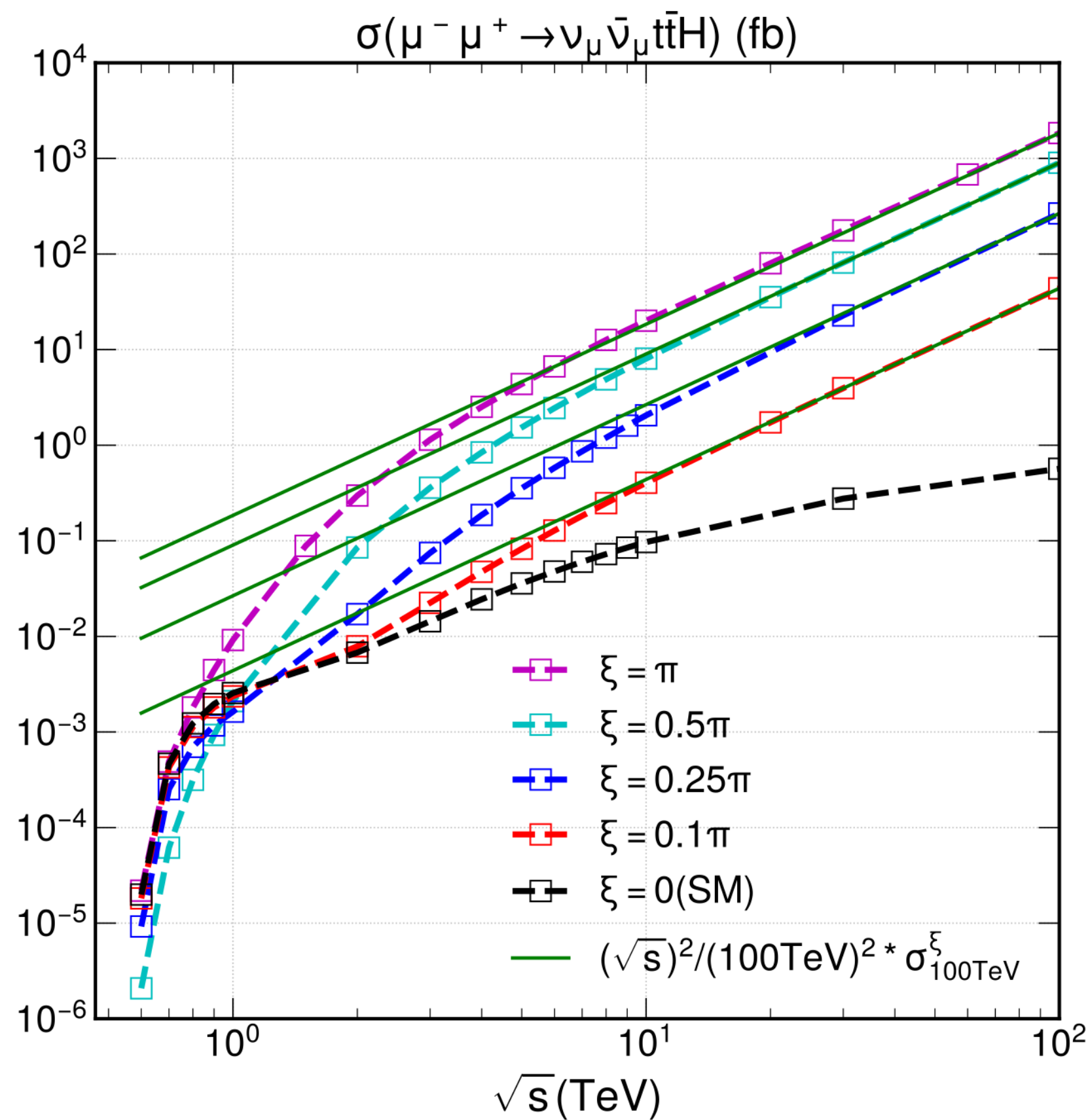
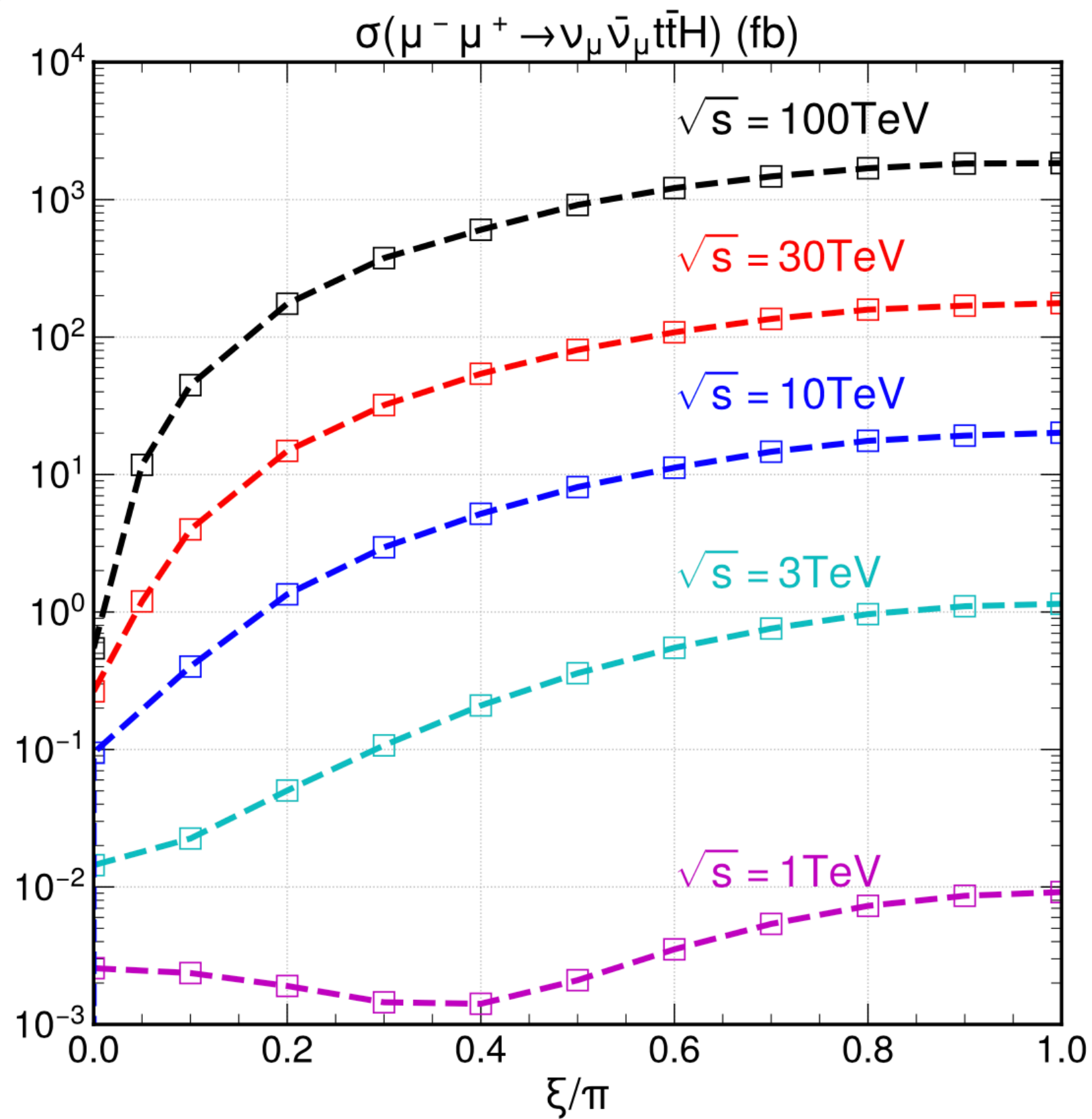
*JHEP*02(2018)180

$$\mathcal{L}_{ttH} = -gH\bar{t}(\cos \xi + i\gamma_5 \sin \xi)t$$



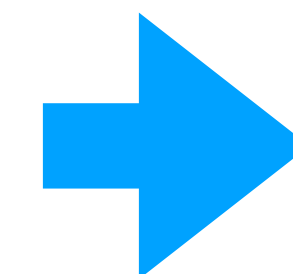
# Top Yukawa processes at muon collider



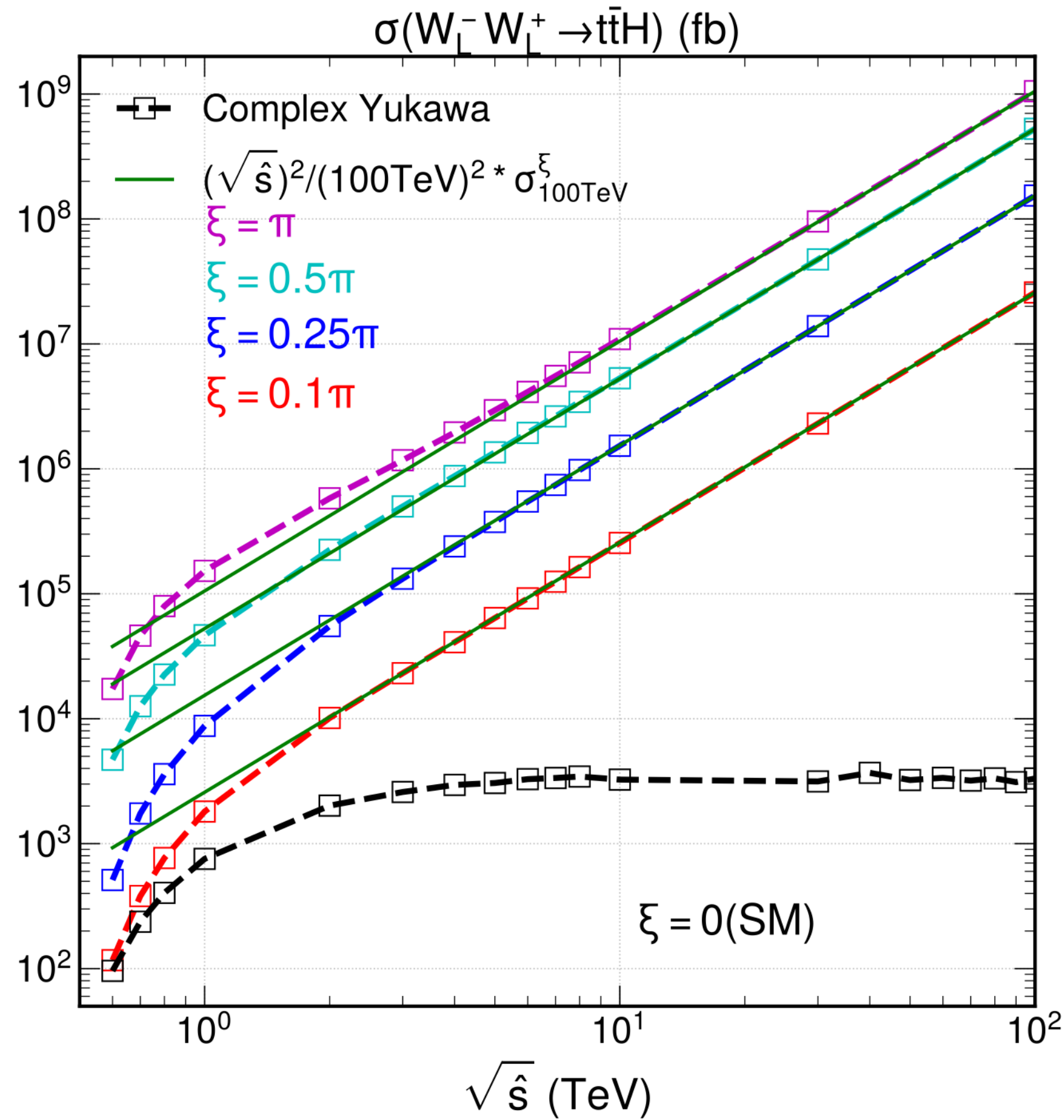


88 diagrams generated from Madgraph5, 20 diagrams are Vector Boson Fusions

Energy dependence at high energy:  
 BSM: quadratic growth  
 SM: logarithmically growth



Vector Boson Fusion



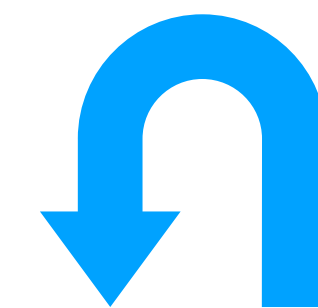
$$W^-(q, h = 0) W^+(\bar{q}, \bar{h} = 0) \rightarrow t\bar{t}H$$

quadratic energy growth from the longitudinally polarized weak boson wave functions  $E/m_W$ .

In the SM,  $E/m_W$  from individual diagram cancels after summing up, leading to the Goldstone boson equivalence theorem (GBET) as a manifestation of gauge invariance.

$$\mathcal{L}_{t\bar{t}H} = -gH\bar{t}(\cos \xi + i\gamma_5 \sin \xi)t$$

Gauge invariant formulation: Models like two Higgs doublet models, etc



SMEFT

# A gauge invariant top Yukawa sector:

## Dimension-6 operator

$$\mathcal{L} = -y_{\text{SM}} Q^\dagger \phi t_R - \frac{\lambda}{\Lambda^2} Q^\dagger \phi t_R \phi^\dagger \phi + \text{h.c.}$$

$$Q = (t_L, b_L)^T$$

$$\phi = ((v + H + i\pi^0)/\sqrt{2}, i\pi^-)^T$$

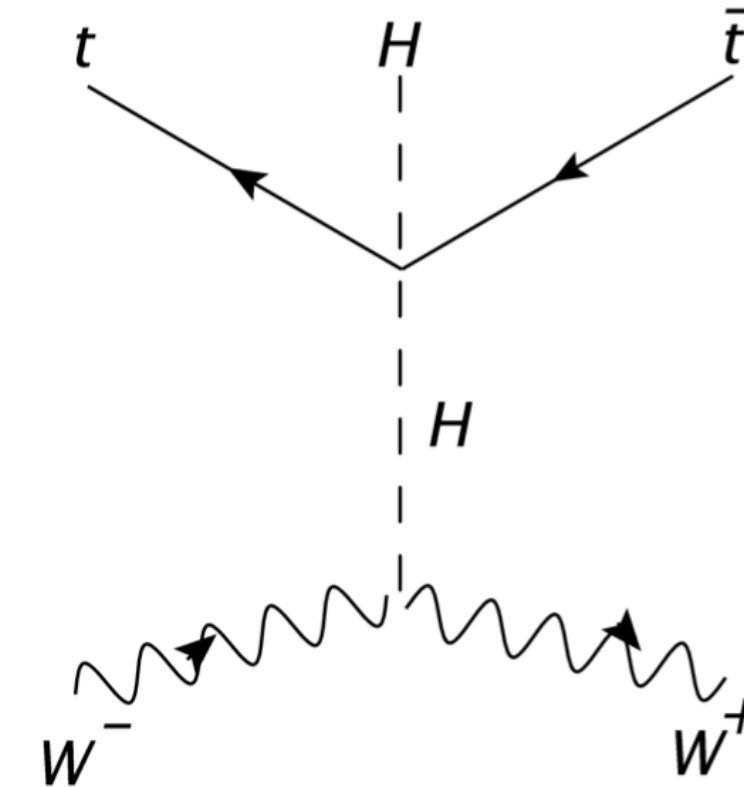
$$\mathcal{L}_{ttH}^{\text{SMEFT}} = -Q^\dagger \phi t_R (y' - \frac{\lambda}{\Lambda^2} (vH + \frac{H^2 + (\pi^0)^2}{2} + \pi^+ \pi^-)) + \text{h.c.},$$

$$y' = y_{\text{SM}} - \frac{\lambda v^2}{2\Lambda^2}$$

$$\begin{aligned} \mathcal{L}_{ttH}^{\text{SMEFT}} = & -m_t t_L^\dagger t_R - g_{\text{SM}} \left[ (H + i\pi^0) t_L^\dagger + i\sqrt{2}\pi^- b_L^\dagger \right] t_R \\ & - (ge^{i\xi} - g_{\text{SM}}) \left\{ H t_L^\dagger t_R + \frac{H}{v} \left[ (H + i\pi^0) t_L^\dagger + i\sqrt{2}\pi^- b_L^\dagger \right] t_R \right\} \\ & - (ge^{i\xi} - g_{\text{SM}}) \left\{ \left[ \frac{H^2 + (\pi^0)^2}{2v} + \frac{\pi^+ \pi^-}{v} \right] t_L^\dagger t_R \right. \\ & \left. + \frac{H^2 + (\pi^0)^2 + 2\pi^+ \pi^-}{2v^2} \left[ (H + i\pi^0) t_L^\dagger + i\sqrt{2}\pi^- b_L^\dagger \right] t_R \right\} + \text{h.c.}, \end{aligned}$$

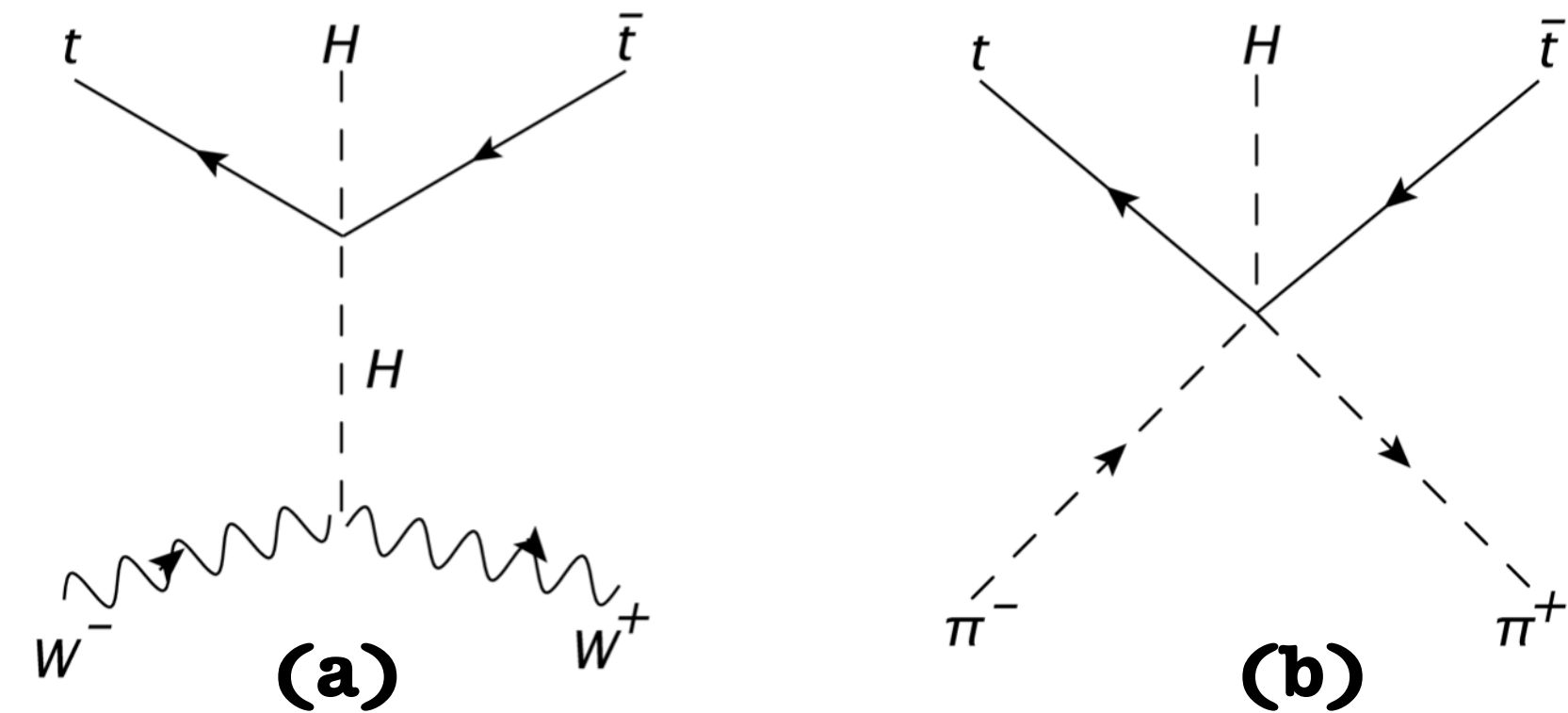
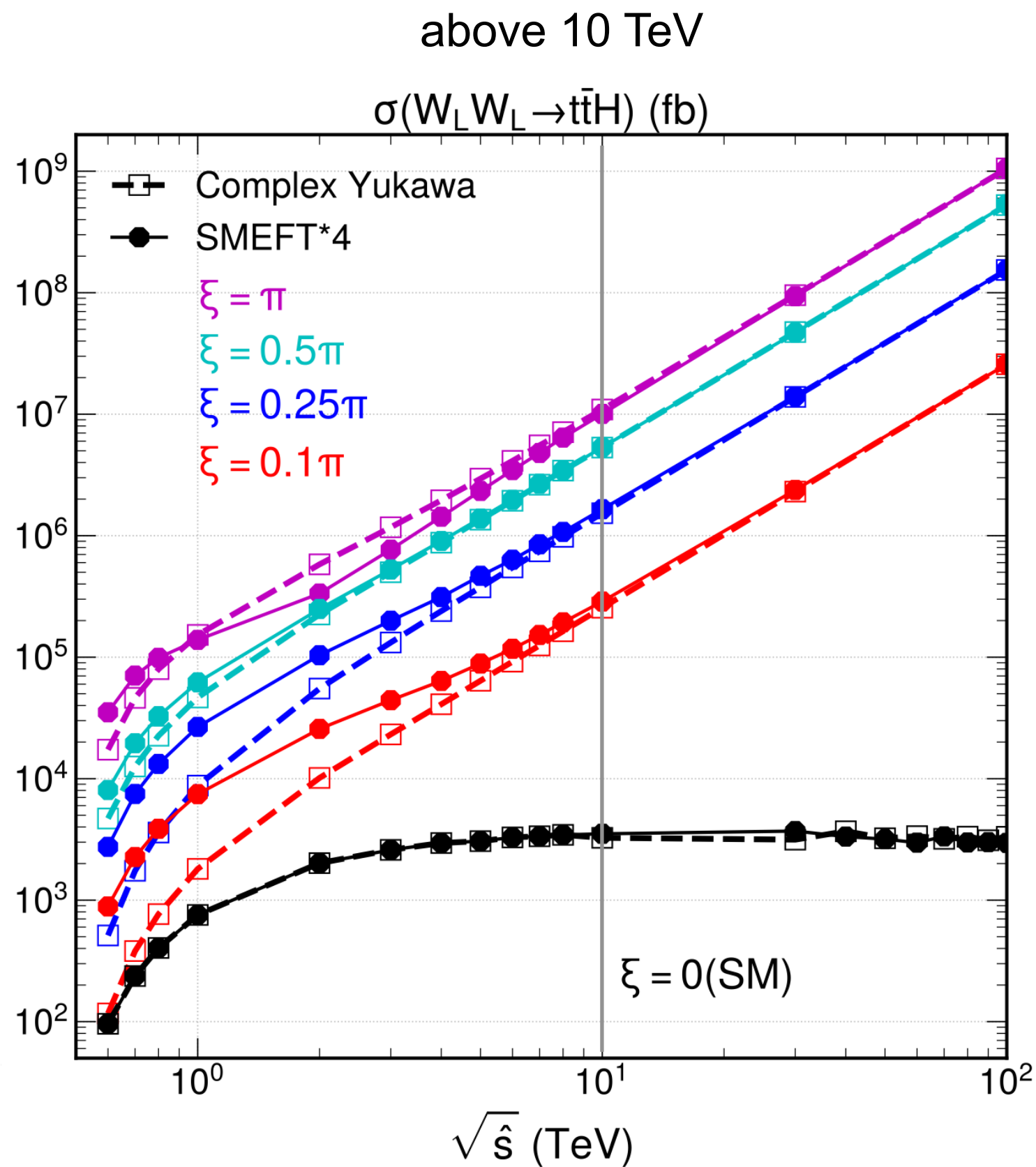
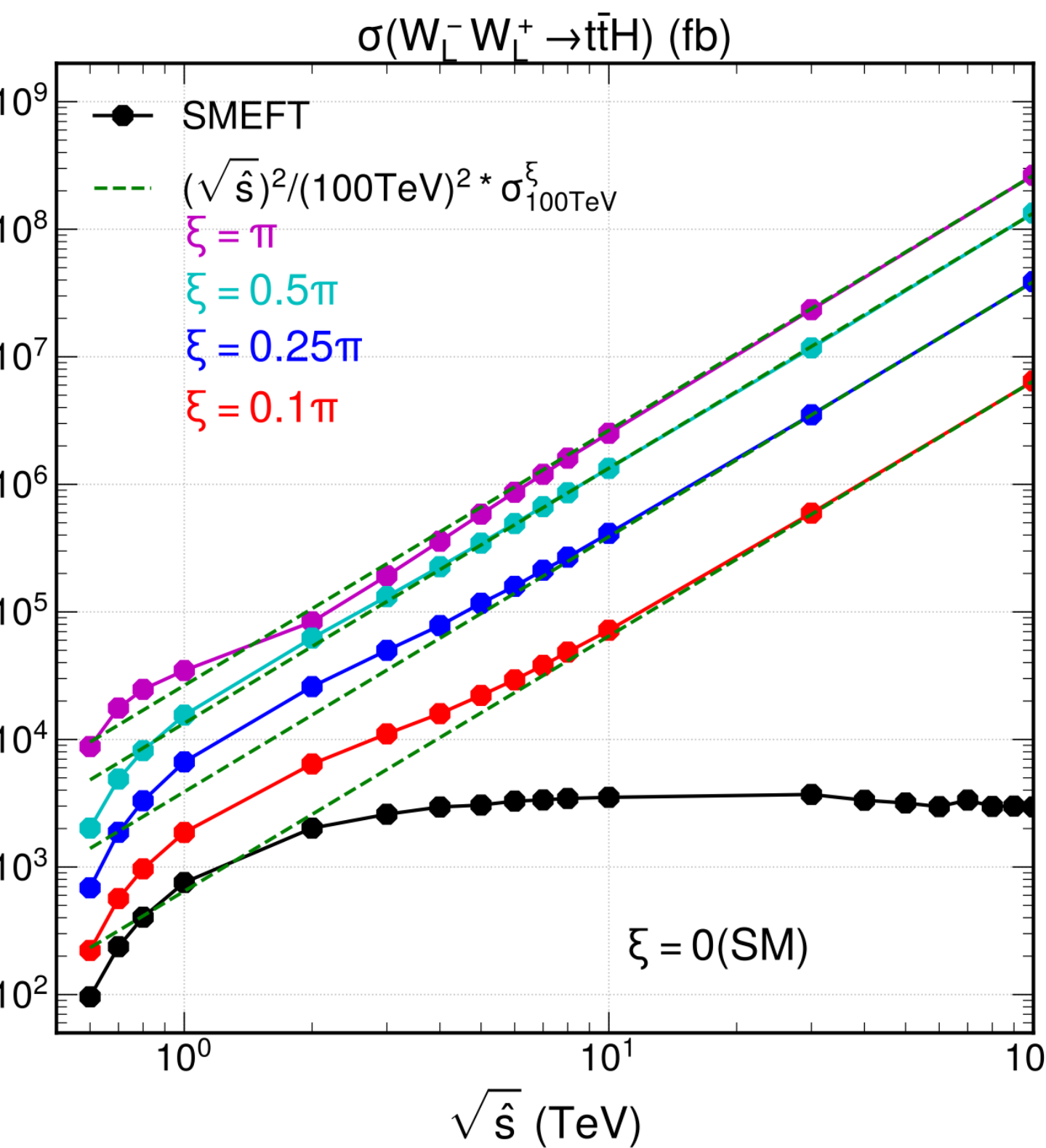
$$g_{\text{SM}} = \frac{m_t}{v} \quad \text{and} \quad g_{\text{SM}} - ge^{i\xi} = \frac{\lambda v^2}{\sqrt{2}\Lambda^2}$$

## Additional ttHH and ttHHH coupling



$$\mathcal{L}_{ttHH}^{\text{SMEFT}} = \frac{3(g_{\text{SM}} - ge^{i\xi})}{v} \frac{H^2}{2} t_L^\dagger t_R + \text{h.c.}$$





Complete amplitude in SMEFT:

$$\mathcal{M}(W_L^- W_L^+ \rightarrow t\bar{t}H)_{\text{SMEFT}} = \sum_{k=1}^{20} \mathcal{M}_k + \mathcal{M}_{\text{Fig. a}}$$

$$\mathcal{M}_{\text{Fig. b}}^{\pm\pm} = \frac{1}{v^2} [\mp 2p_t (g_{\text{SM}} - g \cos \xi) - im_{tt} (g \sin \xi)]$$

$$\mathcal{M}_{\text{Fig. a}}^{\pm\pm} = \frac{3}{v^2} [\mp 2p_t (g_{\text{SM}} - g \cos \xi) - im_{tt} (g \sin \xi)] \frac{(\hat{s} - 2m_W^2)}{(\hat{s} - m_H^2)}$$

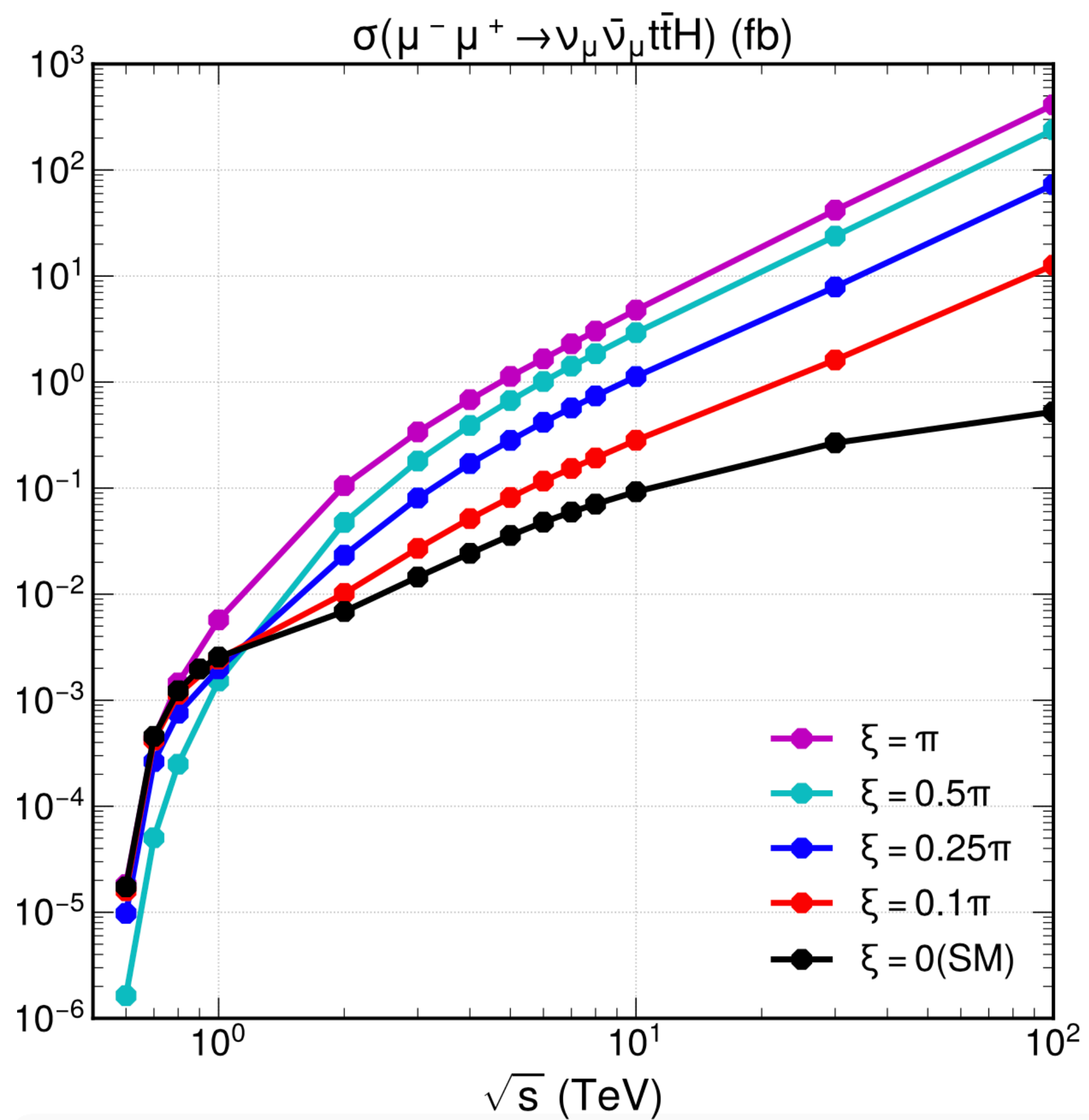
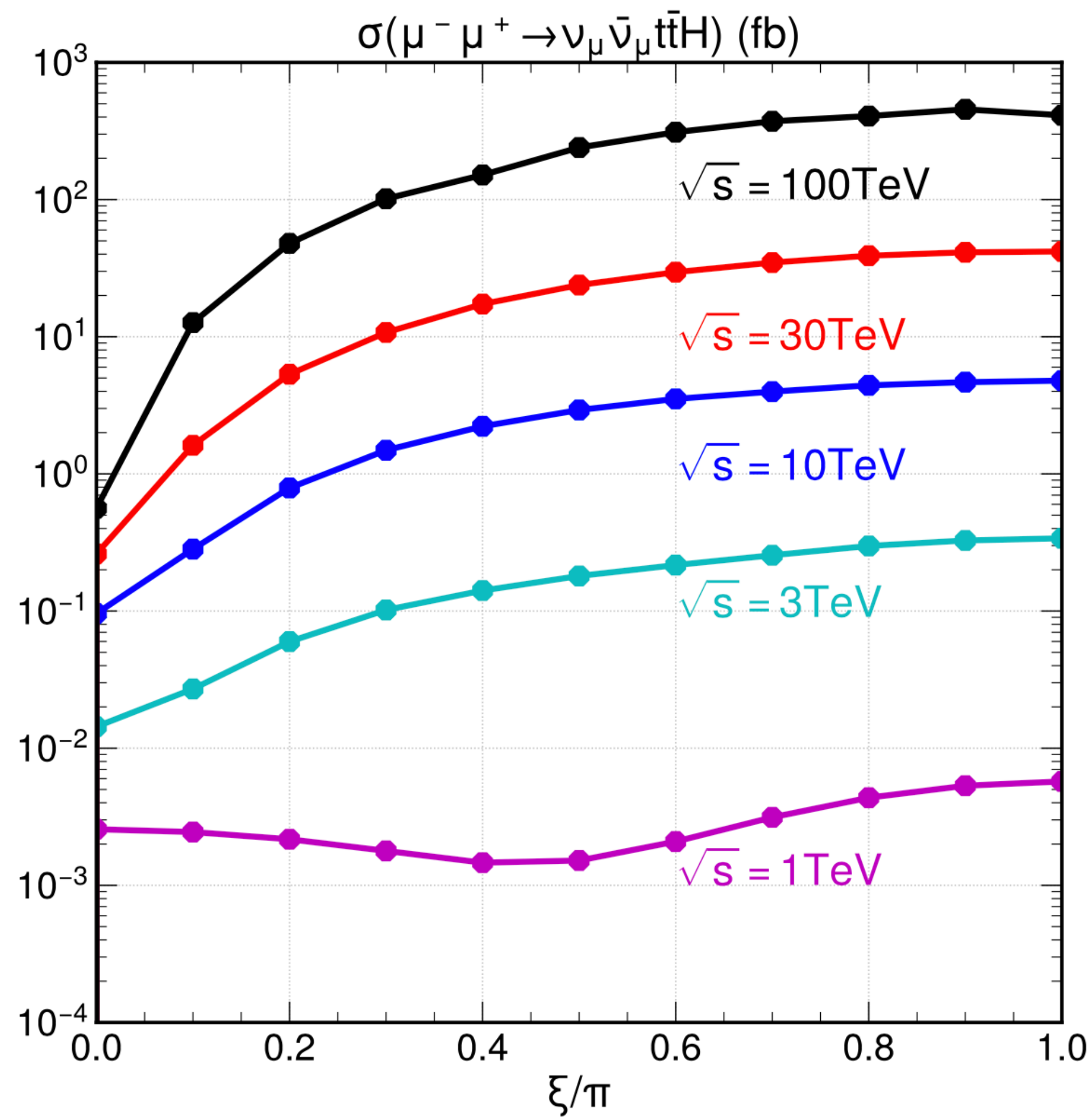
$$\mathcal{M}_{\text{Fig. a}}^{\pm\pm} = 3\mathcal{M}_{\text{Fig. b}}^{\pm\pm} \cdot \left\{ 1 + \mathcal{O}\left(\frac{1}{\hat{s}}\right) \right\}$$

GBET tells:

$$\sum_{k=1}^{20} \mathcal{M}_k + \mathcal{M}_{\text{Fig. a}} = \mathcal{M}_{\text{Fig. b}} \cdot \left\{ 1 + \mathcal{O}\left(\frac{1}{\hat{s}}\right) \right\}$$

$$\sum_{k=1}^{20} \mathcal{M}_k \approx -2\mathcal{M}_{\text{Fig. b}}$$

$$\sigma_{\text{tot}}(W_L^- W_L^+ \rightarrow t\bar{t}H)_{\text{SMEFT}} \approx \frac{1}{4} \sigma_{\text{tot}}(W_L^- W_L^+ \rightarrow t\bar{t}H)_{\text{complex Yukawa}}$$



# Perturbative Unitarity

In the SM,  $g_{\text{SM}} = m_t/v$ , unitarity should be valid at all scales

When  $\xi \neq 0$ , perturbation unitarity can be violated at  $\sqrt{s} \geq O\left(\frac{\Lambda}{\sqrt{\lambda}}\right) g_{\text{SM}} - g e^{i\xi} = \frac{\lambda v^2}{\sqrt{2}\Lambda^2}$

$$SS^\dagger = 1$$

$$(1 + iT)(1 - iT^\dagger) = 1$$

$$-i(T - T^\dagger) = TT^\dagger$$

$$-i \langle i | (T - T^\dagger) | i \rangle = \langle i | TT^\dagger | i \rangle$$

$$-i(T_{ii} - T_{ii}^*) = \langle i | T | f \rangle \Phi_f \langle f | T^\dagger | i \rangle$$

All final states with phase space  $\Phi_f$

**Optical Theorem:**  $2\text{Im}(T_{ii}) = \sum_f |T_{fi}|^2 \Phi_f = 2\hat{s} \sum_f \sigma(i \rightarrow f)$

**Unitarity bound:**  $2\text{Im}(T_{ii}) > |T_{ii}|^2 \frac{1}{8\pi}$  2-body phase space

$$|T_{ii}|^2 < 16\pi \text{Im}(T_{ii}) < 16\pi |T_{ii}|$$

$$|T_{ii}| < 16\pi$$

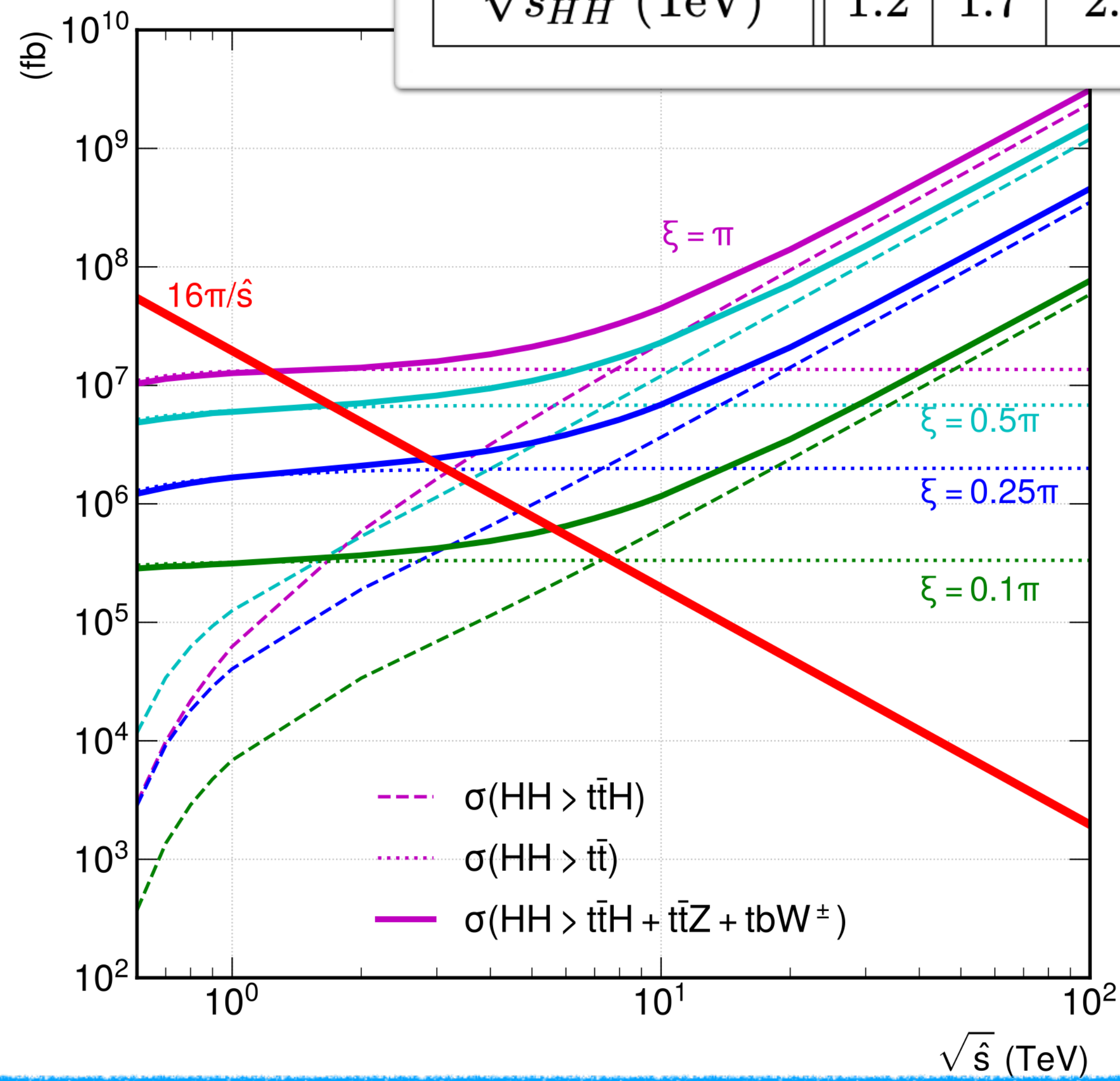
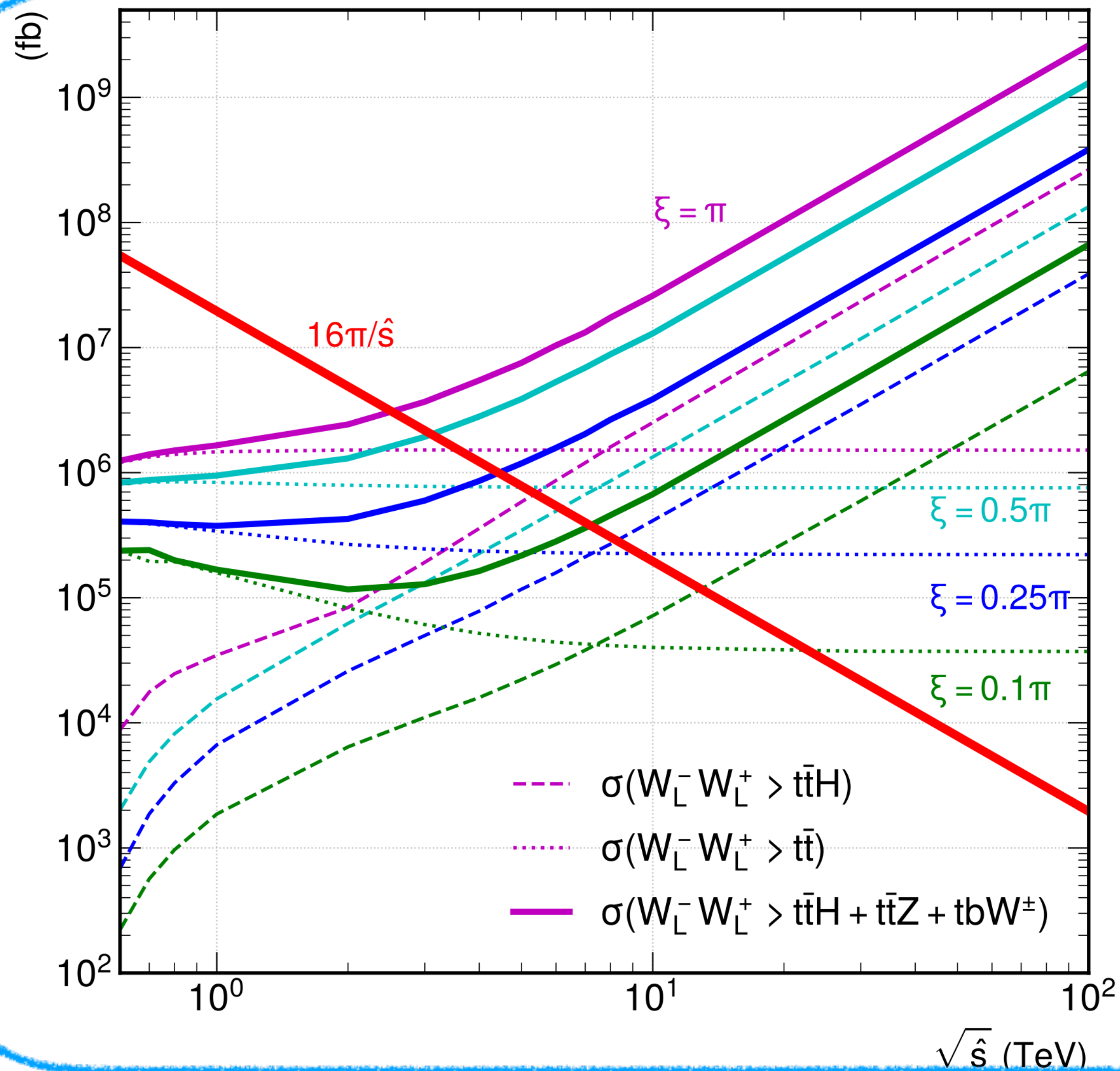
$$2\hat{s} \sum_f \sigma(i \rightarrow f)_{J=0} = 2\text{Im}(T_{ii})_{J=0} < 32\pi$$

$$\sum_f \sigma(i \rightarrow f)_{J=0} < \frac{16\pi}{\hat{s}}$$

# Unitarity bound

$$\sum_f \sigma_{\text{tot}} (W_L^- W_L^+ \rightarrow f; J=0) < \frac{16\pi}{\hat{s}}$$

$ \xi $	$\pi$	$0.5\pi$	$0.25\pi$	$0.1\pi$
$ \lambda  \cdot \Lambda^{-2} (\text{TeV}^{-2})$	32.9	23.2	12.6	5.14
$\sqrt{\hat{s}}_{W_L W_L} (\text{TeV})$	2.5	3.1	4.4	7.2
$\sqrt{\hat{s}}_{HH} (\text{TeV})$	1.2	1.7	2.9	5.6



# Feynman-Diagram (FD) gauge

- Weak bosons are 5-components  $W^\pm_M=(W^\pm_\mu,\pi^\pm)$ , unlike in  $R_\xi$  gauge, EOM mixes  $W^\pm_\mu$  and  $\pi^\pm$

- FD gauge propagator  $n(q)^\mu_{\text{FD}} = (\text{sgn}(q^0), -\vec{q}/|\vec{q}|)$

$$G_{MN}(q) = \frac{i}{q^2 - m^2 + i\epsilon} \begin{pmatrix} -g_{\mu\nu} + \frac{q_\mu n_\nu + n_\mu q_\nu}{n \cdot q} & i \frac{m n_\mu}{n \cdot q} \\ -i \frac{m n_\nu}{n \cdot q} & 1 \end{pmatrix} \quad M, N = 0 \text{ to } 4,$$

- Helicity  $\pm 1$  states don't mix with the Goldstone boson. Helicity 0 state is a mixture of

$$-\frac{Q n^\mu}{n \cdot q} = \epsilon^\mu(q, h = 0) - \frac{q^\mu}{Q}, \quad Q = \sqrt{|q^2|}$$

and the Goldstone boson.

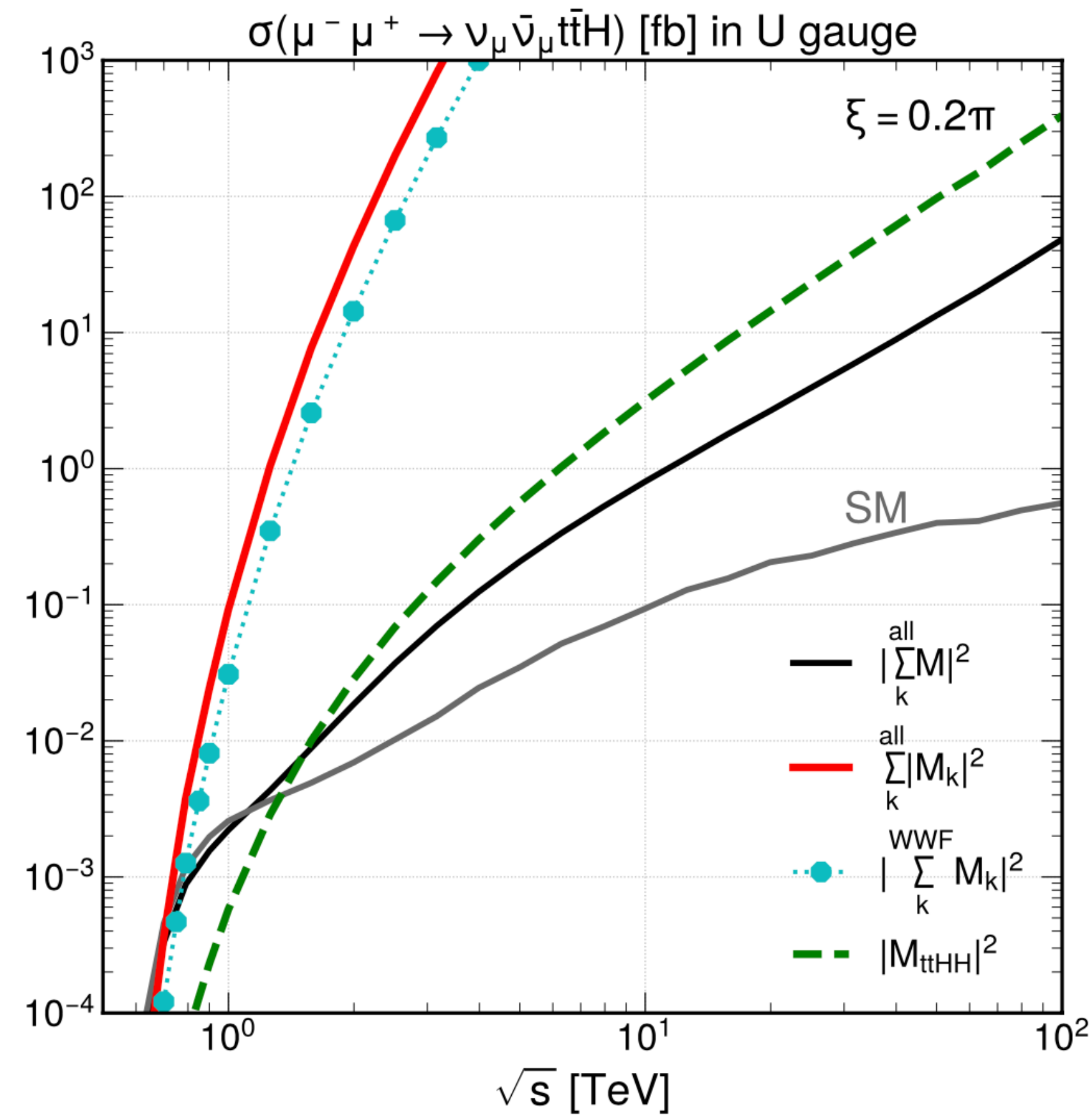
- Because the Goldstone bosons are parts of the physical weak boson, all Goldstone boson vertices contribute to the scattering amplitudes in the FD gauge

[1] Kaoru Hagiwara, Junichi Kanzaki and Kentarou Mawatari, 'QED and QCD helicity amplitudes in Parton-shower gauge.' Eur.Phys.J.C 80(2020) 6, 584

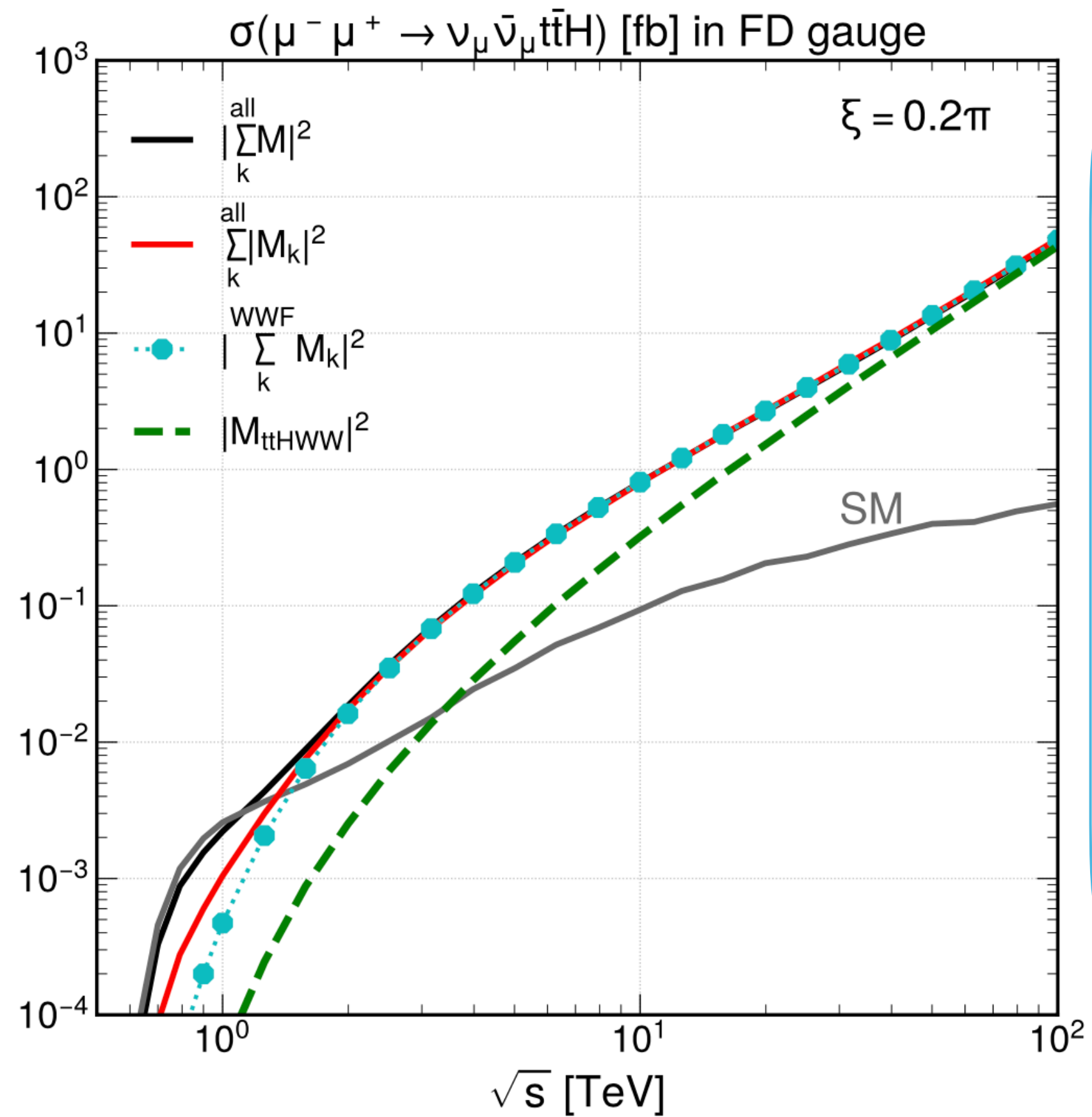
[2] Junmou Chen, Kaoru Hagiwara, Junichi Kanzaki and Kentarou Mawatari, 'Helicity amplitudes without gauge cancellation for electroweak processes' Eur.Phys.J.C 83 (2023).

[3] Junmou Chen, Kaoru Hagiwara, Junichi Kanzaki, Kentarou Mawatari and Ya-Juan Zheng, 'Helicity amplitudes in light-cone and Feynman-diagram gauges' Eur.Phys.J.Plus 139 (2024).

# FD gauge



(a)

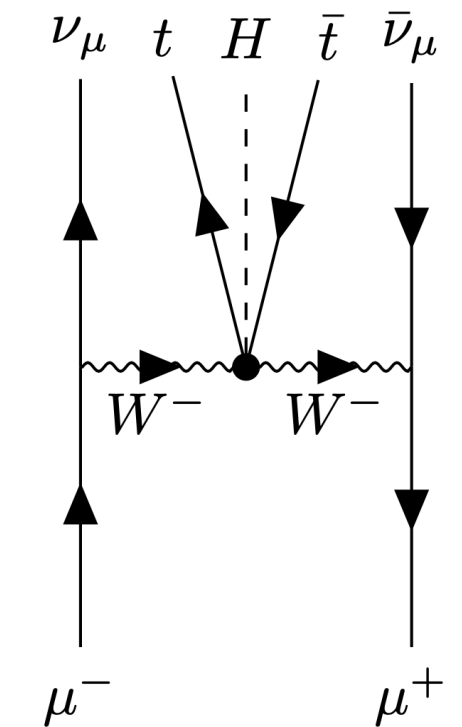


(b)

• In this process, e.g.

$$\frac{g_{\text{SM}} - ge^{i\xi}}{v^2} \pi^+ \pi^- H t_L^\dagger t_R + \text{h.c.}$$

contributes as



and dominates the total cross section because of its dim-6 property.

Automatic generation of FD gauge is available in Madgraph by command 'set gauge FD'.

# Summary

- We identify the cause of a power law increase of the  $\mu^-\mu^+ \rightarrow \nu\nu t\bar{t}H$  cross section when the top Yukawa coupling is complex as due to the power law increase of the weak boson fusion sub process cross section, which is a consequence of gauge non-invariance of the dimension four Lagrangian.
- We identify the dimension-six SMEFT operator which gives a gauge invariant description for complex Yukawa coupling and confirm that the total cross section for  $W W \rightarrow t\bar{t}H$  satisfies the Goldstone Boson Equivalence Theorem.
- We obtain a novel perturbative unitarity bound on the SMEFT operator by summing over all  $2 \rightarrow 2$  and  $2 \rightarrow 3$  processes which contribute to the  $J=0$   $HH \rightarrow HH$  amplitude.
- In the Feynman-Diagram gauge, the cross section is dominated by the WBF diagrams making the GBET manifest.