



#### Machine Learning at KEK For beam tuning Masakazu Kurata LCWS2024 07/08/2024-07/11/2024

#### Auto-tuning using Machine Learning

#### • Realization of automatic beam-tuning

- Minimize the number of tuning parameter searches: Reduce tuning time
- Simultaneous optimization of multiple parameters: Better tuning including correlation
- Optimization of the beam = "Black-box Optimization"
  - Looking for the global maximum point in situations where only the input-output relationship is known

Input data x f(x) Output y

• Auto-tuning using "Bayesian Optimization"

Using the trial results so far, predict

- Parameter space not yet explored
- Parameter space close to maximum value and search efficiently

Do not need "training", like neural-network



#### Machine Learning @ATF

• Final Focus: Nano-beam tuning for the ILC



### Knob scan at ATF2 F.F.

- Chromaticity correction using 5 sextupole magnets for small beam
  - Create knobs to correct one parameter
    - Linear & non-linear knobs
  - Beam size is measured by the modulation of







- Simultaneous knob search using B.O.
  - Effective search including correlation
  - Linear Knob (+ non-linear knob for future)
  - Problem: robustness of the optimization



### Strategy for robust optimization

- In previous studies, optimization suffered from measurement fluctuation
  - During optimization, it looks OK  $\Rightarrow$  after that, is it really optimal??
  - No robustness…
- So, introduce some technique & treatment
  - Modulation was measured up to 3 shots with same parameter set
    - If highest modulation ever was observed, try same measurement up to 3 times
    - Suspicion of "lucky" measurement
    - To save time, do these step above only for the highest value ever
  - For the first few measurements, parameters set systematically and intentionally
    - e.g.)
      - 1: (Ay, Ey, coup2) = (0, 0, 0)
      - 2: (Ay, Ey, coup2) = (0.15, 0, 0) (just 5% of domain interval)
      - 3: (Ay, Ey, coup2) = (0.0, 0.15, 0)
      - 4: (Ay, Ey, coup2) = (0.0, 0.0, 0.15)
    - After those, do normal Bayesian optimization

#### Results

- Linear knobs: Ay, Ey, Coup2 & 30degree mode
- Iteration: 24
- Optimization: (Ay, Ey, Coup2)=(0.03, 0.05, 0.17)
- After optimization: check modulation by independent measurement
  - 0.32  $\Rightarrow$  0.64, looks correctly optimized
  - Optimization looks robust as for this measurement



### DR tuning for small emittance

- Minimization of emittance @DR will directly lead to small beam size @FF
  - However, correlation of each component at DR is very complicated
- Tune SF series or SD series trim magnets to obtain small emittance @the profile monitor(XSR)
- $\beta$  and dispersion correction using ML
  - Tuning magnet pair with phase advance  $\pi$ 
    - $\beta$  : correction in same direction of magnets' strength
    - $\eta$  : correction in opposite direction of magnets' strength
  - Include consideration of correlation & higher order effect
- 4 pairs of magnets are tuned simultaneously
  - Both SF and SD trim coils sequentially



Emittance optimization

- Emittance:  $\sigma_y = \sqrt{\beta \epsilon_y} \rightarrow \epsilon_y = \sigma_y^2/\beta$ 
  - Needs simultaneous optimization of both  $\beta$  &  $\sigma_y$

 $\rightarrow$  Online estimation of  $\beta$  and  $\sigma_v$  is essential

- $\beta$  estimation: From Turn-by-Turn bpm measurement
  - Now, looks working
  - Needs detailed study for scale factor estimation from FFT amp. to  $\,\beta\,$
- Beam size measurement: From XSR image





## Preliminary Result of small beam size tuning at DR

- Beam tuning using ML
  - Tune SF magnets using ML
  - Tune SD magnets using ML
- Emittance: ~29 [pm]  $\Rightarrow$  ~25 [pm]
- Emittance became smaller successfully
  - Just started to conduct stable optimization







- Result of ML(SF tuning)
  - Optimization was going well
  - Realize  $\beta_y$ : large  $\sigma_y$ : small optimization

## Possibility of emittance minimization

- Any set of the magnets will be able to try for optimization
- Example of different magnet set: just a TRIAL
  - Just selecting magnets without leading knowledge
  - Just choose 2 magnet pairs with phase advance of  $\pi/2$
  - More reduction of the emittance could be obtained after previous page's result



- Not yet reached a singularity  $\cdots$ 
  - Need the knowledge for drastic improvement by human
  - We need your help for such knowledge

#### Other ML application for beam tuning

- Compact ERL (cERL)
  - cERL also explore the possibility of auto-tuning for high current operation with ML
  - Target: high current CW operation while suppressing beam loss
    - Beam loss leads to severe radiation due to CW operation
    - $\rightarrow$  go to termination
  - Realize beam tuning aiming for compatibility between the two conditions



## Optimization while avoiding IL and corruption

• Find loss: watching by loss monitors



Loss monitor on the beam line



Loss monitor undulator section

- near the loss point can lead to IL, or be BROKEN
  Therefore, optimization while avoiding "dangerous" parameter searches
- Now applying the algorithm to automatically estimate the "safe" parameter space

• If the beam are lost during ML, PMT with HV

• And then, do Bayesian optimization within that parameter space

Do not watch this region If these areas are the region with IL

#### "Safeopt"

F. Berkenkamp, A. P. Schoellig, A. Krause, Safe Controller Optimization for Quadrotors with Gaussian Processes in Proc. of the IEEE International Conference on Robotics and Automation (ICRA), 2016, pp. 491-496.



## Beam tuning @cERL

- Tackling radiation level reduction: successive trials of both
  - Beam & collimator tuning in burst mode ⇔ Beam operation in CW mode
- Equipment to struggle beam loss:
  - Loss monitors located anywhere on the beam line
  - Collimators at the entrance & middle of the merger
     Burst (5Hz)
     CW



## ML optimization

- Dedicated design of evaluation function is essential
  - Realization of both loss suppression & high beam current
- Machine learning tuning in burst mode
  - Choose Q & steer magnet's sets to suppress loss at a loss monitor to be controlled
  - 2 collimators optimization at the same time
- Well optimized under loss signal suppression condition





#### Results

• Reduction of loss signals



## Results

- Obtained current for CW operation
  - Improved!

	Before ML	After ML
CW current [µA]	140	600

- Target CW current: ~1 mA: not yet reached
- In this study, loss reduction before the dump was not enough
  - Needs thoroughly loss reduction
    - Even tiny loss signal is prohibited
    - Number of iteration
    - Detailed study of hyperparameters
    - Strategy of combining the loss monitor information
    - Application to more realistic situation



## Summary and outlook

- Beam optimization using Machine Learning is going on at ATF/ATF2
  - FF tuning for nano-beam tuning: robust optimization
  - DR optimization for small emittance: new feature
    - Looks working for stable optimization: just the starting point
    - Need the knowledge for drastic improvement
- cERL: explore the possibility of auto-tuning for high current & low beam loss operation
  - Looks promising
  - Thorough beam loss reduction is important
  - Detailed study of hyper parameters
- This is for beam tuning feature
  - Flexible construction for any kinds of the targets
  - ML is suitable for other tasks
    - e.g.) Anomaly detection for machine protection
      - Tomography of phase space

# Backups

Linac tuning for high intensity @DR

- Optimize part of Linac components for high intensity @DR
- Example: Tune Linac phase of #1-#8 simultaneously
  - Before: just increase laser power
    - DR: only 4e9

Display Limit Beam Intensity (1E+10 electrons/pulse) 30min • 10min Laser )000 STAT >> 10 discharted w 1.201 GUN 🗸 L0 1.217 0.953 BTE 0.896 V DR 0.407 EXT 0.395 0.410 21:10:00 21:12:30 21:15:00 21:17:30

- After: increase to 7.5e9
  - It takes  $\sim$ 9min. until optimize done
- Tuning other linac parts by ML: 7.5e9  $\Rightarrow$  >8.0e9 <sup>0.75</sup>



### Preliminary: Tomography

- 図のx,y値は行列要素番号になってます。。
- z軸: macro particle数 / 100
- みため、よく似ているが、微妙に違う
  - Lossが大きいので性能が悪い
  - 現在、調査中
- 負値の出力への対処(network側でできると思う)



