Towards an update of the ILD ZHH analysis

ILD Software and Analysis Meeting | 2024/10/02

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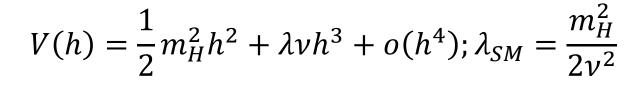




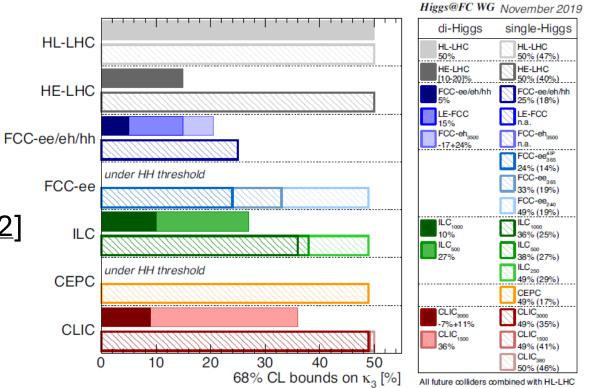
Introduction

Physical fundamentals and methods for direct measurements of the Higgs self-coupling at future Higgs factories

The Higgs self-coupling λ in the SM



- v vacuum expectation value (vev) of Higgs field h m_H mass of Higgs boson
- > in SM: λ_{SM} fixed since m_H is known [At/Cm12]
 - deviation from $\lambda = \lambda_{SM}$ hints at BSM physics
 - beyond SM, many values are possible
 - most projections assume $\lambda = \lambda_{SM}$



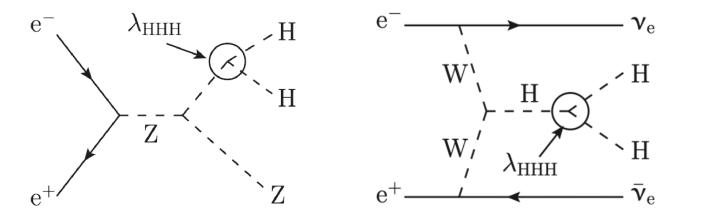
Projected sensitivity at 68% probability for k_3 . From [Db20]

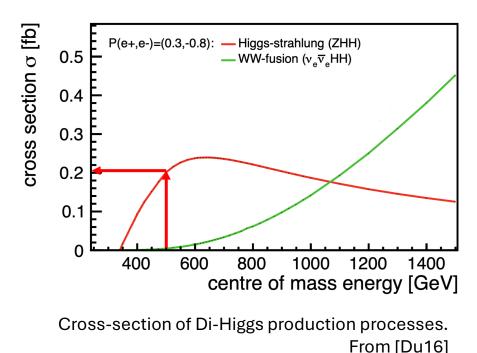


Measuring the Higgs self-coupling at e+e- colliders

> direct access to λ through double-Higgs production

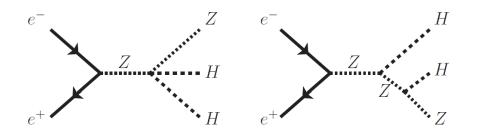
- Di-Higgs strahlung (**ZHH**; dominant < 1 TeV)
- vector boson fusion ($v\bar{v}HH$; dominant > 1 TeV)





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> degredation of sensitivity in ZHH by diagrams without λ





The ZHH Analysis



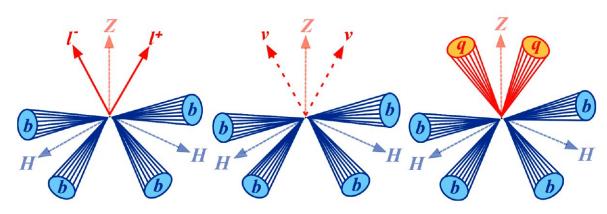


- based on ILD detector concept (<u>DBD2013</u>, <u>IDR2020</u>) and *fully simulated* event samples
- last projections from 2016 (DESY-Thesis-16-027)
- 17 background and 3 signal channels
- multivariate (MVA) tools for multiple steps
 e.g. lepton and flavor tagging, background rejection etc.

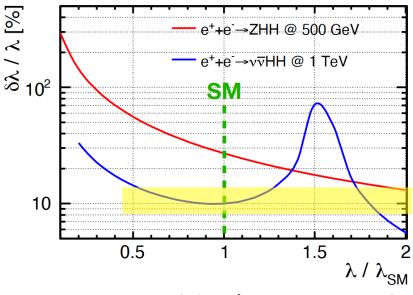
```
> precision reach after running 4ab^{-1} at
500 GeV (HH → b\overline{b}b\overline{b} + HH → b\overline{b}W^{\pm}W^{\mp})
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 $\Delta \sigma_{\rm ZHH} / \sigma_{\rm ZHH} = 16.8\%$

 $\Delta \lambda_{\rm SM} = 26.6\%$ (10% with additional upgrade to 1 TeV)



Lepton, neutrino and hadron channel of the signal process ZHH. From [Du16]

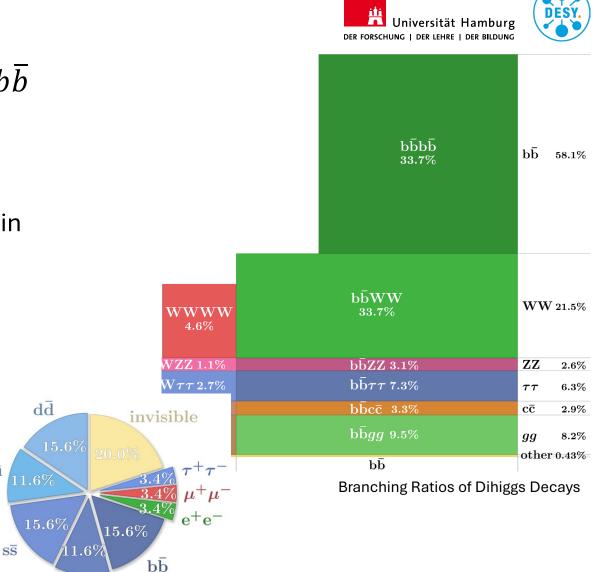


Limits to λ from last ZHH analysis

Improving on the last Analysis

> All signal channels evolve around $HH \rightarrow b\overline{b}b\overline{b}$

- Large gains possible from new tagging algorithms analysis depends on ϵ_b by $o(x^4)$
- Even more important for background suppression in hadronic channel
- Lepton channel
 - Profits from lepton ID improvements by $o(x^2)$
 - Tagging of tau events possible in the future



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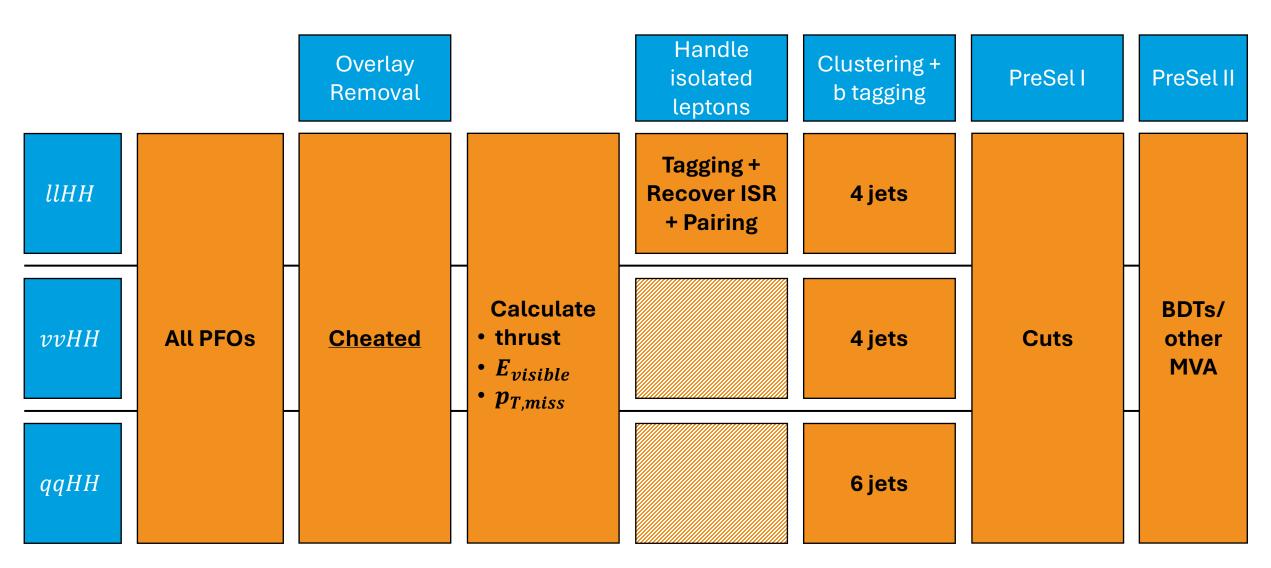
Branching Ratios of Z decays

 $c\overline{c}$

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Analysis Flow



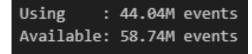


Progress on ZHH



Status:

- Framework for analysis now set up using law [*] using in total 99 physics processes and 276 combinations of process/polarizations
- Integration of state-of-the-art particle ID and jet flavor tagging algorithms (ParticleNet)
- 550 GeV production ongoing with colleagues from SLAC and U Tokyo for backgrounds, focus on events with at least one $b\overline{b}$ pair
- Optimization of cuts in progress
- Next steps:
 - ML model for final selection
 - Extract limits on λ_{HHH}
 - Analysis of 550 GeV samples, investigate fast simulation using SGV
 - Cover more channels, e.g. $Z \rightarrow \tau \overline{\tau}$



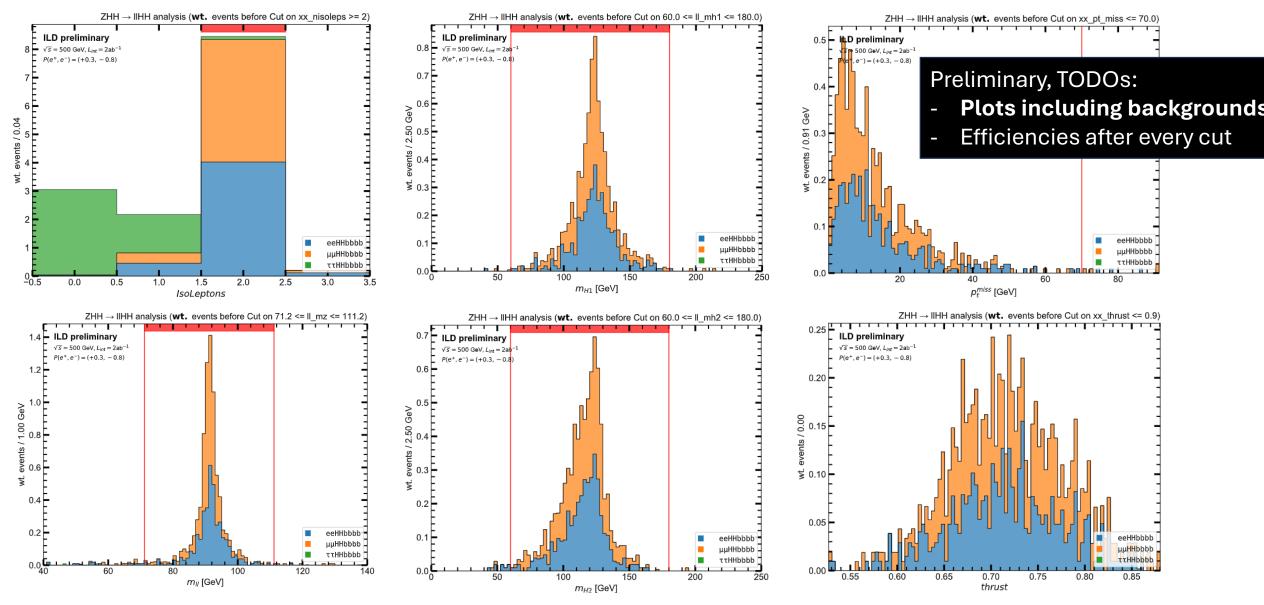
Number of events considered/available.

[*] luigi analysis workflows

Preselection: Leptonic Channel – ZHH $\rightarrow l\bar{l}b\bar{b}b\bar{b}$

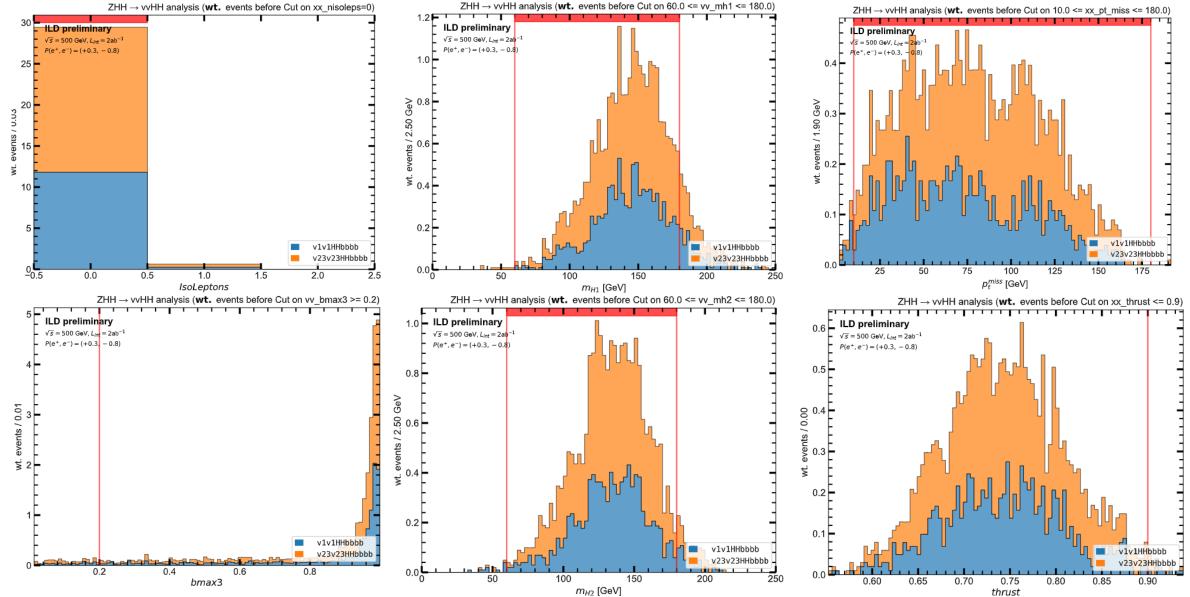






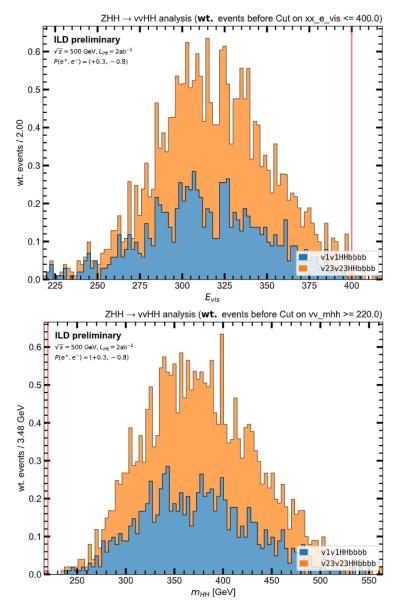
Preselection: Neutrino Channel – ZHH $\rightarrow v \overline{v} b \overline{b} b \overline{b}$





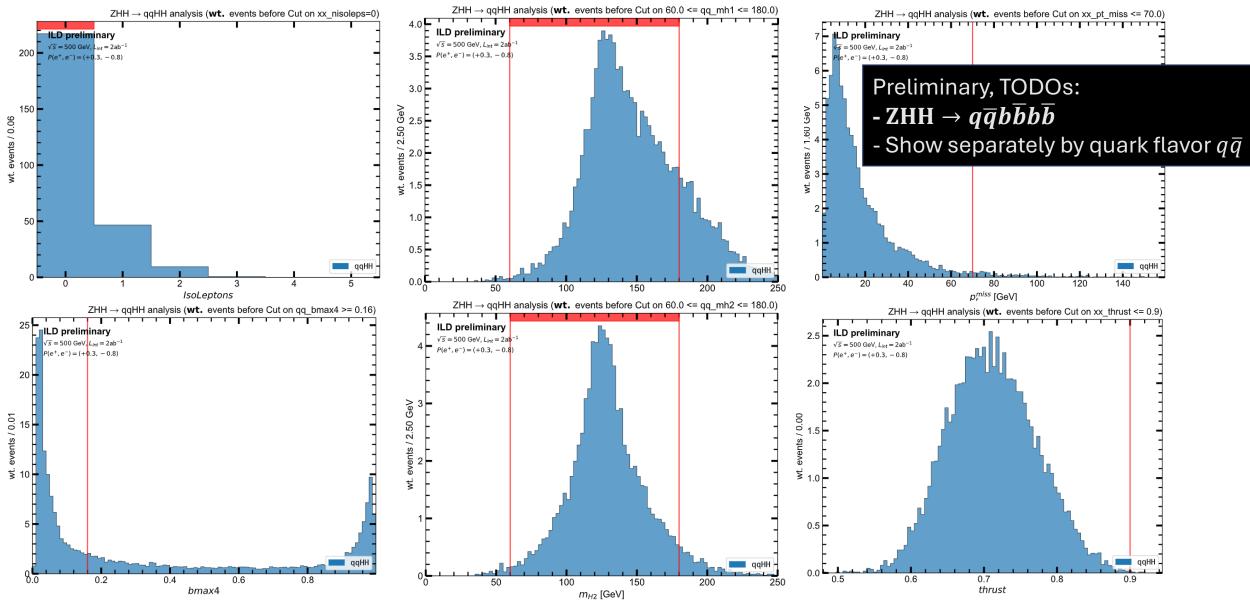
Preselection: Neutrino Channel – ZHH $\rightarrow v \overline{v} b \overline{b} b \overline{b}$





Preselection: Hadron Channel – ZHH $\rightarrow q\overline{q}HH$

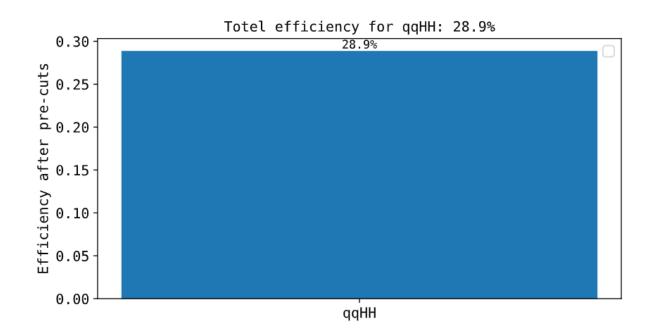




Preselection: Hadron Channel – ZHH $\rightarrow q\overline{q}HH$



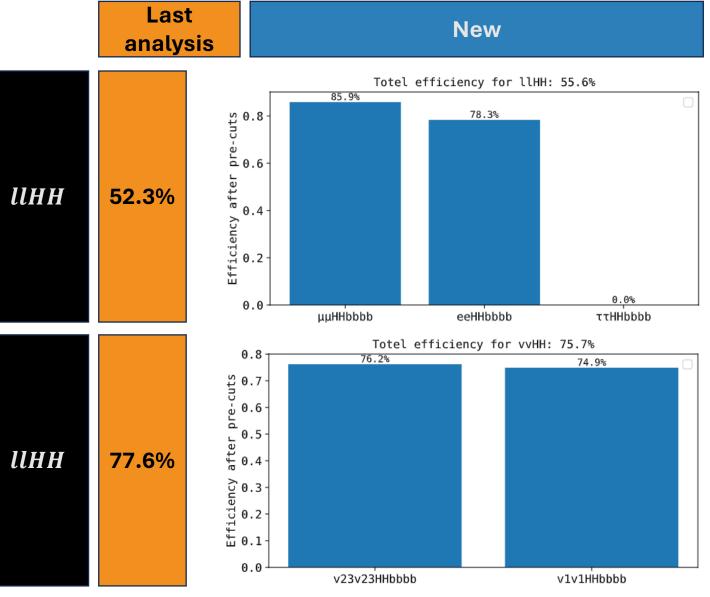
Preliminary, TODOs: - ZHH $\rightarrow q\overline{q}b\overline{b}b\overline{b}$ - Show separately by quark flavor $q\overline{q}$



Preselection: Comparison



- Efficiencies line up with last analysis
- Cut flow (previous slides) shows opportunities for improvement



Outlook and Summary



- Framework for full new ZHH analysis is set up
- > Preselection efficiencies for lepton and neutrino channel so far line up with last study
- > Large improvements expected from upgrading to state-of-the-art analysis tools
 - Jet tagging, particle ID, event selection using current ML techniques
 - Covering more signal channels
 - Improvement from 27% to better than 20% sensitivity on λ in reach
- Effort on 550 GeV sample production ongoing



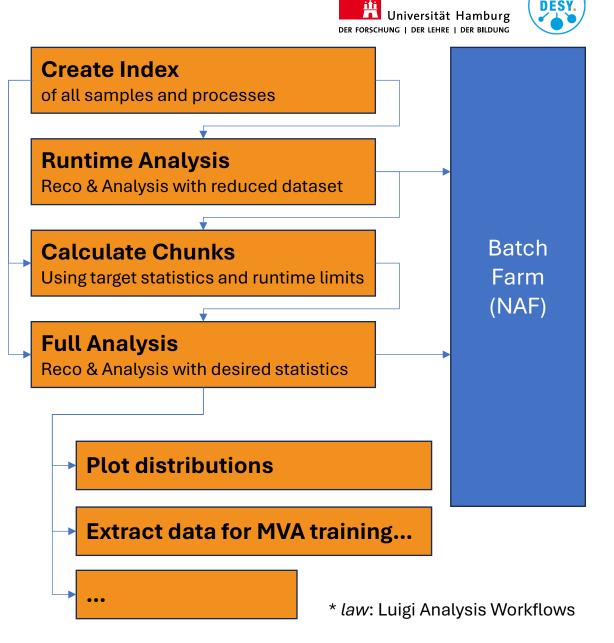
Thank you for listening!



Backup

Modern Data Management

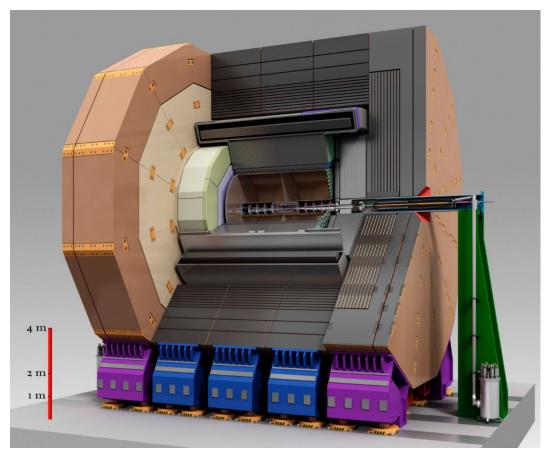
- Problem: highly heterogenous data requires reliable bookkeeping and job submission
- Solution: based analysis on <u>luigi+law</u>* to
 - organizes flow of data more transparent,
 - manages job submissions, resubmission etc.
 - makes the central book-keeping easier
- Status: Working/Done.



The International Large Detector (ILD)

- > well charatecterized, highly granular detector concept [IDR]
- > designed around particle flow concept
 - allows reconstruction of individual physics objects (Particle Flow Objects, PFOs)
- Full Geant4-based simulation available
 - including links between truth/reconstructed particles
- > in the following: assuming ILD @ ILC500





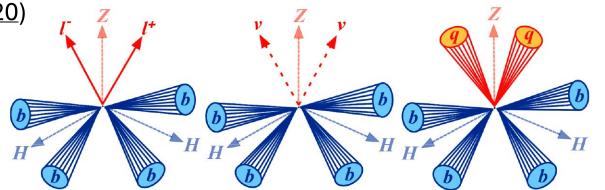
Rendering of the ILD detector. From [Ba19]

The ZHH Analysis



extensive projections at ILD @ ILC500 (DESY-Thesis-16-027)

- based on ILD detector concept (<u>DBD2013</u>, <u>IDR2020</u>) and *fully simulated* event samples
- 17 background and 3 signal channels considered
- multivariate (MVA) tools for multiple steps
 e.g. lepton and flavor tagging, background rejection etc.
- event counting weighted by m_{HH}^2 for further sensitivity enhancement



Lepton, neutrino and hadron channel of the signal process ZHH. From [Du16]

> precision reach after running $4ab^{-1}$ at 500 GeV (HH → $b\overline{b}b\overline{b}$ + HH → $b\overline{b}W^{\pm}W^{\mp}$)

 $\Delta \sigma_{\rm ZHH} / \sigma_{\rm ZHH} = 16.8\%$

 $\Delta \lambda_{\rm SM} / \lambda_{\rm SM} = 26.6\%$ (10% with additional upgrade to 1 TeV)

Bottlenecks in the ZHH analysis



- > jet pairing and jet misclustering: "perfect" jet clustering → 40% improvement improve di-jet mass resolution
- > removal of $\gamma\gamma$ overlay: 15% improvement expected important to tackle initial state radiation (ISR)
- > flavor tagging: 11% improvement expected from 5% eff. increase with newer LCFIPlus important as $H \rightarrow b\bar{b}$ is the dominant Higgs decay channel
- > adding $Z \rightarrow \tau \tau$ channel: 8% improvement expected include a yet unaccounted decay channel
- > more modern ML architectures for signal/background selection improvement expected when transitioning from BDTs to (e.g.) transformer-based models etc.
- > separation of ZHH diagrams with/without the self-coupling would directly improve the sensitivity on λ (lower sensitivity factor)

Expected relative improvements from DESY-Thesis-16-027

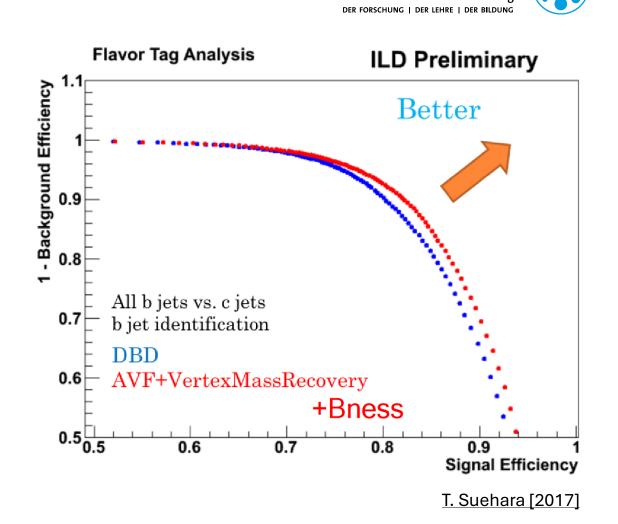


Tools of Today

State-of-the-art (SOTA) tools for reconstruction and analysis expected to improve the sensitivity on λ

Flavor tagging with LCFIPlus

- improved b-tagging efficiency in current ILD standard <u>LCFIPlus</u> since SOTA projections from 2016
 - 5% relative improvement in ϵ_{b-tag} at same purity
 - 11% expected improvement in $\Delta \sigma_{ZHH} / \sigma_{ZHH}$

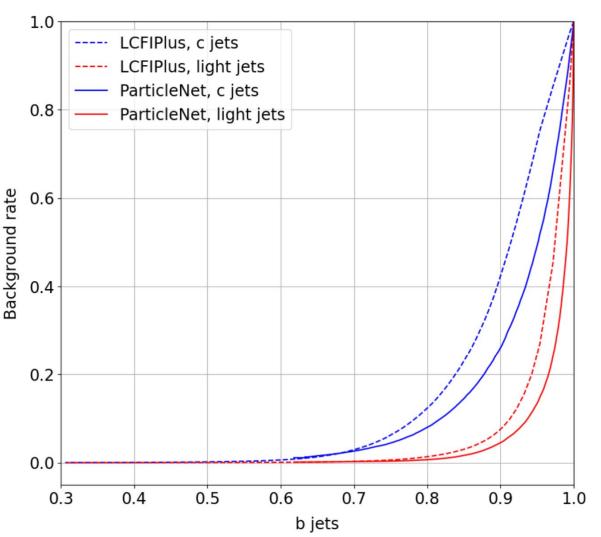


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Flavor tagging with ML (ParticleNet)

- improved b-tagging efficiency since state-of-the-art projections from 2016
- ML models (<u>DeepJet</u>, <u>ParticleNet</u>, <u>ParT</u>) show highly improved rejection compared to LCFIPlus
- status: ready for use (in <u>MarlinML</u>)



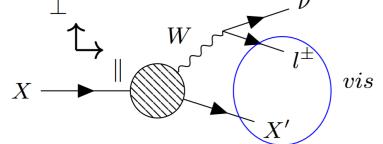
Flavor tagging performance of LCFIPlus vs. ParticleNet using ILD full simulation. <u>M. Meyer [2023]</u>



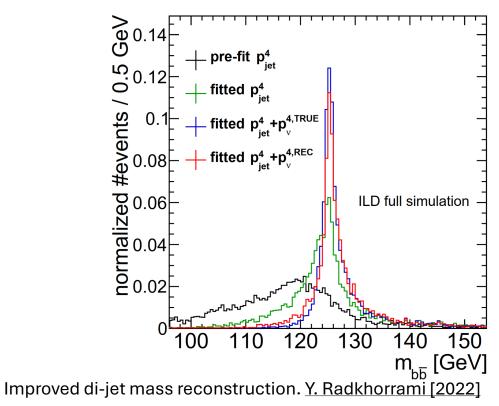
Neutrino correction with kinematic fitting

- For semileptonic decay (SLD) processes
 - already in ZH $\rightarrow b\bar{b}/c\bar{c}$, 66% of events include at least one SLD
- > procedure:
 - identify/tag heavy quark jet
 - identify lepton in jet
 - calculate neutrino four momentum from kinematics with kinematic fitting, the best solution is selected
- status: in production (in MarlinReco)



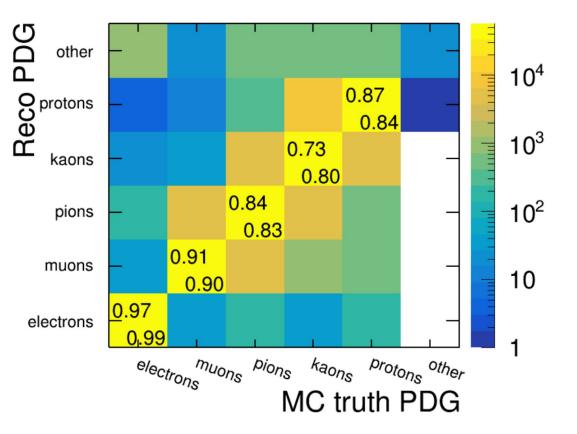


Recovering the neutrino kinematics. Y. Radkhorrami [2022]



Comprehensive Particle Identification (CPID)

- > modular and highly configurable PID toolkit
 - "plug-and-play" of multiple data sources
 e.g. at ILD: dE/dx, TOF, cluster shape
 - extension through custom inference modules
 e.g. MVA/ML models etc.
- includes default weights for BDT model
- status: in production (in MarlinReco)



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Confusion matrix for single charged partilces at ILD. <u>U. Einhaus (2023)</u>



Conclusion I: The ZHH Analysis with SOTA-Tools



- major advancements in key aspects since last ZHH analysis [Du16]
 - flavor tagging efficiency improved by at least 5% ($\approx 10\%$ with ML tools)
 - kinematic fits benefit substantially from full ErrorFlow paramterization
 - neutrino correction has greatly improved di-jet mass resolution in events with SLDs
 - CPID improves particle ID performance by separating detector data and inference
- > better than 20% sensitivity of $\Delta \lambda_{SM}$ / λ_{SM} expected with SOTA tools [To24b]



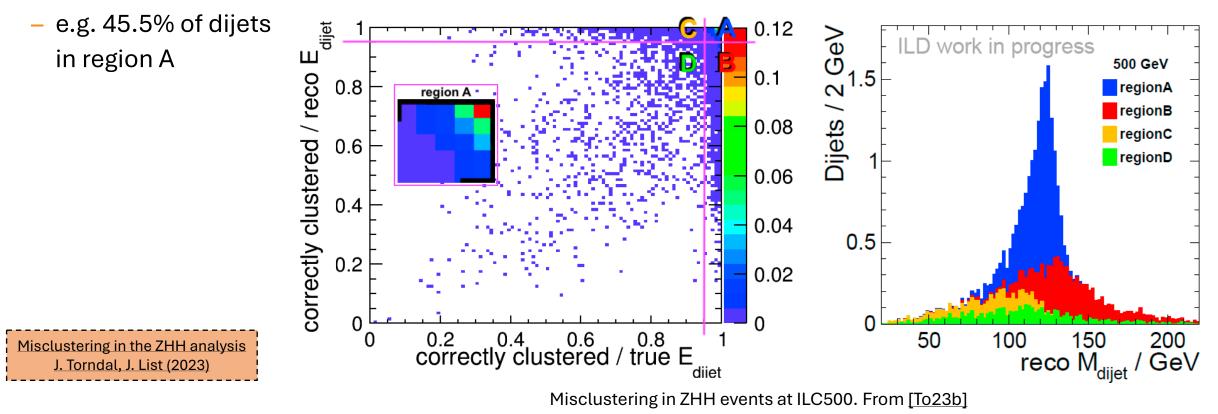
Tools of Tomorrow

Potential future tools for reconstruction and analysis

Motivation: Misclustering in the ZHH analysis

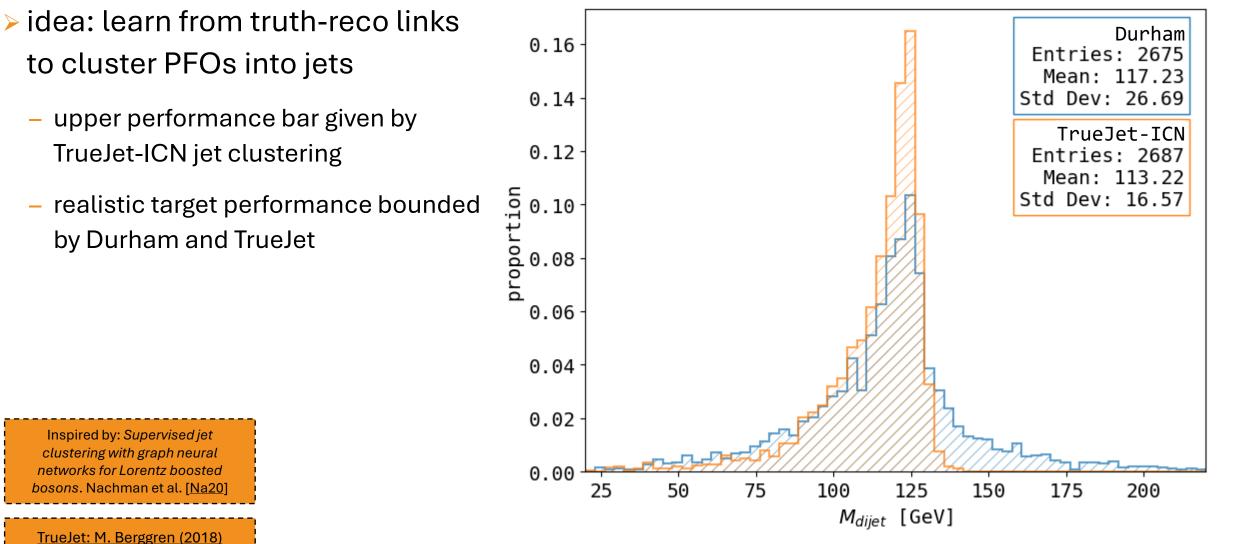


- > misclustering of PFOs to jets deteriorates the sensitivity to λ by ≈ 2 [Du16]
- > quantification: purity vs efficiency of energy in reconstructed di-jets
- > classify di-jets into 4 regions (A, B, C, D) based on threshold: > 95% on both axes



Supervised Jet Clustering



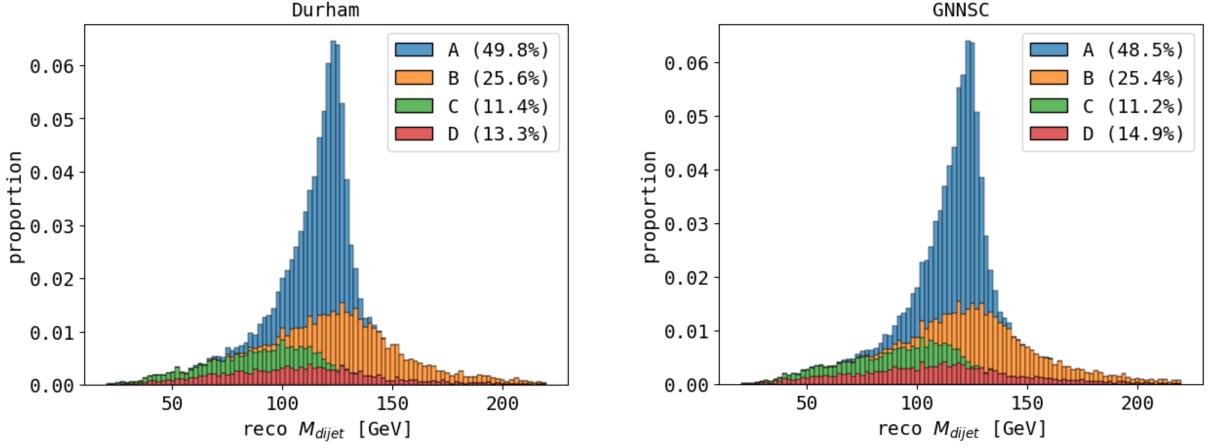


Di-jet mass reconstruction using Durham algorithm and TrueJet

Supervised Jet Clustering



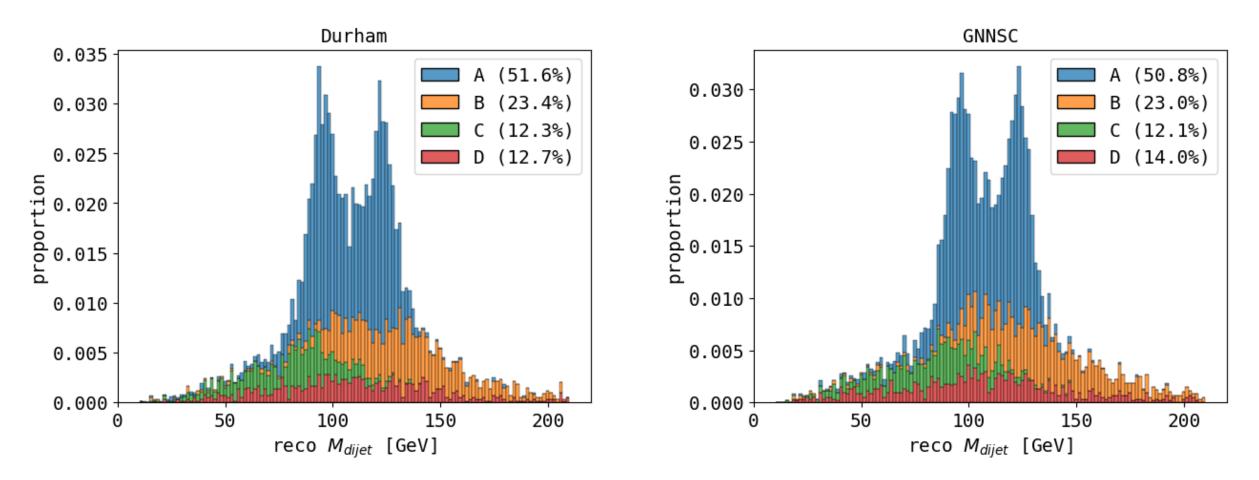
- proof-of-concept ML model (GNNSC) shows performance on par with Durham
 - status: proof-of-concept (Marlin processor available)
 - in the future: investigate more powerful architectures



Jet Clustering on ZZH events

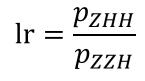


- > model was learned on ZHH events; how well does it generalize to ZZH events?
 - again, nearly identical performance of Durham and GNNSC model



The Matrix Element Method (MEM)

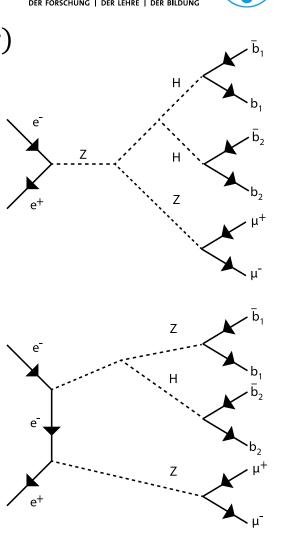
- > method for calculating event-likelihoods, i.e. $p(\text{event } \boldsymbol{x} | \text{channel i}) = p_i(\boldsymbol{x})$
 - example use case: separate ZHH vs. ZZH $\rightarrow \mu^{-}\mu^{+}b\bar{b}b\bar{b}$ using likelihood ratio lr



- binary classification by cutting on lr
- \succ for each event y and process *i* (ZHH, ZZH), solve integral

$$p_i(\mathbf{y}) = \frac{1}{\sigma_i \cdot A_i} \int |M_i(\mathbf{x})|^2 W_i(\mathbf{y} \mid \mathbf{x}) \epsilon_i(\mathbf{x}) d\Phi_n(\mathbf{x})$$

- $M_i(x)$ LO matrix element
- $W_i(y|x)$ transfer function (TF): PDF for measuring y given x; fit from ILD fullsimulation samples



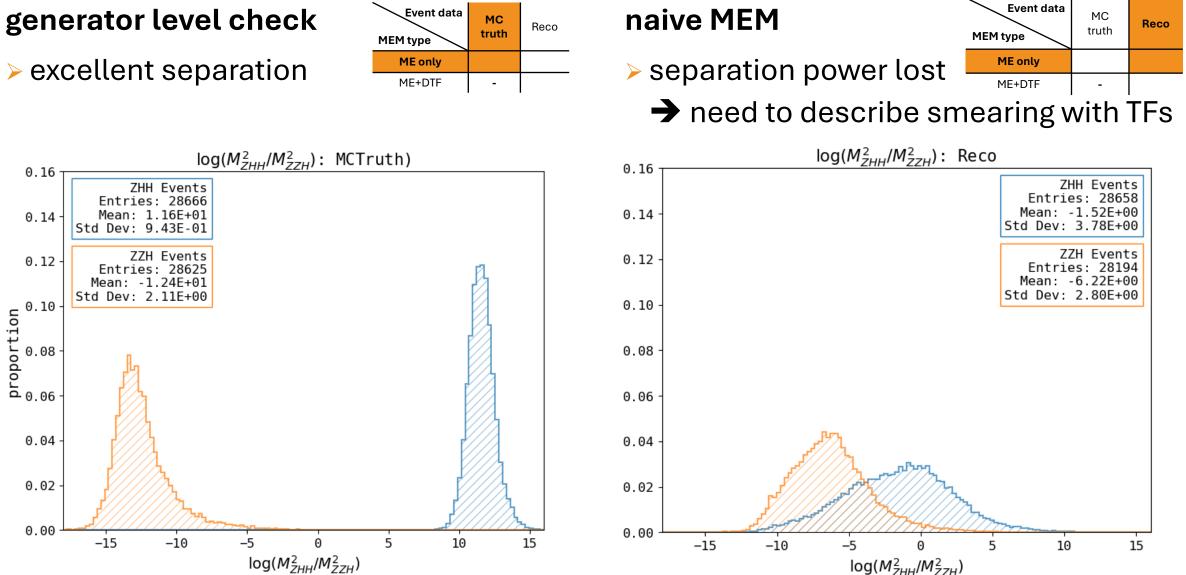
 A_i : acceptance of channel *i* $\epsilon_i(\mathbf{x})$: detector efficiency



MEM Introduction with Examples

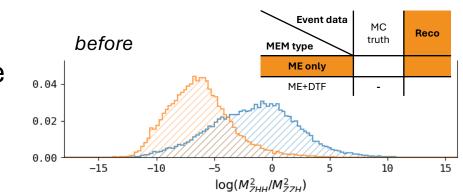


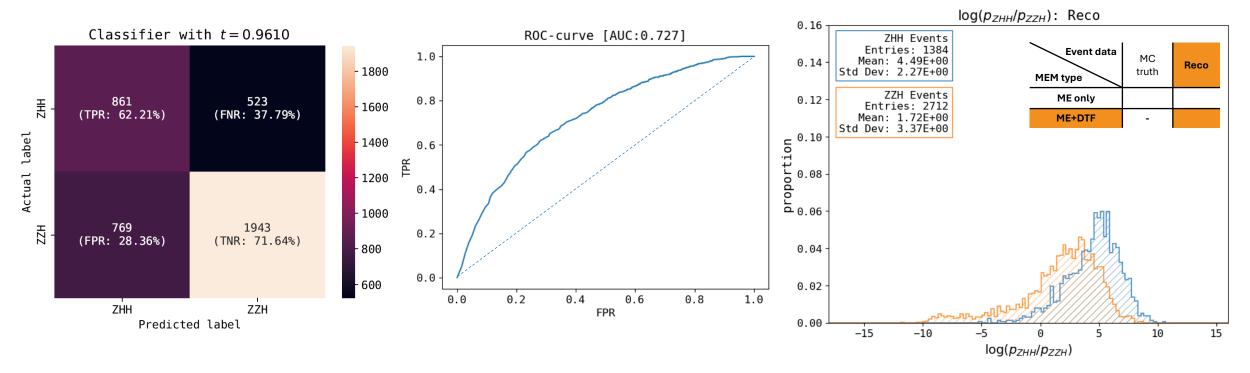




MEM Results

- > obtained using VEGAS algorithm
- by including integration over transfer functions, some separation power is regained; AUROC = 0.73



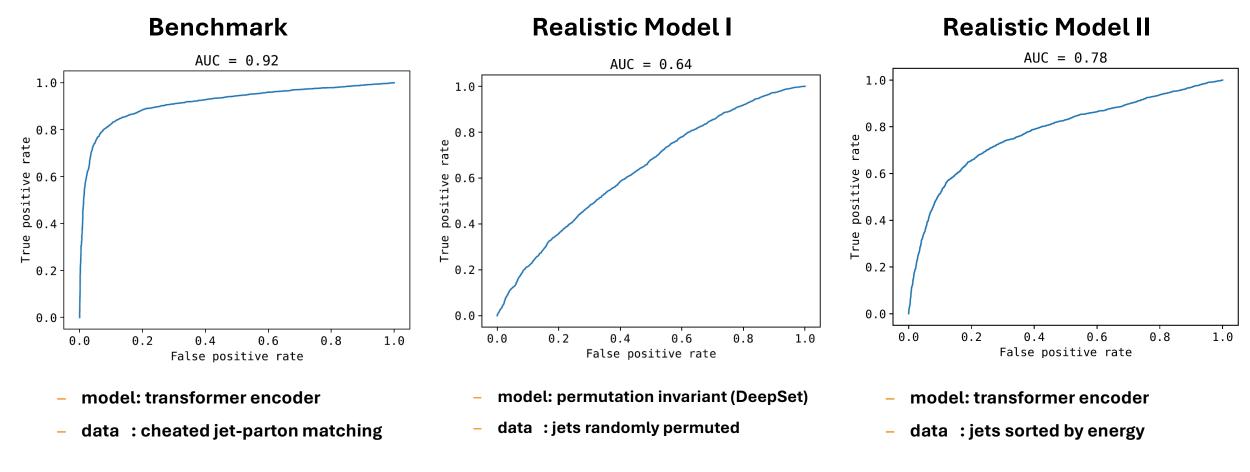


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Direct S/B Separation with ML models



- > using different architectures, a binary classifier is learned to again separate ZHH/ZZH
- input data: sets of four-momenta of the muons and b-jets; train/test ratio: 80/20



Conclusion II: The ZHH Analysis with potential future to

- DESY.
- > in existing ZHH analysis: jet clustering as one leading source of uncertainty [Du16]
 - "proof-of-concept" supervised ML model for jet clustering implemented
 - performance approximately on par with current reconstruction (Durham algorithm)
- MEM implemented with example use case of process separation
 - time-complexity remains an issue due to phase space integration
 - in theory, gives access to perfect discriminator
- > ML models for direct separation of ZHH/ZZH:
 - demonstrated that jet-parton matching is key information for separation power
 - best separation (AUROC = 0.78, AvgPrecision = 67%)

General Conclusion



- > major improvements in key analysis tools since last ZHH study [Du16]
 - existing SOTA tools are expected to improve the sensitivity on $\Delta \lambda_{SM}$ / λ_{SM} to **better than 20%**
- > jet clustering and process separation identified as leading sources of error [Du16]
 - proof-of-concept ML jet clustering on par with Durham
 - MEM implementation and ML models shown to improve channel separation
 - true/reco links from ILD full sim allow unique possibilities for supervised ML

> outlook:

- new estimates on $\Delta\lambda/\lambda$ with SOTA reconstruction and analysis underway
- new MC production at $\sqrt{s} = 550$ GeV with SLAC currently investigating relevant samples (check 2f, 4f, 5f, 6f backgrounds)



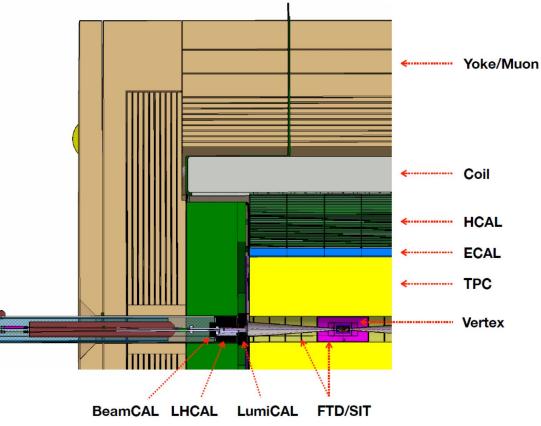
Backup

Status of and updates to the ZHH analysis at ILD | ILD Software and Analysis Meeting | Bryan Bliewert | 2024/10/02

The International Large Detector (ILD)



- inner and forward tracker (SiT, FTD)
 - precise identification of decay vertices
- > time-projection chamber (TPC) as main tracker
- electromagnetic (ECAL) and hadronic (HCAL) calorimeters inside magnetic coil to reduce material budget



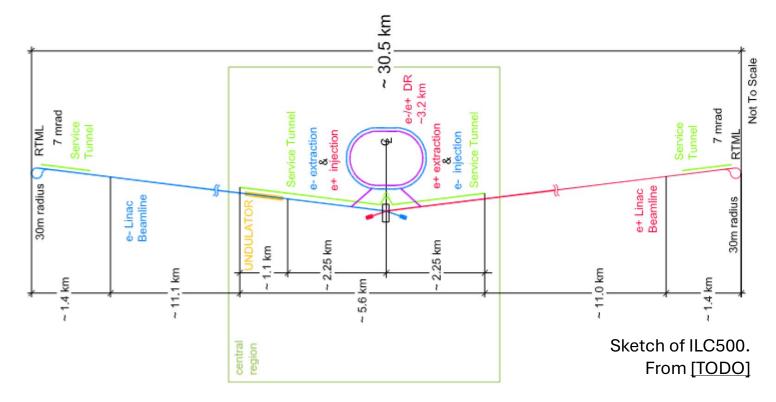
Quarter-slice through the ILD detector. From [TODO]

The International Linear Collider (ILC)



> linear collider concept with multiple energy stages
$$\left(\frac{\sqrt{s}}{GeV} = 250, 500, 1000\right)$$

- 500 GeV stage allows direct measurements of λ through di-Higgs production
- > mature concept (TDR), technologies available (superconducting RF-cavities etc.)



Future Higgs Factories

Universität Hamburg der forschung | der lehre | der bildung



- goal: high production of Higgs bosons
 e⁺e⁻ colliders for precision measurements
- > different concepts proposed:
 - linear (ILC, CLIC, C^3):
 - maximum energy constrained by length
 - *direct* measurements of λ possible
 - measurements with polarized beams possible
 - circular (FCC-ee, CEPC):
 - maximum energy limited by synchrotron radiation
 - higher luminosities through beam reuse

Collider	\sqrt{s}	$\mathcal{P}(e^-/e^+)$ [%]	N_{det}	$\mathcal{L}[\mathrm{abarn}^{-1}\mathrm{s}^{-1}]$
ILC	$250{ m GeV}$	$\pm 80/\pm 30$	1	2.0
	$500{ m GeV}$	$\pm 80/\pm 30$	1	4.0
	$1000{\rm GeV}$	$\pm 80/\pm 30$	1	8.0
CLIC	$380{ m GeV}$	$\pm 80/0$	1	1.0
	$1.5{ m TeV}$	$\pm 80/0$	1	2.5
	$3.0{ m TeV}$	$\pm 80/0$	1	5.0
C^3	$250{ m GeV}$	$\pm x/0$?	1.3
	$550{ m GeV}$	$\pm x/0$?	2.4
FCC-ee	M_Z	0/0	2	150
	$2M_W$	0/0	2	10
	$240{ m GeV}$	0/0	2	5
	$2m_{top}$	0/0	2	1.5
CEPC	M_Z	0/0	2	16
	$2M_W$	0/0	2	2.6
	$240{ m GeV}$	0/0	2	5.6
HALHF	$250{ m GeV}$	0/0	1	≈ 2

Comparison of selected physics programs at the proposed accelerators ILC, CLIC, FCCee, CEPC, C^3 and HALHF. From [Db20]

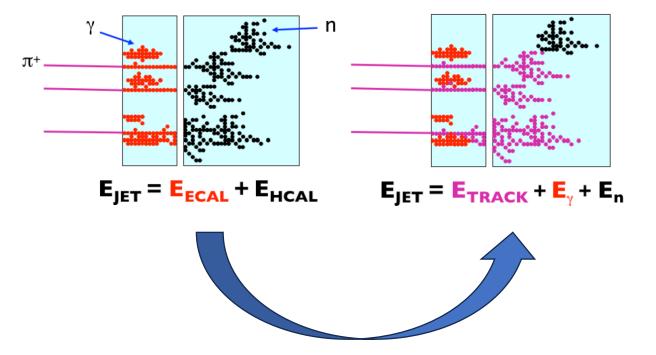
Particle Flow



> use best combined information between detectors for highest energy resolution (Particle Flow objects, PFOs)

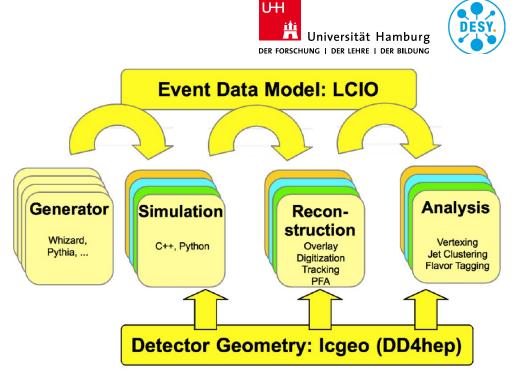
> goal: best jet energy resolution

From traditional to particle flow calorimetry. From [Du16]



Software

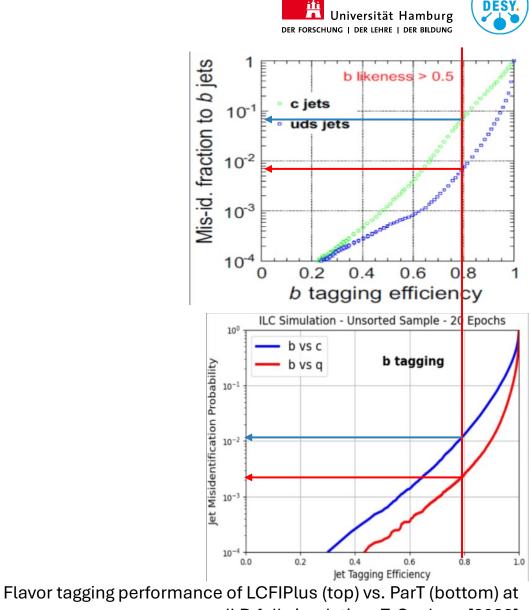
- iLCSoft software stack
- Marlin for reconstruction; important in existing ZHH-analysis:
 - TrueJet: jet-clustering of PFOs using truth information
 - isolated lepton tagging: decision trees for tagging leptons



Event flow in the iLCSoft stack. From [TODO]

Flavor tagging with ML (ParT)

- improved b-tagging efficiency since state-of-the-art projections from 2016
- ML models (<u>DeepJet</u>, <u>ParticleNet</u>, <u>ParT</u>) show highly improved rejection compared to LCFIPlus
- status: ready for use (in <u>MarlinML</u>)



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ILD full simulation. <u>T. Suehara [2023]</u>

ErrorFlow



> assume full parameterization of errors for individual jets

$$\sigma_{E_{jet}} = \sigma_{Det} \oplus \sigma_{Conf} \oplus \sigma_{\nu} \oplus \sigma_{Clus} \oplus \sigma_{Had} \oplus \sigma_{\gamma\gamma}$$

- σ_{Det} : detector resolution

Y. Radkhorrami [2022]

- σ_{conf} : particle confusion in particle flow algorithm
- σ_{v} : neutrino correction
- > status: in production (in <u>MarlinReco</u>)

Durham jet clustering



- > Durham algorithm: common jet-clustering method at e^+e^- -colliders
 - sequential algorithm: cluster objects (here: PFOs) *i* and *j* together by lowest test variable y_{ij} until either a cut $y_{ij} > y_{cut}$ or a number of jets is reached; in Durham:

$$y_{ij} = \frac{M_{ij}^2}{Q^2}$$
$$M_{ij}^2 = k_\perp^2 = 2\min(E_i, E_j)^2 \cdot (1 - \cos\theta_{ij})$$

- is **IRC-safe**: same result when arbitrarily soft/colinear input objects are added

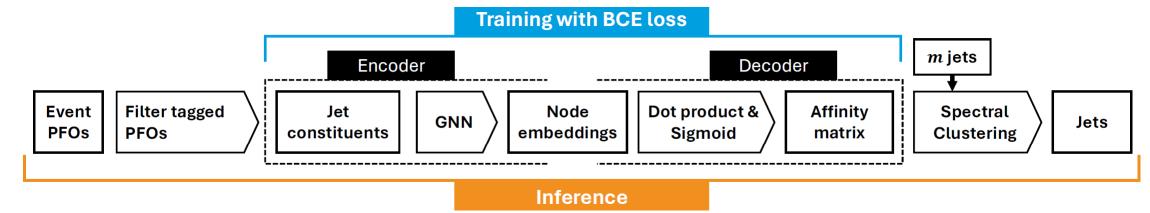
Architecture: Supervised Jet Clustering with GNNs

- here: implemented as hybrid model (GNNSC)
 - training a GNN in supervised manner to calculate edge scores
 here: using TransformerConv layer (implements message-passing and graph attention)
 - spectral clustering (SC) to build "jets"

TransformerConv operator from the paper Masked Label Prediction: Unified Message Passing Model for Semi-Supervised Classification [Sh20].

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> advantages:

- permutation invariant by construction
- straightforward implementation

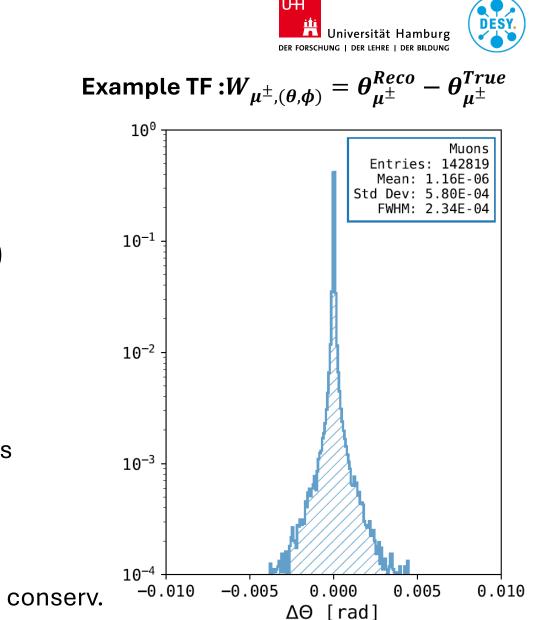
> disadvantages:

- not fully differentiable
- no inherent IRC-safety

Assumptions for the MEM

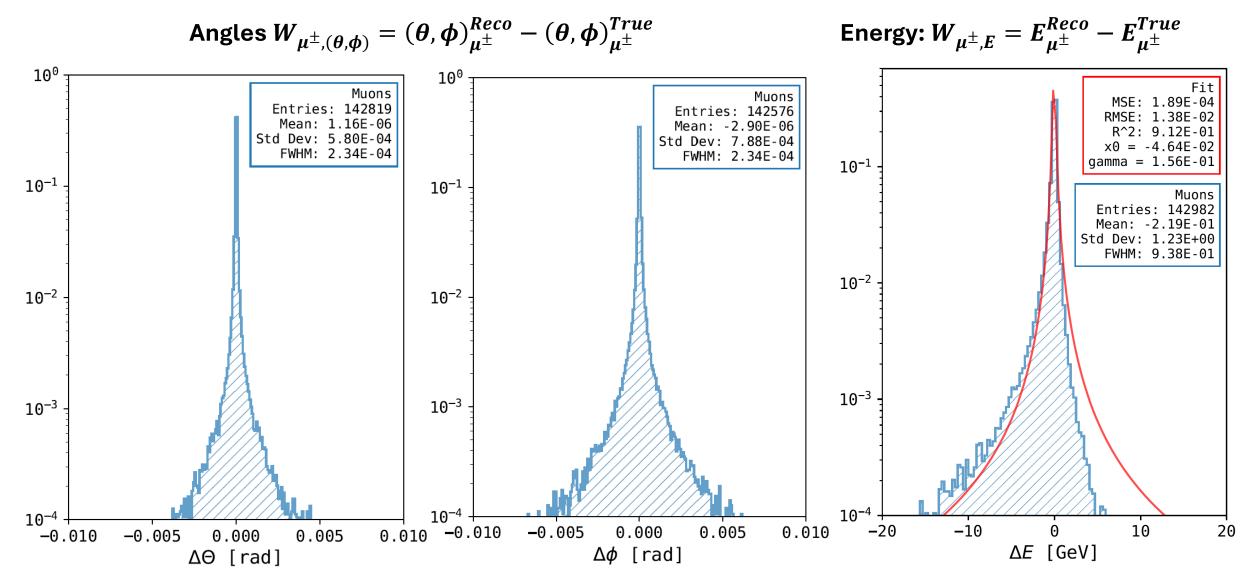
> assumptions:

- same acceptance A_i for i = ZHH, ZZH hypotheses
- ignore efficiency $\epsilon_i(\mathbf{x})$
- TF factorizes: $W_i(y|x) = \prod_{j=\text{final state particles}} W_{ij}(y_j|x_j)$
- components of TF can be parameterized in differences e.g. $W_{ij}(E^{reco}|E^{true}) = \widehat{W}(\Delta E = E^{reco} - E^{true})$
- muon kinematics (energy + angles) perfectly measured
- narrow width approximation (NWA): Higgs boson width is small w.r.t. mass <-> propagator delta peaked
- > dimensionality of integral reduced from 18 to 11
 - further reduction to 7 by integrating out four momentum conserv.



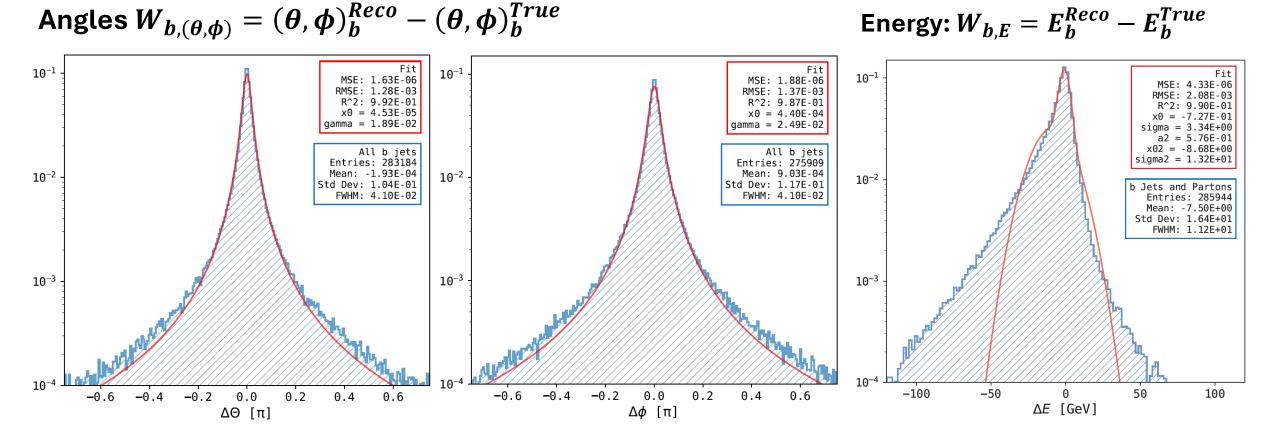
MEM Transfer Functions – Muons





MEM Transfer Functions – Jets/b and \overline{b} quarks





Solving the MEM integral

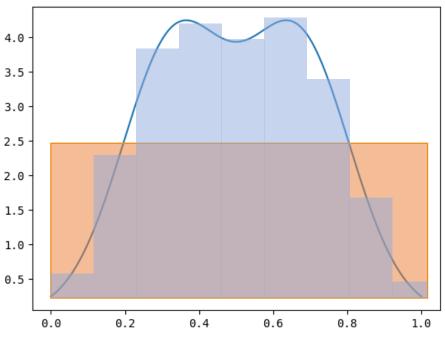


problem: the chosen phase space parametrization is 7-dim.: efficient evaluation?

> solution: Monte Carlo (MC) integration

$$E_{p(x)}[I(f)] = \frac{1}{n} \sum_{i}^{n} f(x_i); \ x \sim p(X)$$
$$\sigma = \frac{\sqrt{E[(f - E[f])^2]}}{\sqrt{n}}$$

- crude MC: uniform sampling; in every dim: $p(x) = \frac{1}{a-b}$
- importance sampling: sample from proposal $x \sim q(x)$
 - need to find proposal dist. q(x) that fits integrand without knowing integral
 - the "better" q, the faster the variance decreases
 - many approaches: e.g. VEGAS algorithm, neural importance sampling (NIS)



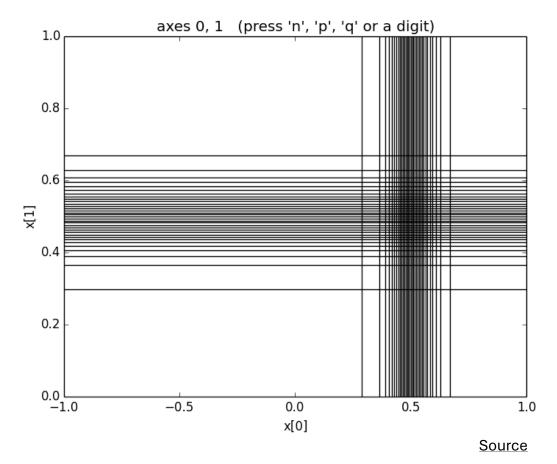
VEGAS Importance Sampling MC

> assume the integrand factorizes

 $f(x) = \prod_{i=1}^{n} f_i(x_i)$

- > divide each dimension into n bins with equal probability
- > adjust the bin widths to sample more often in the more important regions







Neural Importance Sampling MC

principle

- from a known base distribution $u \sim \pi(u)$
- use ML to learn a **bijective and differentiable function** g to transform u to a more complex distribution

x = g(u)

 \triangleright PDF of x given by change of variables formula

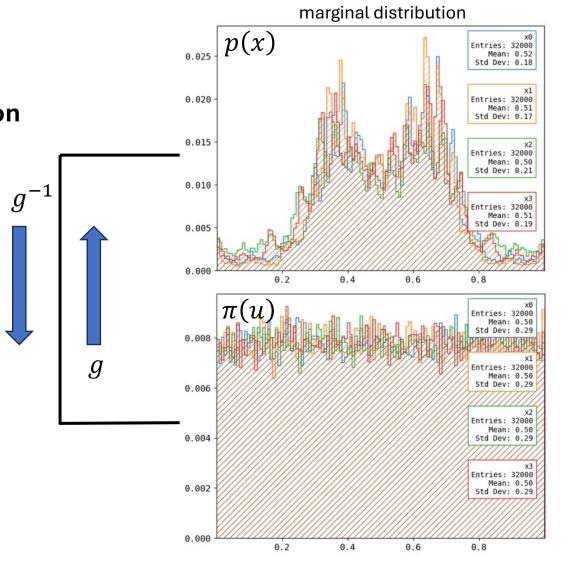
$$p(x) = \pi(g^{-1}(x)) \left| \det\left(\frac{\partial g^{-1}}{\partial x}\right) \right|$$

> here: transformation using piecewise rational quadratic spline

[arXiv:1410.8516] : NICE: Non-linear Independent Components Estimation [arXiv:1808.03856] :Neural Importance Sampling [arXiv:1906.04032] : Neural Spline Flows [arXiv:2001.05486] : i-flow



Before/after the flow: Example

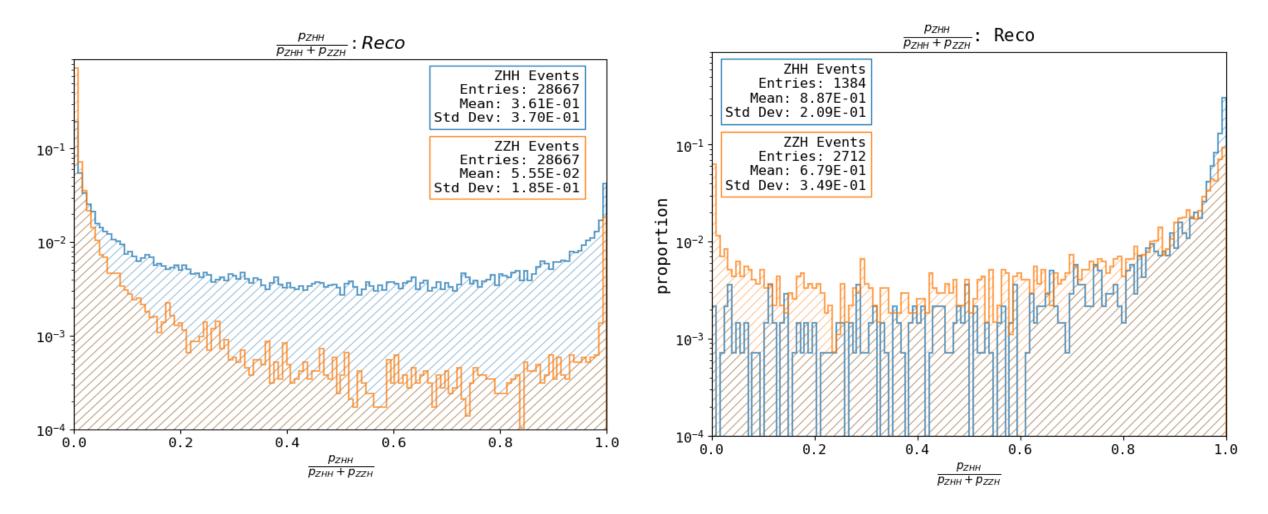


MEM Results



Generator level: cross-x normalized ME only

VEGAS full MEM



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