### Towards an update of the ILD ZHH analysis

#### ILD Software and Analysis Meeting | 2024/10/02

Bryan Bliewert<sup>1,2</sup>, Caterina Vernieri<sup>3</sup>, Dimitris Ntounis<sup>3</sup>, Jenny List<sup>1</sup>, Julie Munch Torndal<sup>1,4</sup>, Junping Tian<sup>5</sup>

- <sup>1</sup> DESY Hamburg
- <sup>2</sup> Universität Hamburg
- <sup>3</sup> SLAC
- <sup>4</sup> Universität Hamburg
- <sup>5</sup> University of Tokio



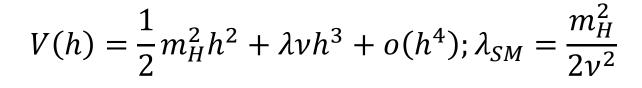




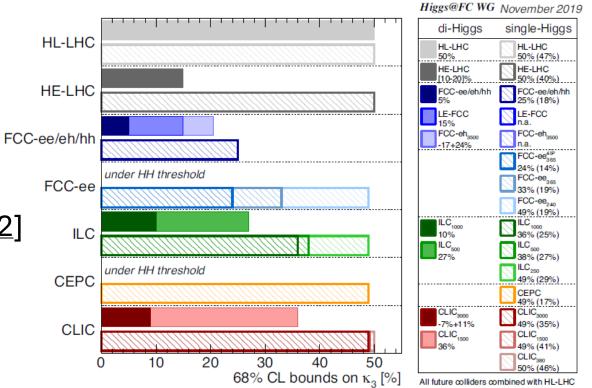
# Introduction

Physical fundamentals and methods for direct measurements of the Higgs self-coupling at future Higgs factories

#### The Higgs self-coupling $\lambda$ in the SM



- v vacuum expectation value (vev) of Higgs field h  $m_H$  mass of Higgs boson
- > in SM:  $\lambda_{SM}$  fixed since  $m_H$  is known [At/Cm12]
  - deviation from  $\lambda = \lambda_{SM}$  hints at BSM physics
  - beyond SM, many values are possible
  - most projections assume  $\lambda = \lambda_{SM}$



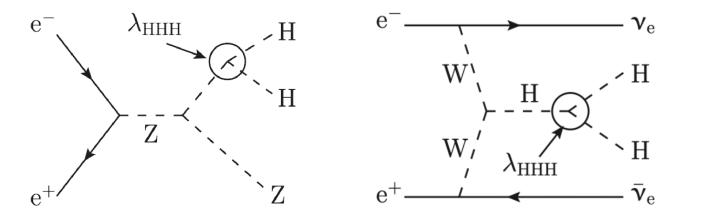
Projected sensitivity at 68% probability for  $k_3$ . From [Db20]

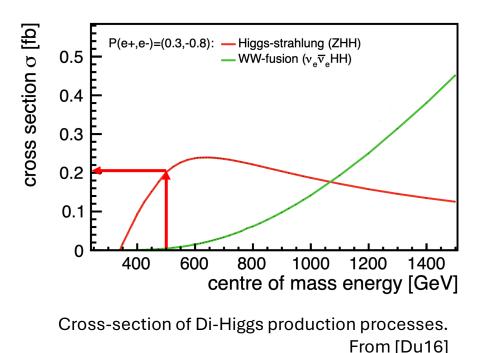


#### Measuring the Higgs self-coupling at e+e- colliders

> direct access to  $\lambda$  through double-Higgs production

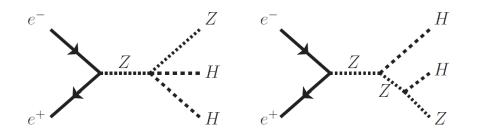
- Di-Higgs strahlung (**ZHH**; dominant < 1 TeV)
- vector boson fusion ( $v\bar{v}HH$ ; dominant > 1 TeV)





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> degredation of sensitivity in ZHH by diagrams without  $\lambda$ 





#### **The ZHH Analysis**



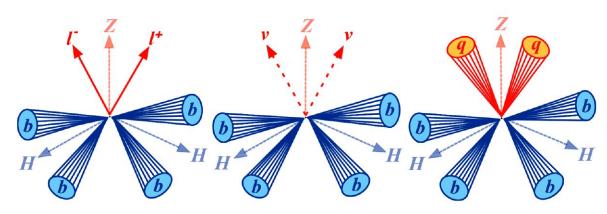


- based on ILD detector concept (<u>DBD2013</u>, <u>IDR2020</u>) and *fully simulated* event samples
- last projections from 2016 (DESY-Thesis-16-027)
- 17 background and 3 signal channels
- multivariate (MVA) tools for multiple steps
   e.g. lepton and flavor tagging, background rejection etc.

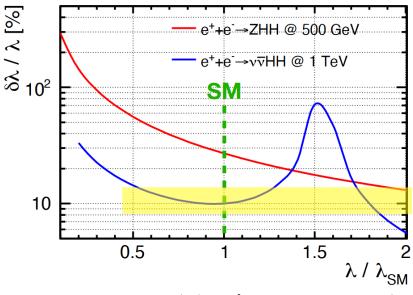
```
> precision reach after running 4ab^{-1} at
500 GeV (HH → b\overline{b}b\overline{b} + HH → b\overline{b}W^{\pm}W^{\mp})
```

 $\Delta \sigma_{\rm ZHH} / \sigma_{\rm ZHH} = 16.8\%$ 

 $\Delta \lambda_{\rm SM} = 26.6\%$  (10% with additional upgrade to 1 TeV)



Lepton, neutrino and hadron channel of the signal process ZHH. From [Du16]

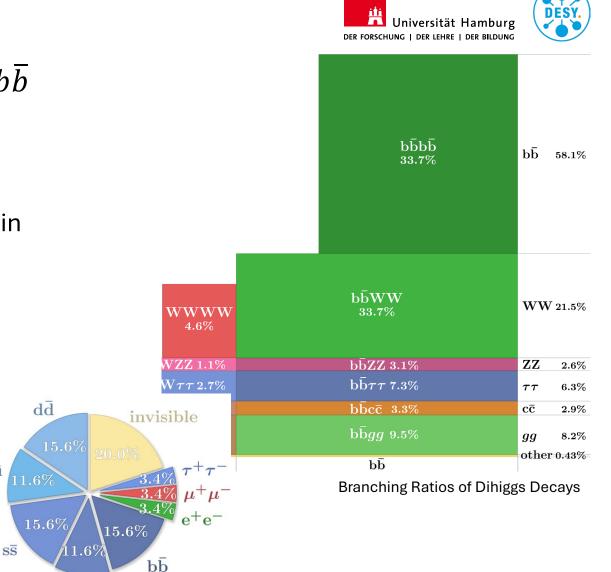


Limits to  $\lambda$  from last ZHH analysis

#### **Improving on the last Analysis**

> All signal channels evolve around  $HH \rightarrow b\overline{b}b\overline{b}$ 

- Large gains possible from new tagging algorithms analysis depends on  $\epsilon_b$  by  $o(x^4)$
- Even more important for background suppression in hadronic channel
- Lepton channel
  - Profits from lepton ID improvements by  $o(x^2)$
  - Tagging of tau events possible in the future



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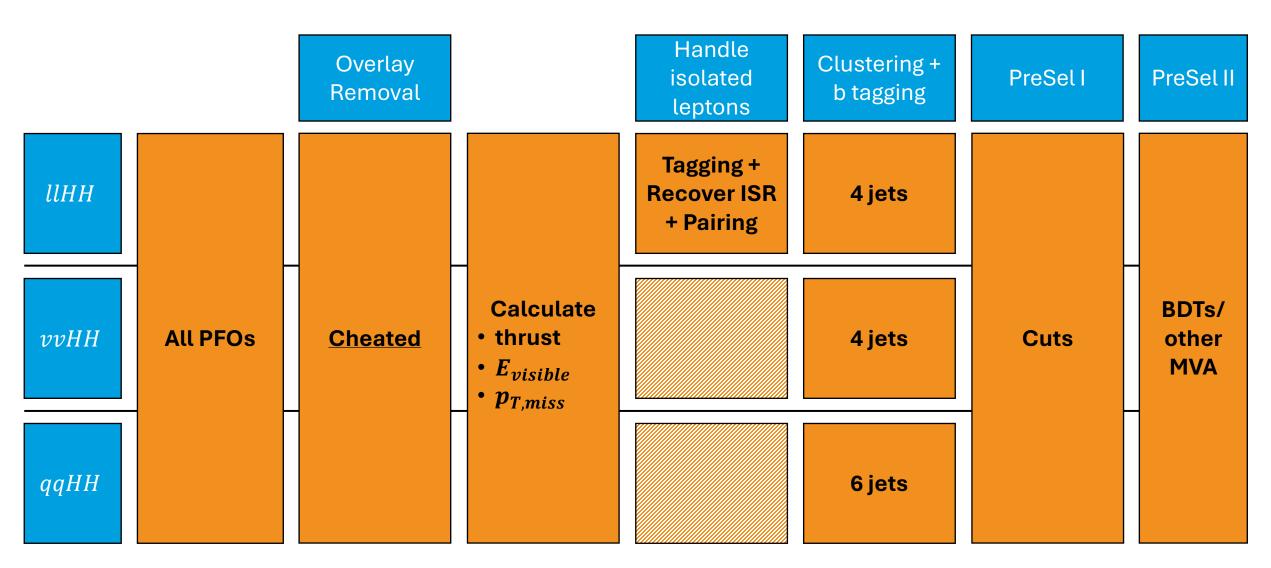
Branching Ratios of Z decays

 $c\overline{c}$ 

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#### **Analysis Flow**



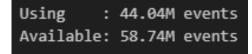


#### **Progress on ZHH**



#### Status:

- Framework for analysis now set up using law [\*] using in total 99 physics processes and 276 combinations of process/polarizations
- Integration of state-of-the-art particle ID and jet flavor tagging algorithms (ParticleNet)
- 550 GeV production ongoing with colleagues from SLAC and U Tokyo for backgrounds, focus on events with at least one  $b\overline{b}$  pair
- Optimization of cuts in progress
- Next steps:
  - ML model for final selection
  - Extract limits on  $\lambda_{HHH}$
  - Analysis of 550 GeV samples, investigate fast simulation using SGV
  - Cover more channels, e.g.  $Z \rightarrow \tau \overline{\tau}$



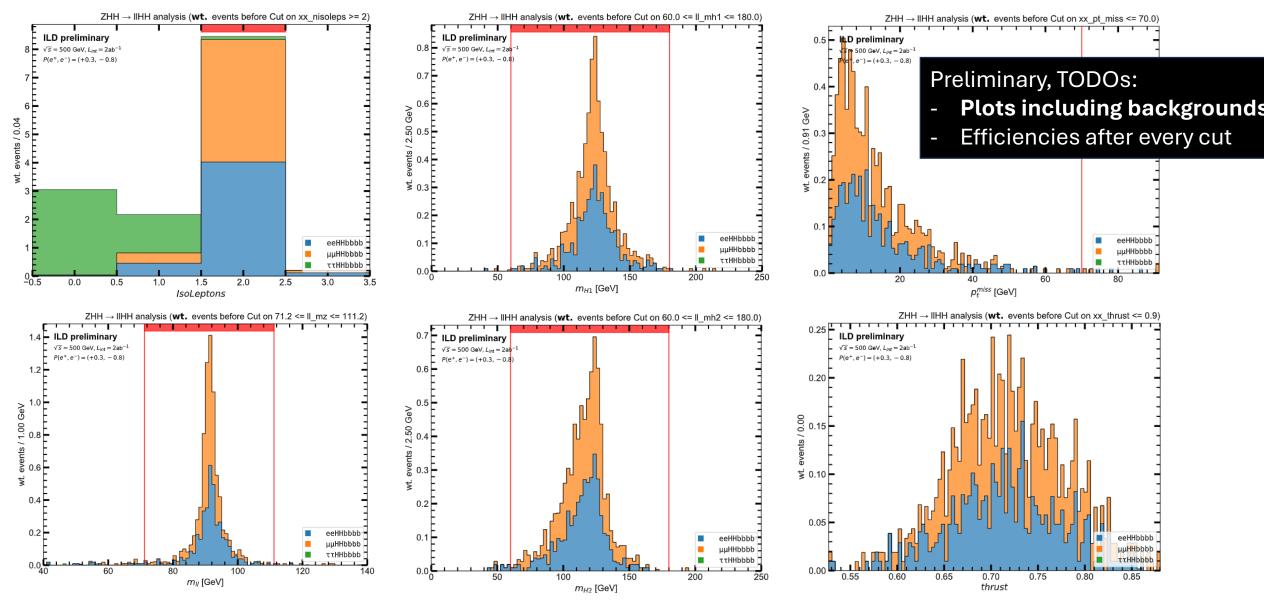
Number of events considered/available.

[\*] luigi analysis workflows

#### **Preselection: Leptonic Channel – ZHH** $\rightarrow l\bar{l}b\bar{b}b\bar{b}$

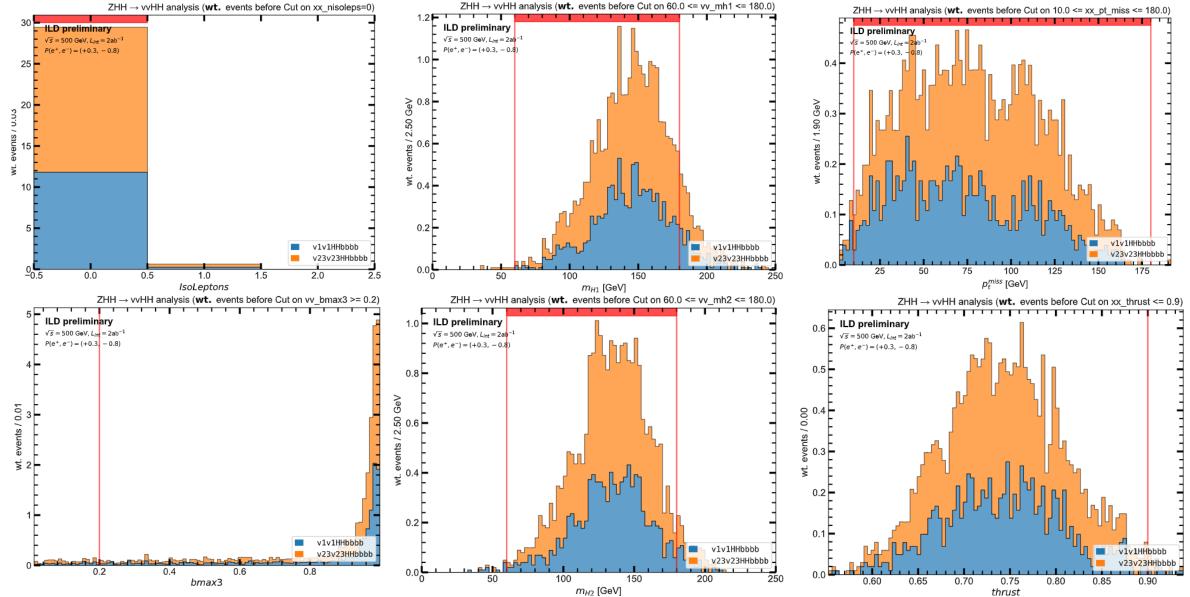






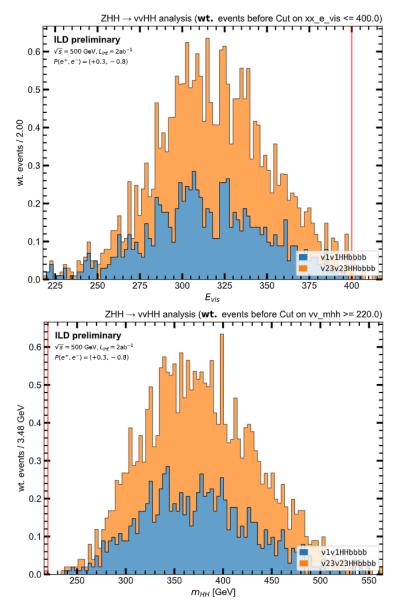
#### **Preselection:** Neutrino Channel – ZHH $\rightarrow v \overline{v} b \overline{b} b \overline{b}$





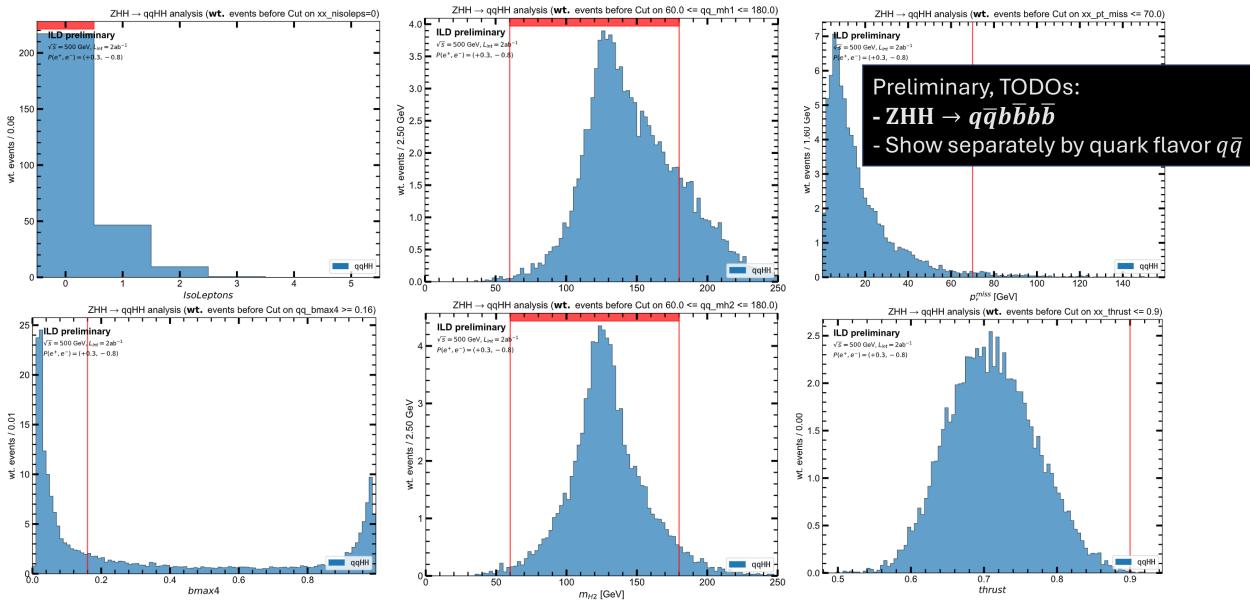
#### **Preselection: Neutrino Channel – ZHH** $\rightarrow v \overline{v} b \overline{b} b \overline{b}$





#### **Preselection: Hadron Channel – ZHH** $\rightarrow q\overline{q}HH$

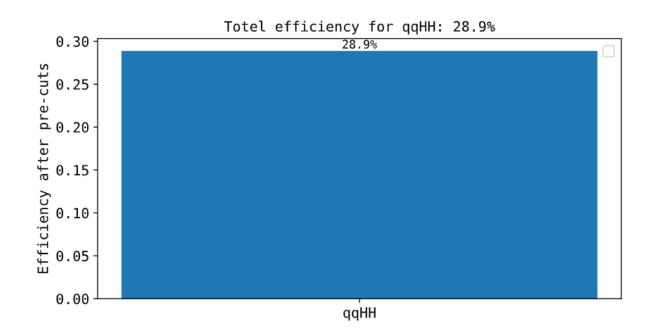




#### **Preselection: Hadron Channel – ZHH** $\rightarrow q\overline{q}HH$



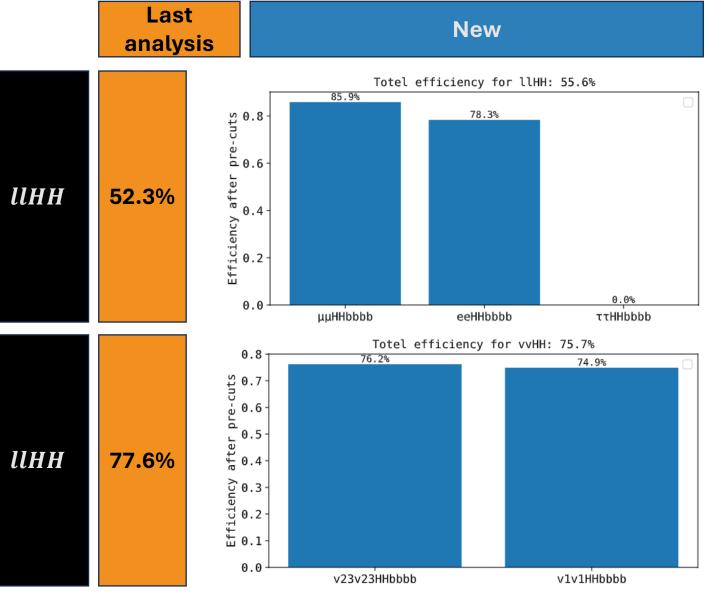
Preliminary, TODOs: - ZHH  $\rightarrow q\overline{q}b\overline{b}b\overline{b}$ - Show separately by quark flavor  $q\overline{q}$ 



#### **Preselection: Comparison**



- Efficiencies line up with last analysis
- Cut flow (previous slides) shows opportunities for improvement



#### **Outlook and Summary**



- Framework for full new ZHH analysis is set up
- > Preselection efficiencies for lepton and neutrino channel so far line up with last study
- > Large improvements expected from upgrading to state-of-the-art analysis tools
  - Jet tagging, particle ID, event selection using current ML techniques
  - Covering more signal channels
  - Improvement from 27% to better than 20% sensitivity on  $\lambda$  in reach
- Effort on 550 GeV sample production ongoing



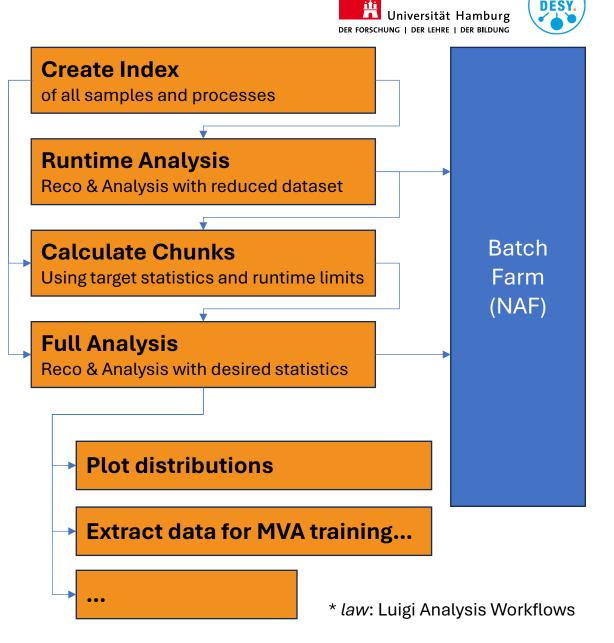
# **Thank you for listening!**



# Backup

#### **Modern Data Management**

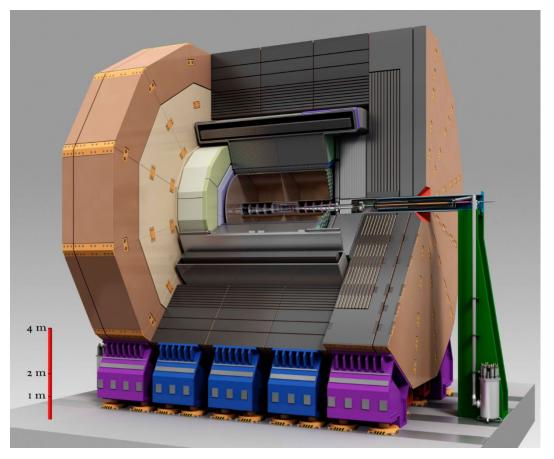
- Problem: highly heterogenous data requires reliable bookkeeping and job submission
- Solution: based analysis on <u>luigi+law</u>\* to
  - organizes flow of data more transparent,
  - manages job submissions, resubmission etc.
  - makes the central book-keeping easier
- Status: Working/Done.



#### The International Large Detector (ILD)

- > well charatecterized, highly granular detector concept [IDR]
- > designed around particle flow concept
  - allows reconstruction of individual physics objects (Particle Flow Objects, PFOs)
- Full Geant4-based simulation available
  - including links between truth/reconstructed particles
- > in the following: assuming ILD @ ILC500





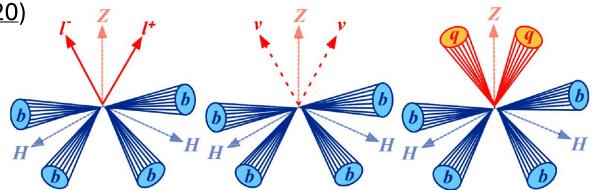
Rendering of the ILD detector. From [Ba19]

#### **The ZHH Analysis**



#### extensive projections at ILD @ ILC500 (DESY-Thesis-16-027)

- based on ILD detector concept (<u>DBD2013</u>, <u>IDR2020</u>) and *fully simulated* event samples
- 17 background and 3 signal channels considered
- multivariate (MVA) tools for multiple steps
   e.g. lepton and flavor tagging, background rejection etc.
- event counting weighted by  $m_{HH}^2$  for further sensitivity enhancement



Lepton, neutrino and hadron channel of the signal process ZHH. From [Du16]

> precision reach after running  $4ab^{-1}$  at 500 GeV (HH →  $b\overline{b}b\overline{b}$  + HH →  $b\overline{b}W^{\pm}W^{\mp}$ )

 $\Delta \sigma_{\rm ZHH} / \sigma_{\rm ZHH} = 16.8\%$ 

 $\Delta \lambda_{\rm SM} / \lambda_{\rm SM} = 26.6\%$  (10% with additional upgrade to 1 TeV)

#### **Bottlenecks in the ZHH analysis**



- > jet pairing and jet misclustering: "perfect" jet clustering → 40% improvement improve di-jet mass resolution
- > removal of  $\gamma\gamma$  overlay: 15% improvement expected important to tackle initial state radiation (ISR)
- > flavor tagging: 11% improvement expected from 5% eff. increase with newer LCFIPlus important as  $H \rightarrow b\bar{b}$  is the dominant Higgs decay channel
- > adding  $Z \rightarrow \tau \tau$  channel: 8% improvement expected include a yet unaccounted decay channel
- > more modern ML architectures for signal/background selection improvement expected when transitioning from BDTs to (e.g.) transformer-based models etc.
- > separation of ZHH diagrams with/without the self-coupling would directly improve the sensitivity on  $\lambda$  (lower sensitivity factor)

Expected relative improvements from DESY-Thesis-16-027

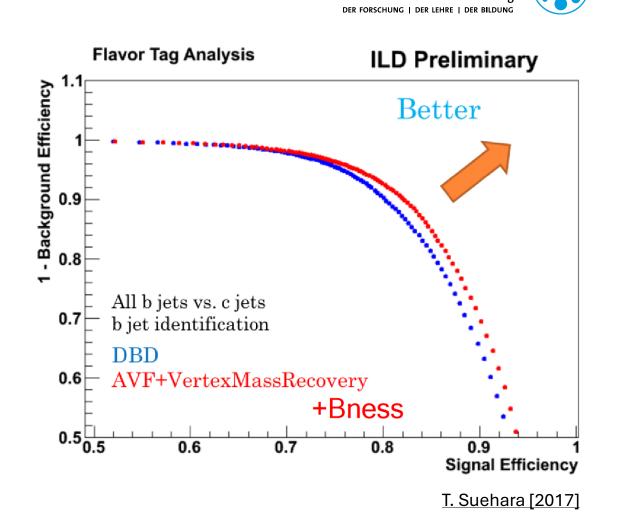


## **Tools of Today**

State-of-the-art (SOTA) tools for reconstruction and analysis expected to improve the sensitivity on  $\lambda$ 

#### Flavor tagging with LCFIPlus

- improved b-tagging efficiency in current ILD standard <u>LCFIPlus</u> since SOTA projections from 2016
  - 5% relative improvement in  $\epsilon_{b-tag}$  at same purity
  - 11% expected improvement in  $\Delta \sigma_{ZHH} / \sigma_{ZHH}$

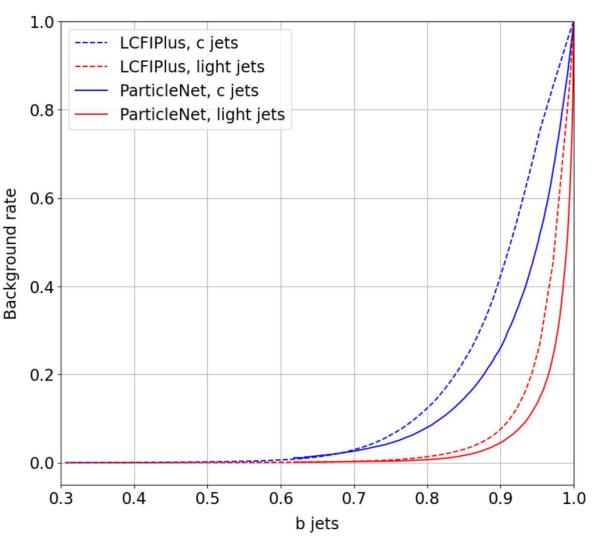


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#### Flavor tagging with ML (ParticleNet)

- improved b-tagging efficiency since state-of-the-art projections from 2016
- ML models (<u>DeepJet</u>, <u>ParticleNet</u>, <u>ParT</u>) show highly improved rejection compared to LCFIPlus
- status: ready for use (in <u>MarlinML</u>)



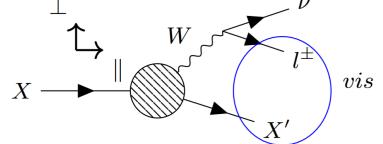
Flavor tagging performance of LCFIPlus vs. ParticleNet using ILD full simulation. <u>M. Meyer [2023]</u>



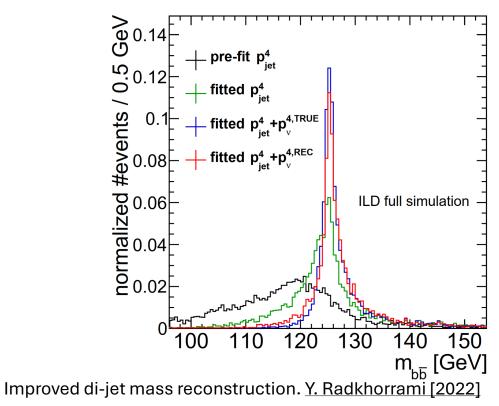
#### **Neutrino correction with kinematic fitting**

- For semileptonic decay (SLD) processes
  - already in ZH  $\rightarrow b\bar{b}/c\bar{c}$ , 66% of events include at least one SLD
- > procedure:
  - identify/tag heavy quark jet
  - identify lepton in jet
  - calculate neutrino four momentum from kinematics with kinematic fitting, the best solution is selected
- status: in production (in MarlinReco)



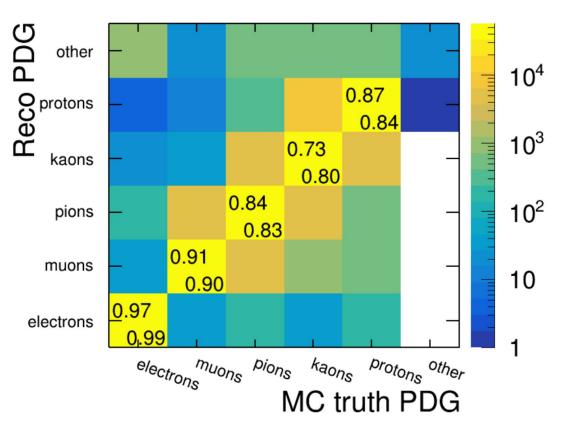


Recovering the neutrino kinematics. Y. Radkhorrami [2022]



#### **Comprehensive Particle Identification (CPID)**

- > modular and highly configurable PID toolkit
  - "plug-and-play" of multiple data sources
     e.g. at ILD: dE/dx, TOF, cluster shape
  - extension through custom inference modules
     e.g. MVA/ML models etc.
- includes default weights for BDT model
- status: in production (in MarlinReco)



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Confusion matrix for single charged partilces at ILD. <u>U. Einhaus (2023)</u>



#### **Conclusion I: The ZHH Analysis with SOTA-Tools**



- major advancements in key aspects since last ZHH analysis [Du16]
  - flavor tagging efficiency improved by at least 5% ( $\approx 10\%$  with ML tools)
  - kinematic fits benefit substantially from full ErrorFlow paramterization
  - neutrino correction has greatly improved di-jet mass resolution in events with SLDs
  - CPID improves particle ID performance by separating detector data and inference
- > better than 20% sensitivity of  $\Delta \lambda_{SM}$  /  $\lambda_{SM}$  expected with SOTA tools [To24b]



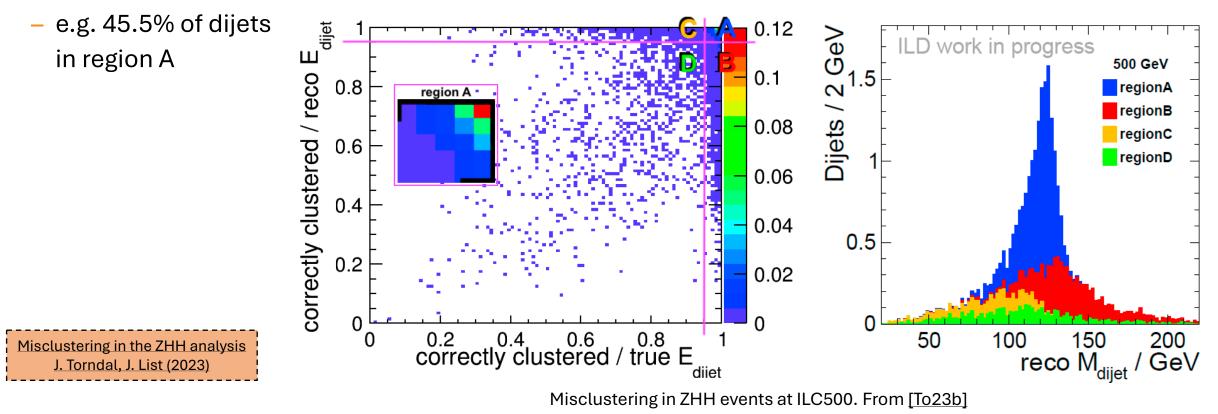
### **Tools of Tomorrow**

Potential future tools for reconstruction and analysis

### Motivation: Misclustering in the ZHH analysis

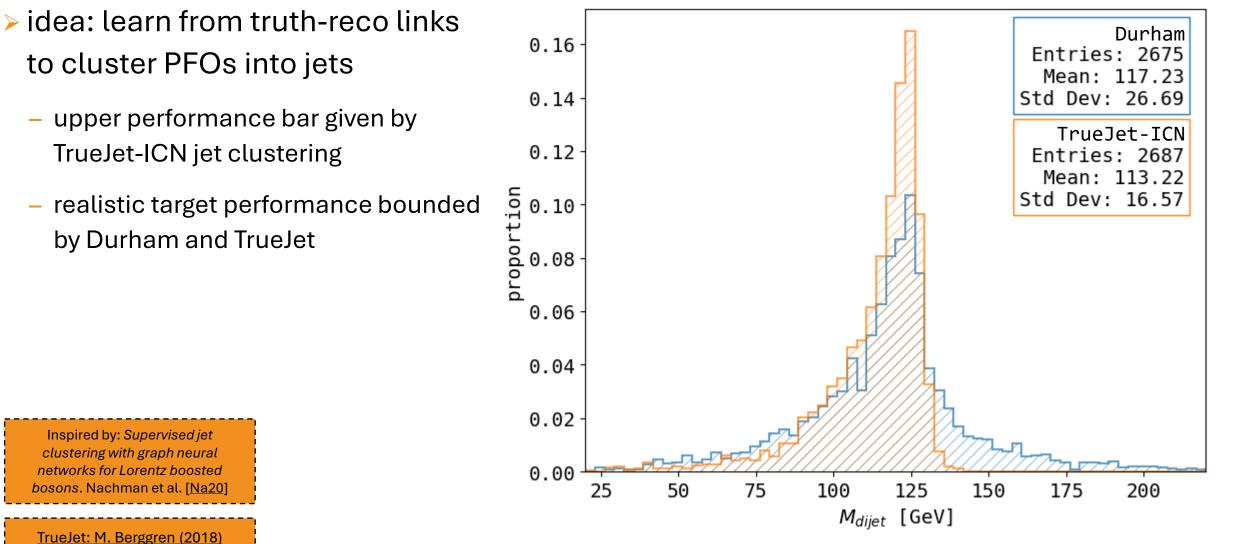


- > misclustering of PFOs to jets deteriorates the sensitivity to  $\lambda$  by  $\approx 2$  [Du16]
- > quantification: purity vs efficiency of energy in reconstructed di-jets
- > classify di-jets into 4 regions (A, B, C, D) based on threshold: > 95% on both axes



#### **Supervised Jet Clustering**



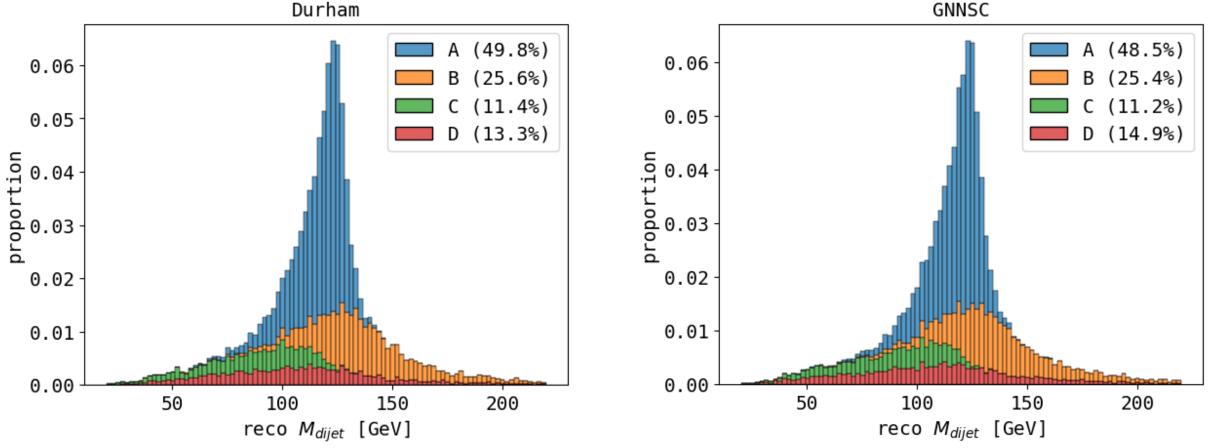


Di-jet mass reconstruction using Durham algorithm and TrueJet

#### **Supervised Jet Clustering**



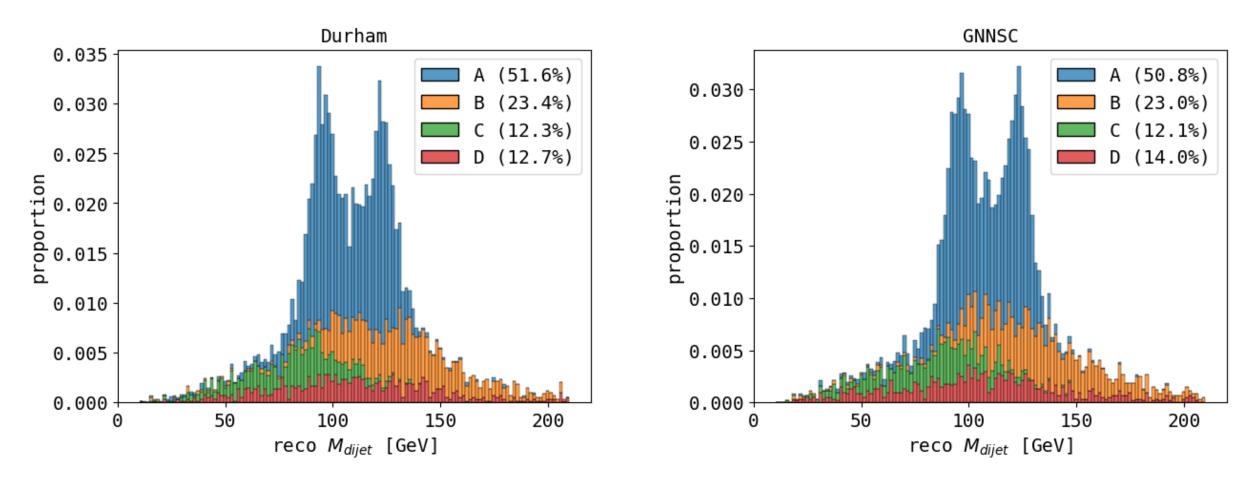
- proof-of-concept ML model (GNNSC) shows performance on par with Durham
  - status: proof-of-concept (Marlin processor available)
  - in the future: investigate more powerful architectures



#### Jet Clustering on ZZH events

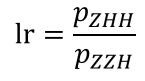


- > model was learned on ZHH events; how well does it generalize to ZZH events?
  - again, nearly identical performance of Durham and GNNSC model



#### The Matrix Element Method (MEM)

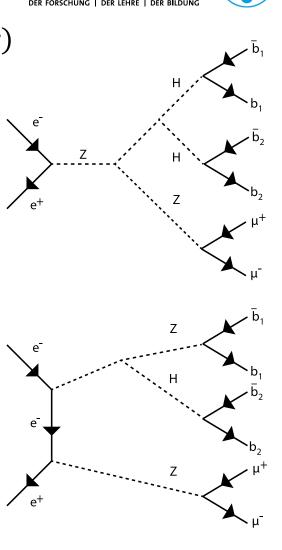
- > method for calculating event-likelihoods, i.e.  $p(\text{event } \boldsymbol{x} | \text{channel i}) = p_i(\boldsymbol{x})$ 
  - example use case: separate ZHH vs. ZZH  $\rightarrow \mu^{-}\mu^{+}b\bar{b}b\bar{b}$  using likelihood ratio lr



- binary classification by cutting on lr
- $\succ$  for each event y and process *i* (ZHH, ZZH), solve integral

$$p_i(\mathbf{y}) = \frac{1}{\sigma_i \cdot A_i} \int |M_i(\mathbf{x})|^2 W_i(\mathbf{y} \mid \mathbf{x}) \epsilon_i(\mathbf{x}) d\Phi_n(\mathbf{x})$$

- $M_i(x)$  LO matrix element
- $W_i(y|x)$  transfer function (TF): PDF for measuring y given x; fit from ILD fullsimulation samples



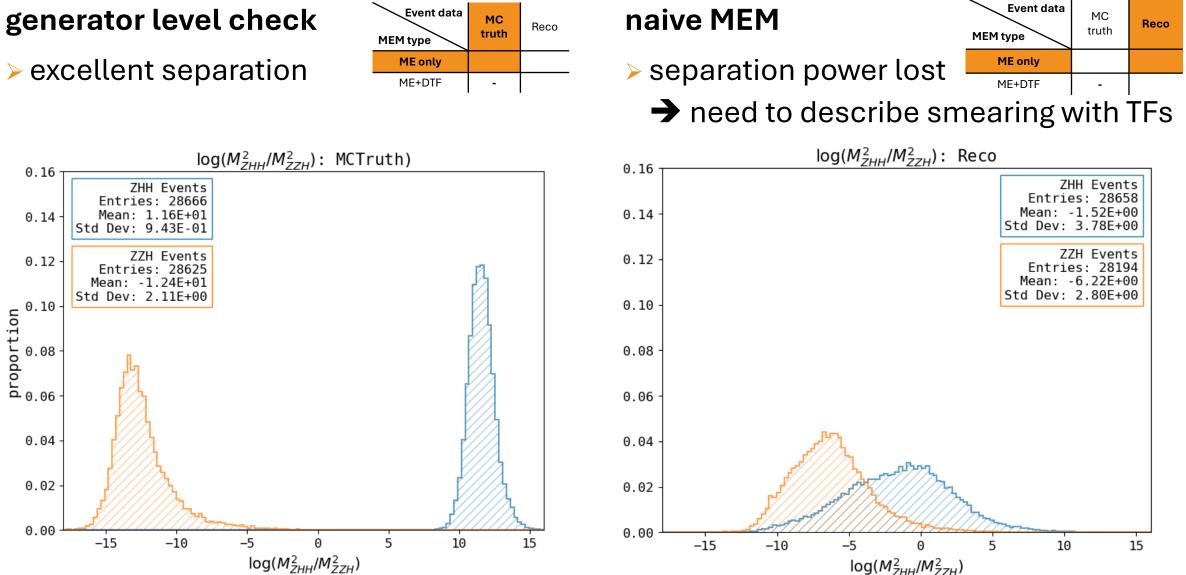
 $A_i$  : acceptance of channel *i*  $\epsilon_i(\mathbf{x})$  : detector efficiency



### **MEM Introduction with Examples**

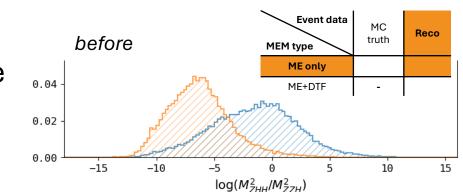


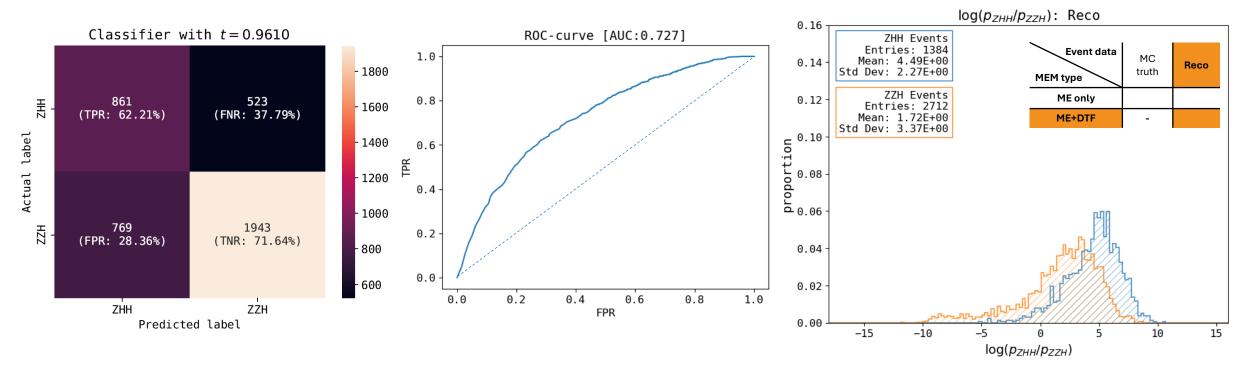




#### **MEM Results**

- > obtained using VEGAS algorithm
- by including integration over transfer functions, some separation power is regained; AUROC = 0.73



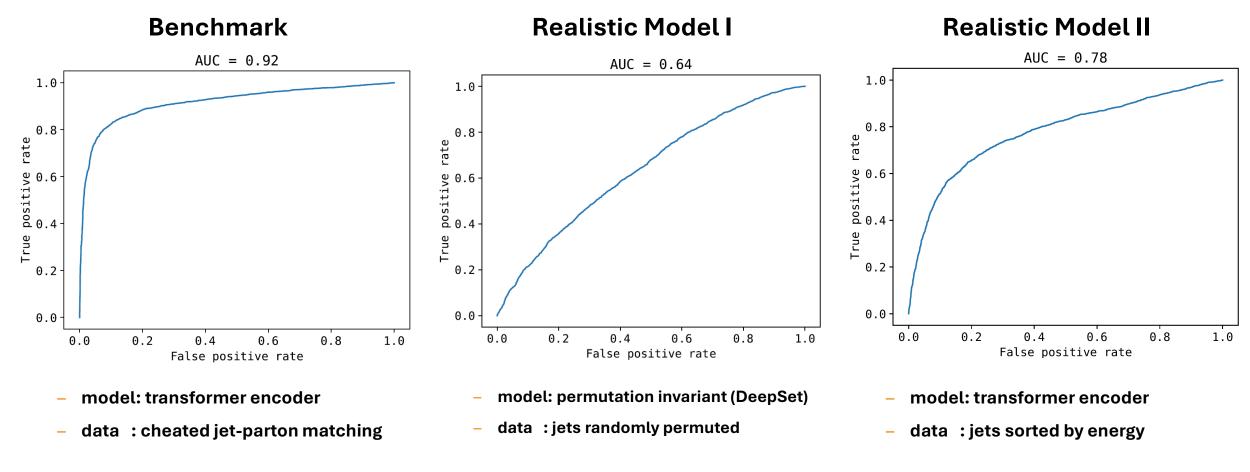


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#### **Direct S/B Separation with ML models**



- > using different architectures, a binary classifier is learned to again separate ZHH/ZZH
- input data: sets of four-momenta of the muons and b-jets; train/test ratio: 80/20



# Conclusion II: The ZHH Analysis with potential future to

- DESY.
- > in existing ZHH analysis: jet clustering as one leading source of uncertainty [Du16]
  - "proof-of-concept" supervised ML model for jet clustering implemented
  - performance approximately on par with current reconstruction (Durham algorithm)
- MEM implemented with example use case of process separation
  - time-complexity remains an issue due to phase space integration
  - in theory, gives access to perfect discriminator
- > ML models for direct separation of ZHH/ZZH:
  - demonstrated that jet-parton matching is key information for separation power
  - best separation (AUROC = 0.78, AvgPrecision = 67%)

### **General Conclusion**



- > major improvements in key analysis tools since last ZHH study [Du16]
  - existing SOTA tools are expected to improve the sensitivity on  $\Delta \lambda_{SM}$  /  $\lambda_{SM}$  to **better than 20%**
- > jet clustering and process separation identified as leading sources of error [Du16]
  - proof-of-concept ML jet clustering on par with Durham
  - MEM implementation and ML models shown to improve channel separation
  - true/reco links from ILD full sim allow unique possibilities for supervised ML

> outlook:

- new estimates on  $\Delta\lambda/\lambda$  with SOTA reconstruction and analysis underway
- new MC production at  $\sqrt{s} = 550$  GeV with SLAC currently investigating relevant samples (check 2f, 4f, 5f, 6f backgrounds)



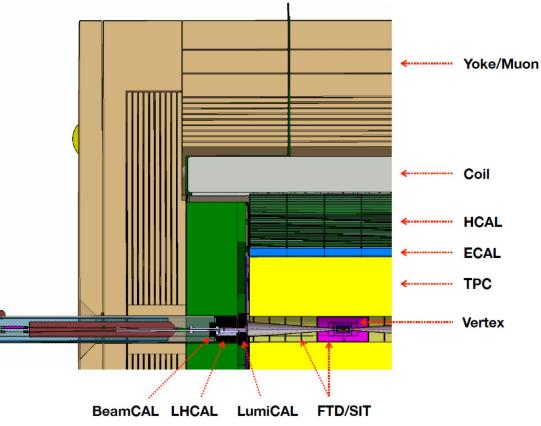
# Backup

Status of and updates to the ZHH analysis at ILD | ILD Software and Analysis Meeting | Bryan Bliewert | 2024/10/02

### **The International Large Detector (ILD)**



- inner and forward tracker (SiT, FTD)
  - precise identification of decay vertices
- > time-projection chamber (TPC) as main tracker
- electromagnetic (ECAL) and hadronic (HCAL) calorimeters inside magnetic coil to reduce material budget



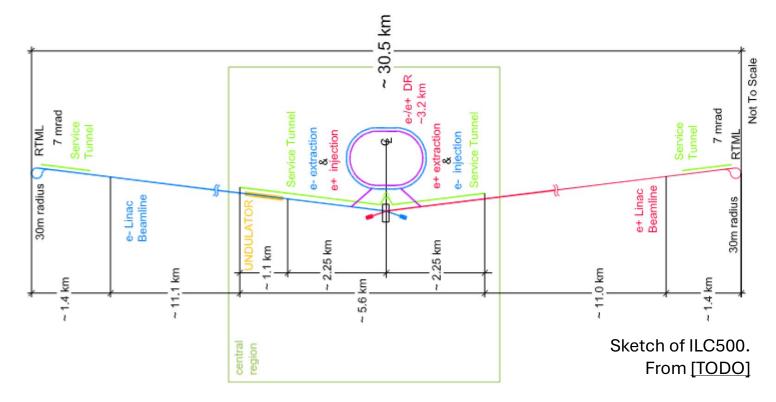
Quarter-slice through the ILD detector. From [TODO]

### The International Linear Collider (ILC)



> linear collider concept with multiple energy stages 
$$\left(\frac{\sqrt{s}}{GeV} = 250, 500, 1000\right)$$

- 500 GeV stage allows direct measurements of  $\lambda$  through di-Higgs production
- > mature concept (TDR), technologies available (superconducting RF-cavities etc.)



## **Future Higgs Factories**

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- goal: high production of Higgs bosons
   e<sup>+</sup>e<sup>-</sup> colliders for precision measurements
- > different concepts proposed:
  - linear (ILC, CLIC,  $C^3$ ):
    - maximum energy constrained by length
    - *direct* measurements of  $\lambda$  possible
    - measurements with polarized beams possible
  - circular (FCC-ee, CEPC):
    - maximum energy limited by synchrotron radiation
    - higher luminosities through beam reuse

Collider	$\sqrt{s}$	$\mathcal{P}(e^-/e^+)$ [%]	$N_{det}$	$\mathcal{L}[\mathrm{abarn}^{-1}\mathrm{s}^{-1}]$
ILC	$250{ m GeV}$	$\pm 80/\pm 30$	1	2.0
	$500{ m GeV}$	$\pm 80/\pm 30$	1	4.0
	$1000{\rm GeV}$	$\pm 80/\pm 30$	1	8.0
CLIC	$380{ m GeV}$	$\pm 80/0$	1	1.0
	$1.5{ m TeV}$	$\pm 80/0$	1	2.5
	$3.0{ m TeV}$	$\pm 80/0$	1	5.0
$C^3$	$250{ m GeV}$	$\pm x/0$	?	1.3
	$550{ m GeV}$	$\pm x/0$	?	2.4
FCC-ee	$M_Z$	0/0	2	150
	$2M_W$	0/0	2	10
	$240{ m GeV}$	0/0	2	5
	$2m_{top}$	0/0	2	1.5
CEPC	$M_Z$	0/0	2	16
	$2M_W$	0/0	2	2.6
	$240{ m GeV}$	0/0	2	5.6
HALHF	$250{ m GeV}$	0/0	1	$\approx 2$

Comparison of selected physics programs at the proposed accelerators ILC, CLIC, FCCee, CEPC,  $C^3$  and HALHF. From [Db20]

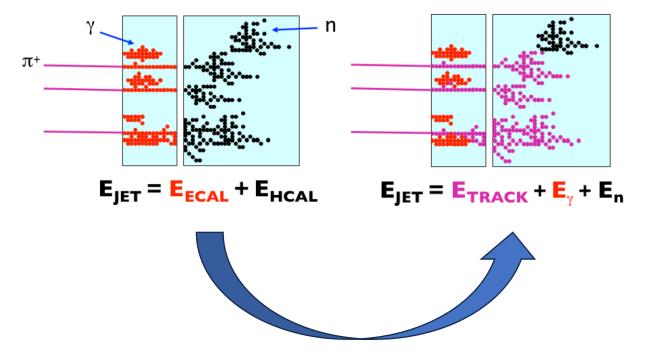
### **Particle Flow**



### > use best combined information between detectors for highest energy resolution (Particle Flow objects, PFOs)

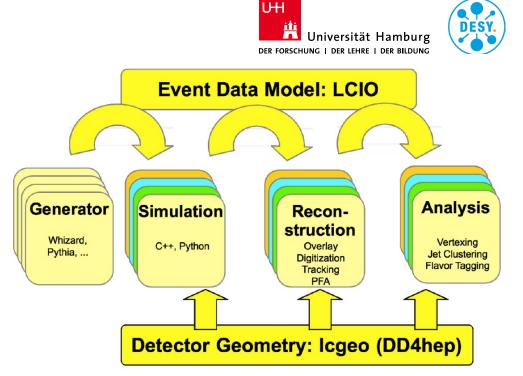
> goal: best jet energy resolution

From traditional to particle flow calorimetry. From [Du16]



### Software

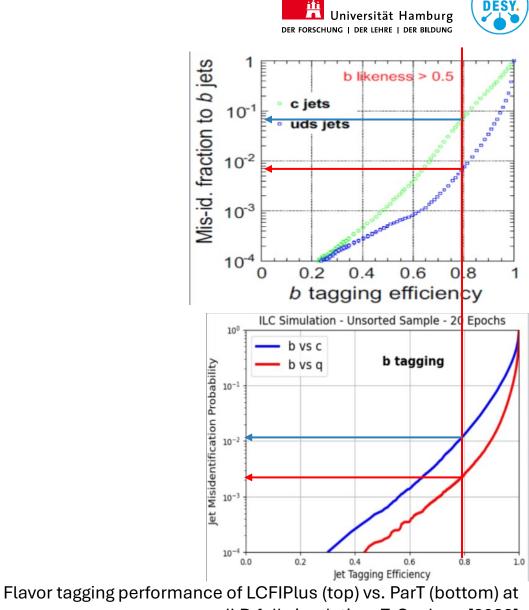
- iLCSoft software stack
- Marlin for reconstruction; important in existing ZHH-analysis:
  - TrueJet: jet-clustering of PFOs using truth information
  - isolated lepton tagging: decision trees for tagging leptons



Event flow in the iLCSoft stack. From [TODO]

# Flavor tagging with ML (ParT)

- improved b-tagging efficiency since state-of-the-art projections from 2016
- ML models (<u>DeepJet</u>, <u>ParticleNet</u>, <u>ParT</u>) show highly improved rejection compared to LCFIPlus
- status: ready for use (in <u>MarlinML</u>)



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ILD full simulation. <u>T. Suehara [2023]</u>

### **ErrorFlow**



> assume full parameterization of errors for individual jets

$$\sigma_{E_{jet}} = \sigma_{Det} \oplus \sigma_{Conf} \oplus \sigma_{\nu} \oplus \sigma_{Clus} \oplus \sigma_{Had} \oplus \sigma_{\gamma\gamma}$$

-  $\sigma_{Det}$ : detector resolution

Y. Radkhorrami [2022]

- $\sigma_{conf}$ : particle confusion in particle flow algorithm
- $\sigma_{v}$ : neutrino correction
- > status: in production (in <u>MarlinReco</u>)

### **Durham jet clustering**



- > Durham algorithm: common jet-clustering method at  $e^+e^-$ -colliders
  - sequential algorithm: cluster objects (here: PFOs) *i* and *j* together by lowest test variable  $y_{ij}$  until either a cut  $y_{ij} > y_{cut}$  or a number of jets is reached; in Durham:

$$y_{ij} = \frac{M_{ij}^2}{Q^2}$$
$$M_{ij}^2 = k_\perp^2 = 2\min(E_i, E_j)^2 \cdot (1 - \cos\theta_{ij})$$

- is **IRC-safe**: same result when arbitrarily soft/colinear input objects are added

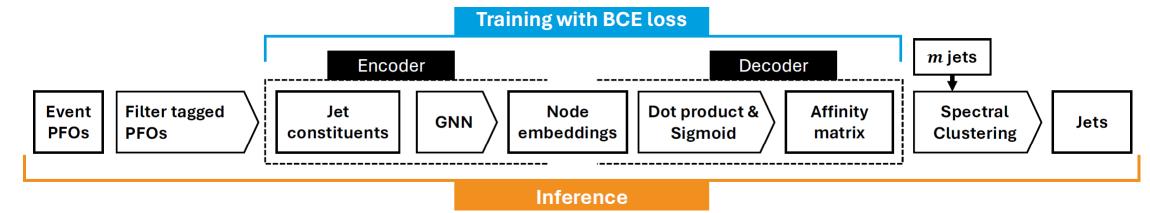
### Architecture: Supervised Jet Clustering with GNNs

- here: implemented as hybrid model (GNNSC)
  - training a GNN in supervised manner to calculate edge scores
     here: using TransformerConv layer (implements message-passing and graph attention)
  - spectral clustering (SC) to build "jets"

#### TransformerConv operator from the paper Masked Label Prediction: Unified Message Passing Model for Semi-Supervised Classification [Sh20].

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> advantages:

- permutation invariant by construction
- straightforward implementation

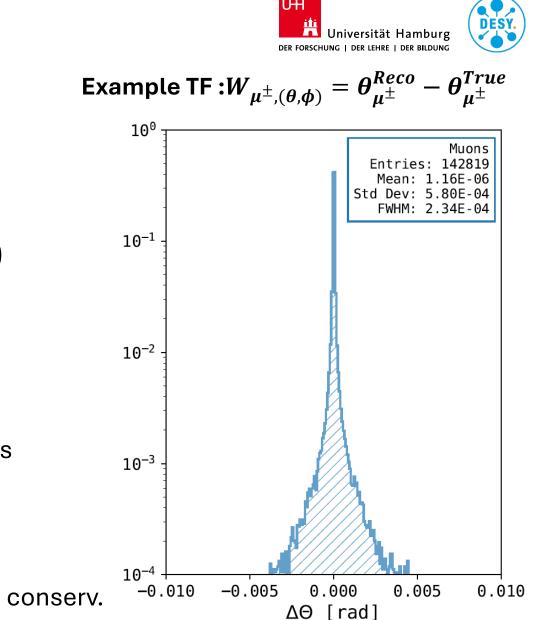
> disadvantages:

- not fully differentiable
- no inherent IRC-safety

### **Assumptions for the MEM**

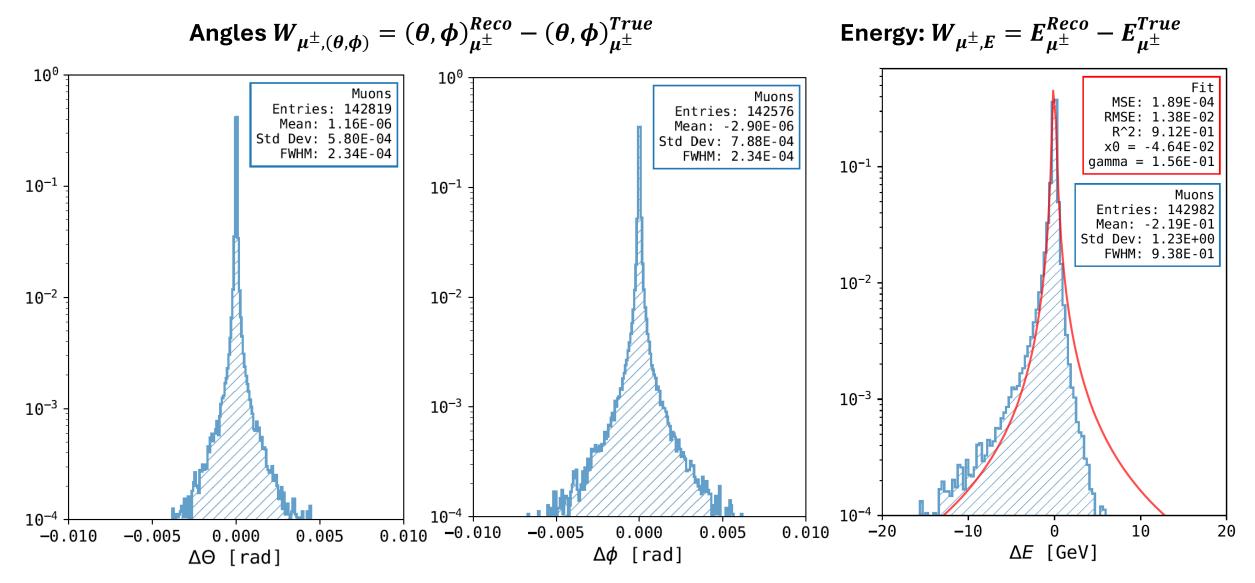
> assumptions:

- same acceptance  $A_i$  for i = ZHH, ZZH hypotheses
- ignore efficiency  $\epsilon_i(\mathbf{x})$
- TF factorizes:  $W_i(y|x) = \prod_{j=\text{final state particles}} W_{ij}(y_j|x_j)$
- components of TF can be parameterized in differences e.g.  $W_{ij}(E^{reco}|E^{true}) = \widehat{W}(\Delta E = E^{reco} - E^{true})$
- muon kinematics (energy + angles) perfectly measured
- narrow width approximation (NWA): Higgs boson width is small w.r.t. mass <-> propagator delta peaked
- > dimensionality of integral reduced from 18 to 11
  - further reduction to 7 by integrating out four momentum conserv.



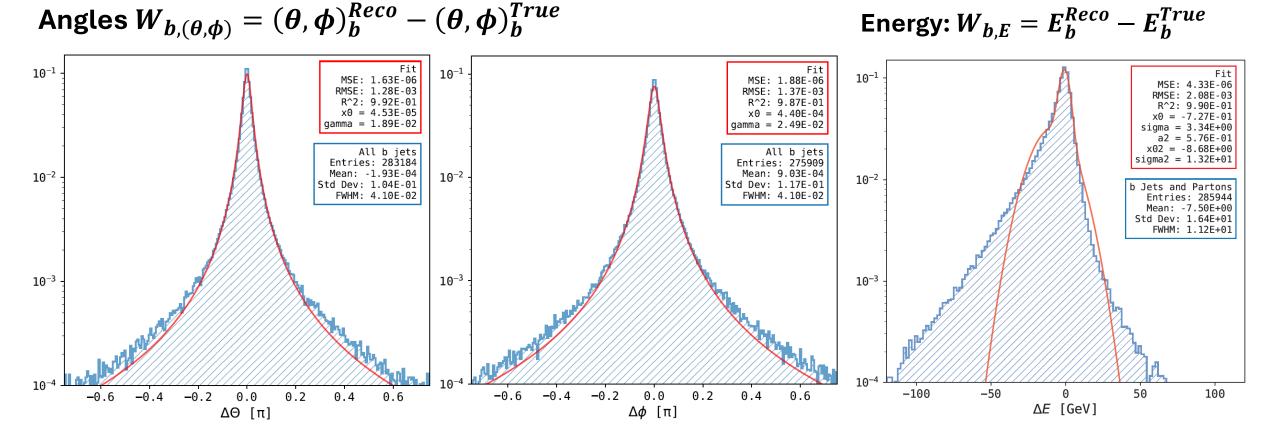
### **MEM Transfer Functions – Muons**





### MEM Transfer Functions – Jets/b and $\overline{b}$ quarks





## Solving the MEM integral

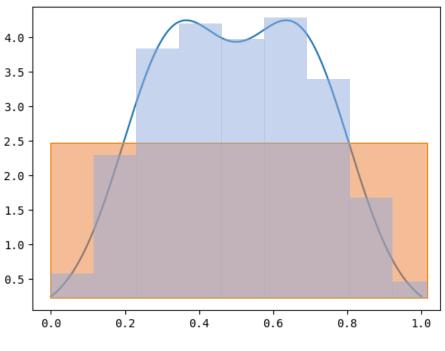


problem: the chosen phase space parametrization is 7-dim.: efficient evaluation?

> solution: Monte Carlo (MC) integration

$$E_{p(x)}[I(f)] = \frac{1}{n} \sum_{i}^{n} f(x_i); \ x \sim p(X)$$
$$\sigma = \frac{\sqrt{E[(f - E[f])^2]}}{\sqrt{n}}$$

- crude MC: uniform sampling; in every dim:  $p(x) = \frac{1}{a-b}$
- importance sampling: sample from proposal  $x \sim q(x)$ 
  - need to find proposal dist. q(x) that fits integrand without knowing integral
  - the "better" q, the faster the variance decreases
  - many approaches: e.g. VEGAS algorithm, neural importance sampling (NIS)



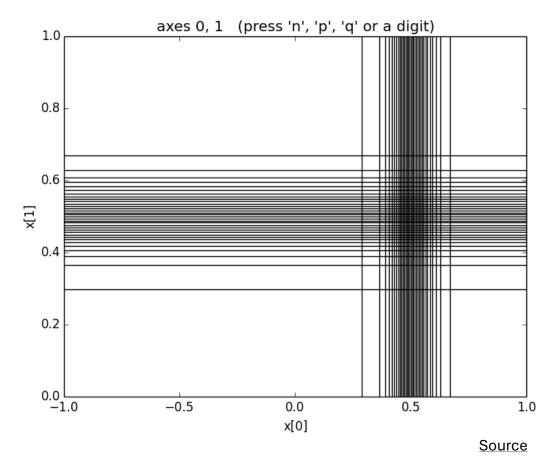
### **VEGAS Importance Sampling MC**

> assume the integrand factorizes

 $f(x) = \prod_{i=1}^{n} f_i(x_i)$ 

- > divide each dimension into n bins with equal probability
- > adjust the bin widths to sample more often in the more important regions







### **Neural Importance Sampling MC**

### principle

- from a known base distribution  $u \sim \pi(u)$
- use ML to learn a **bijective and differentiable function** g to transform u to a more complex distribution

x = g(u)

 $\triangleright$  PDF of x given by change of variables formula

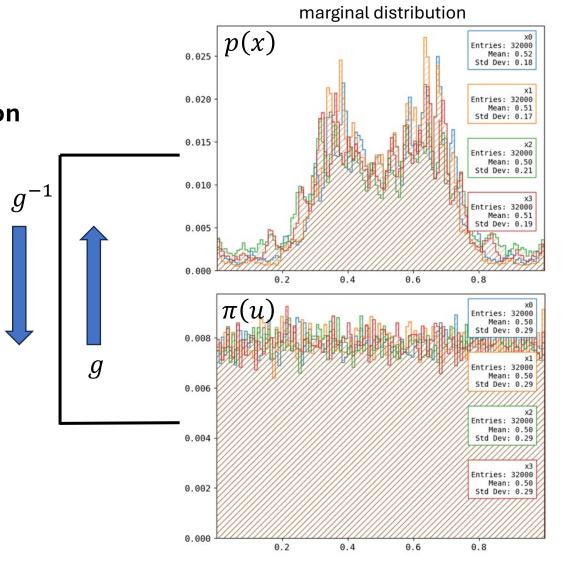
$$p(x) = \pi(g^{-1}(x)) \left| \det\left(\frac{\partial g^{-1}}{\partial x}\right) \right|$$

> here: transformation using piecewise rational quadratic spline

[arXiv:1410.8516] : NICE: Non-linear Independent Components Estimation [arXiv:1808.03856] :Neural Importance Sampling [arXiv:1906.04032] : Neural Spline Flows [arXiv:2001.05486] : i-flow



Before/after the flow: Example

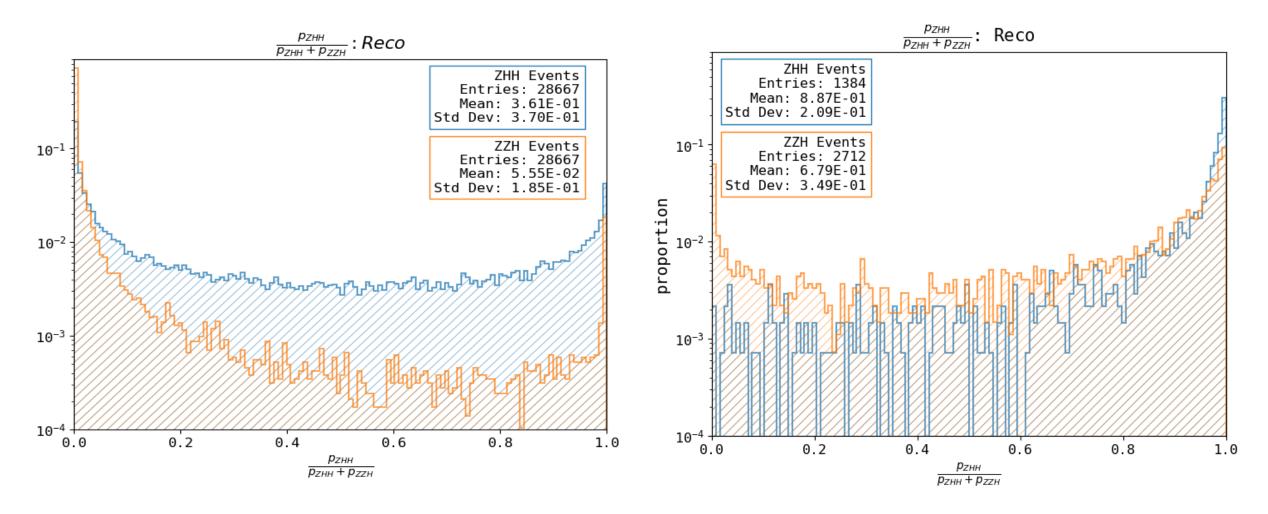


### **MEM Results**



### Generator level: cross-x normalized ME only

### **VEGAS full MEM**



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