

Errors in 2D beam emittance measurement in FODO channel

Purpose: minimization of errors in beam emittance measurements through beam profile measurements

1. Intro

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MEASUREMENT AND CORRECTION OF CROSS-PLANE COUPLING IN TRANSPORT LINES*

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Abstract

In future linear colliders the luminosity will depend on maintaining the small emittance aspect ratio delivered by damping rings. Correction of cross-plane coupling can be important in preventing dilution of the beam emittance. In order to minimize the vertical emittance, especially for a flat beam, it is necessary to remove all cross-plane (x - y) correlations. This paper studies emittance measurement and correction for coupled beams in the presence of realistic measurement errors. The results of simulations show that reconstruction of the full 4×4 beam matrix can be misleading in the presence of errors. We suggest more robust tuning procedures for minimizing linear coupling.

1 INTRINSIC EMITTANCE

A four-dimensional (4D) symmetric beam matrix, σ , contains ten unique elements, four of which describe coupling. The *projected* (2D) beam emittances, ϵ_x and ϵ_y , are defined as the square roots of the determinants of the *on*-diagonal 2×2 submatrices. If one or more of the elements of the *off*-diagonal submatrix is non-zero, the beam is x - y coupled. Diagonalization of the beam matrix yields the *intrinsic* beam emittances, ϵ_1 and ϵ_2 .

$$\sigma = \begin{pmatrix} \langle x^2 \rangle & \langle xx' \rangle & \langle xy \rangle & \langle xy' \rangle \\ \langle xx' \rangle & \langle x'^2 \rangle & \langle x'y \rangle & \langle x'y' \rangle \\ \langle xy \rangle & \langle x'y \rangle & \langle y^2 \rangle & \langle yy' \rangle \\ \langle xy' \rangle & \langle x'y' \rangle & \langle yy' \rangle & \langle y'^2 \rangle \end{pmatrix}, \quad \bar{\sigma} = \bar{R}\sigma\bar{R}^T = \begin{pmatrix} \epsilon_1 & 0 & 0 & 0 \\ 0 & \epsilon_1 & 0 & 0 \\ 0 & 0 & \epsilon_2 & 0 \\ 0 & 0 & 0 & \epsilon_2 \end{pmatrix}$$

diamond symbols) are used to correct the $\langle xy \rangle$, $\langle x'y' \rangle$, $\langle xx' \rangle$, and $\langle yy' \rangle$ beam correlations, respectively, at location 4. The horizontal and vertical betatron phase advances between the skew quadrupoles are also indicated on the figure. This scheme allows total correction of any arbitrary linearly coupled beam with correction range limited only by the available skew quadrupole strength.

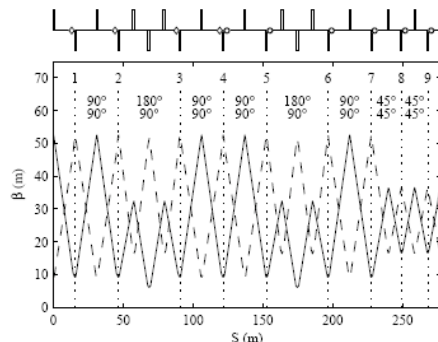


Figure 1: SCS ($S=0-120$ m) plus 4D emittance measurement section ($S=120-270$ m): β_x (solid), β_y (dash). Diamond symbols indicate skew quadrupoles; circles indicate wire scanners. The betatron phase advances between devices are shown in 2 rows above the plotted β -functions (x on top and y below).

3 4D EMITTANCE MEASUREMENT

The ideal 4D emittance measurement section contains

the nominal NLC beam at 250 GeV ($\gamma\epsilon_1=3 \times 10^{-7}$ m, $\gamma\epsilon_2=3 \times 10^{-8}$ m). For these emittances, the ideal rms beam sizes at the wires range from 1.5-10 μm . In each simulation, the real beam size on each wire is given a gaussian distributed multiplicative random error of rms f_{err}

$$\sigma_{sim} = (1 + f_{err}) \sigma_{ideal}$$

and the ensemble of simulated measurements is analyzed.

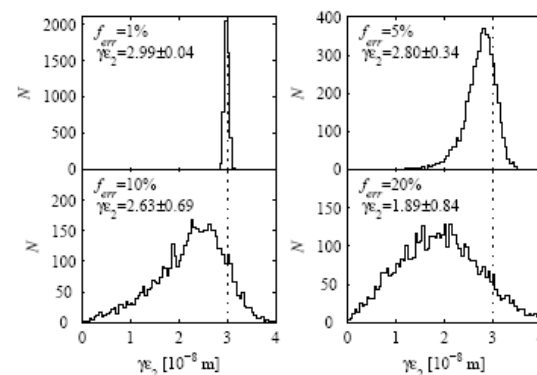


Figure 2: Results of simulations of 4D emittance measurement and reconstruction of $\gamma\epsilon_2$ (coupled input beam). Vertical dotted lines show the actual value $\gamma\epsilon_{20}$ used in the simulations.

$\vec{\sigma}$ - matrix of 4D beam:

$$\vec{\sigma} = \begin{vmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} & \sigma_{14} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} & \sigma_{24} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} & \sigma_{34} \\ \sigma_{41} & \sigma_{42} & \sigma_{43} & \sigma_{44} \end{vmatrix} \quad (1-1)$$

Matrix (1-1) is symmetric, $\sigma_{ij} = \sigma_{ji}$, so only 10 elements of matrix are independent. Measurements are provided for beam sizes

$$\langle x^2 \rangle = \sigma_{11}, \langle y^2 \rangle = \sigma_{33}, \langle xy \rangle = \sigma_{13} \quad (1-2)$$

at different locations . Explicit transformation for $\sigma_{11}, \sigma_{33}, \sigma_{13}$ is:

$$\begin{vmatrix} \sigma_{11} \\ \sigma_{33} \\ \sigma_{13} \end{vmatrix} = \begin{vmatrix} R_{11}^2 & 2R_{11}R_{12} & 2R_{11}R_{13} & 2R_{11}R_{14} & R_{12}^2 & 2R_{12}R_{13} & 2R_{12}R_{14} & R_{13}^2 & 2R_{13}R_{14} & R_{14}^2 \\ R_{31}^2 & 2R_{31}R_{32} & 2R_{31}R_{33} & 2R_{31}R_{34} & R_{32}^2 & 2R_{32}R_{33} & 2R_{32}R_{34} & R_{33}^2 & 2R_{33}R_{34} & R_{34}^2 \\ R_{11}R_{31} & R_{12}R_{31}+R_{11}R_{32} & R_{13}R_{31}+R_{11}R_{33} & R_{31}R_{14}+R_{11}R_{34} & R_{12}R_{32} & R_{13}R_{32}+R_{12}R_{33} & R_{32}R_{14}+R_{12}R_{34} & R_{13}R_{33} & R_{14}R_{33}+R_{13}R_{34} & R_{14}R_{34} \end{vmatrix} \begin{vmatrix} \sigma_{11} \\ \sigma_{12} \\ \sigma_{13} \\ \sigma_{14} \\ \sigma_{22} \\ \sigma_{23} \\ \sigma_{24} \\ \sigma_{33} \\ \sigma_{34} \\ \sigma_{44} \end{vmatrix} \quad (1-3)$$

To determine 10 independent values of σ -matrix, we need $3 \times 3 + 1 = 10$ equations from at least 4 independent measurement stations. To be physically correct, the following conditions for matrix elements have to be fulfilled (for details see BDS meeting note of YB, 09/12/2006):

$$\boxed{\sigma_{ii} > 0}, \quad \boxed{\begin{vmatrix} \sigma_{ii} & \sigma_{ij} \\ \sigma_{ij} & \sigma_{jj} \end{vmatrix} > 0}, \quad i = 1, 2, 3, 4; \quad j > i \quad (1-4)$$

because each projection of 4D beam onto 2D plane is an ellipse:

x-x' projection:

$$\boxed{\sigma_{22}x^2 + \sigma_{11}x'^2 - 2\sigma_{12}xx' = \sigma_{11}\sigma_{22} - \sigma_{12}^2} \quad (1-5)$$

x-y projection:

$$\boxed{\sigma_{33}x^2 + \sigma_{11}y^2 - 2\sigma_{13}xy = \sigma_{11}\sigma_{33} - \sigma_{13}^2}, \text{ etc.} \quad (1-6)$$

Complete analysis of errors in 4D beam emittance measurements requires solution of 10x10 linear system with variable parameters. In this note we consider errors in 2D beam emittance measurements (uncoupled beam), where beam at each plane (x-x'), (y-y') is determined by 3 parameters α , β , ϑ . Special case of 2D beam with $\alpha = 0$ was considered in BDS meeting note of YB, 10/24/2006.

2. Errors in 2D beam emittance measurement

Consider 2D beam emittance measurement problem for the beam propagating in FODO channel. Single particle transformation matrix

$$\begin{vmatrix} x \\ x' \end{vmatrix} = \begin{vmatrix} C & S \\ C' & S' \end{vmatrix} \begin{vmatrix} x_o \\ x'_o \end{vmatrix} \quad (2-1)$$

Beam ellipse transformation:

$$\begin{vmatrix} \beta \\ \alpha \\ \gamma \end{vmatrix} = \begin{vmatrix} C^2 & -2CS & S^2 \\ -CC' & CS'+SC' & -SS' \\ C'^2 & -2C'S' & S'^2 \end{vmatrix} \begin{vmatrix} \beta_o \\ \alpha_o \\ \gamma_o \end{vmatrix} \quad (2-2)$$

As far as $\beta\vartheta = R^2$, where R is the beam size and ϑ is the beam emittance, equation for unknown beam parameters $\alpha_o, \beta_o, \gamma_o$, is

$$\begin{vmatrix} R_1^2 \\ R_2^2 \\ R_3^2 \end{vmatrix} = \begin{vmatrix} C_1^2 & -2C_1S_1 & S_1^2 \\ C_2^2 & -2C_2S_2 & S_2^2 \\ C_3^2 & -2C_3S_3 & S_3^2 \end{vmatrix} \begin{vmatrix} \beta_o\vartheta \\ \alpha_o\vartheta \\ \gamma_o\vartheta \end{vmatrix} \quad (2-3)$$

Solution of Eq. (2-3) is

$$\alpha_{o\vartheta} = \frac{C_3^2(R_2^2S_1^2 - R_1^2S_2^2) + C_1^2(R_3^2S_2^2 - R_2^2S_3^2) + C_2^2(R_1^2S_3^2 - R_3^2S_1^2)}{2(C_2S_1 - C_1S_2)(C_3S_1 - C_1S_3)(C_2S_3 - C_3S_2)} \quad (2-4)$$

$$\beta_{o\vartheta} = \frac{-R_3^2S_1S_2(C_2S_1 - C_1S_2) + C_3S_3(R_2^2S_1^2 - R_1^2S_2^2) - S_3^2(C_1S_1R_2^2 - C_2S_2R_1^2)}{(C_2S_1 - C_1S_2)(C_3S_1 - C_1S_3)(C_2S_3 - C_3S_2)} \quad (2-5)$$

$$\gamma_{o\vartheta} = \frac{R_1^2C_2S_3(C_2S_3 - C_3S_2) + C_1S_1(R_2^2C_3^2 - R_3^2C_2^2) + C_1^2(C_2S_2R_3^2 - C_3S_3R_2^2)}{(C_2S_1 - C_1S_2)(C_3S_1 - C_1S_3)(C_2S_3 - C_3S_2)} \quad (2-6)$$

Beam emittance

$$\vartheta = \sqrt{(\beta_{o\vartheta})(\gamma_{o\vartheta}) - (\alpha_{o\vartheta})^2} \quad (2-7)$$

Error in beam emittance

$$\begin{aligned}
\frac{d\mathfrak{E}}{\mathfrak{E}} = & -\{2(C_2S_1 - C_1S_2)^2(C_3S_1 - C_1S_3)^2(C_3S_2 - C_2S_3)^2 \\
& \left[-\frac{(C_1^2R_2^2 - C_2^2R_1^2)(C_1^2R_2^2 \frac{dR_2}{R_2} - C_2^2R_1^2 \frac{dR_1}{R_1})}{C_1^2C_2^2(C_2S_1 - C_1S_2)^2} - \right. \\
& -\frac{(C_1^2R_3^2 - C_3^2R_1^2)(C_1^2R_3^2 \frac{dR_3}{R_3} - C_3^2R_1^2 \frac{dR_1}{R_1})}{C_1^2C_3^2(C_3S_1 - C_1S_3)^2} + \\
& +\frac{(C_2^2R_3^2 - C_3^2R_2^2)(C_2^2R_3^2 \frac{dR_3}{R_3} - C_3^2R_2^2 \frac{dR_2}{R_2})}{C_2^2C_3^2(C_3S_2 - C_2S_3)^2} + \\
& +\frac{2C_2(C_3^4R_1^4 \frac{dR_1}{R_1} - C_1^4R_3^4 \frac{dR_3}{R_3})}{C_1^2C_3^3(C_2S_1 - C_1S_2)(C_3S_1 - C_1S_3)} + \\
& \left. \frac{2C_1(C_3^4R_2^4 \frac{dR_2}{R_2} - C_2^4R_3^4 \frac{dR_3}{R_3})}{C_2^2C_3^3(C_2S_1 - C_1S_2)(C_3S_3 - C_3S_2)} \right\} / D
\end{aligned} \tag{2-8}$$

Denominator:

$$D = G^4 \left[\left(\frac{A+B}{G} \right)^2 - 1 \right] \left[\left(\frac{-A+B}{G} \right)^2 - 1 \right] \quad (2-9)$$

$$A = R_1(C_2S_3 - C_3S_2) \quad (2-10)$$

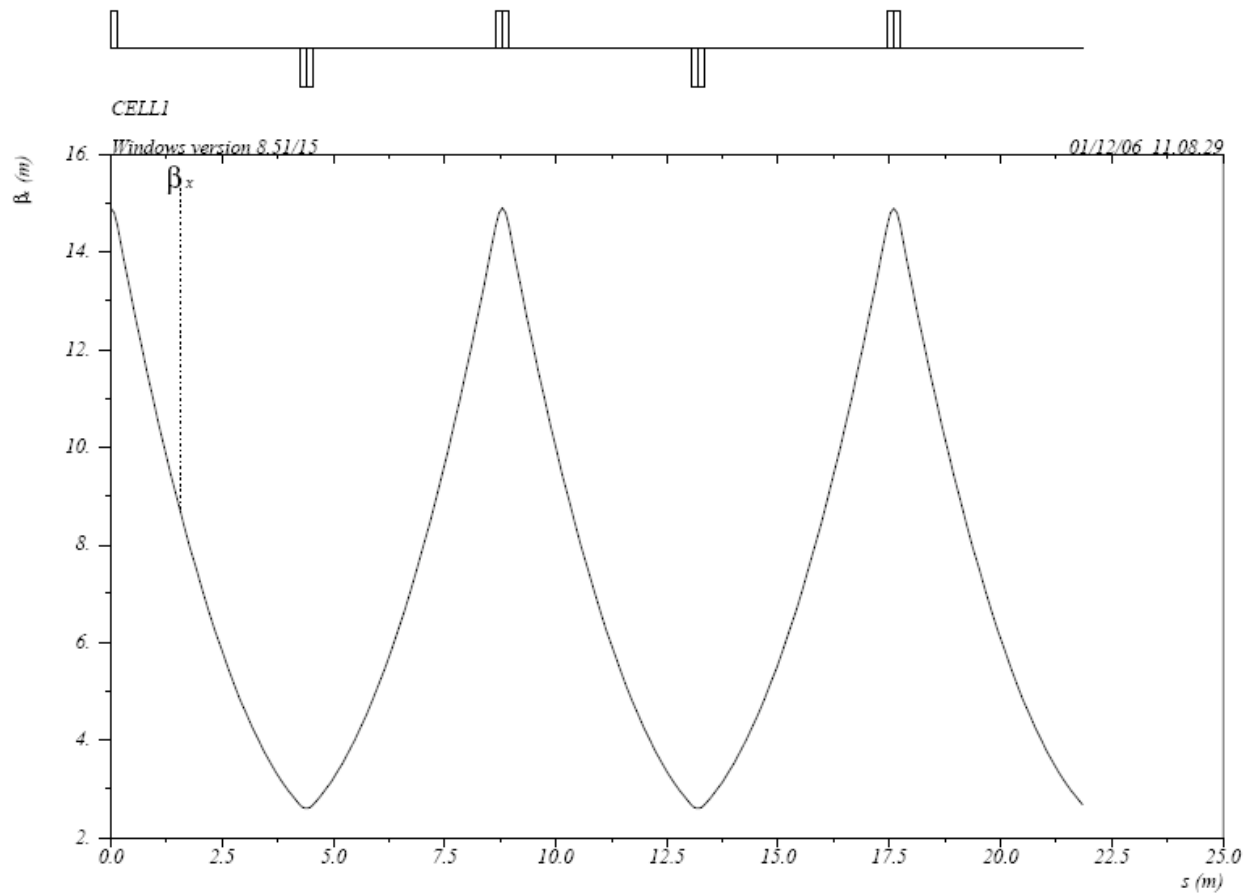
$$B = R_2(C_3S_1 - C_1S_3) \quad (2-11)$$

$$G = R_3(C_2S_1 - C_1S_2) \quad (2-12)$$

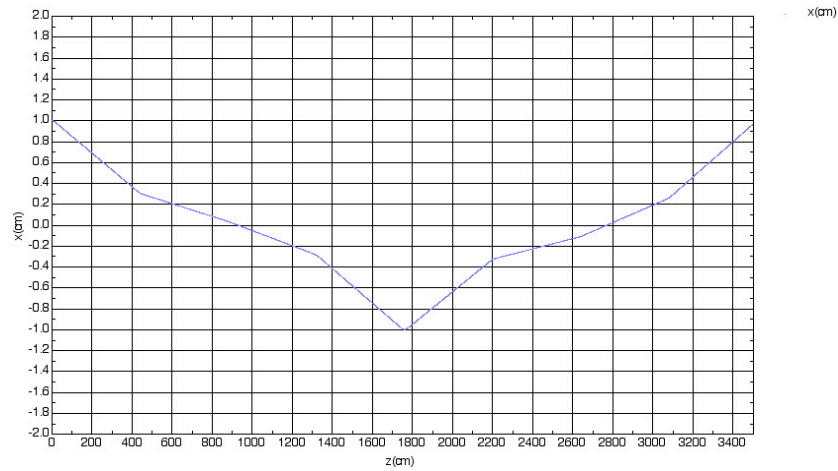
Large error in beam emittance is expected if

$$a = \frac{A+B}{G} \approx 1 \quad \text{or} \quad b = \frac{B-A}{G} \approx 1 \quad (2-13)$$

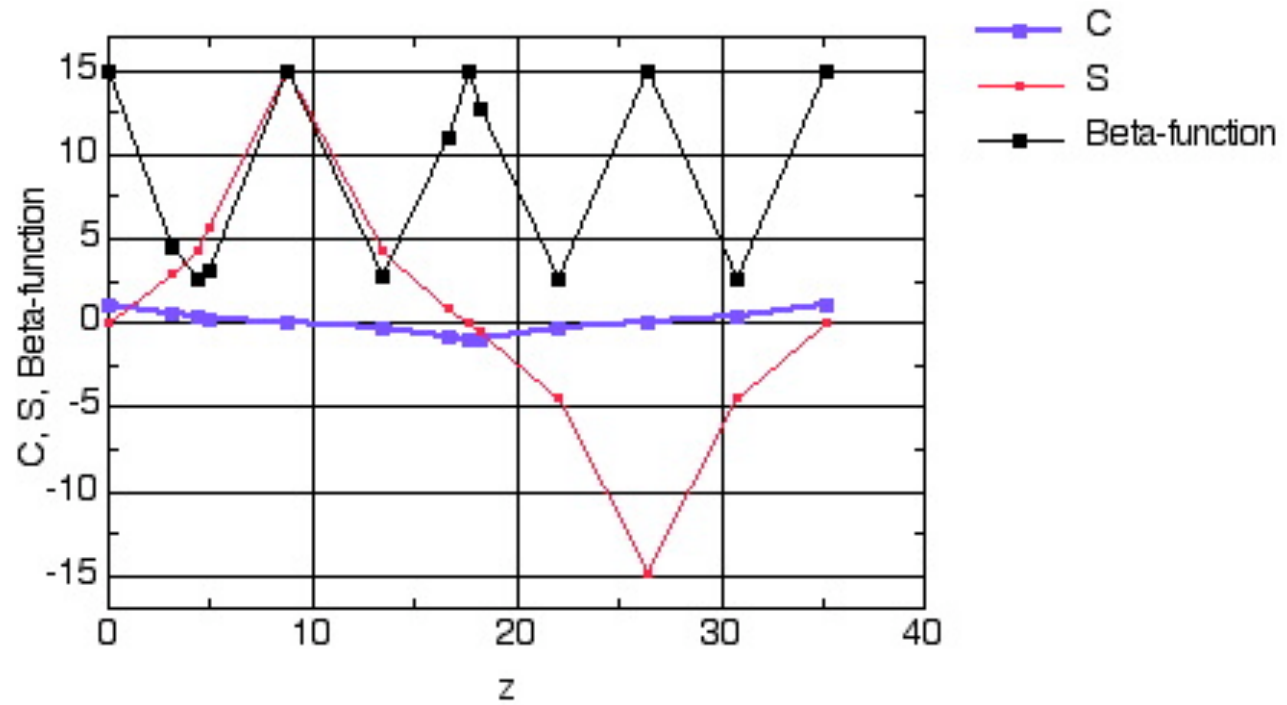
Consider 90° phase advance FODO channel:



Beam energy	5 GeV
Lens Gradient	17.8 T/m
Quad length	0.3 m
FODO period	8.8 m
Distance between quads	4.4 m

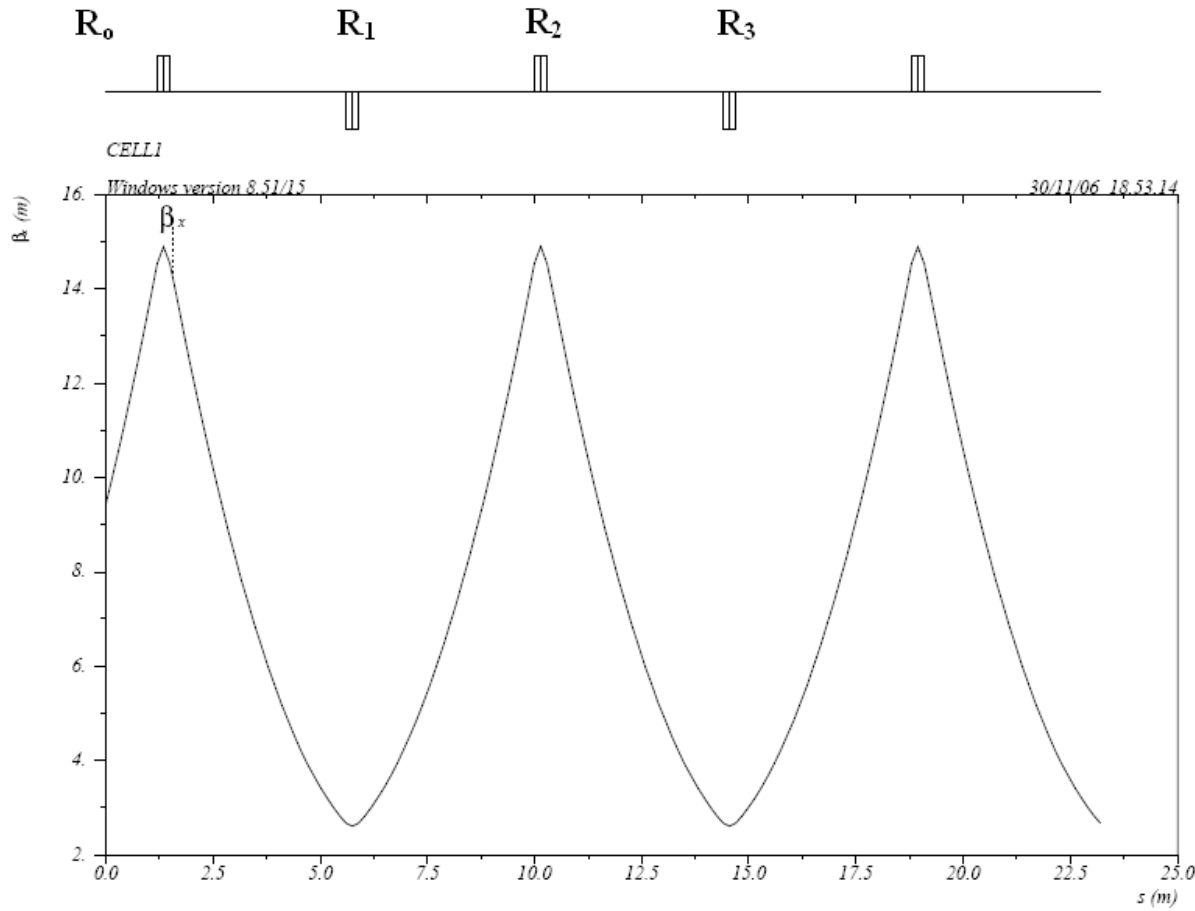


Single particle trajectory

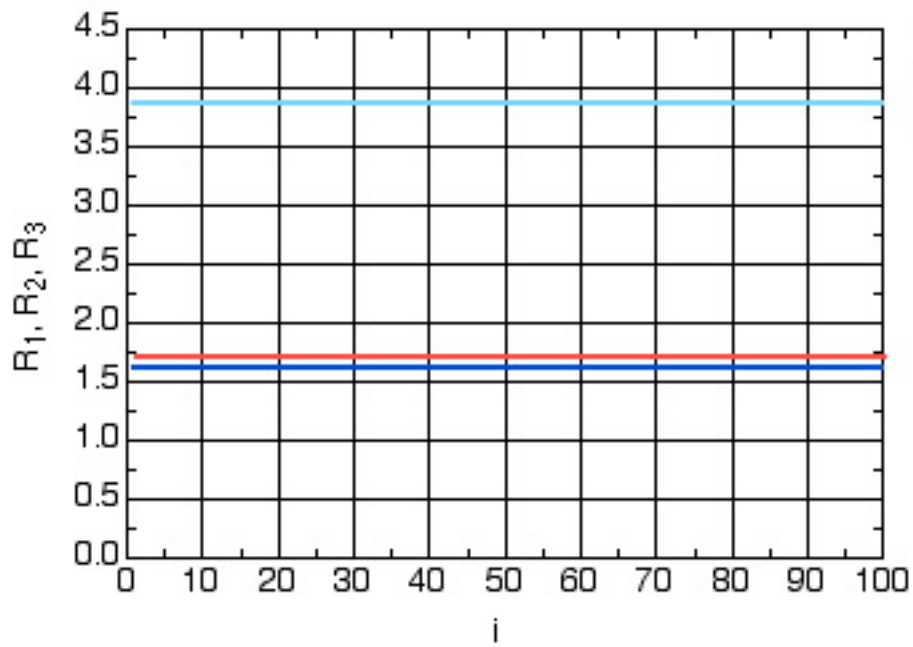


Matrix parameters and β -function of the structure.

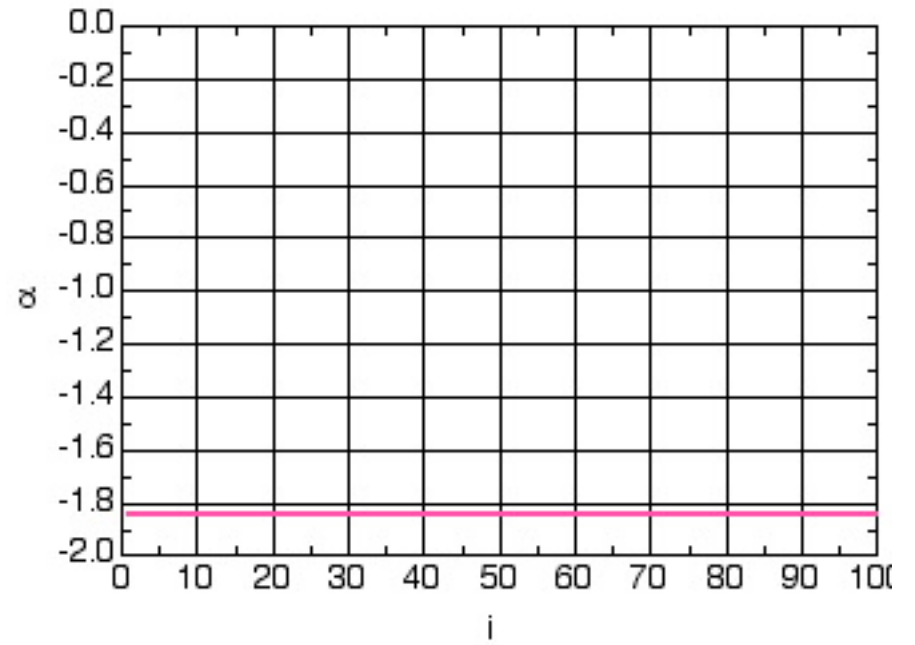
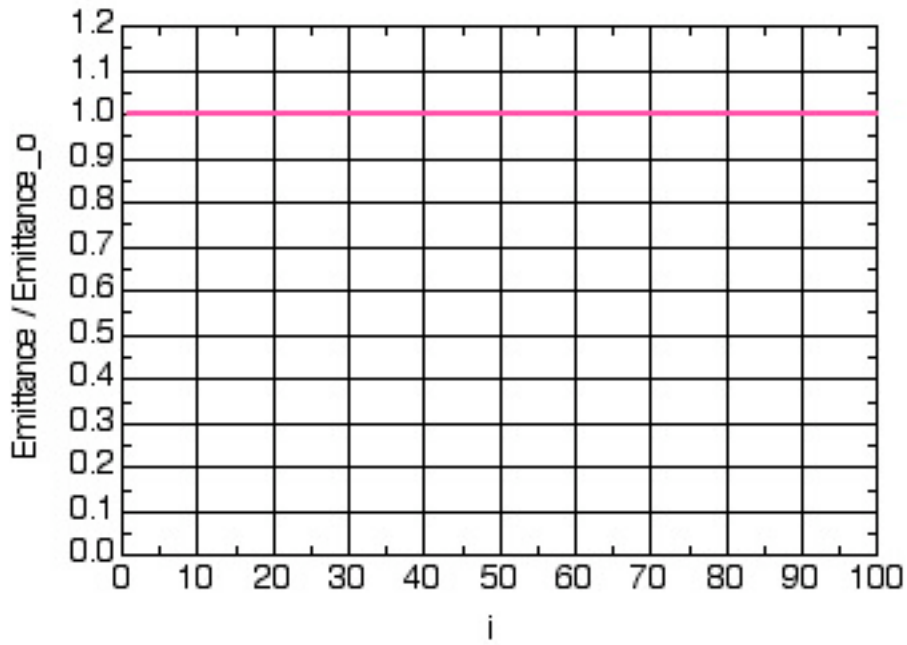
Example1: Stable beam emittance measurement



$C1 = -0.428950$
 $S1 = 3.87470$
 $C2 = -2.44000$
 $S2 = 11.7880$
 $R1 = 1.61200$
 $R2 = 3.86000$
 $C3 = -1.01256$
 $S3 = 3.08830$
 $R3 = 1.61200$
 $a = 0.17$
 $b = 5.78$
 $R_0 = 3.07$
 $\vartheta = 1$
 $\alpha = -1.8$



— R1
— R2
— R3



Determination of beam parameters α , R_0 , α for error in beam sizes R_1 , R_2 , $R = 0$.

Variation of measured beam sizes:

$$R_1 = R_1^{(0)} (1 + f)$$

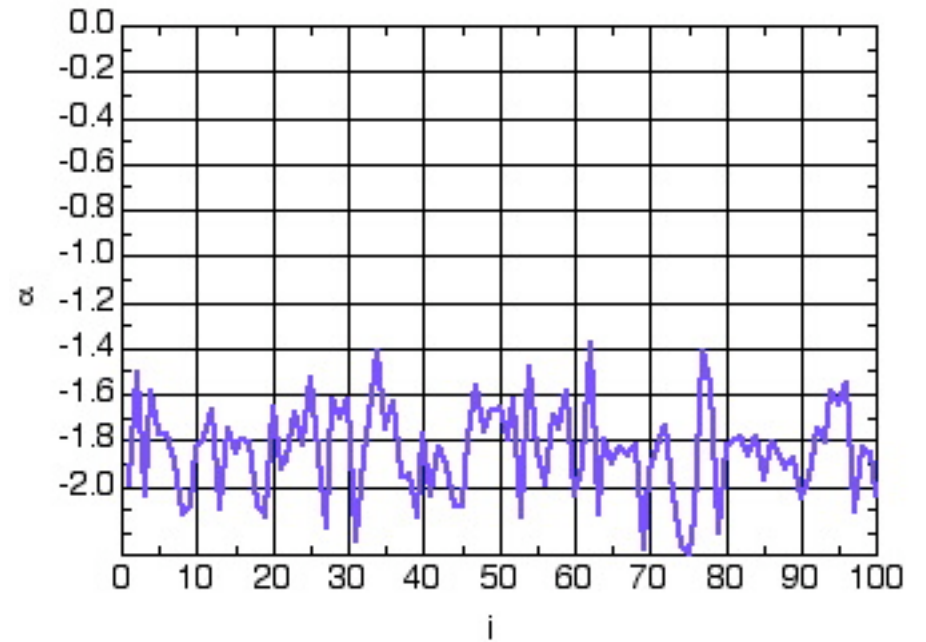
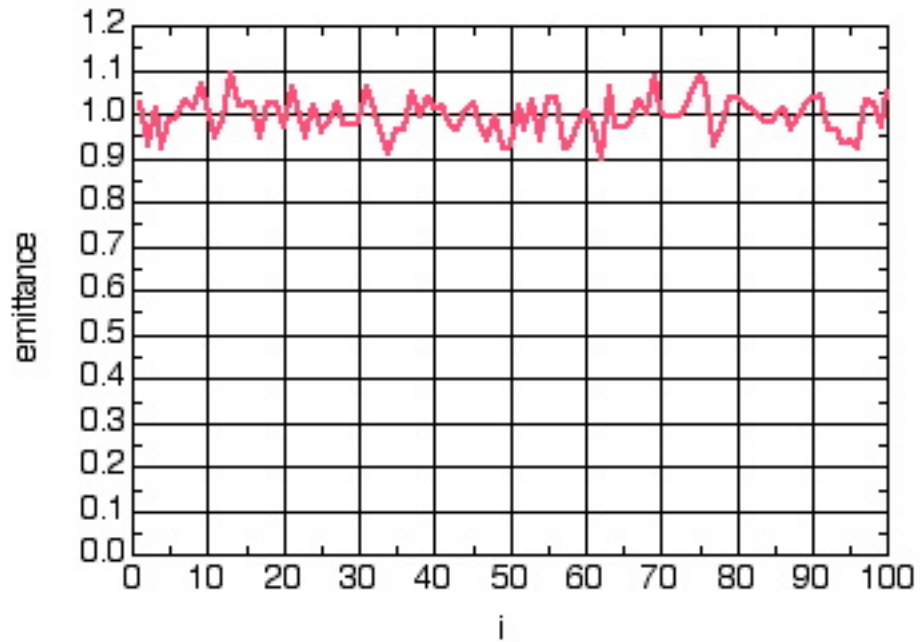
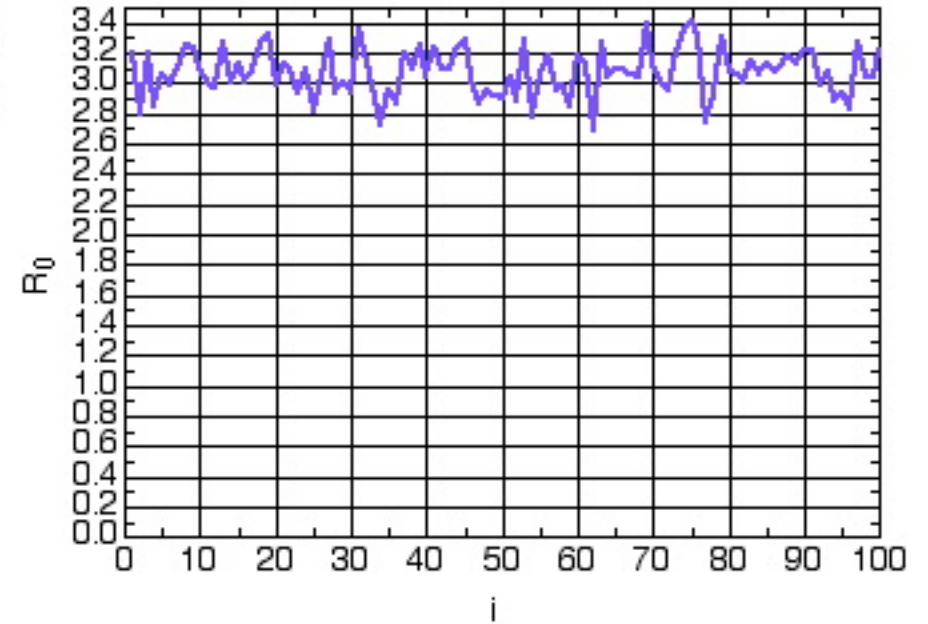
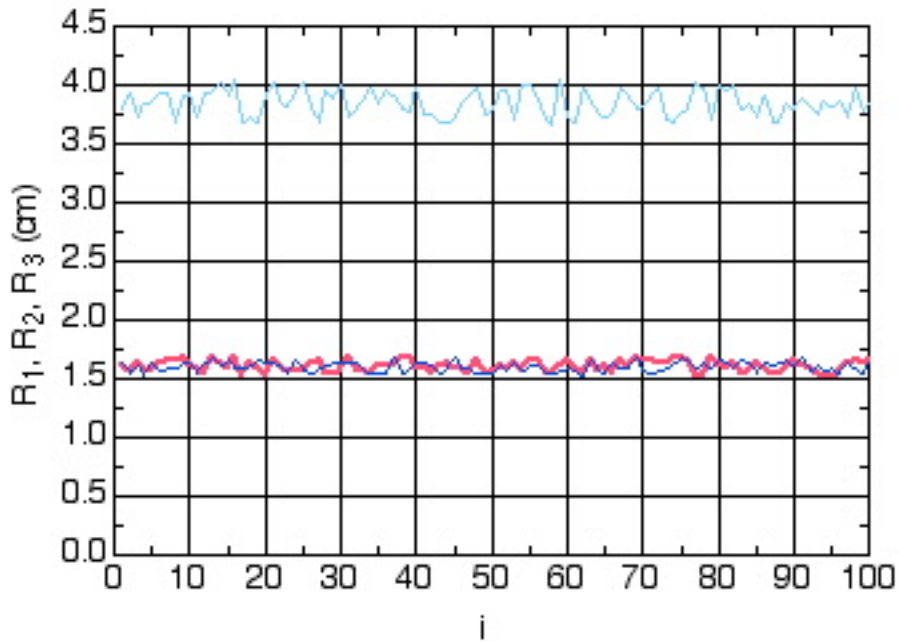
$$R_2 = R_2^{(0)} (1 + g)$$

$$R_3 = R_3^{(0)} (1 + h)$$

where $R_1^{(0)}$, $R_2^{(0)}$, $R_3^{(0)}$ - unperturbed values of measured beam sizes,
f, g, h – generators of random numbers uniformly distributed within interval $[-a, a]$

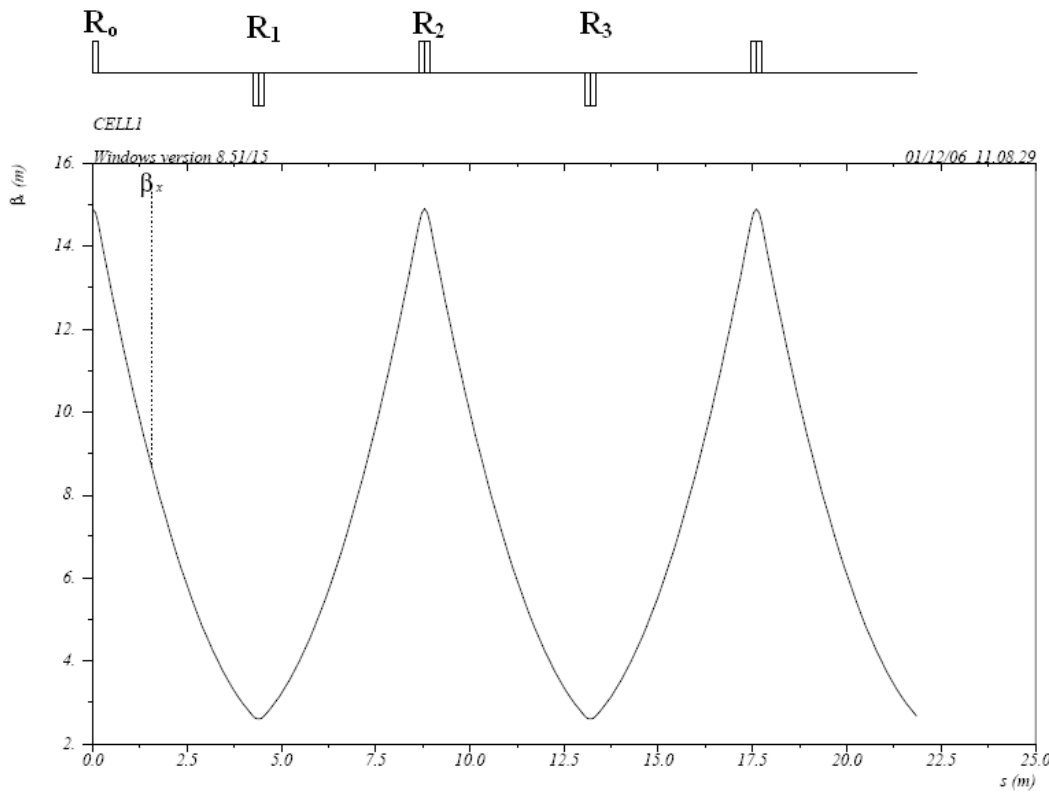
Values of R_1 , R_2 , R_3 are distributed with

$$\sigma = \frac{a}{\sqrt{3}}$$

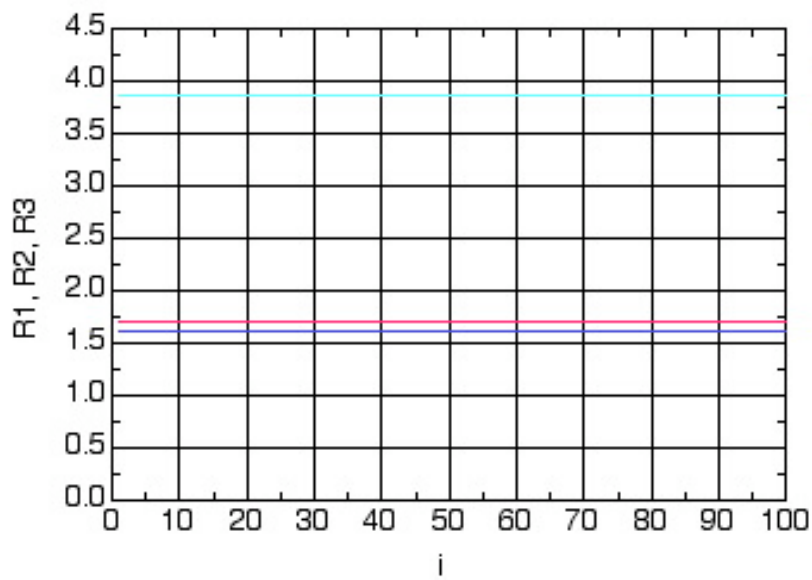


Determination of beam parameters with errors in beam sizes $R_1, R_2, R_3 = \pm 5\%$ ($\sigma_R/R = 2.88\%$). Error in measured value of beam emittance is approximately $\pm 10\%$ ($\sigma_\epsilon/\epsilon = 4.3\%$).

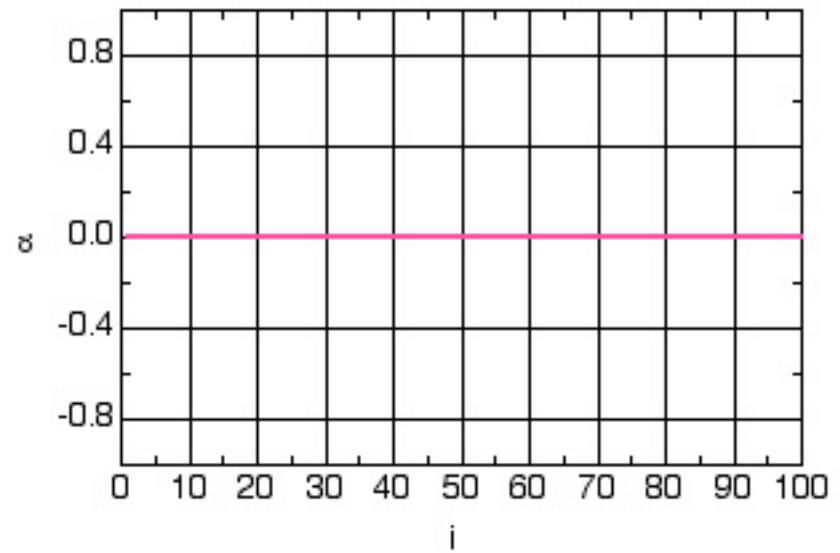
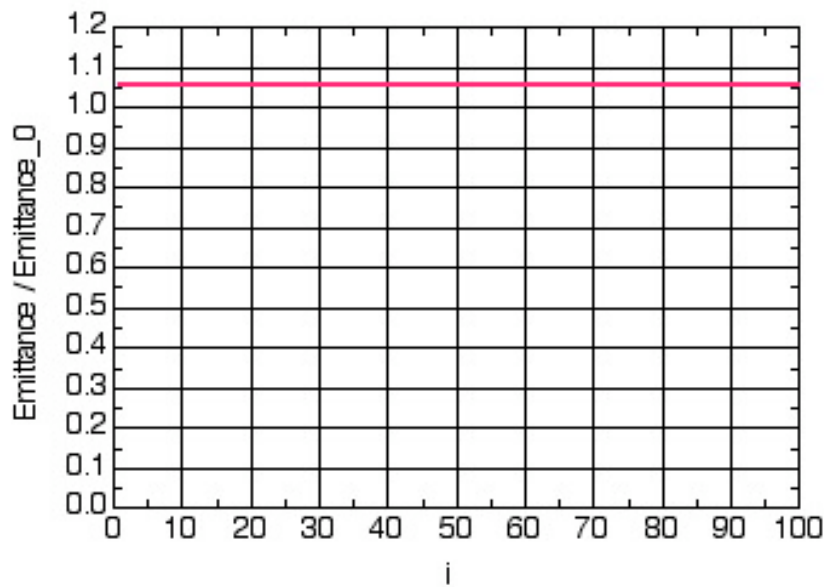
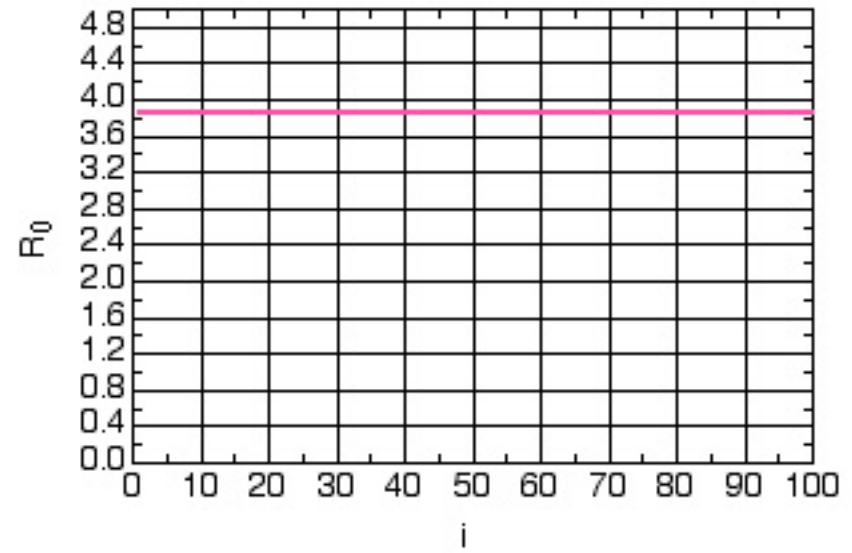
Example 2: Stable beam emittance measurements (phase shift 45°, 90°, 135°)



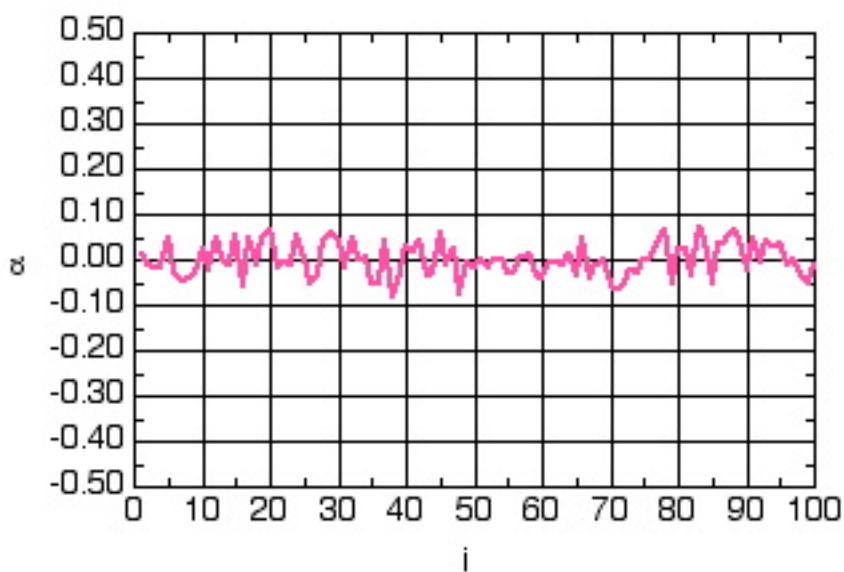
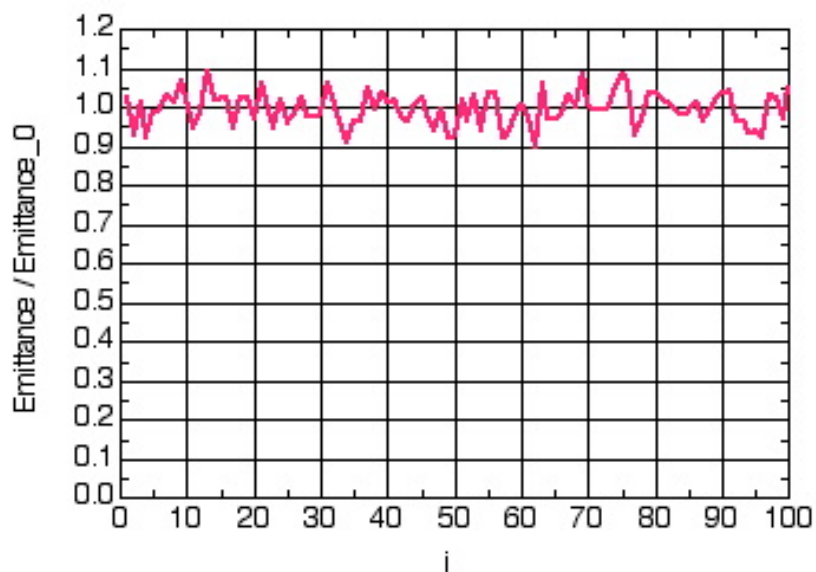
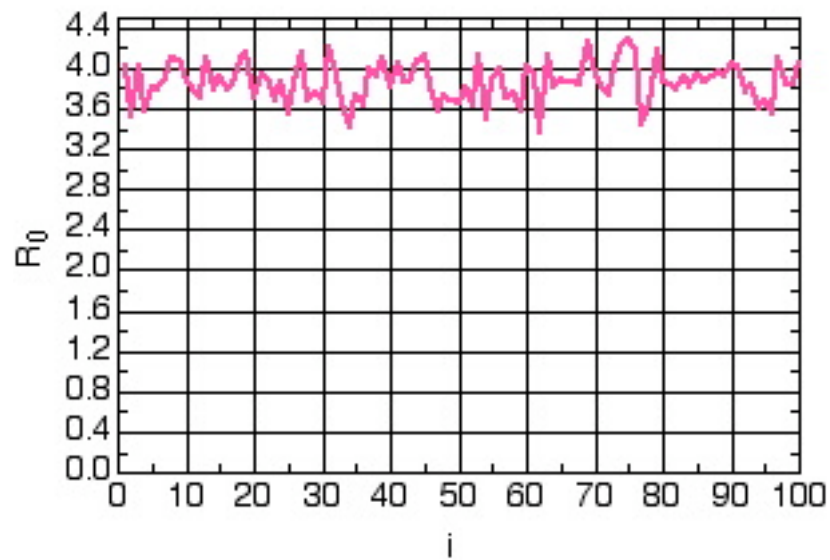
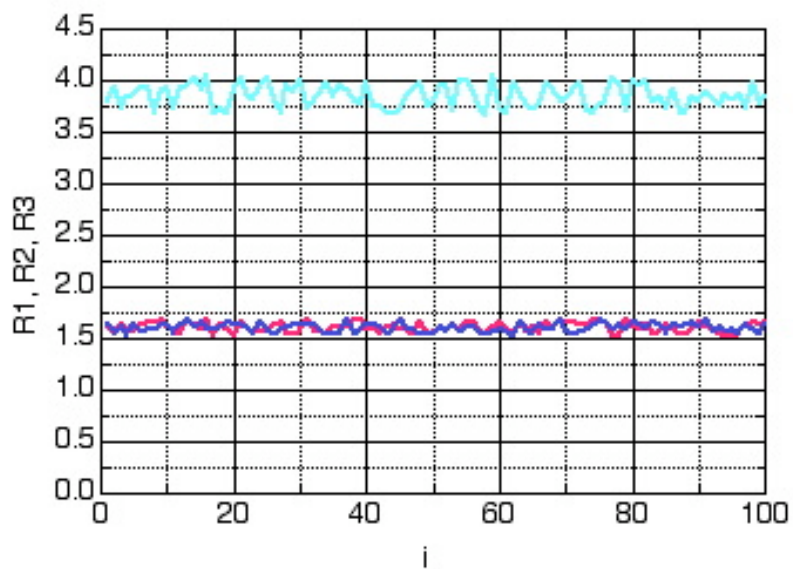
$$\begin{aligned}
 C1 &= 0.295340 \\
 S1 &= 4.40000 \\
 C2 &= 0.000000 \\
 S2 &= 14.9000 \\
 R1 &= 1.70000 \\
 R2 &= 3.86000 \\
 C3 &= -0.295340 \\
 S3 &= 4.40000 \\
 R3 &= 1.61200 \\
 \\
 a &= 0.17 \\
 b &= 5.28 \\
 \\
 R_0 &= 3.86 \\
 \vartheta &= 1 \\
 \alpha &= 0
 \end{aligned}$$



— R1
— R2
— R3

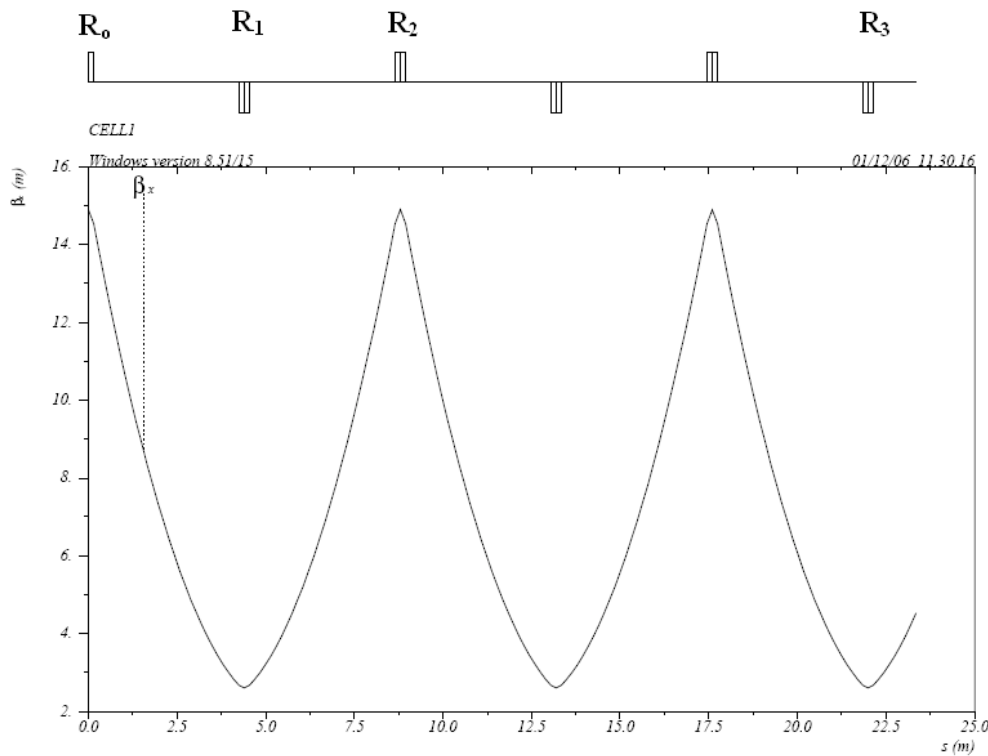


Determination of beam parameters ε , R_0 , α with error in beam sizes $R_1, R_2, R_3 = 0$.



Determination of beam parameters with errors in beam sizes $R_1, R_2, R_3 = \pm 5\%$ ($\sigma_R/R = 2.88\%$). Error in measured value of beam emittance $\approx \pm 10\%$ ($\sigma_\epsilon/\epsilon = 4.29\%$).

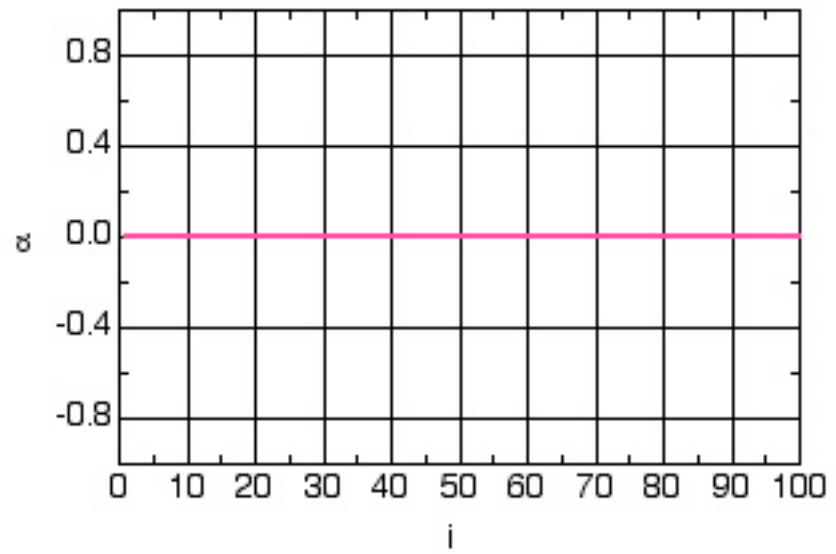
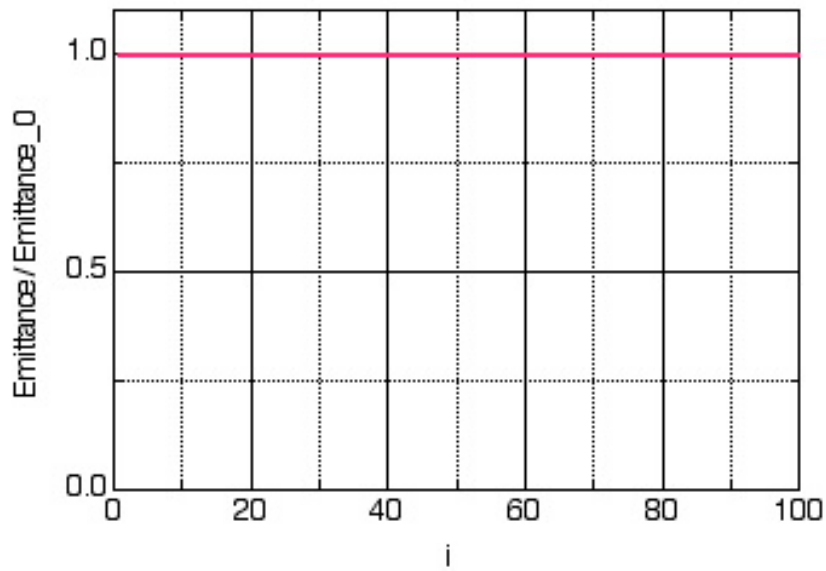
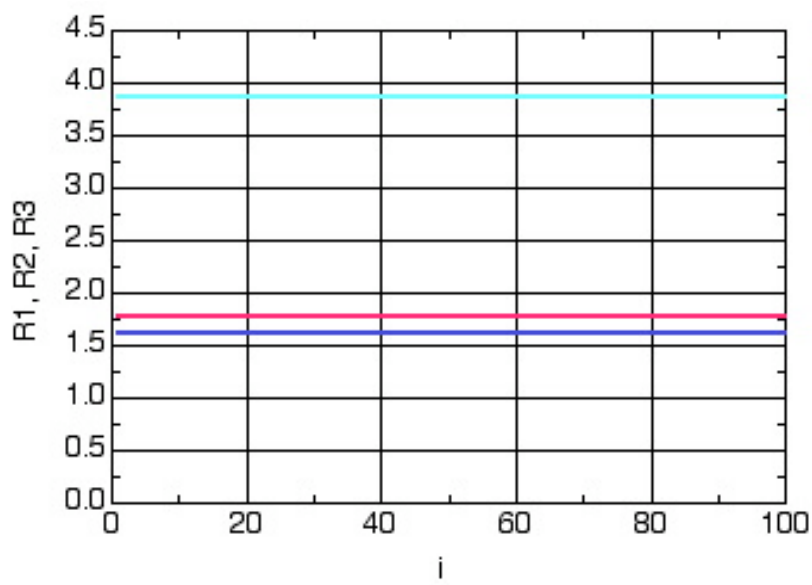
Example 3: Unstable beam emittance measurements (45° , 90° , 225°)



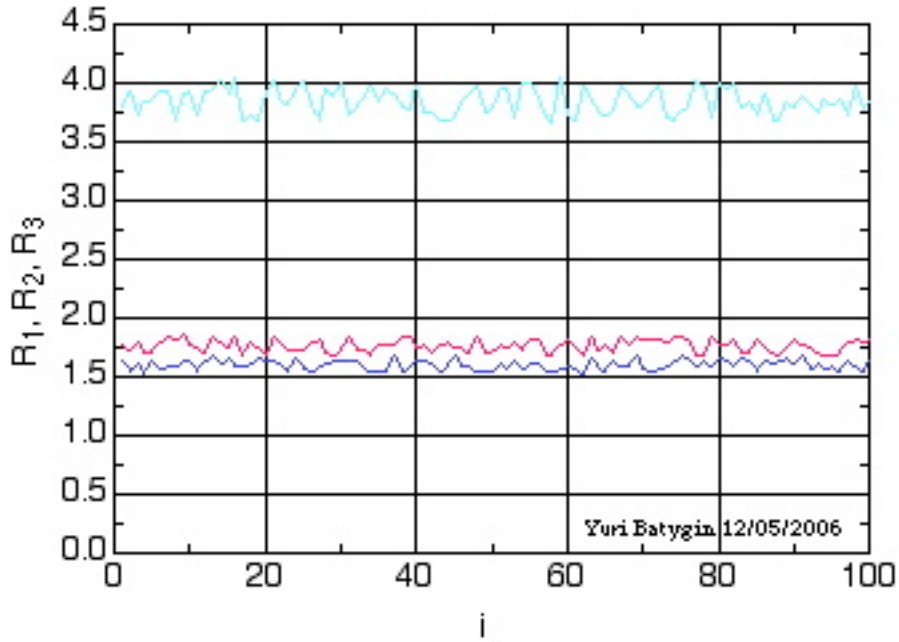
$C1 = 0.255000$
 $S1 = 5.68000$
 $C2 = 0.000000$
 $S2 = 14.9000$
 $R1 = 1.77220$
 $R2 = 3.86000$
 $C3 = -0.295340$
 $S3 = -4.40000$
 $R3 = 1.61200$

$a = 0.85$
 $b = 2.63$

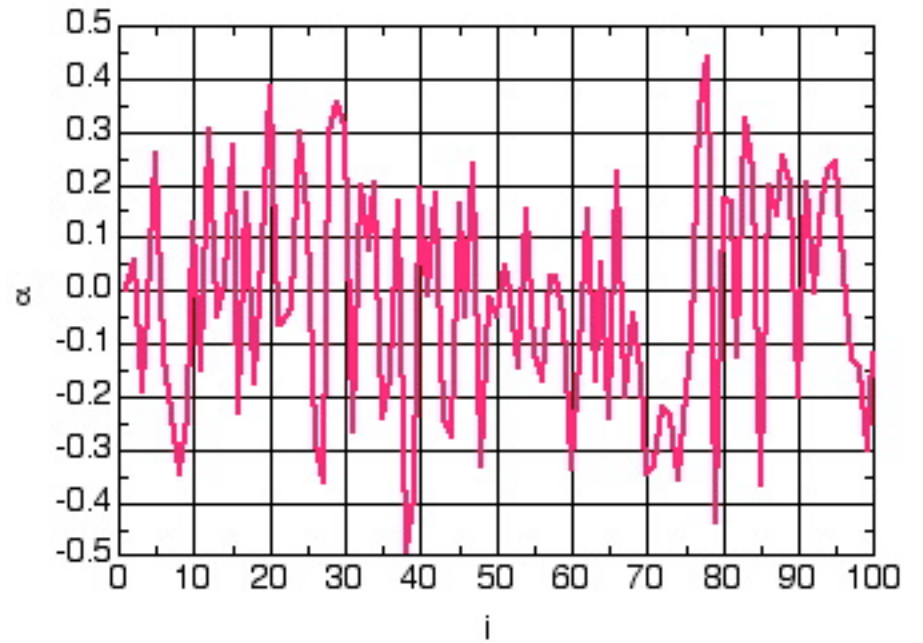
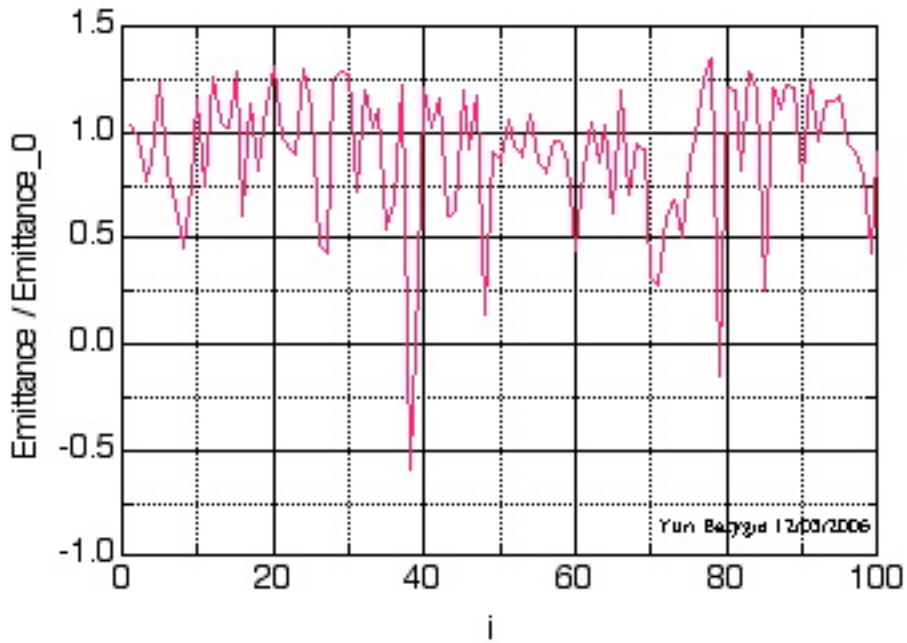
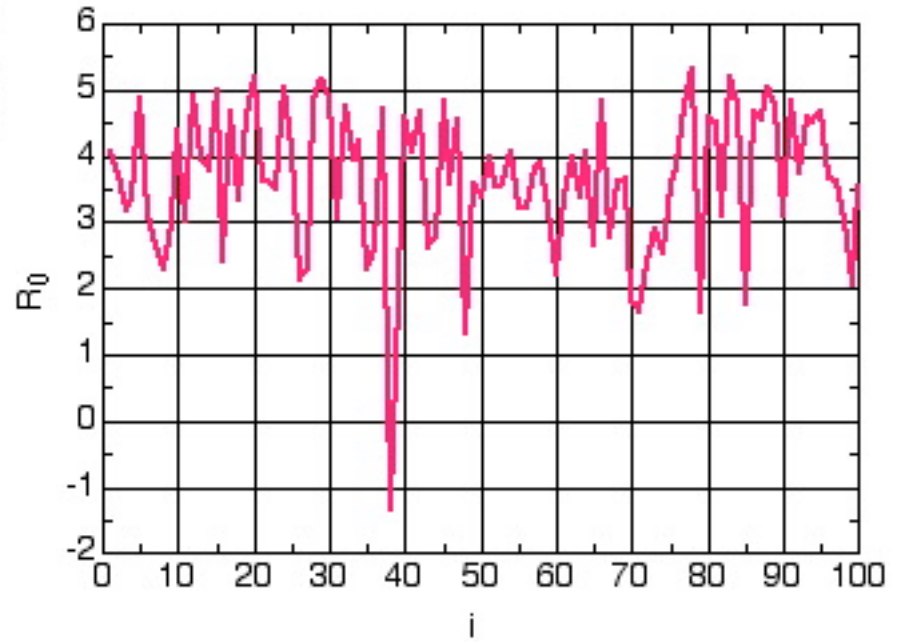
$R_0 = 3.86$
 $\vartheta = 1$
 $\alpha = 0$



Determination of beam parameters ε , R_0 , α with error in beam sizes $R_1, R_2, R_3 = 0$.



— R_1
— R_2
— R_3



Unstable determination of beam parameters with errors in beam sizes $R_1, R_2, R_3 = \pm 5\%$.

3. Summary

1. Error in determination of beam emittance is larger than error in measured beam sizes.
2. Determination of emittance through beam size measurements at different locations

R_1, R_2, R_3 is performed with significant error if the following conditions are fulfilled:

$$A = R_1(C_2S_3 - C_3S_2)$$

$$B = R_2(C_3S_1 - C_1S_3)$$

$$G = R_3(C_2S_1 - C_1S_2)$$

$$a = \frac{A + B}{G} \approx 1$$

or

$$b = \frac{B - A}{G} \approx 1$$

where $S_1, S_2, S_3, C_1, C_2, C_3$ are single particle matrix transformation elements.

3. Next step: error analysis for 4D beam.