

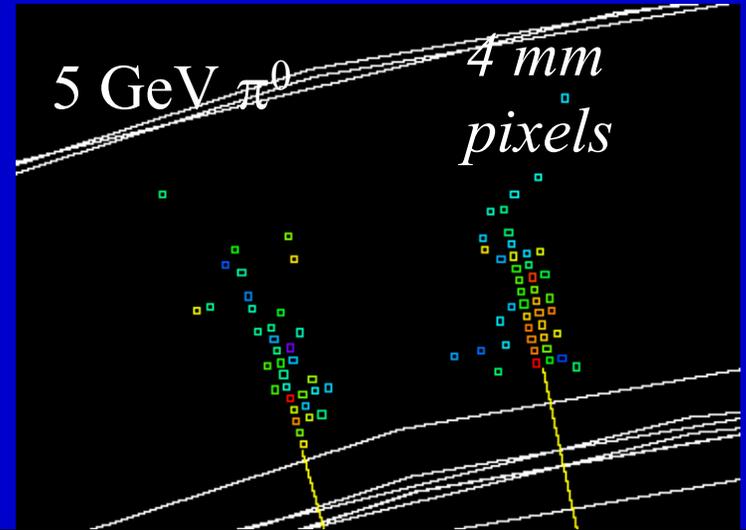
# Towards Jet Specific Energy Resolution: Investigating $\pi^0$ Kinematic Fits

EM calorimeters under consideration for ILC have unprecedented potential for photon position resolution.

Can this be used to measure  $\pi^0$  energies very well and by extension hadronic jets ?

Also see talks 2005-2007 on  $\pi^0$  KF basics and initial forays into applying to hadronic events.

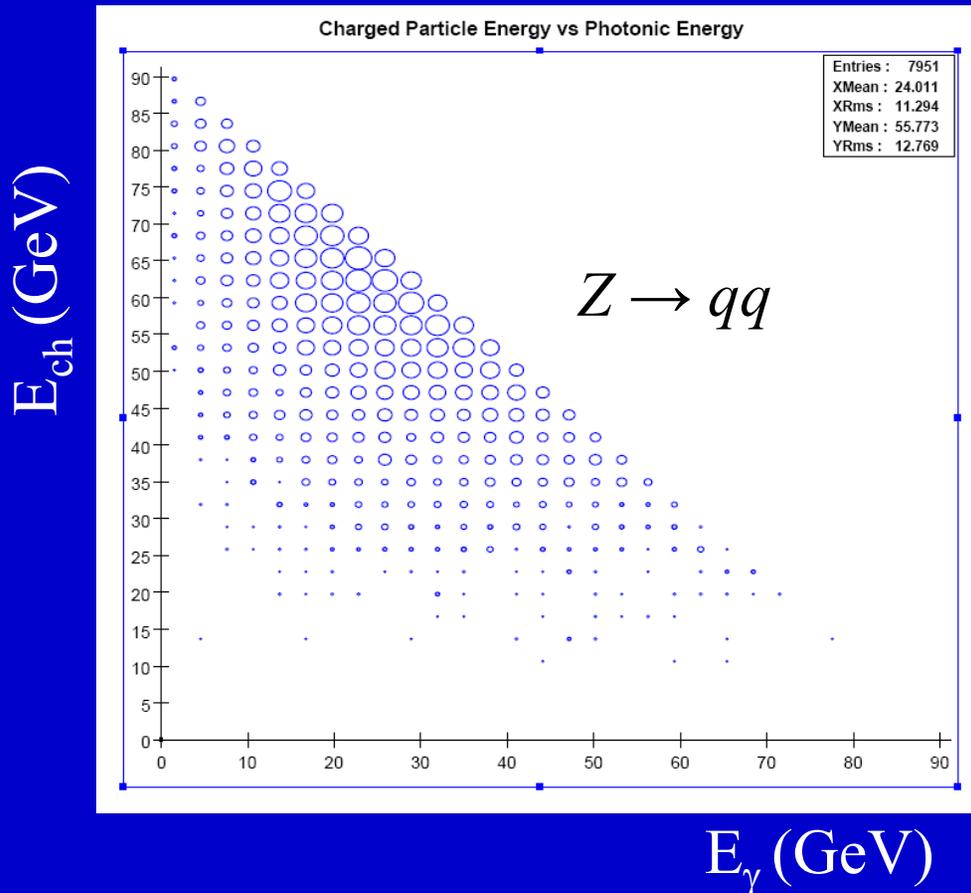
(latest: ALCPG07 for more details.)



1. Motivation: Jet Specific Energy Resolution & Physics
2.  $\pi^0$  kinematic fitting
3. Improvements in  $\pi^0$  energy resolution
4. Applying to hadronic jets

# Advanced Particle Flow

$$E_{\text{jet}} = E_{\text{ch}} + E_{\gamma} + E_{\text{NH}}$$



How does PFA depend on  $(f_{ch}, f_{\gamma})$  ?

On  $(n_{ch}, n_{\gamma})$ ? etc.

Develop *jet specific energy resolution* formalism.

Take advantage of knowledge of jet energy errors jet per jet.

Non-Gaussian resolution function is not a cardinal sin – it is a potentially exploitable feature.

Will eventually need detailed understanding at individual event level inside PF algorithms.

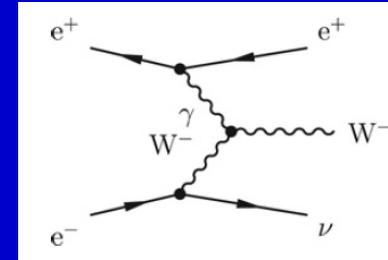
As a first step, take advantage of error knowledge on the fitted photon component (under the  $\pi^0$  mass hypothesis).

May be most useful in the near-term in the “no-confusion” limit.

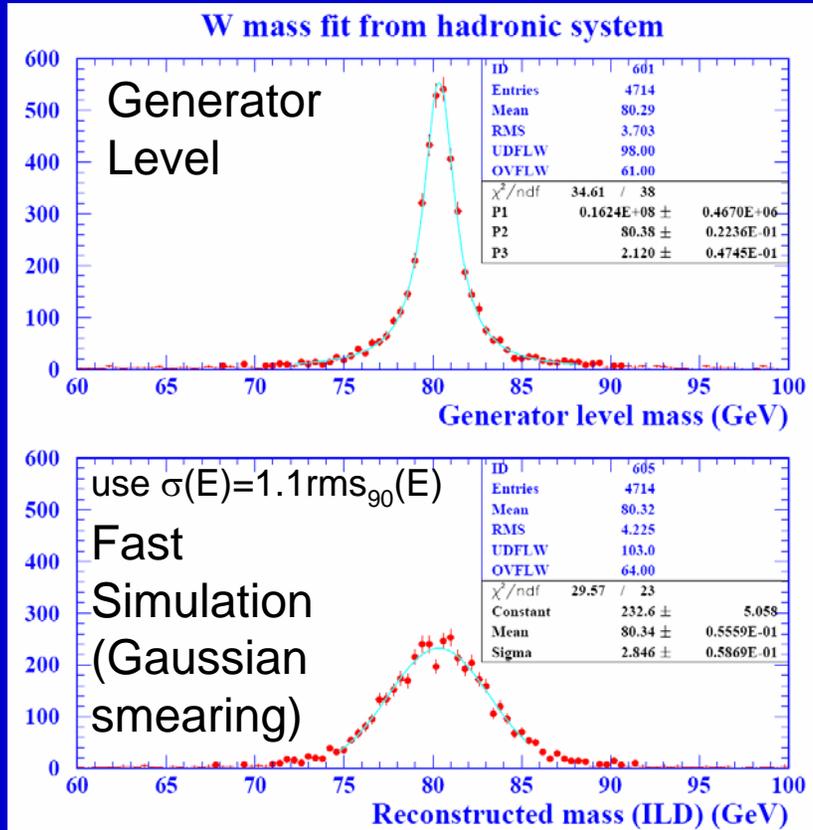
# Example (New) Physics Analysis

Possible 1 TeV benchmark ?

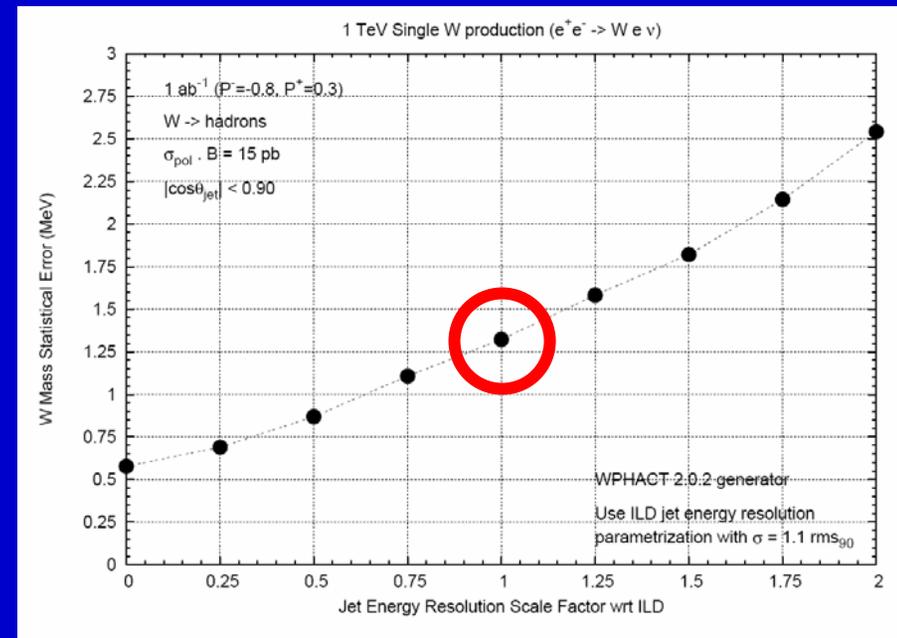
Single W study at  $\sqrt{s} = 1\text{TeV}$



$W \rightarrow q q$   
(jets are not so energetic)



Is this useful for physics ? Example  $m_W$ .



$\Rightarrow$  Further  $E_{\text{jet}}$  resolution improvement and knowledge very desirable

Potentially very useful ! (Especially, if the really challenging requirements on jet energy scale and calibration can be met !)

# Absolute Jet Energy Scale

- One self-contained approach for PFA could be bottom-up using known particle masses.
  - Momentum scale ( $J/\psi$ )
  - Photon scale ( $\pi^0$ )
  - $K_L^0$  scale ( $\phi$ )
  - n scale ( $\Sigma$ )
  - nbar scale ( $\Sigma$ )
- Probably unrealistic as the only method.
  - But may point to the need for substantial statistics at the Z.

# $\pi^0$ Kinematic Fitting

# $\pi^0$ 's and Particle Flow

- Particle Flow
  - Charged particles  $\Rightarrow$  TRACKER  $\Rightarrow$  62%
  - **Photons**  $\Rightarrow$  **ECAL**  $\Rightarrow$  **28%**
  - Neutral hadrons  $\Rightarrow$  HCAL  $\Rightarrow$  10%
- Photons
  - Prompt Photons (can assume vtx = (0,0,0))
    - $\pi^0$  (About 95% of the photon energy content at the Z)
    - $\eta, \eta'$  etc.
    - Lone photons (eg.  $\omega \rightarrow \pi^0 \gamma$ )
  - Non-prompt Photons
    - $K_S^0 \rightarrow \pi^0 \pi^0$
    - $\Lambda \rightarrow \pi^0 n$
- So, as you know, most photons do come from prompt  $\pi^0$ 's, we do know the  $\pi^0$  mass, and they interact in well understood ways !
- So, for correctly paired photons,  $\pi^0$  mass constraint is reasonable, and we have shown that the improvement in estimating  $E_{\pi^0}$  can be sizeable.

# Detector Resolution

- Both ILD and SiD envisage compact EM calorimeters capable of very precise angular measurements readout every  $X_0$  or so.
- Examples:
- Si-W
  - (13 mm<sup>2</sup> cells at R=1.27 m (SiD))
  - (25 mm<sup>2</sup> cells at R=1.85 m (ILD))
  - (50  $\mu$ m x 50  $\mu$ m pixels – MAPS option)
- Can identify the photon conversion point in the ECAL with resolution typical of the pixel size largely independent of the photon energy.
- Resolutions in the 0.5 mrad range per projection for 1 GeV photons is at hand (assuming photon is prompt).

# Documentation

*Working on a paper documenting and extending the foundations of earlier studies. Emphasis is on a generic detector for a wide range of resolution assumptions. Mainly treating the single  $\pi^0$  case using smeared Monte Carlo.*

## Applying mass-constrained fits to the energy reconstruction of di-photon resonances with high granularity calorimeters

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ABSTRACT: Mass-constrained fits to correctly matched pairs of photons are investigated and the improvements in di-photon energy resolution are quantified for the ubiquitous  $\pi^0$  for a range of  $\pi^0$  energies, center-of-mass decay angles, and assumptions on photon energy and angular resolution.

# $\pi^0$ Kinematic Fitting I

- For simplicity, (old 3-variable studies) used the following measured experimental quantities:

$E_1$  (Energy of photon 1)

$E_2$  (Energy of photon 2)

$\psi_{12}$  (3-d opening angle of photons 1 and 2)

- Fit using

- 3 variables,  $\mathbf{x} = ( E_1, E_2, 2(1 - \cos\psi_{12}) )$

- a diagonal error matrix

(assumes individual  $\gamma$ 's are completely resolved and measured independently)

- and the constraint equation

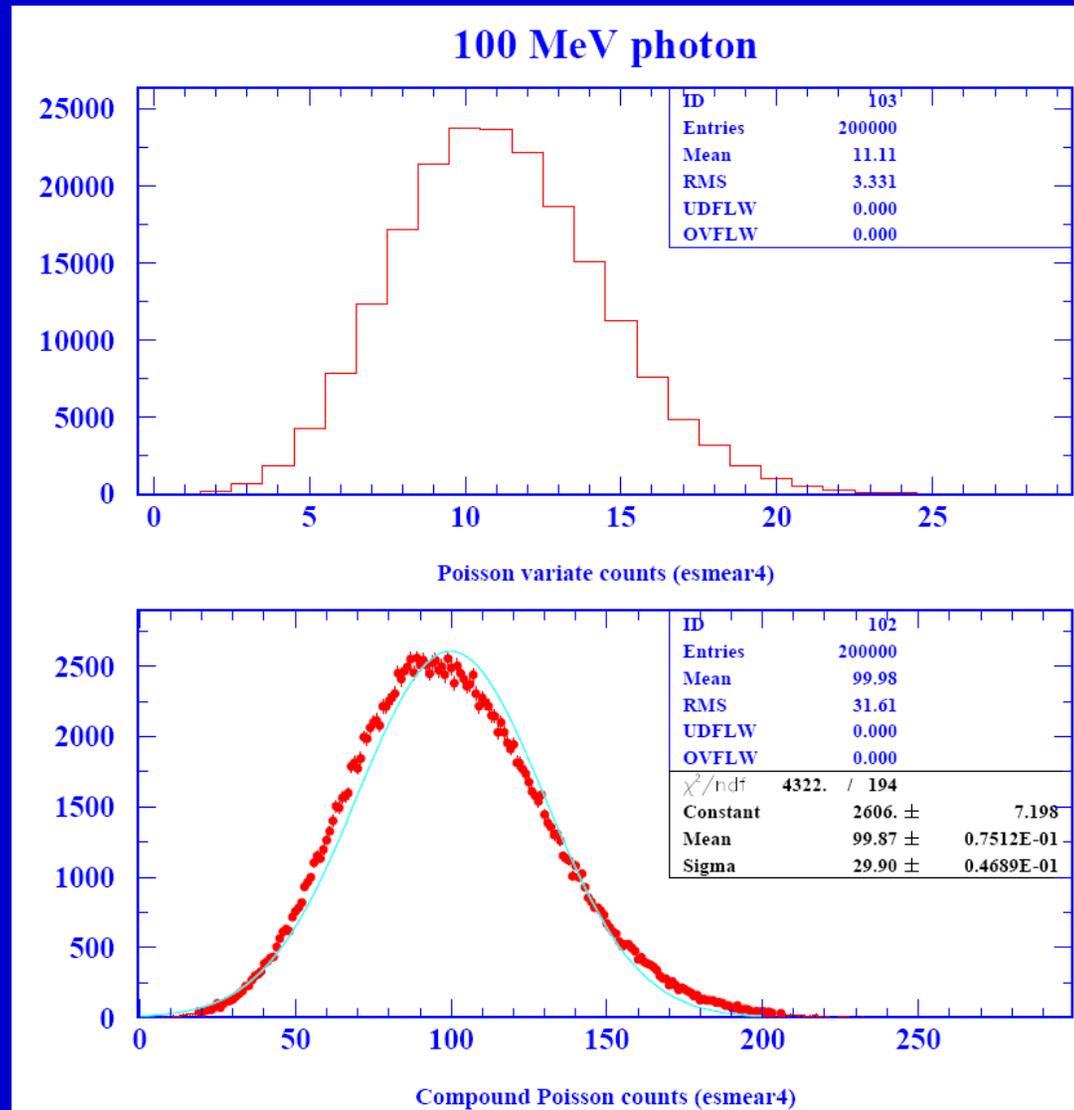
$$m_{\pi^0}^2 = 2 E_1 E_2 (1 - \cos\psi_{12}) = x_1 x_2 x_3$$

# $\pi^0$ Kinematic Fitting II

- The new 6-variable study uses  $(E, \theta, \phi)$  for each photon.
  - Still a diagonal error matrix.
  - Implementations:
    - 3 variable: analytic
    - 3 variable: Blobel F77 fitter
    - 6 variable: Blobel F77 fitter
    - 6 variable: MarlinKinFit (Brian)
  - 6-variable advantages:
    - More realistic angular resolution implementation
    - Assess improvements in  $\pi^0$  direction
- Have been able to cross-check all four with identical inputs.*

# Energy Smearing and Detection Threshold

- Previously had used Gaussian energy smearing.
  - $\sigma_E/E = \alpha/\sqrt{E}$
  - Non-negligible probability of -ve energy.
- Elected to smear the photon energies using a Compound Poisson distribution (reasonably physically motivated as a model of branching processes).
- Impose a minimum detection threshold at  $E \text{ (GeV)} > 2 \alpha^2$
- For  $\alpha=0.16$ ,  $E_{\text{min}} = 0.05 \text{ GeV}$

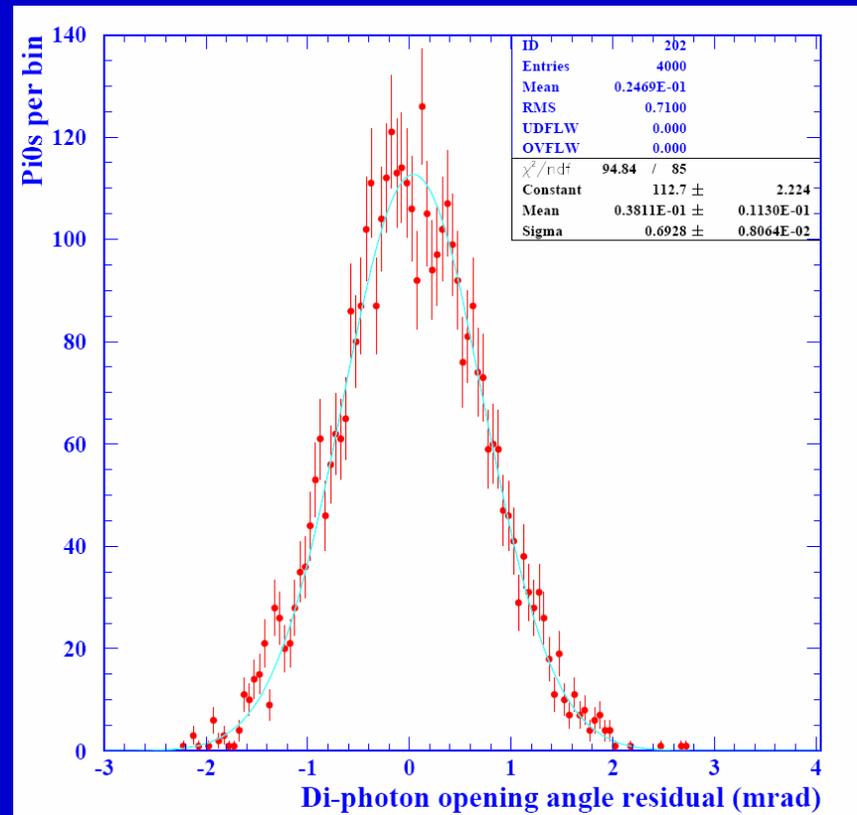
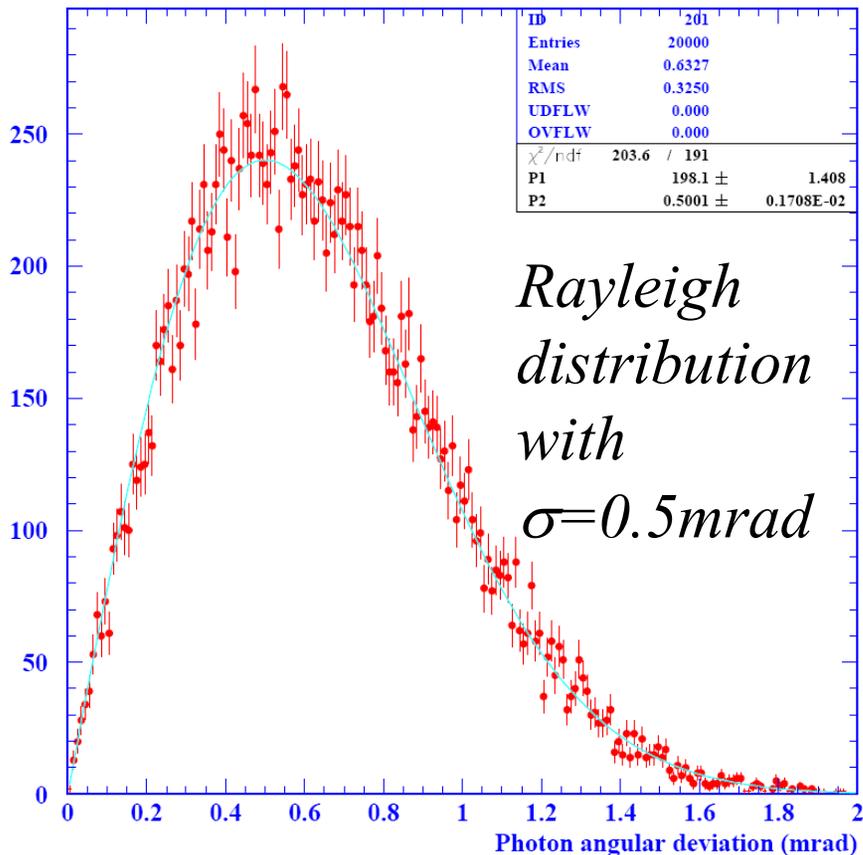


# Smearing the Photon Angular Resolution

*Photons are assumed to be prompt. So angular resolution is equivalent to position resolution in the ECAL for this application*

*Photons are smeared independently in “x” and “y” by Gaussians with width of eg.  $\sigma = 0.5\text{mrad}$  independent of energy*

Angular deviation of each photon (smear by 0.5mrad in each transverse direction)



$$Err(\psi_{12}) = \sqrt{2} \sigma \quad (\text{previous thinking: } Err(\psi_{12}) = 2 \sigma !)$$

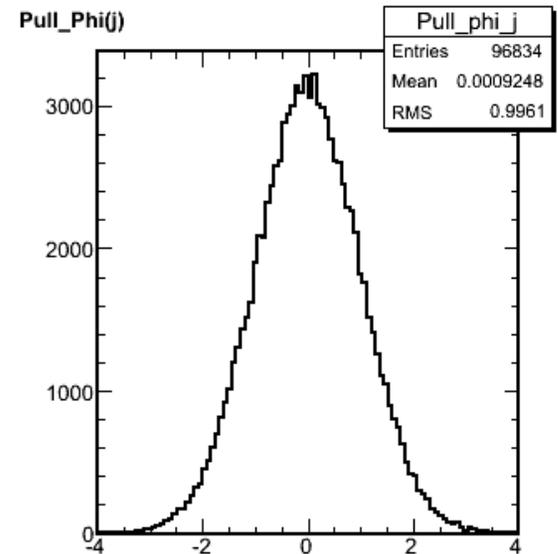
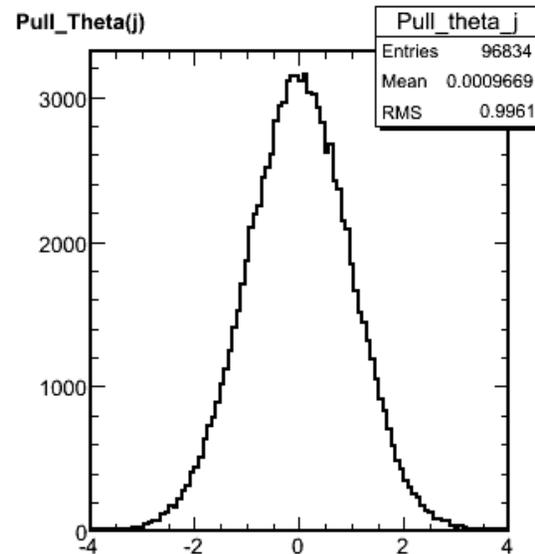
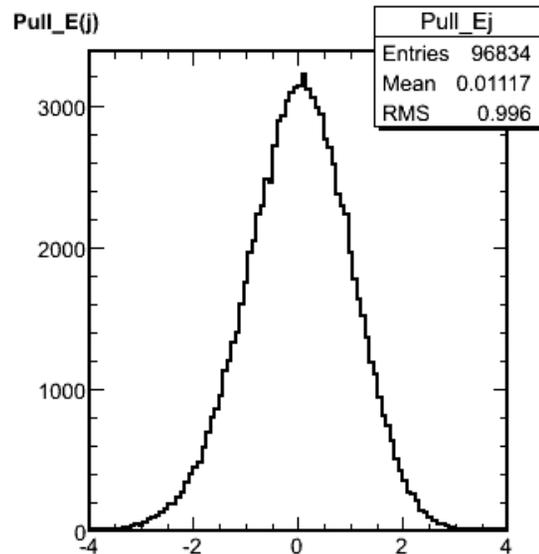
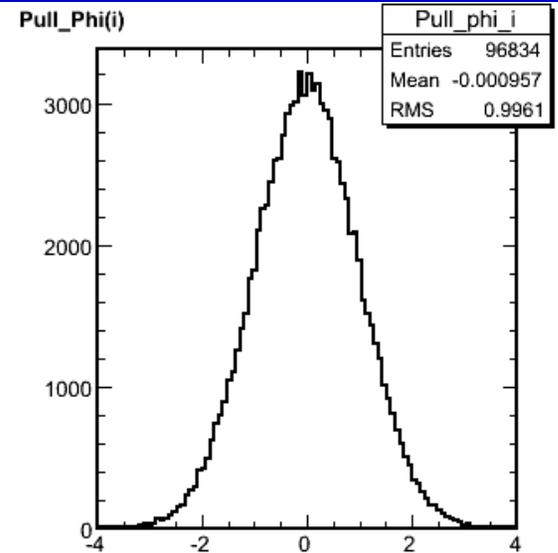
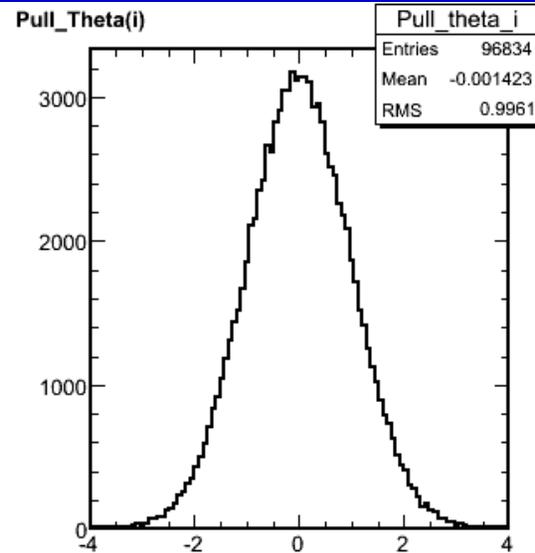
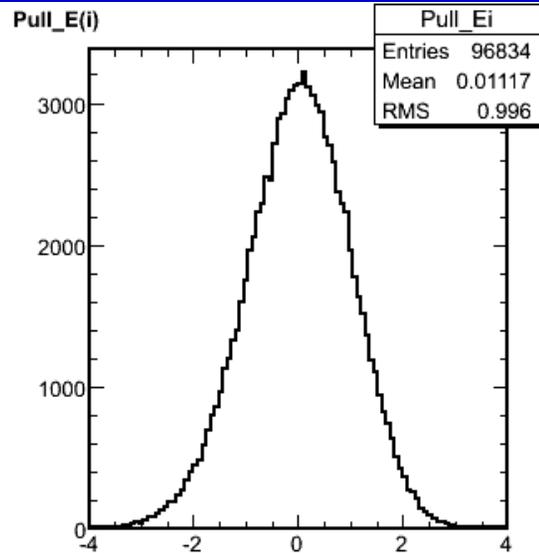
# Example Fit

*4 GeV  $\pi^0$ , 16%/ $\sqrt{E}$ , 0.5mr (default assumptions unless stated otherwise)*

Variable	Measured	3-variable fit	6-variable fit	Pull
$E_1$	$2.468 \pm 0.253$	$2.385 \pm 0.192$	$2.385 \pm 0.192$	-0.504
$E_2$	$1.679 \pm 0.196$	$1.605 \pm 0.130$	$1.605 \pm 0.130$	-0.504
$2(1 - \cos \psi_{12})$	$(4.765 \pm 0.0985) \times 10^{-3}$	$(4.759 \pm 0.0977) \times 10^{-3}$		-0.504
$\theta_1$ (mrad)	$1608.36 \pm 0.50$		$1608.37 \pm 0.50$	0.504
$\theta_2$ (mrad)	$1619.11 \pm 0.50$		$1619.10 \pm 0.50$	-0.504
$\phi_1$ (mrad)	$2196.86 \pm 0.50$		$2196.84 \pm 0.50$	-0.504
$\phi_2$ (mrad)	$2128.60 \pm 0.50$		$2128.62 \pm 0.50$	0.504
$m_{\pi^0}$ (MeV)	140.5			
$\rho_{E_1 E_2}$		-0.9683	-0.9683	
$E_{\pi^0}$	$4.147 \pm 0.320$	$3.990 \pm 0.074$	$3.990 \pm 0.074$	
$\chi^2/\nu$		0.2543/1		
$p_{\text{fit}}$ (%)		61.4		

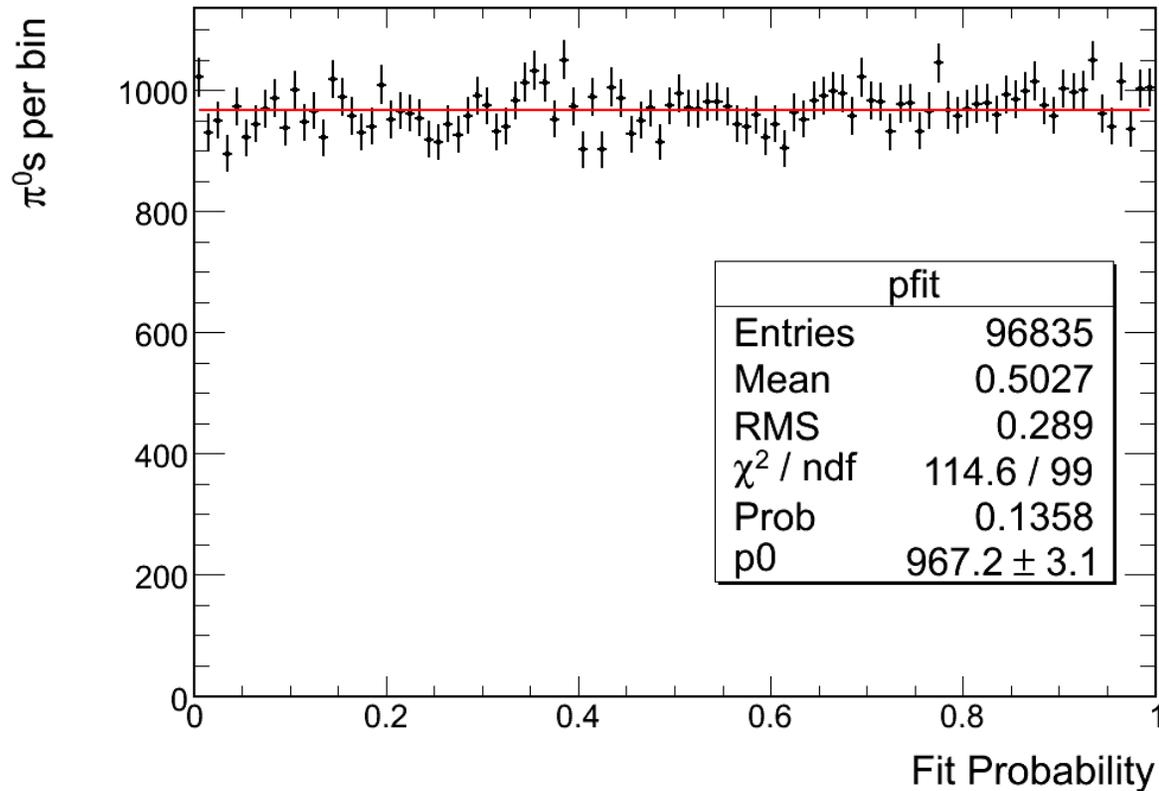
*(Note: the 3 and 6-variable fits are equivalent in terms of energy variables)*

# Pull Distributions

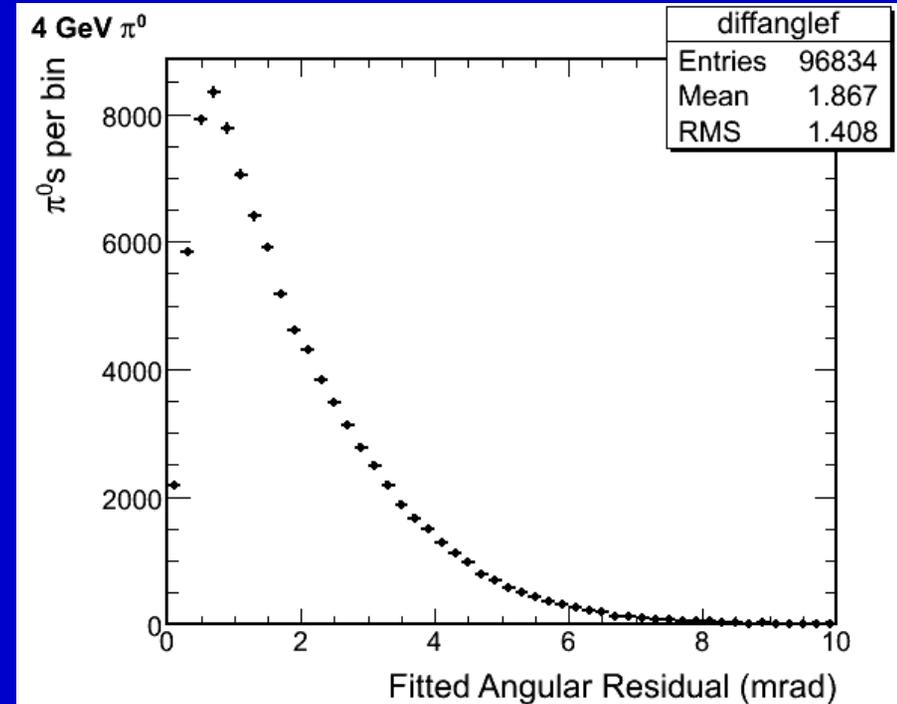
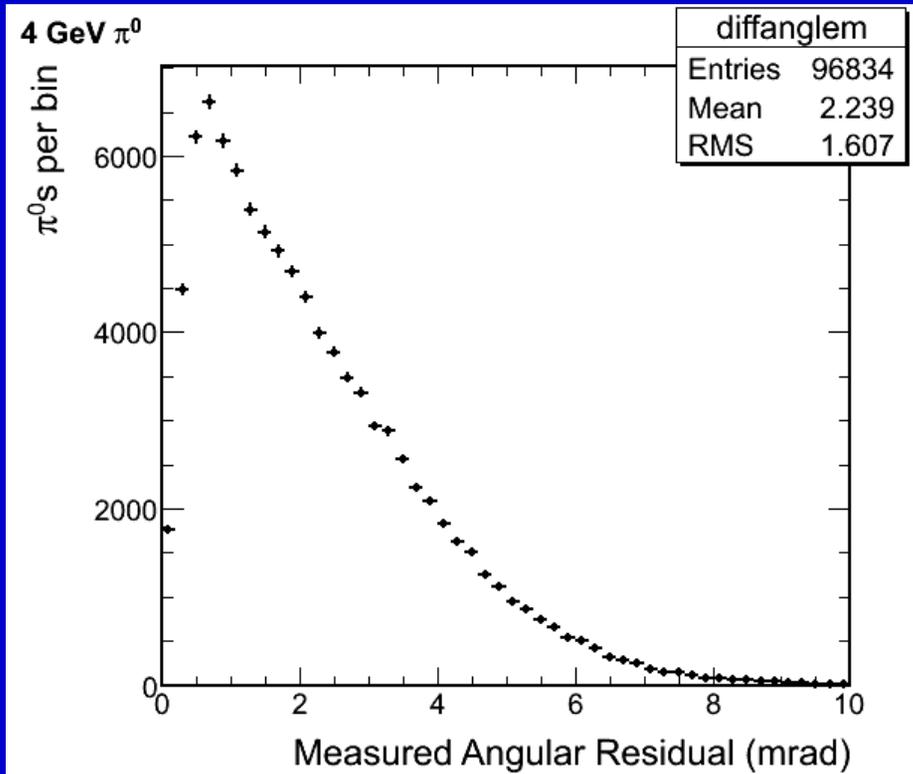


# Fit Probability

4 GeV  $\pi^0$

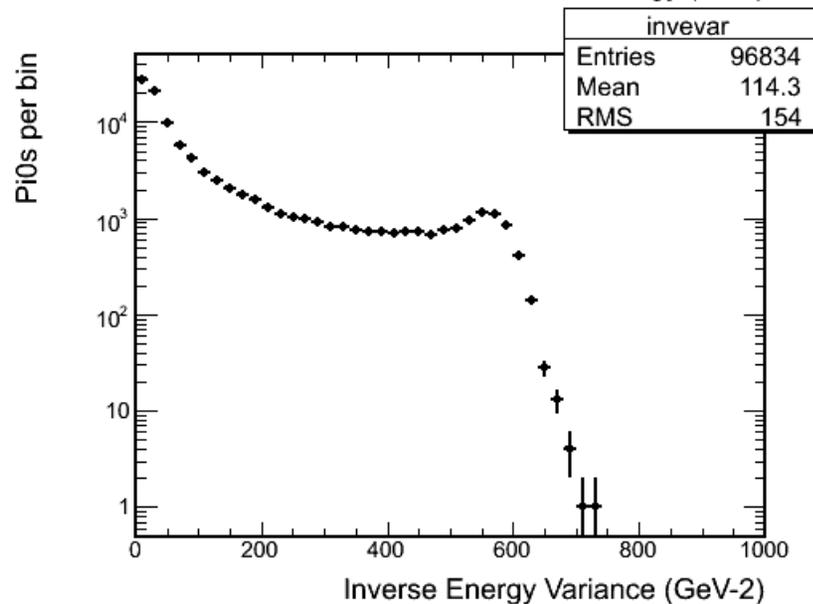
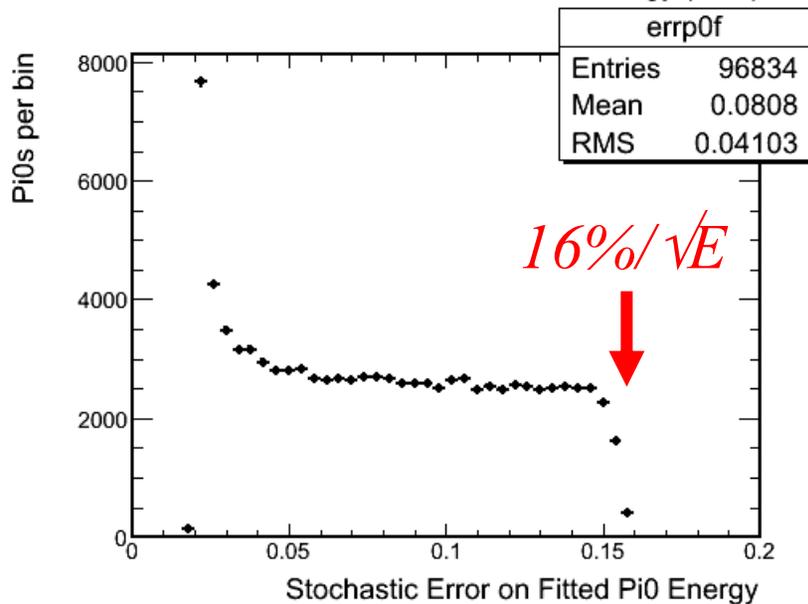
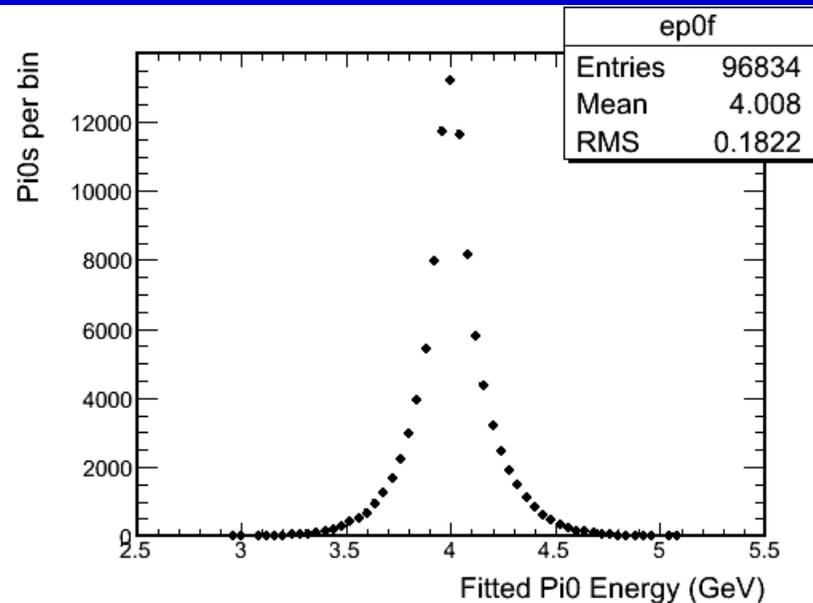
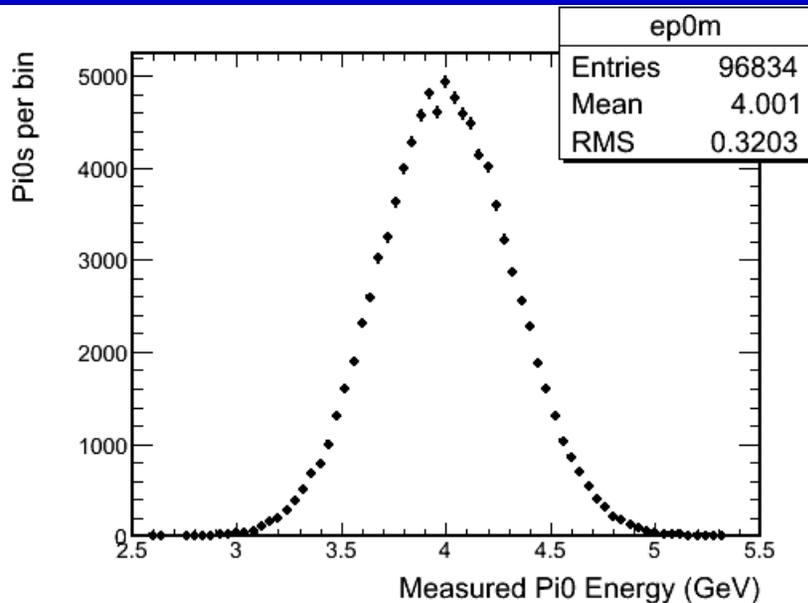


# $\pi^0$ Angle Improvements



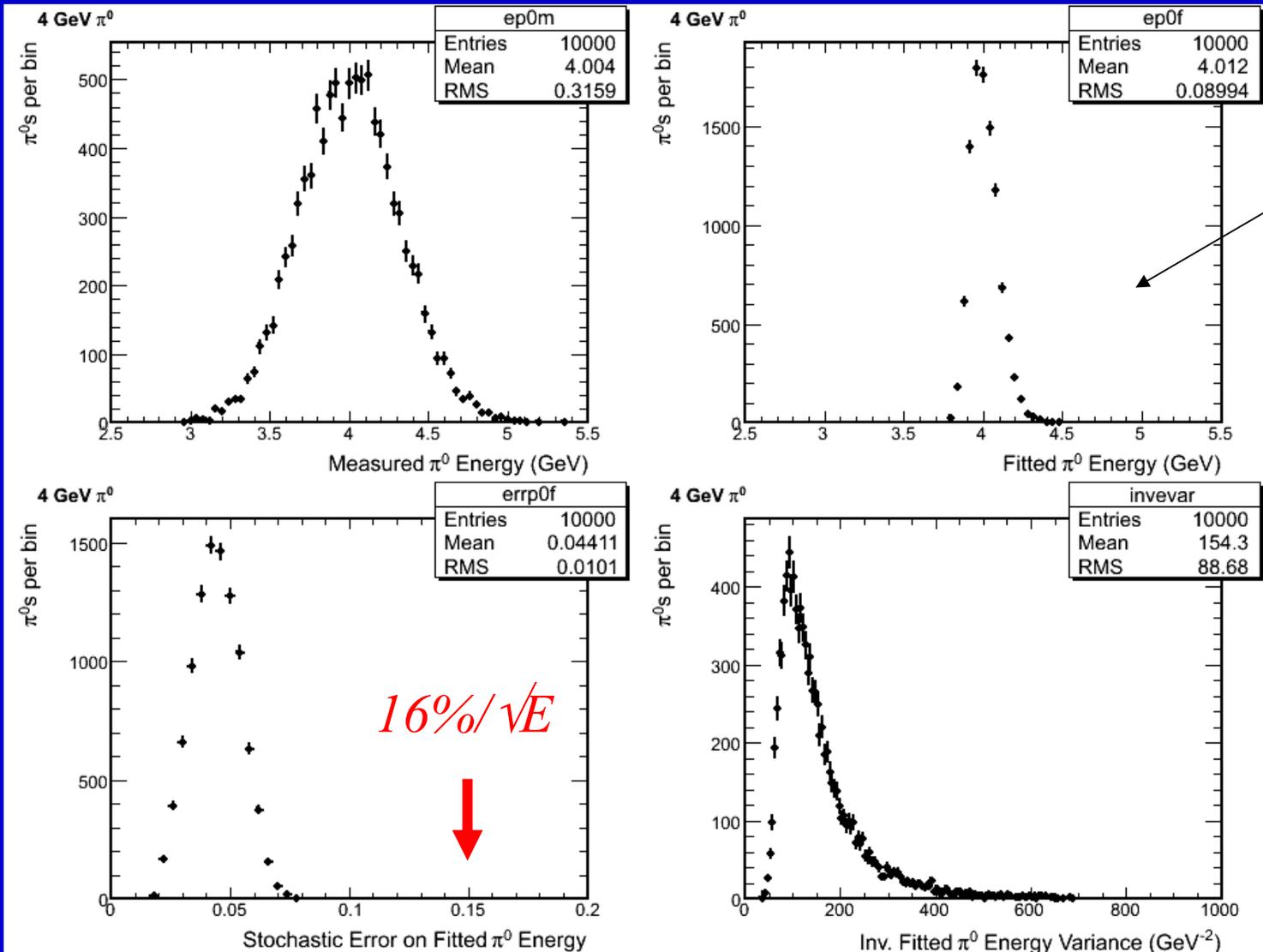
*Modest improvements at this energy, but note that this feeds through combinatorically with all other particle pairs in hadronic mass estimates.*

# 4 GeV $\pi^0$



# 4 GeV $\pi^0$ ( $\cos\theta^* = 0.25$ )

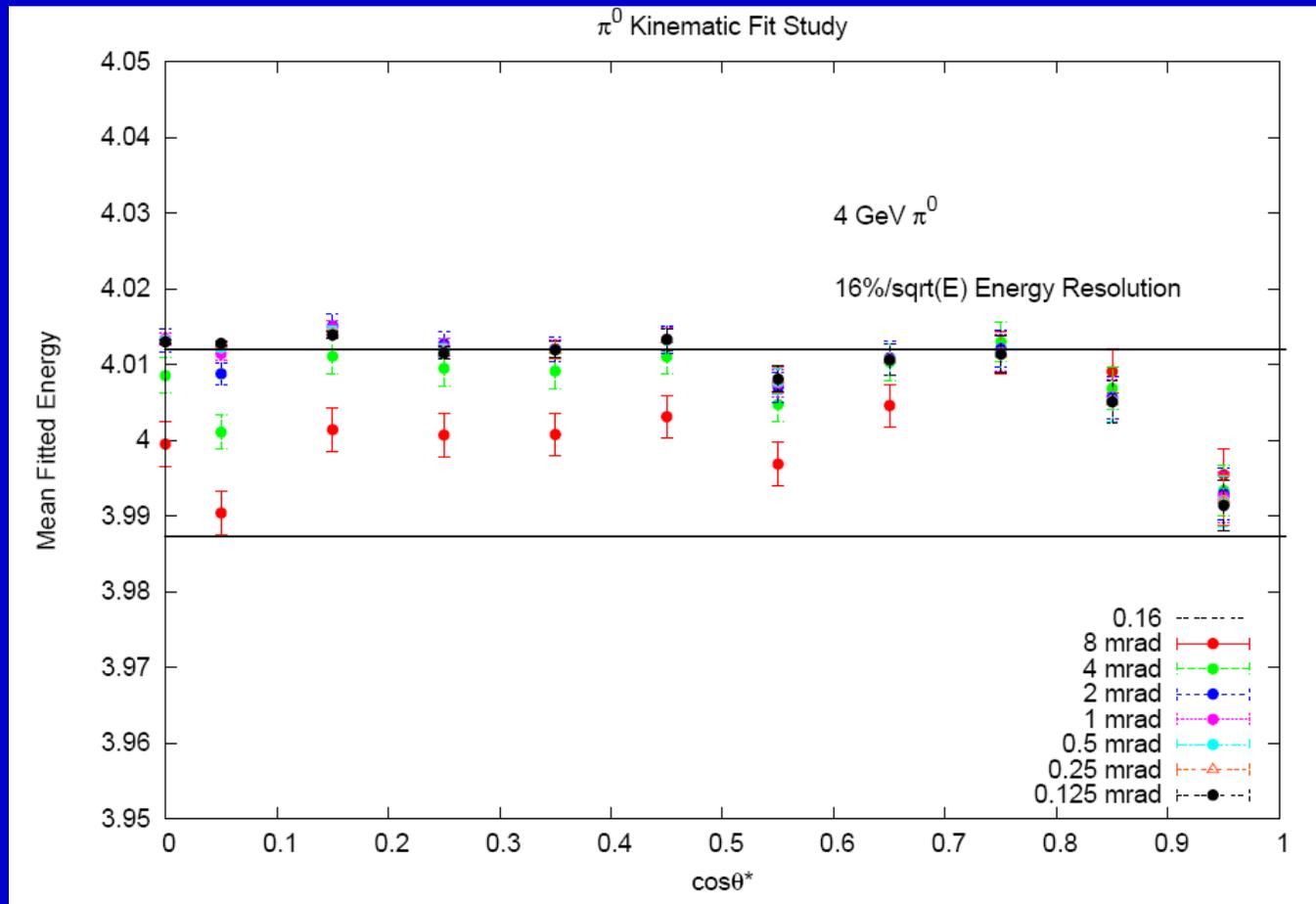
*Use mean and RMS of this distribution in following plots for fixed values of  $\cos\theta^*$*







# Fitted $\pi^0$ Energy Bias

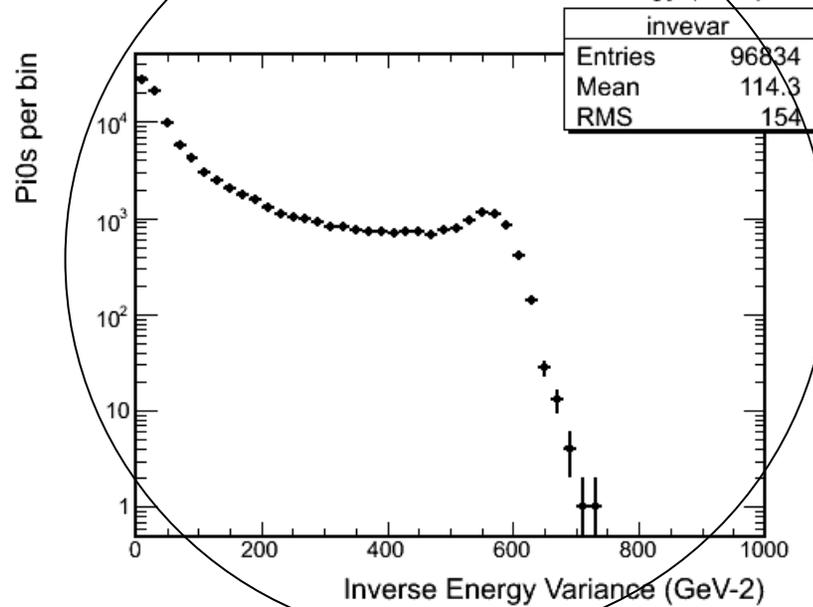
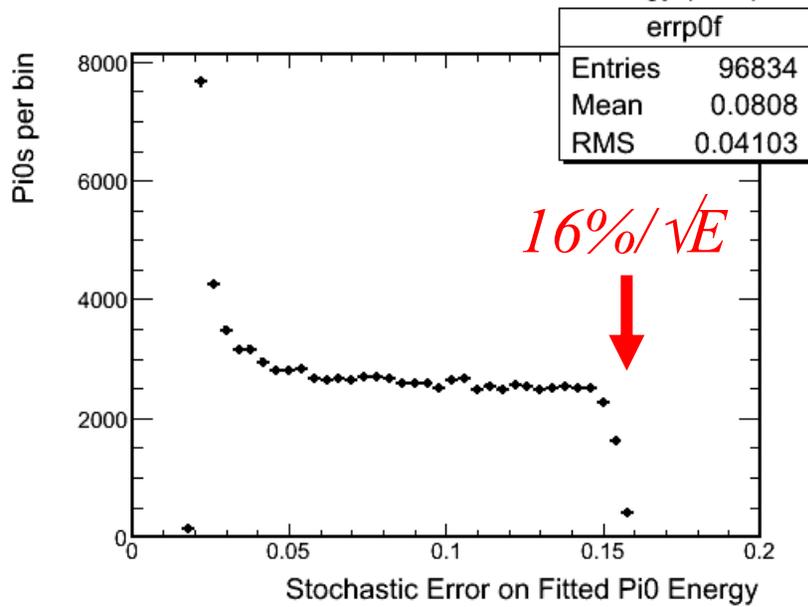
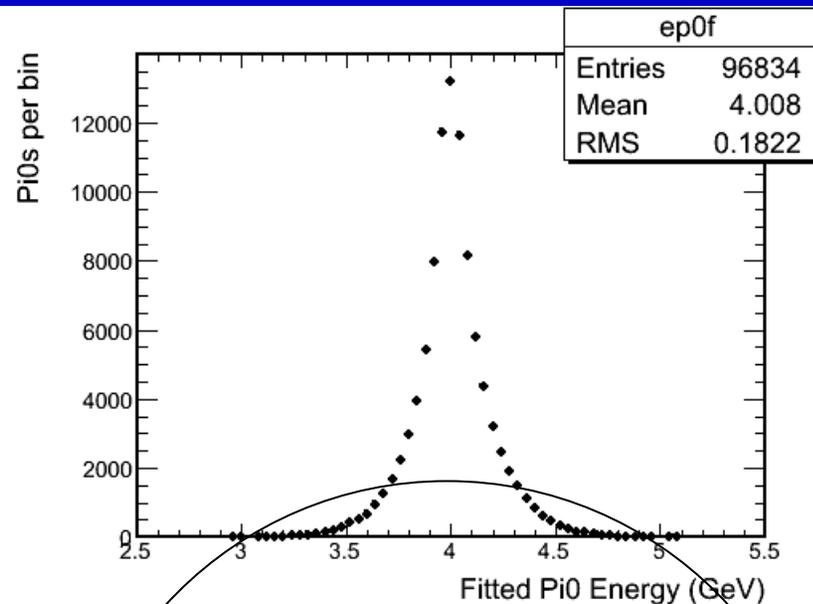
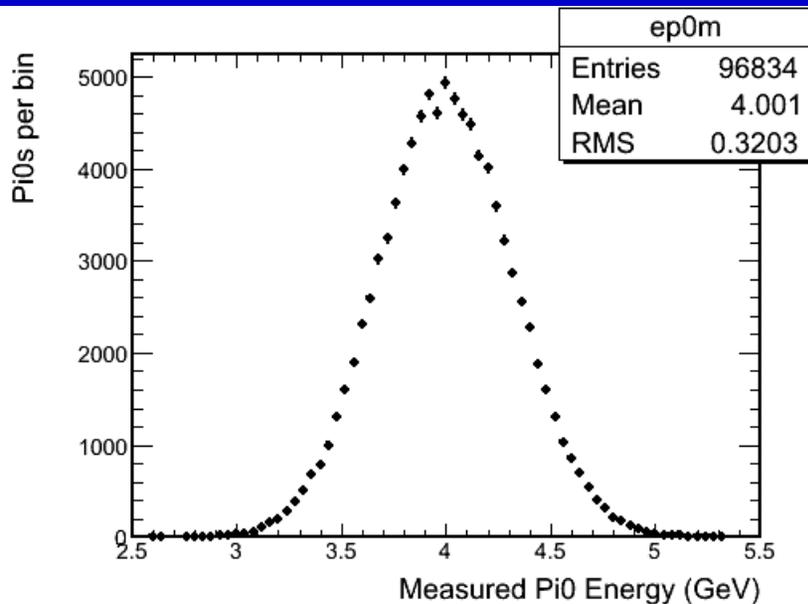


*Bias < 0.3%*

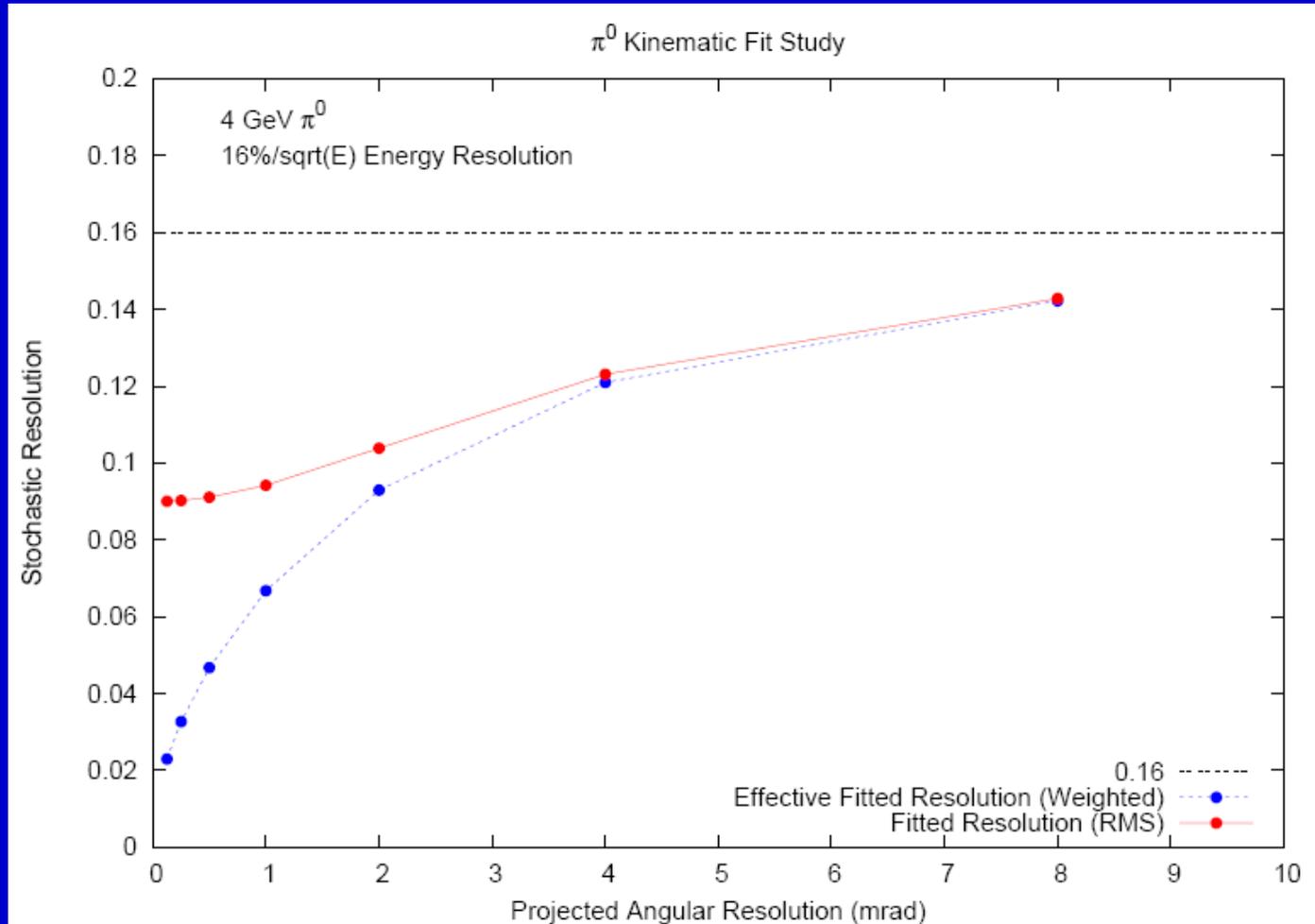
# Weighted Mean

- We can also try and use the  $\pi^0$  specific energy resolution.
- As an exercise, look at weighting by the fitted energy error of each  $\pi^0$  in a mono-energetic sample with the usual weight factor of  $\sigma_i^{-2}$
- In this case, we can define an effective resolution per  $\pi^0$ ,  $\sigma_* \equiv \sqrt{1/\langle \sigma_i^{-2} \rangle}$ , (and also scale this stochastically too).

# 4 GeV $\pi^0$

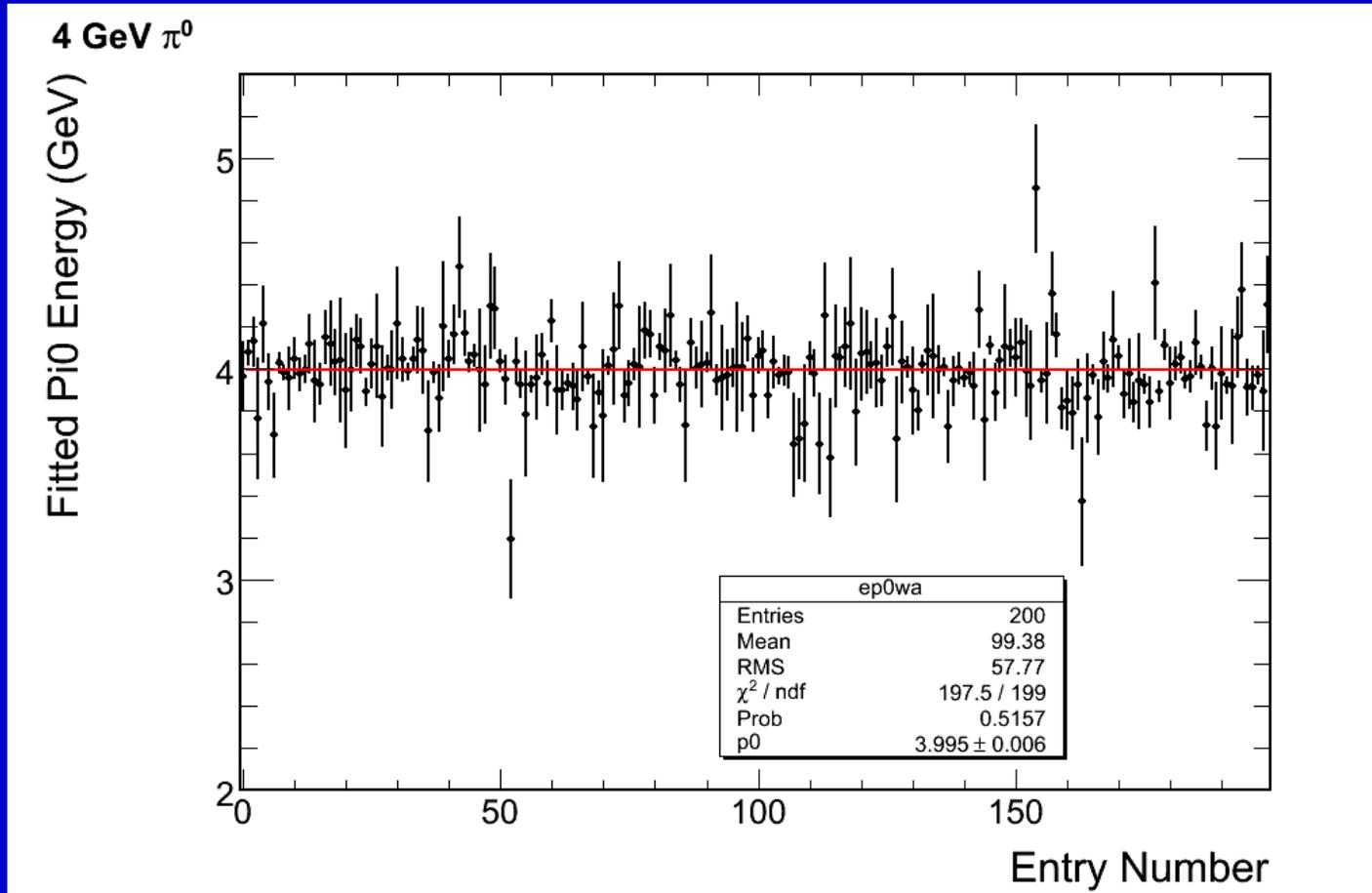


# Averaging over all $\cos\theta^*$



*Quite an improvement on the apparent statistical error on this “observable”*

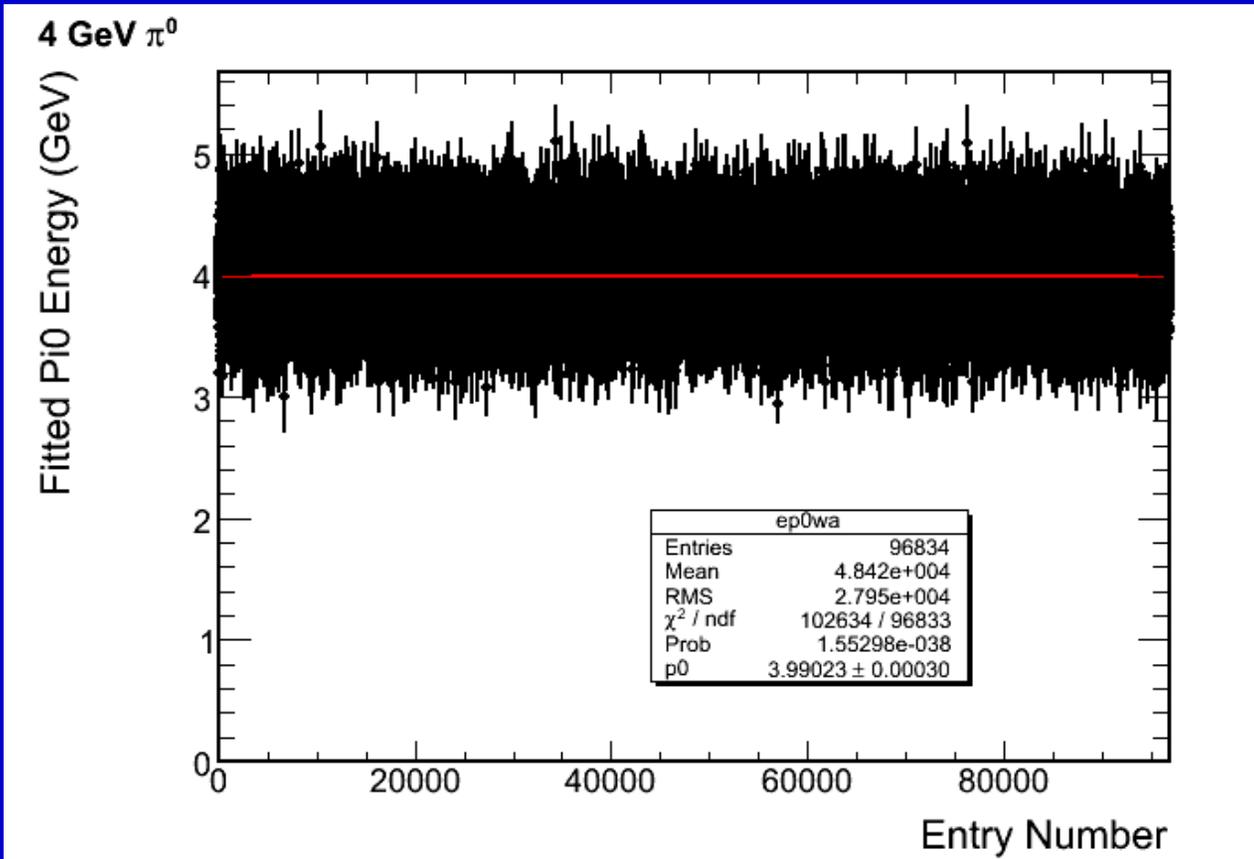
# $\pi^0$ specific energy resolution



*Use fitted error on each  $\pi^0$  to form weighted average for an ensemble of mono-energetic  $\pi^0$ s.*

# $\pi^0$ specific energy resolution

*Large ensemble*



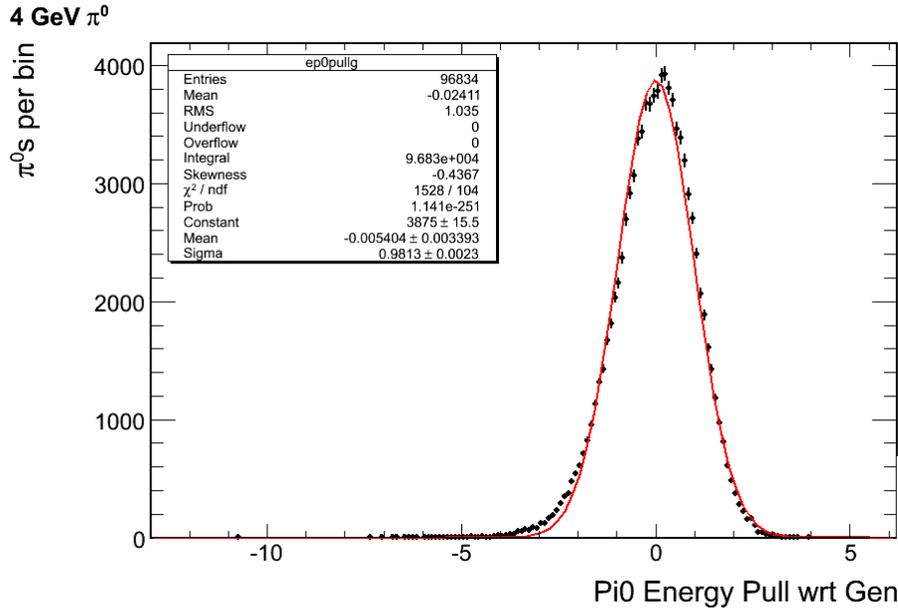
*Chi\*\*2/dof  
small, but  
not  
acceptable.*

*Why ?*

*Weighted mean has a bias of around 0.25%*

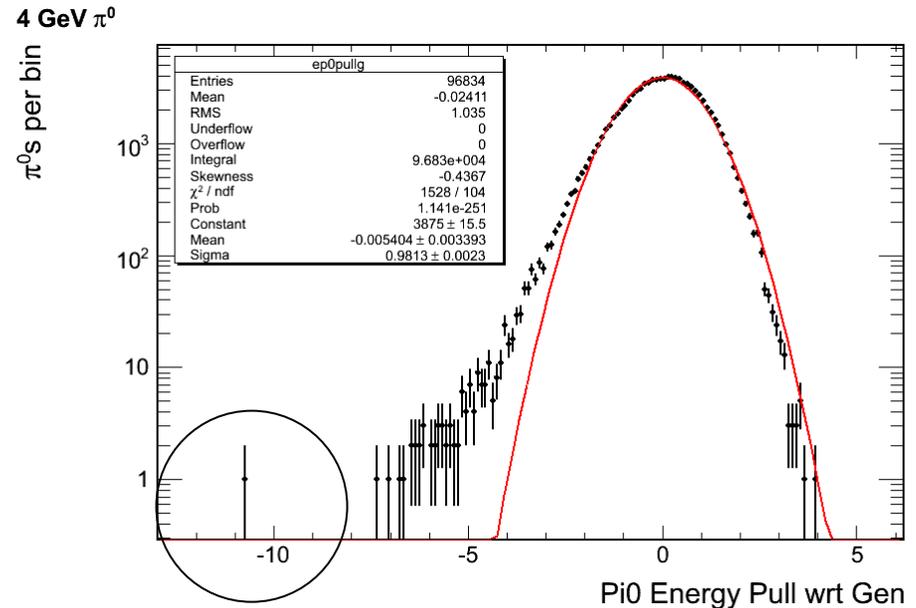
# $\pi^0$ fit pathology

*The fit always adjusts the energies of both photons upwards or downwards according to the measured mass deviation from  $m(\pi^0)$ . Sometimes this can lead to a “wrong” fit with small errors*



*Example ( $p_{\text{fit}} = 0.5\%$ )*

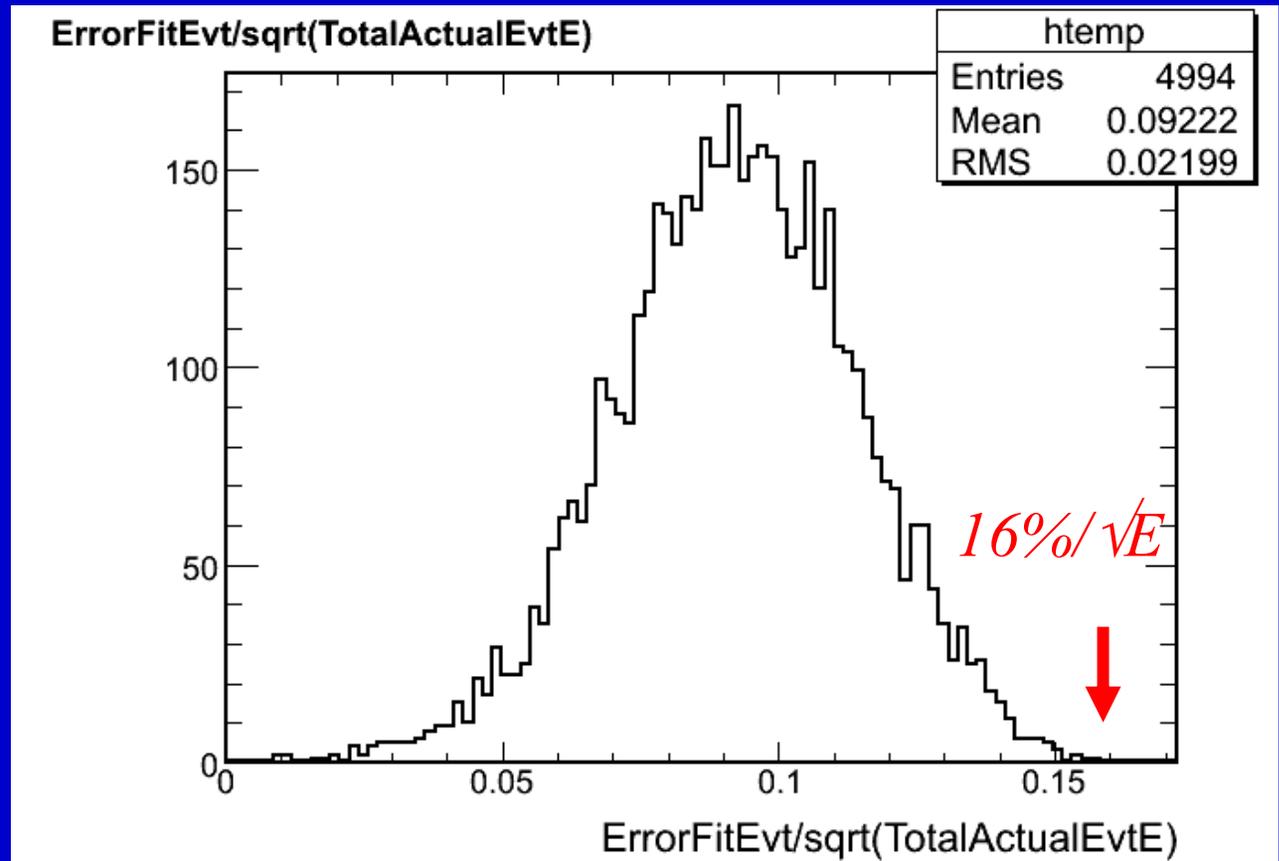
	$E1$ (GeV)	$E2$ (GeV)
$G$	2.8	1.2
$M$	2.5	2.0
$F$	1.9	1.7



# $Z^0$

$16\%/\sqrt{E}$ ,  $0.5\text{mr}$ , perfect pairing

*Calculate  
error on the  
sum of the  
fitted  $\pi^0$   
energies and  
scale  
stochastically*



*Potential of energy resolution of around  $9.2\%/\sqrt{E}$  on average*

# Next Steps

- Finalize current studies and complete write-up.
- Implement on simulated single  $\pi^0$ 's
  - Need appropriate clustering, calibrated ECAL and errors.
  - Expect to put some emphasis on low energy photons.
  - While the ILD ECAL is not over-designed for this application, doing “real” simulation studies again will be an important complement to this more conceptual work, and will enable studies in the PFA framework.
  - To get the full benefit – need some more segmented ECAL layers (eg. MAPS or analog Si-strips). MAPS based ECAL layers are well matched to this application !
- Re-visit (and write up) “matching problem” – pairing up photons in hadronic events.
  - (Old results  $16\%/\sqrt{E} \rightarrow 12\%/\sqrt{E}$  ) (9.4%)

# Conclusions and Outlook

- Kinematic fitting works
  - Detector designs should take advantage.
- Excellent angular resolution for photons can lead to much improved resolution on EM component of hadronic jets (and knowledge of the error).
- Measuring very well some jets (those without neutral hadrons), and knowing the resolution, will be advantageous in some physics analyses.

# Backup Slides

# $\pi^0$ mass resolution

- Can show that for  $\sigma_E/E = c_1/\sqrt{E}$  that
$$\Delta m/m = c_1/\sqrt{[(1-a^2) E_{\pi^0}]} \oplus 3.70 \Delta\psi_{12} E_{\pi^0} \sqrt{(\beta^2-a^2)}$$
where  $a = \beta \cos\theta^* = (E_1-E_2)/E_{\pi^0}$

So the mass resolution has 2 terms :

- i) depending on the EM energy resolution ( $c_1$ )
- ii) depending on the opening angle resolution ( $\Delta\psi_{12}$ )

The relative importance of each depends on ( $E_{\pi^0}$ ,  $a$ )

# $\pi^0$ mass resolution

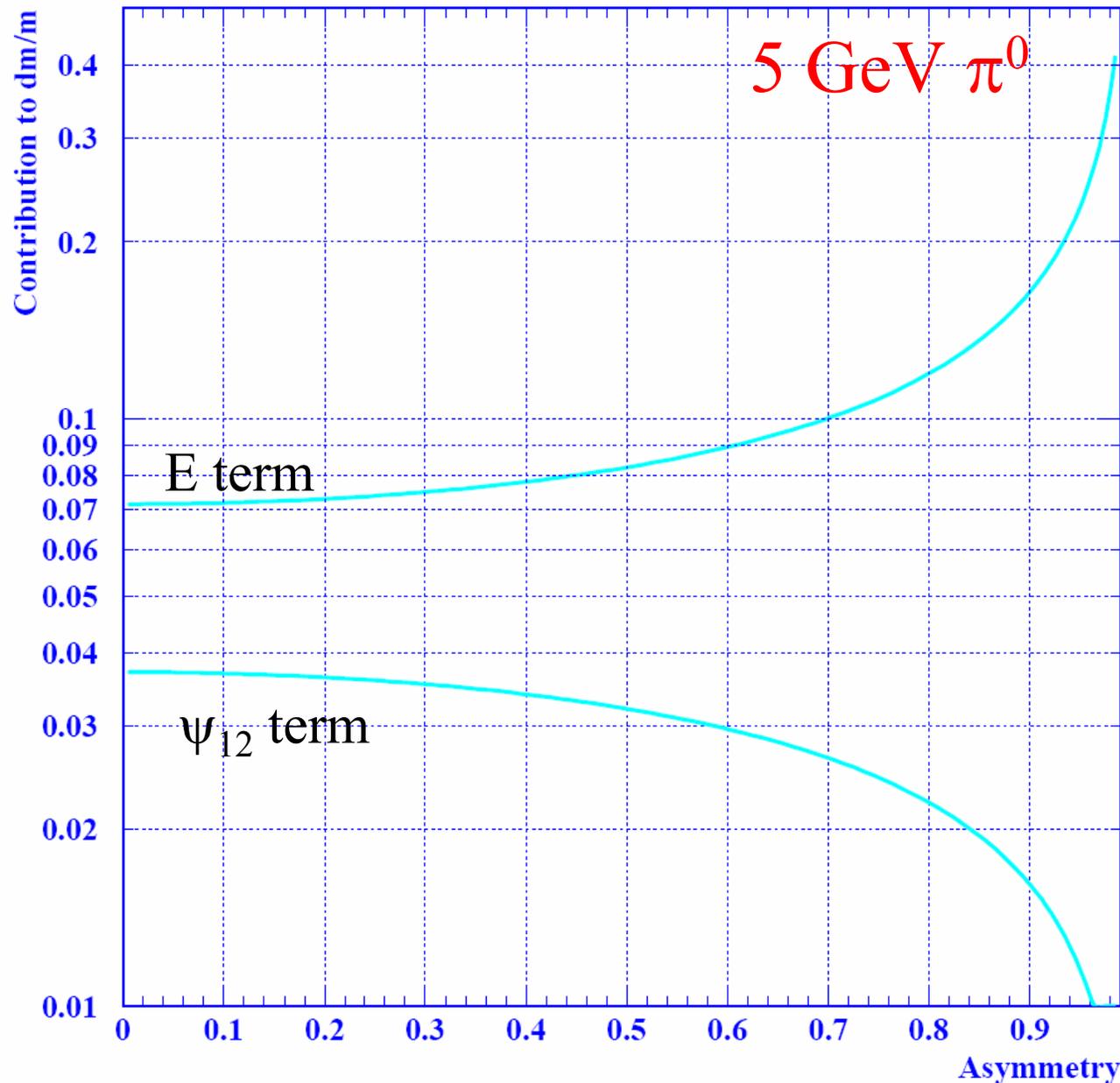
Plots assume:

$$c_1 = 0.16 \text{ (SiD)}$$

$$\Delta\psi_{12} = 2 \text{ mrad}$$

*For these detector resolutions, 5 GeV  $\pi^0$  mass resolution dominated by the E term*

## pi0 mass resolution contributions



# Recent Improvements

- Blobel numerical fitter in DP in addition to analytic fit (both F77 for now)
  - consistent
- Technical details
  - $\cos\theta^* = (1/\beta) (E_1 - E_2) / E_{\pi^0}$
  - Error truncation for low energies : avoid –ve energies ...
  - Using simulated error rather than measured error
  - Now have *perfect* probability and pull distributions
- Error propagation after kinematic fit
  - Demonstration that for each  $\pi^0$  in the event, we could not only improve the  $\pi^0$  energy resolution but would also **know the error**.

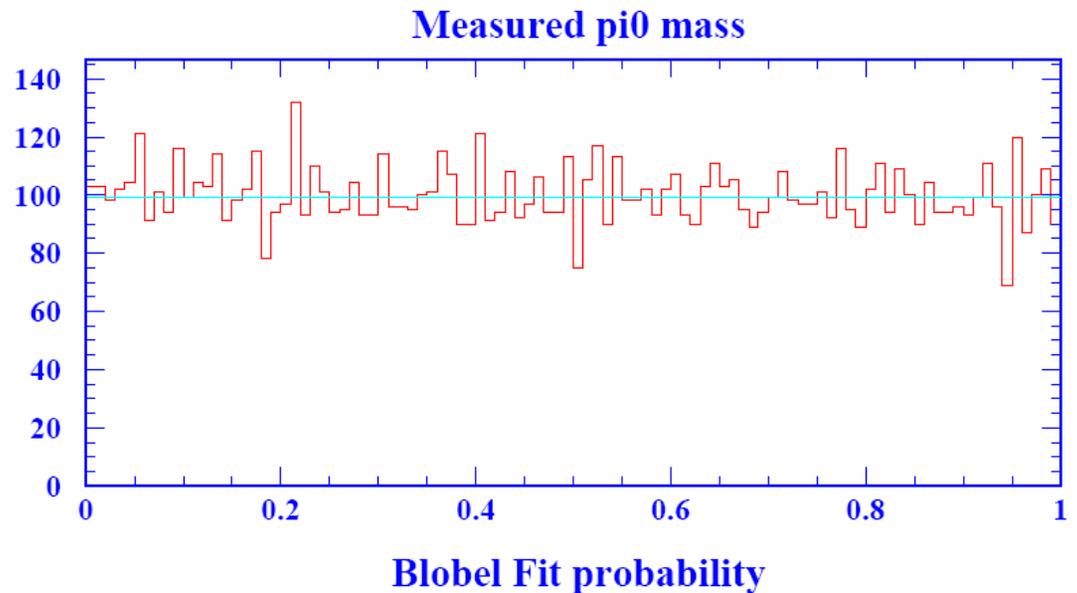
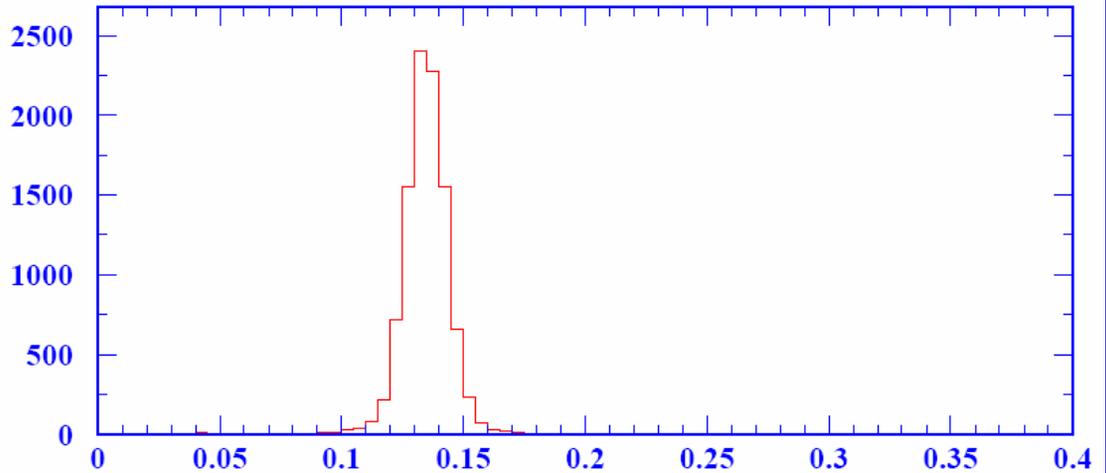
## 20 GeV $\pi^0$

*Use single  $\pi^0$  toy MC  
with Gaussian smearing  
for studies.*

*Energy resolution per  
photon =  $16\%/\sqrt{E}$ .*

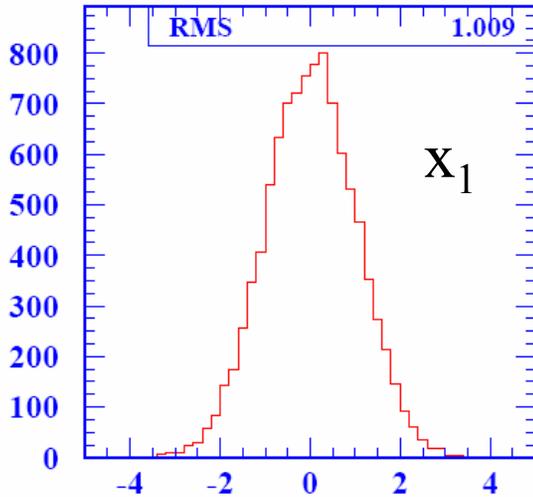
*Error on  $\psi_{12}=0.5$  mrad.*

*These resolutions used  
unless otherwise stated.*

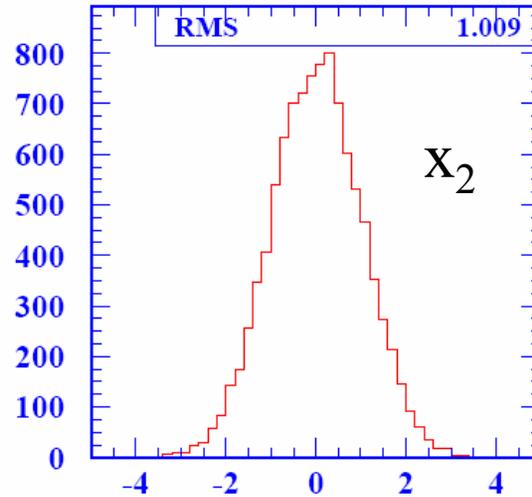


A rare thing: a really flat probability distribution !!!

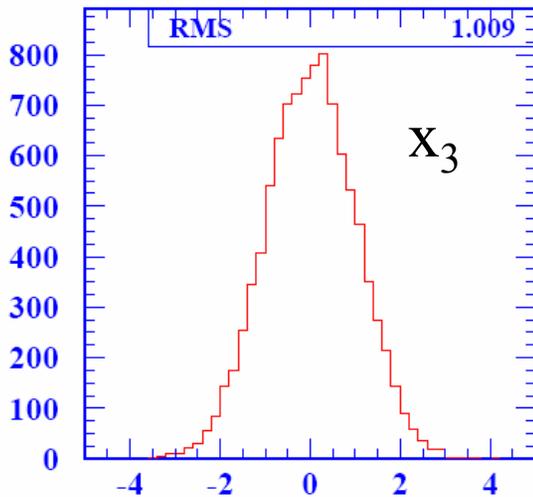
## Pull distributions



Pull for EG1



Pull for EG2



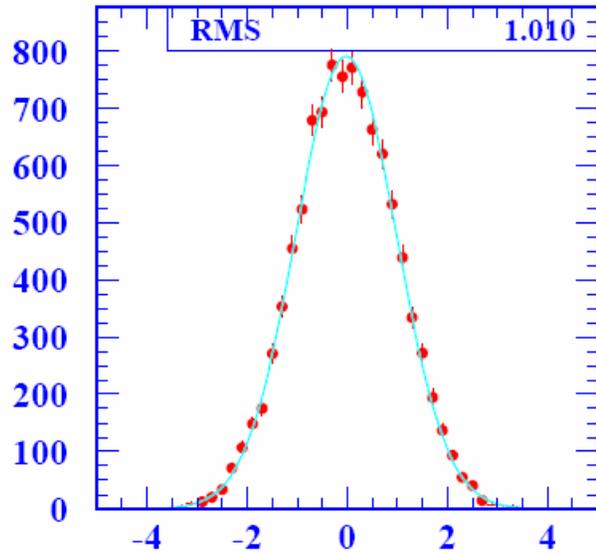
Pull for Z12

$$\text{Pull} = (x_{\text{fit}} - x_{\text{meas}}) / \sqrt{(\sigma_{\text{meas}}^2 - \sigma_{\text{fit}}^2)}$$

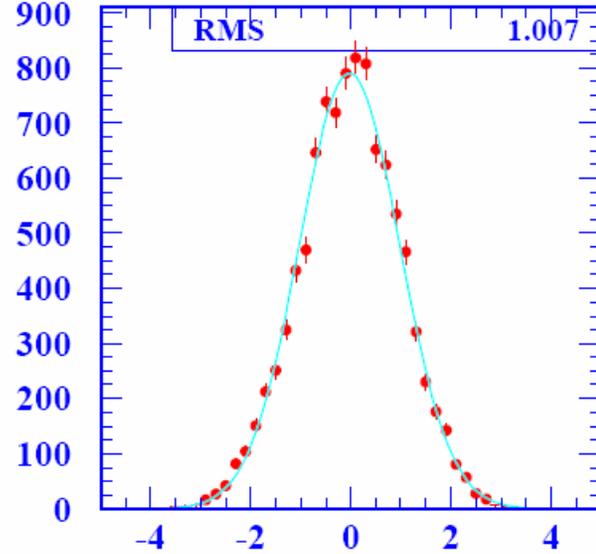
*Pull distributions consistent with unit Gaussian as expected.*

*Note: each variable has an identical pull per event, since they were constructed to be symmetric.  $\{ z_{12} = 2(1 - \cos \psi_{12}) \}$*

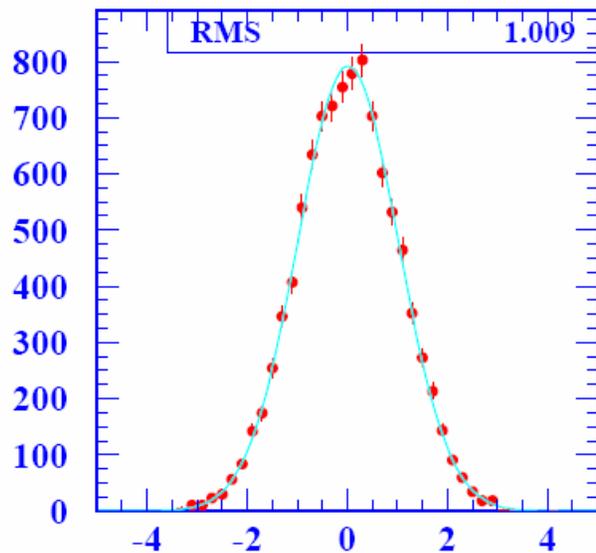
## Pull distributions



Measured  $\pi^0$  energy pull cf gen



Fitted  $\pi^0$  energy pull cf gen



Fitted  $\pi^0$  energy Pull cf measured

*=> You should also be able to believe the errors on the fitted energies of each  $\pi^0$*

### 3. Results on $\pi^0$ Energy Resolution Improvement

For the Proof of Principle study there are:

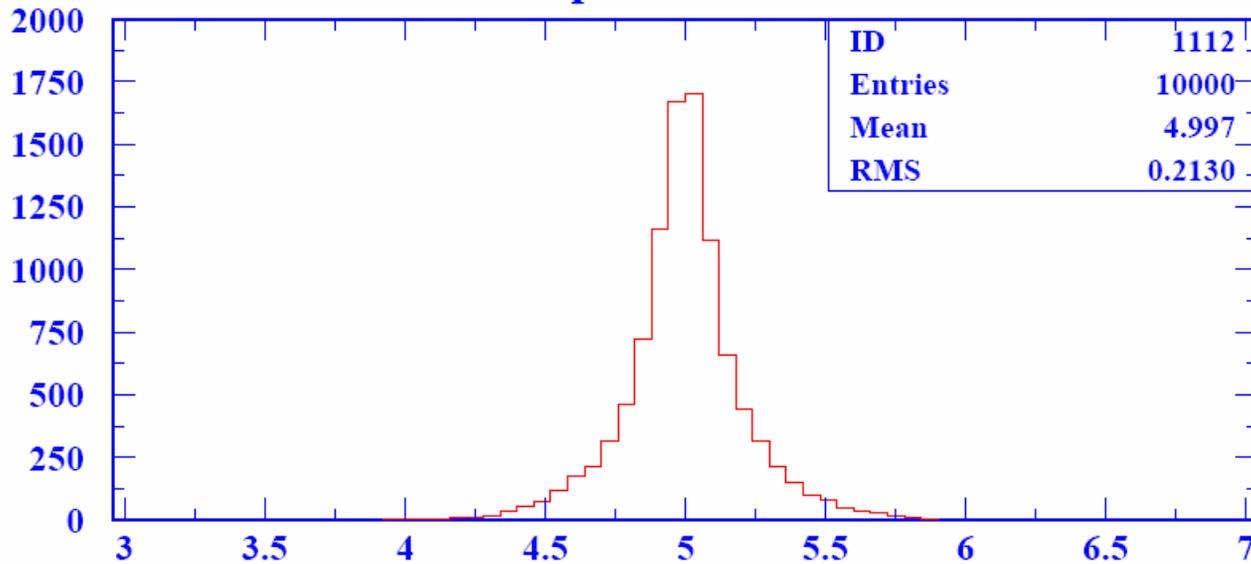
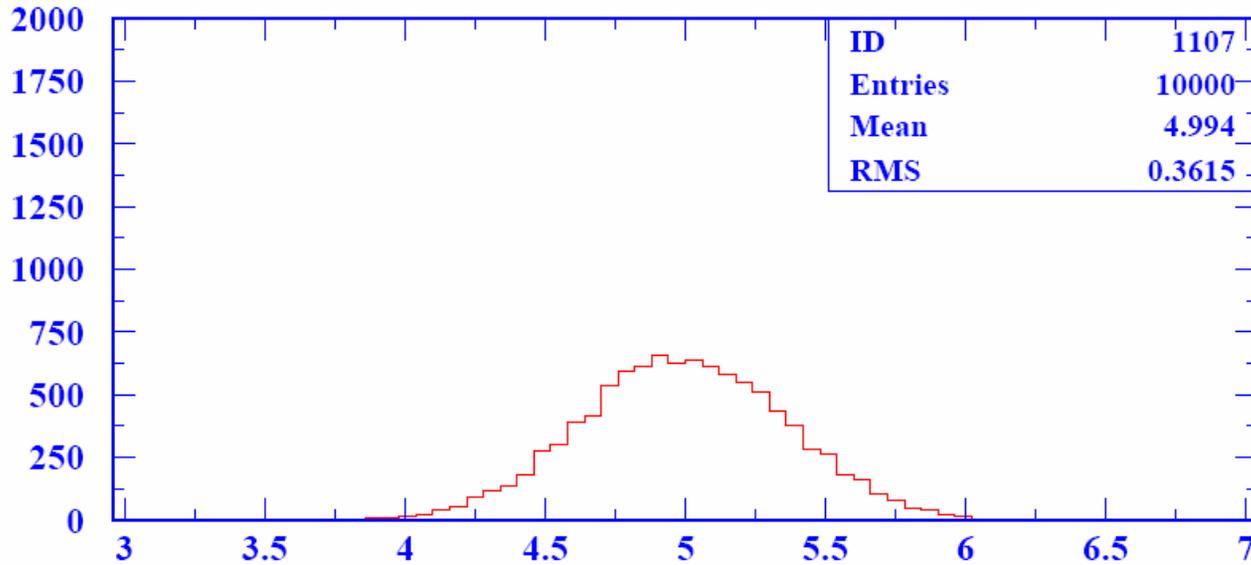
Two relevant  $\pi^0$  kinematic parameters:

- i)  $E(\pi^0)$
- ii)  $\cos\theta^*$  (cosine of CM decay angle)

And two relevant detector parameters:

- i) Photon fractional energy resolution ( $\Delta E/E$ )
- ii) Opening angle resolution ( $\Delta\theta$ )

## 5 GeV pi0 kinematic fit

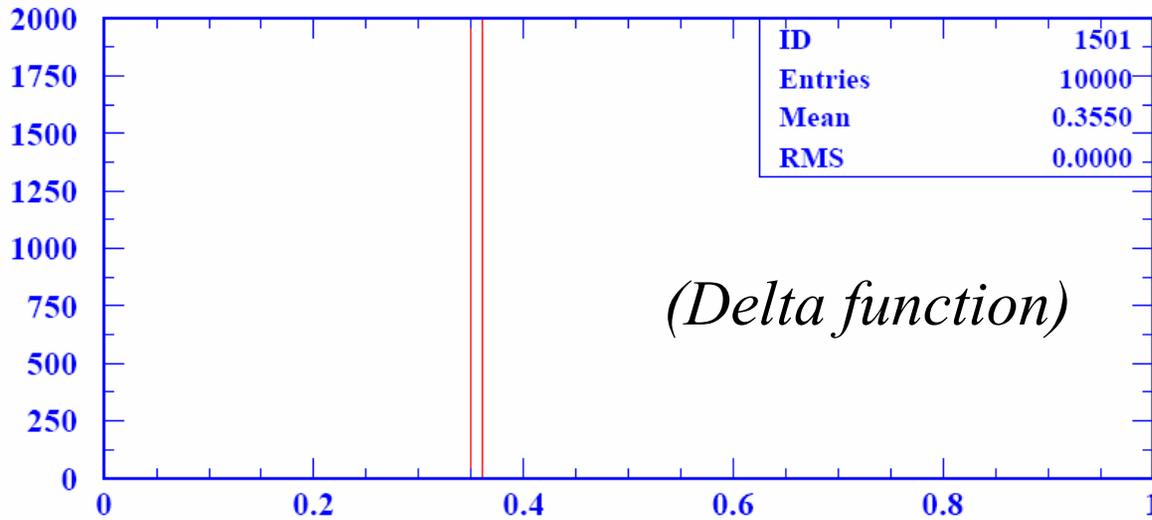


**Epi0 fitted**

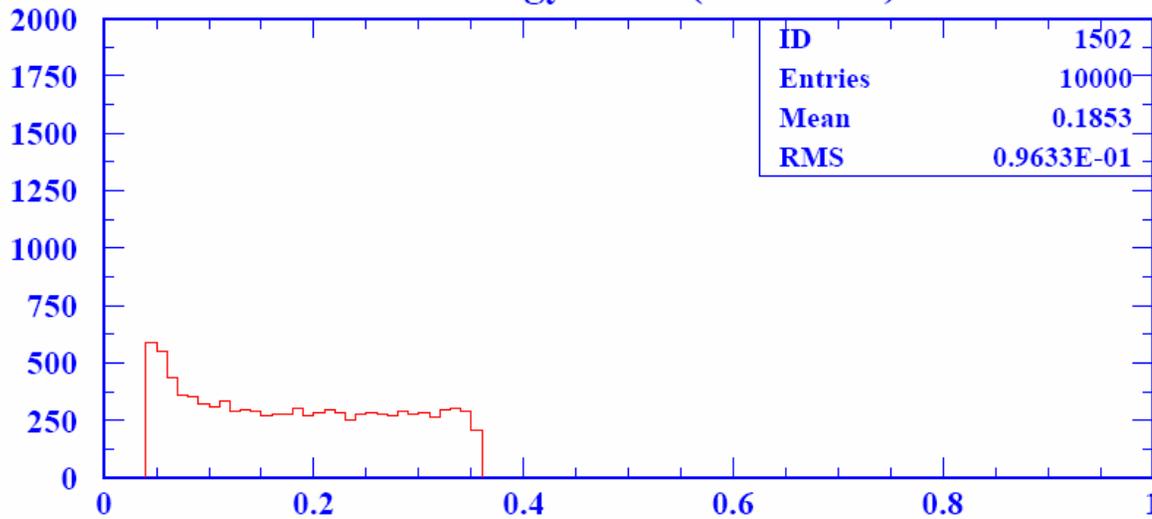
*DRAMATIC  
IMPROVEMENT*

*But this plot is  
not really a good  
representation of  
what is going on.*

## 5 GeV pi0 kinematic fit



## Pi0 energy error (measured)

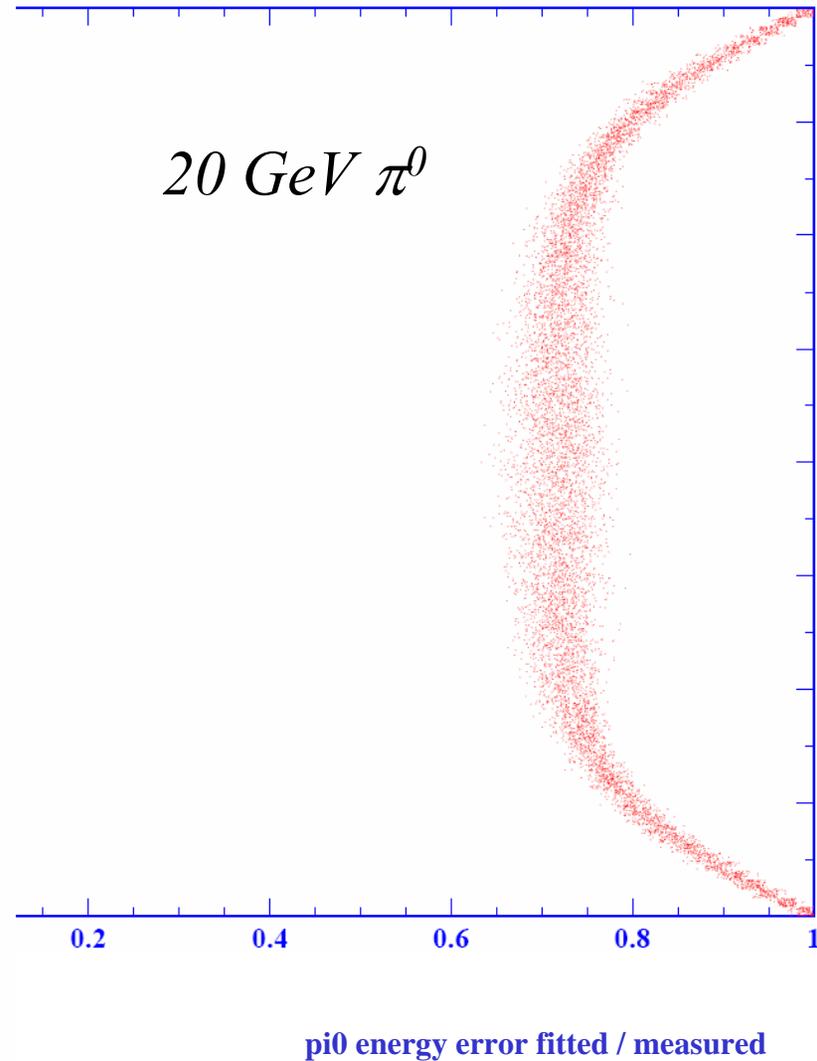
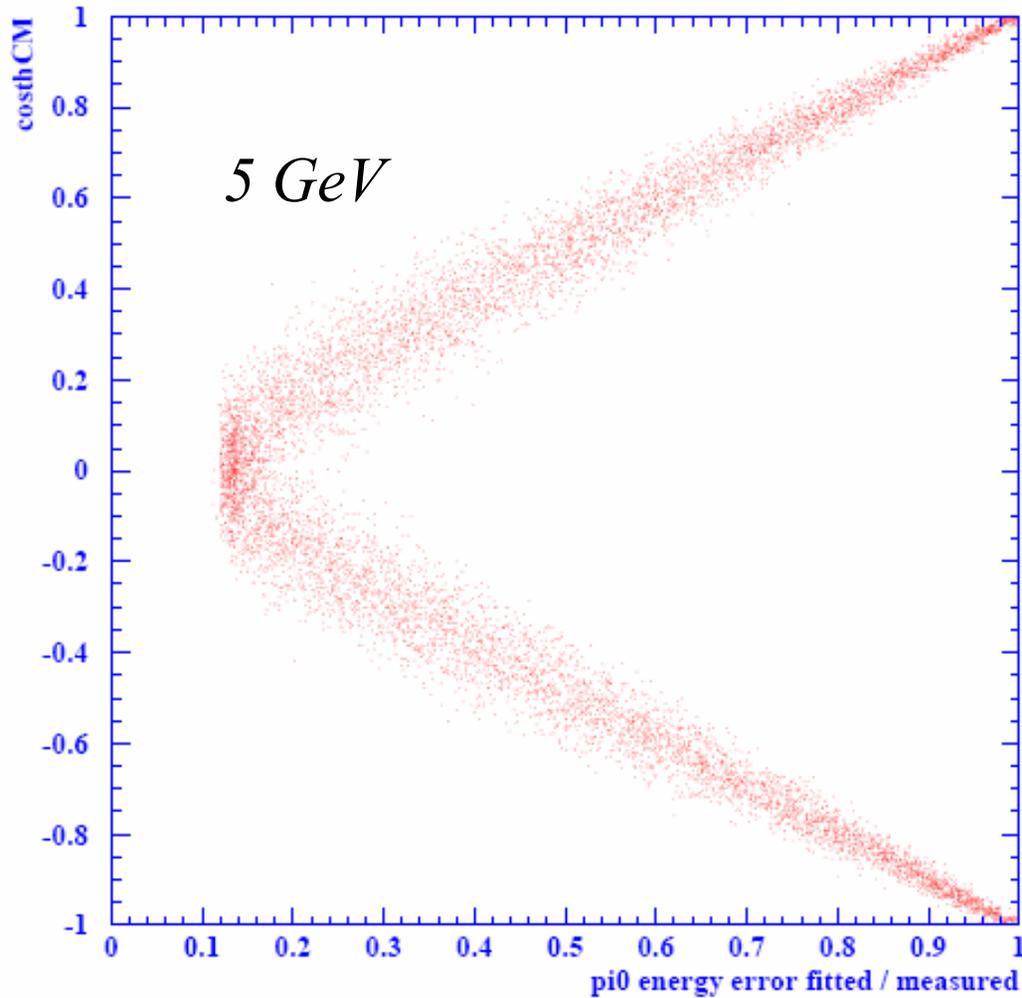


## Pi0 energy error (fitted)

*From now on, will use the  $\pi^0$  energy error ratio (fitted/measured) as the estimator of the improvement.*

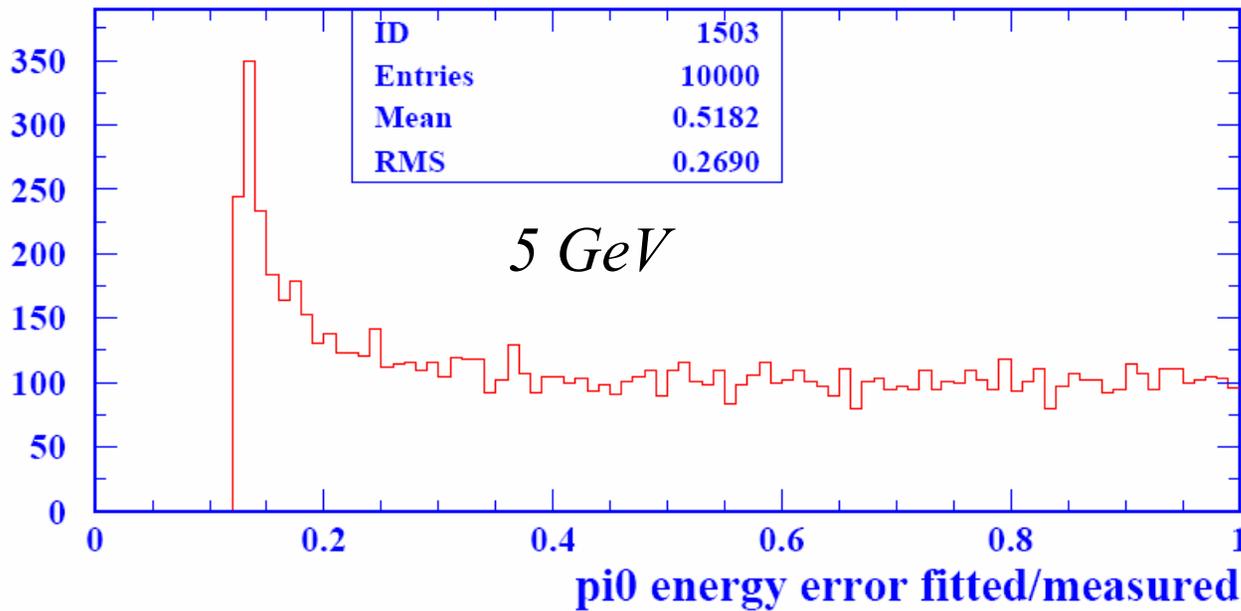
*Call this the improvement ratio.*

pi0 kinematic fit



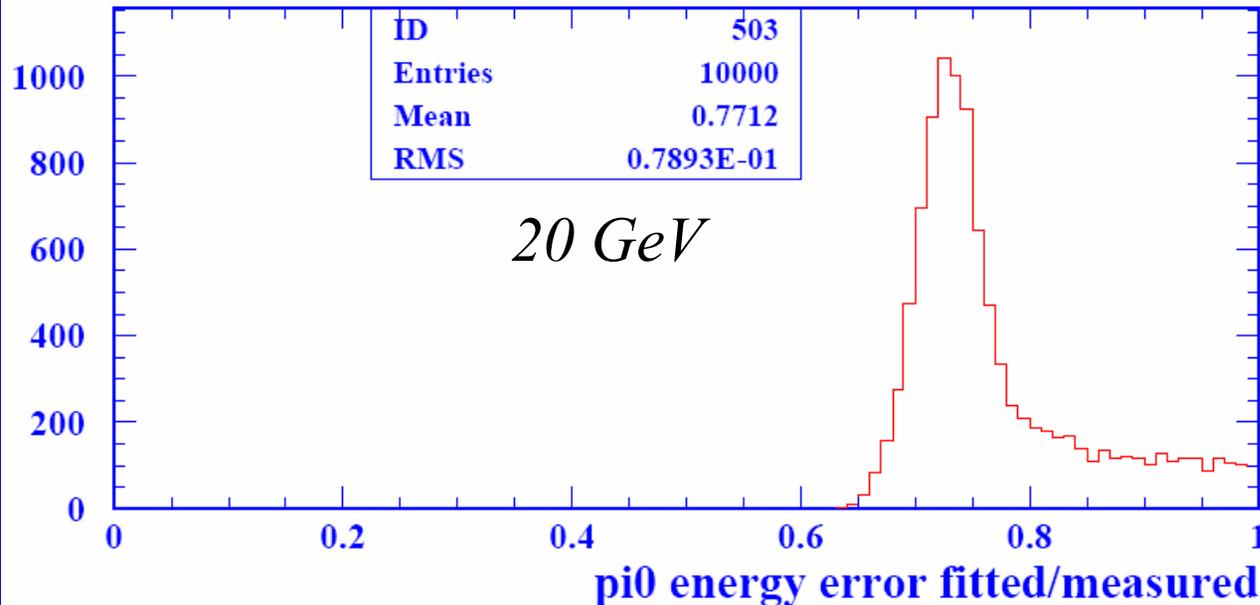
Very strong dependence of fit error on  $\cos\theta^*$ .  
Symmetric decay ( $\cos\theta^*=0$ ) is best

## pi0 kinematic fit



*Improvement by up to a factor of 7 !*

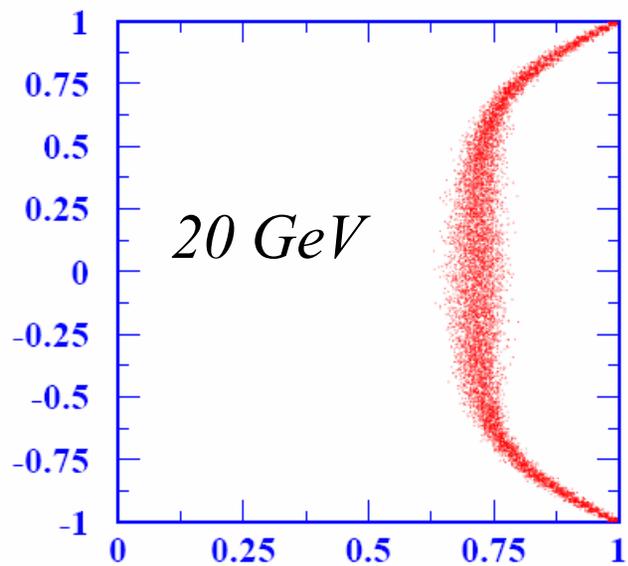
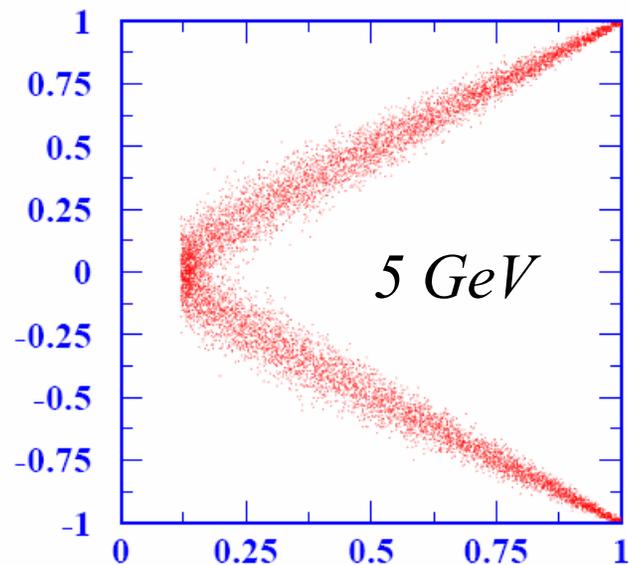
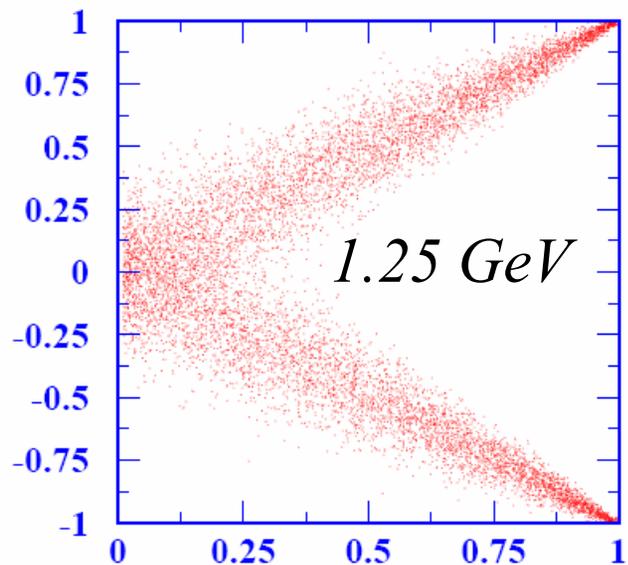
*On average, factor of 2.*



*Improves by a factor of 1.3 on average.*

*Dependence  
on  $\pi^0$  energy*

**Boomerangs: 16 per cent, 0.5mr**

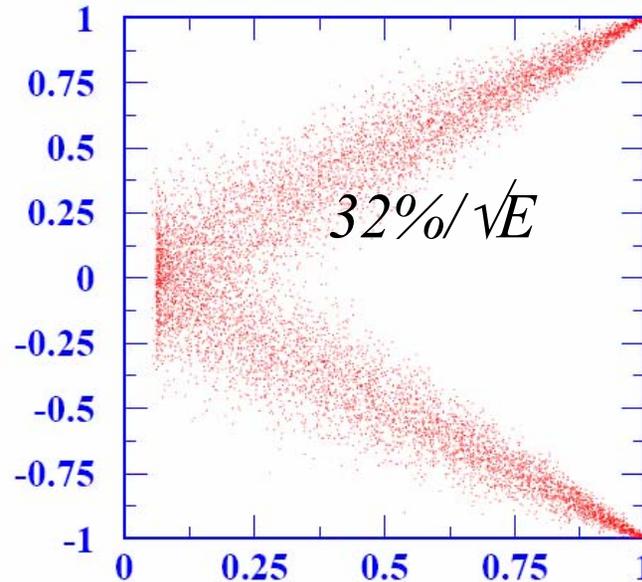
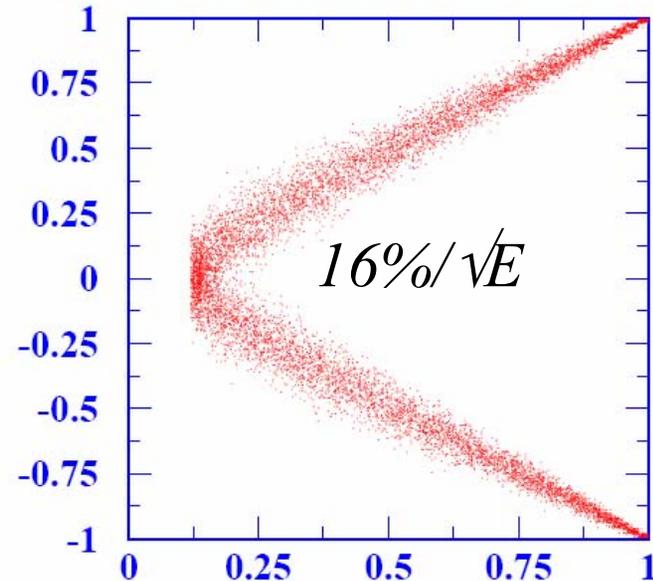
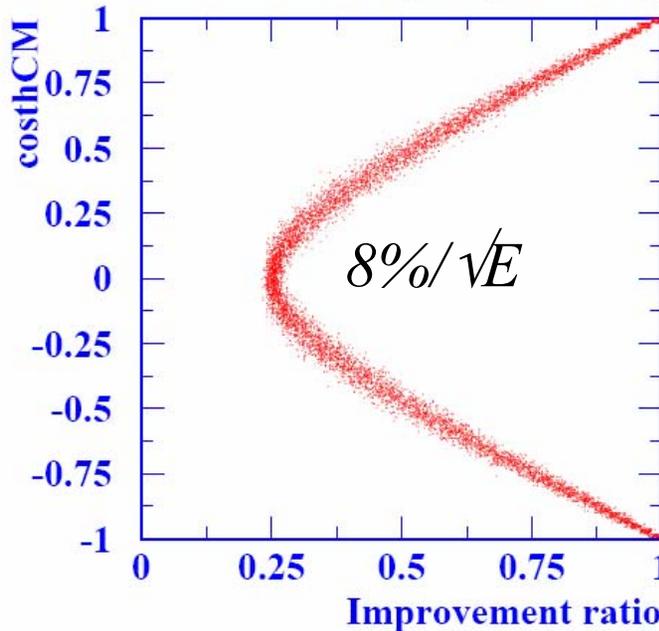


*$x$ : improvement ratio*

*$y$ :  $\cos\theta^*$*

$5 \text{ GeV } \pi^0$

## Varying Energy Resolution 11,21,31



*Improvement ratio (x-projection) **DOES** depend on Energy resolution (for this  $\pi^0$ )*

*- But on average the dependence is only weak (see next slide)*

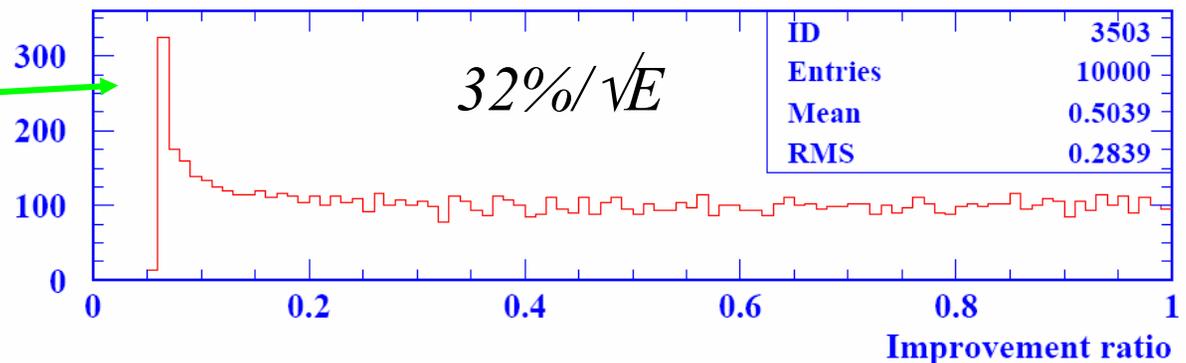
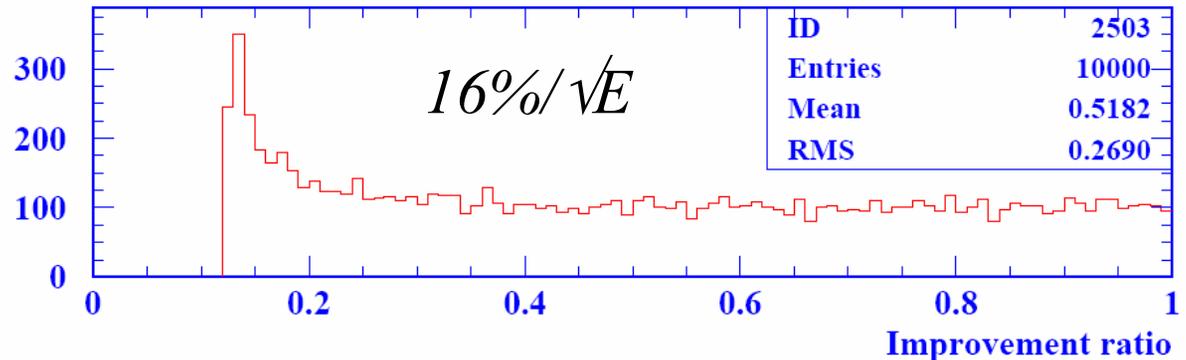
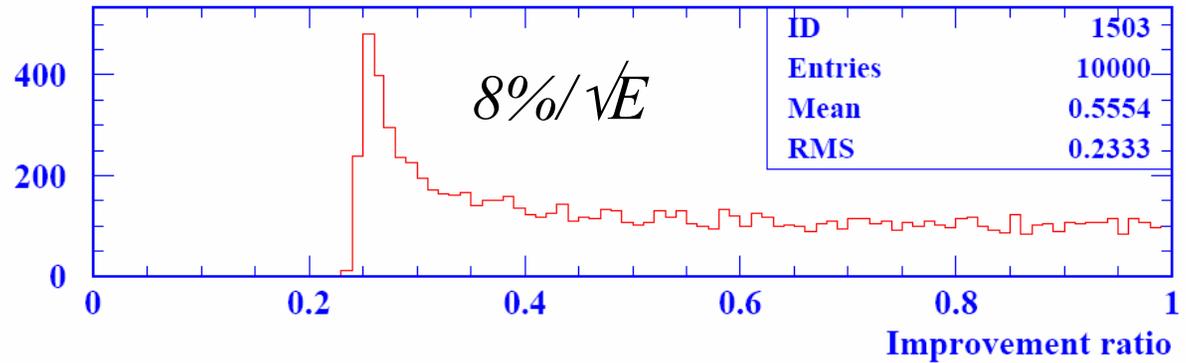
This slide has been corrected from that presented at Vancouver

# 5 GeV $\pi^0$

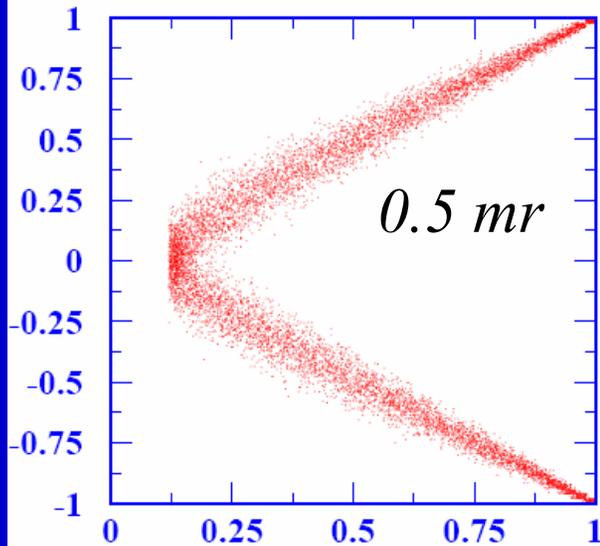
Average improvement factor not highly dependent on energy resolution.

BUT the maximum possible improvements increase as the energy resolution is degraded.

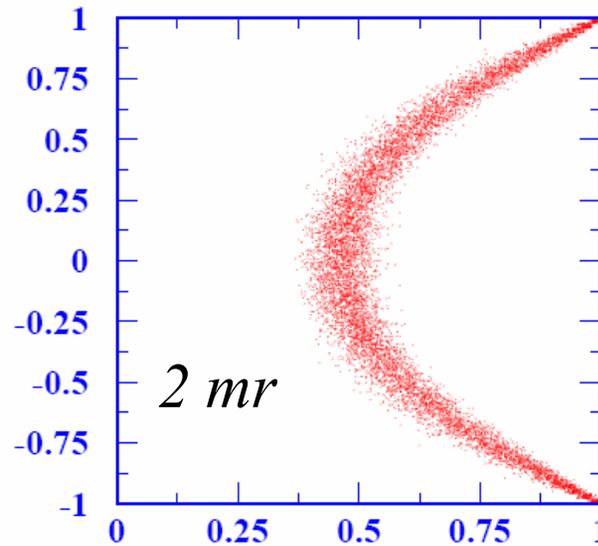
## Improvement Ratio Dependence on Energy Resolution



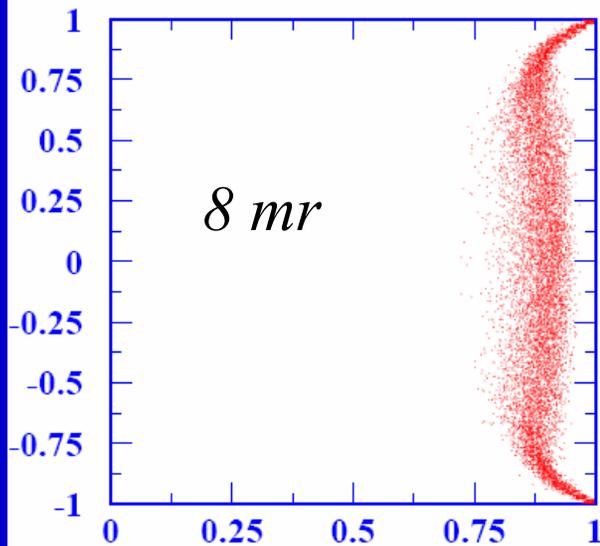
## 5 GeV pi0, 16%, vary ang resolution



pi0 energy error ratio vs costhcm



pi0 energy error ratio vs costhcm

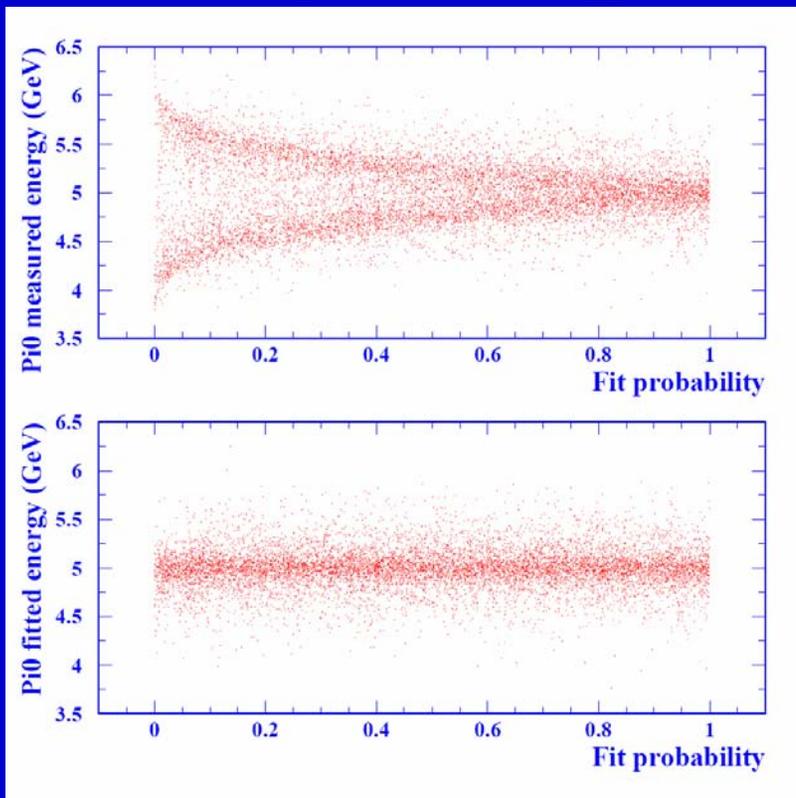


pi0 energy error ratio vs costhcm

*Angular  
resolution very  
important ...*

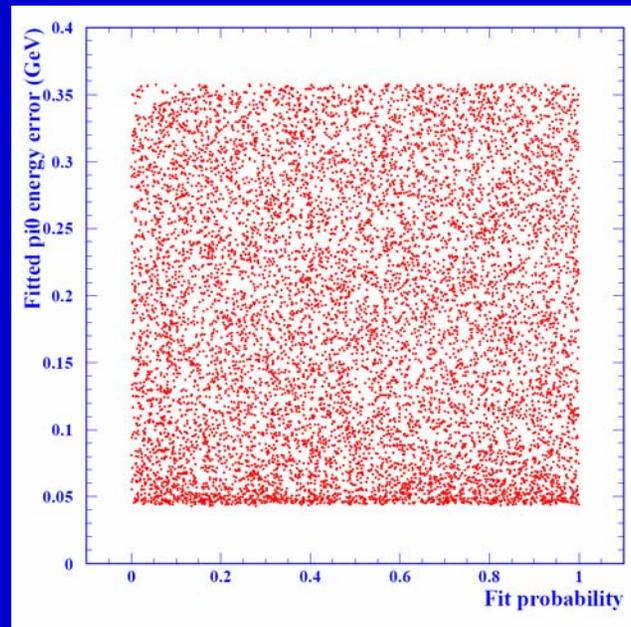
# What's going on ?

5 GeV  $\pi^0$ ,  $c_1=16\%$ ,  $\Delta\psi_{12}=0.5\text{mr}$

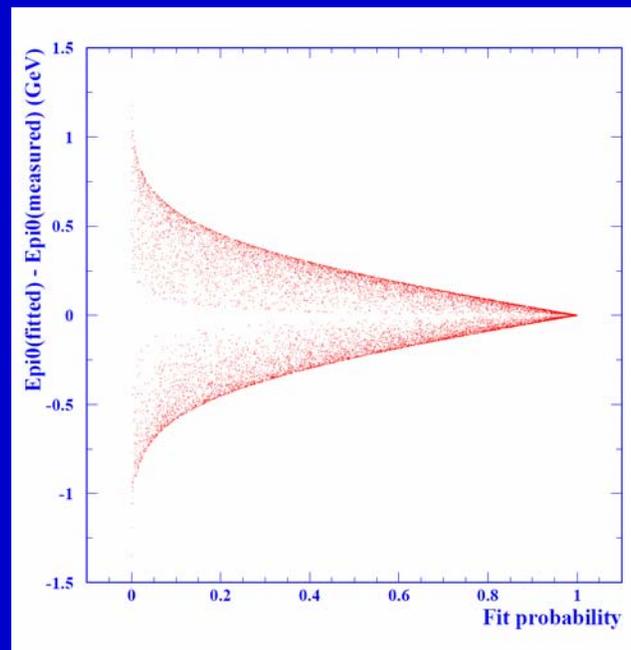


$E_{\pi^0}$  changes most when  $p_{\text{fit}}$  small.

(NB the constraint is correct, so low  $p_{\text{fit}}$  corresponds to  $\pi^0$ 's where typically the energy has fluctuated substantially)



Error on  $\pi^0$  energy is independent of  $p_{\text{fit}}$



Hard edges correspond to low  $|\cos\theta^*|$

# Kinematic Fitting Summary

- Proof of principle of kinematic fit for  $\pi^0$  reconstruction done.
  - Kinematic fit infrastructure now a solid foundation.
  - Well understood errors on each  $\pi^0$ .
- Potential for a factor of two improvement in the energy resolution of the EM component of hadronic jets.

# 4. Towards applying to hadronic jets

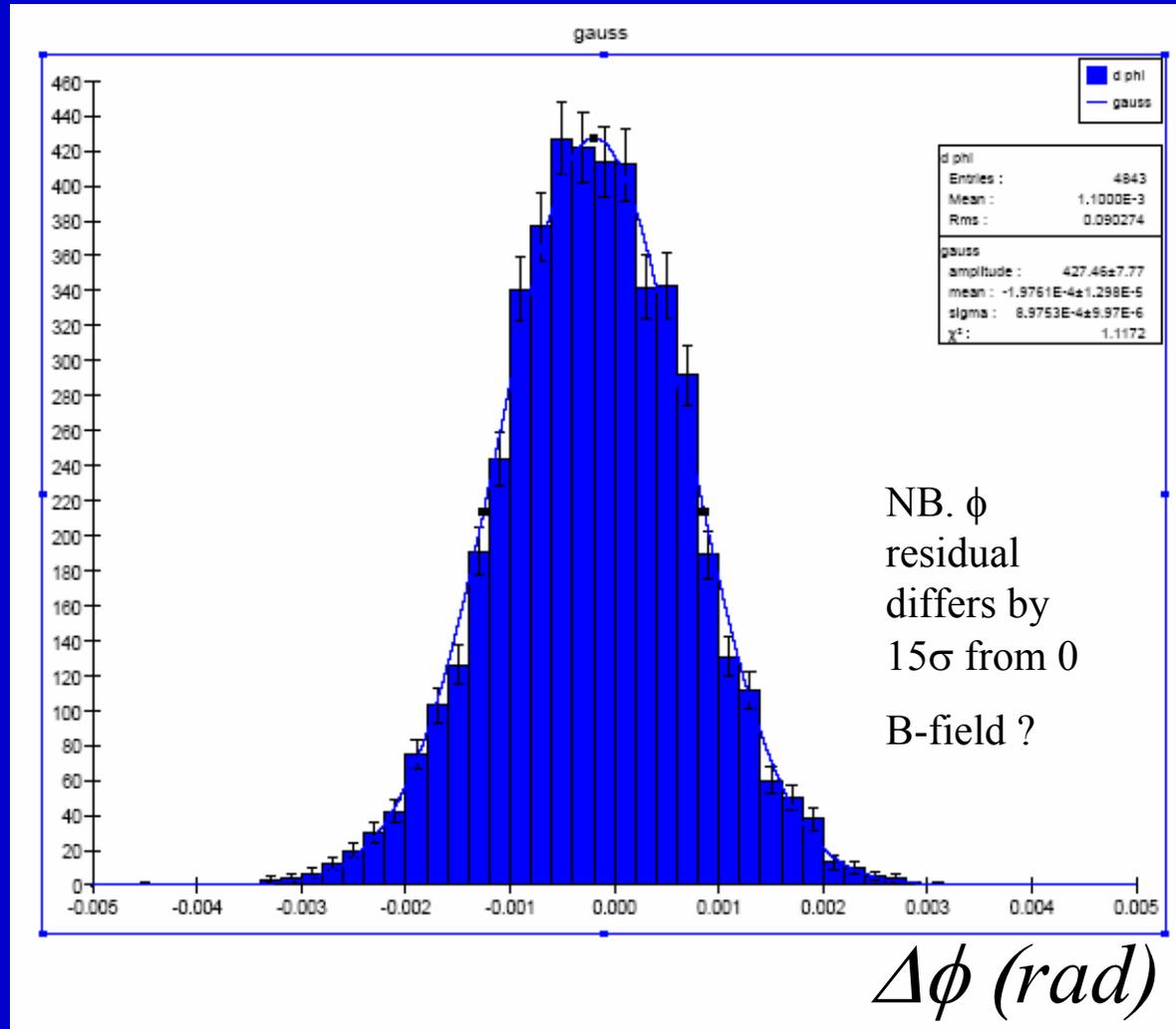
- Detector response
- Characterize the multi-photon issues in  $Z \rightarrow uu, dd, ss$  events.
  - Define prompt photons as originating within 10 cm of the origin
    - (NB differs from standard  $c\tau < 10$  cm definition)

# Angular Resolution Studies

5 GeV photon at  $90^\circ$ ,  
sidmay05 detector (4 mm  
pixels,  $R=1.27\text{m}$ )

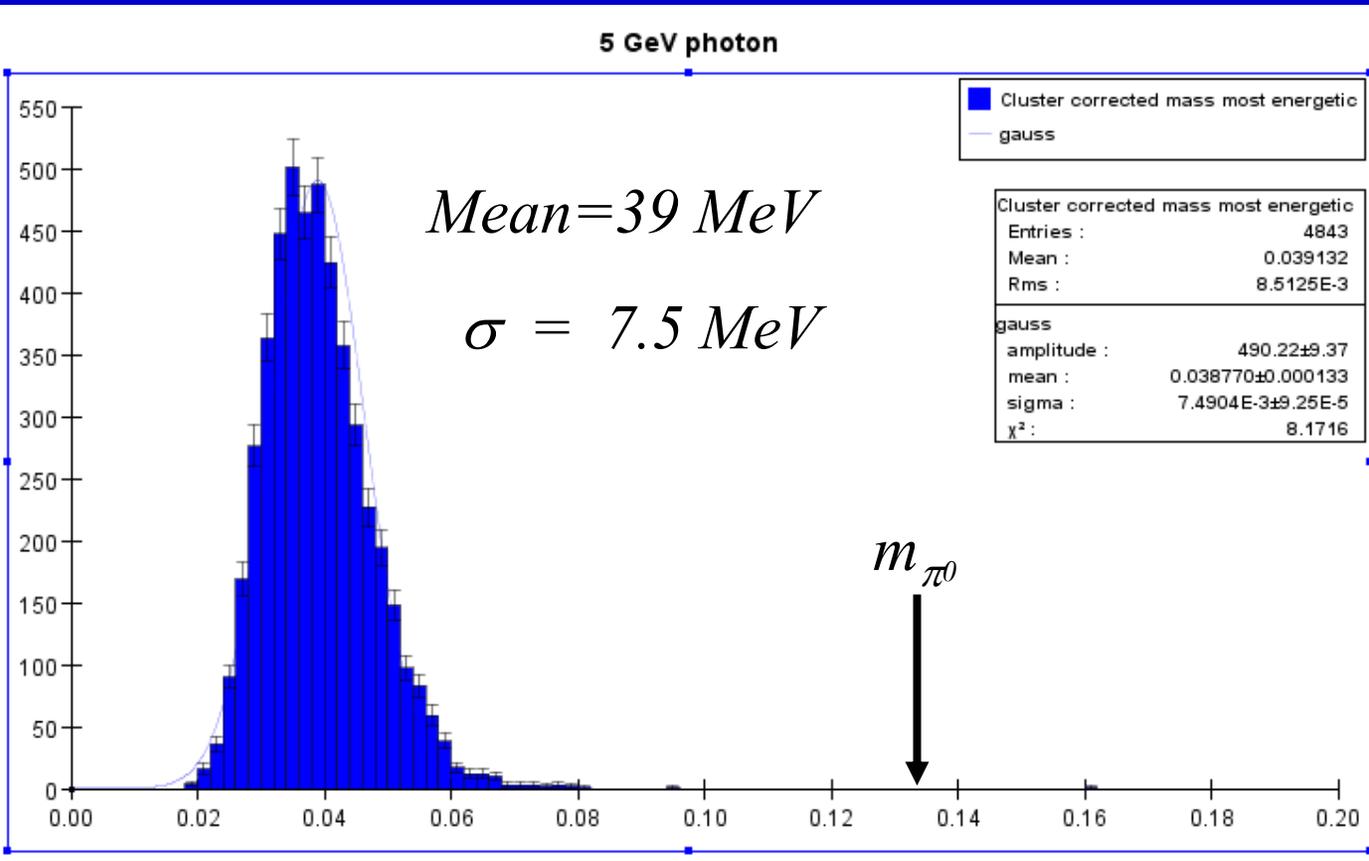
Phi resolution of 0.9 mrad  
*just* using cluster CoG.

$\Rightarrow \theta_{12}$  resolution of 2  
mrad is easily achievable  
for spatially resolved  
photons.



NB. Previous study (see backup slide), shows that a factor of 5 improvement in resolution is possible at fixed  $R$  using longitudinally weighted “track-fit”.

# Cluster Mass for Photons



Cluster Mass (GeV)

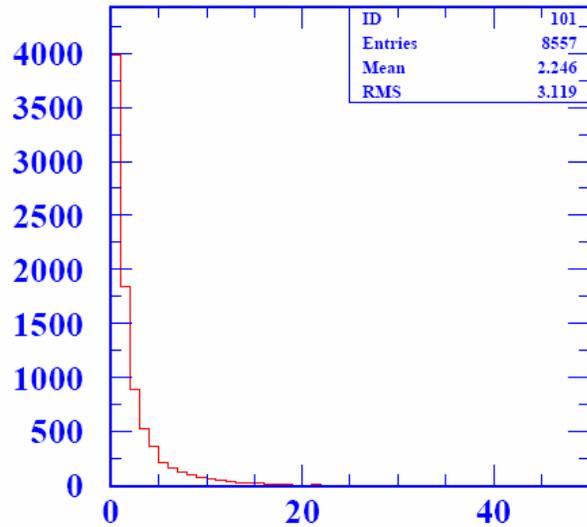
*Of course, photons actually have a mass of zero.*

*The transverse spread of the shower leads to a non-zero cluster mass calculated from each cell.*

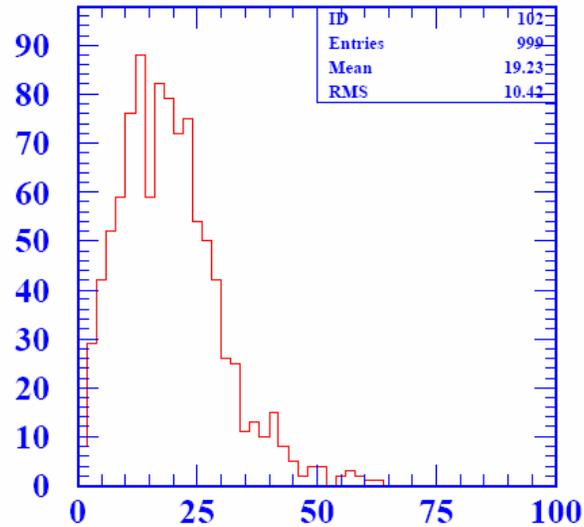
*Use to distinguish single photons from merged  $\pi^0$ 's.*

*Performance depends on detector design ( $R$ ,  $R_M$ ,  $B$ , cell-size, ...)*

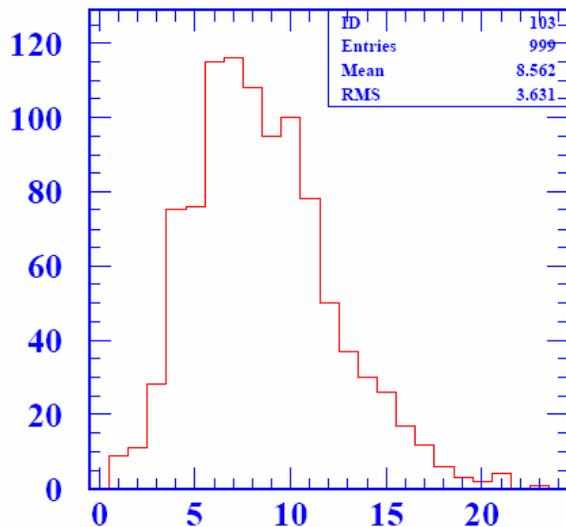
## Z to uu, dd, ss at 91 GeV



Prompt pi0 energy spectrum



Prompt pi0 event energy

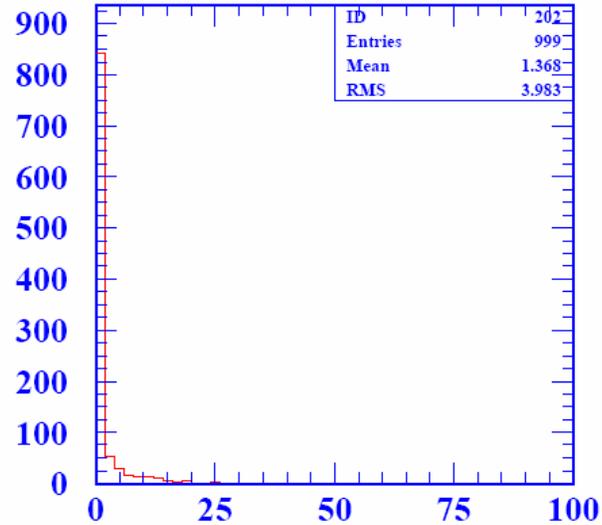
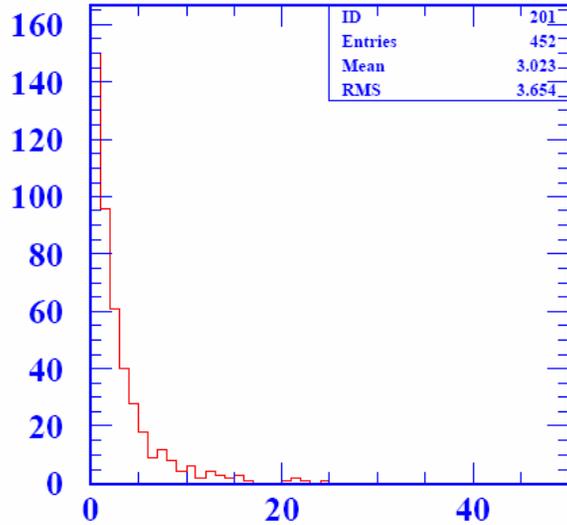


Prompt pi0 count

On average 19.2 GeV  
(21.0%)

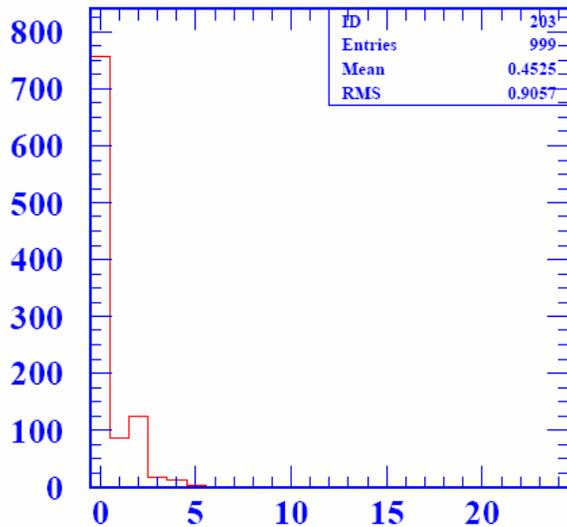
NB generator has  
ISR and  
beamsstrahlung  
turned off.

# Z to uu, dd, ss at 91 GeV

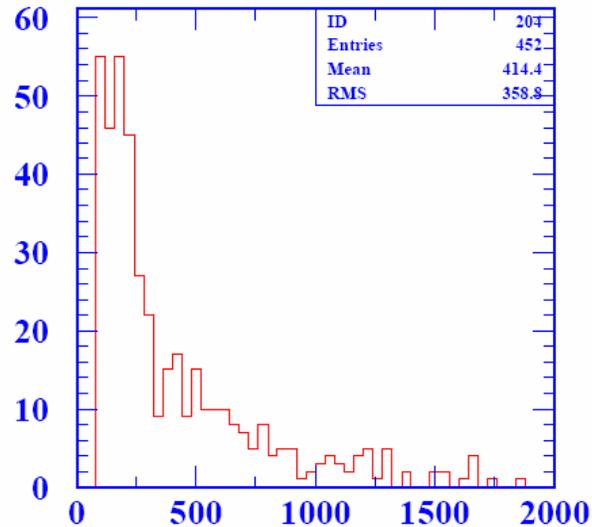


On average, 1.4 GeV (1.5%)

Non-prompt pi0 energy spectrum



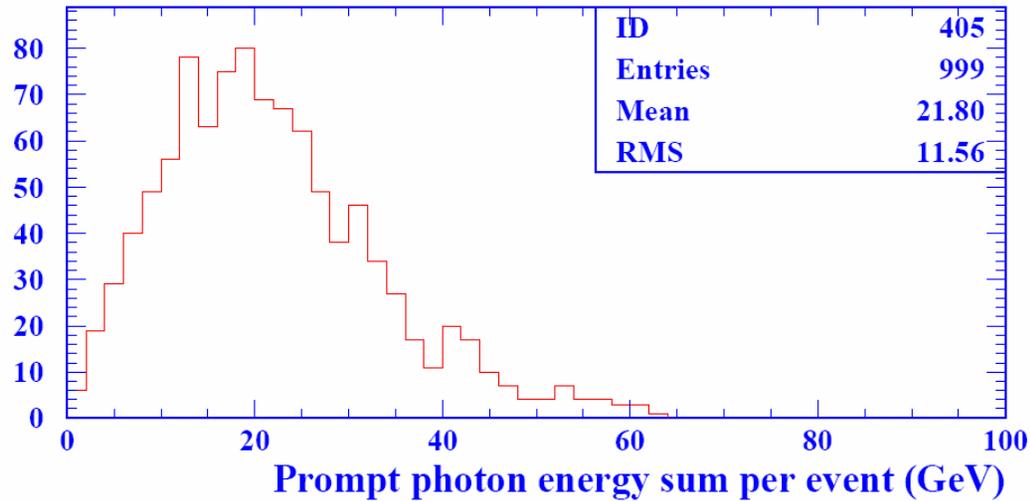
Non-prompt pi0 event energy



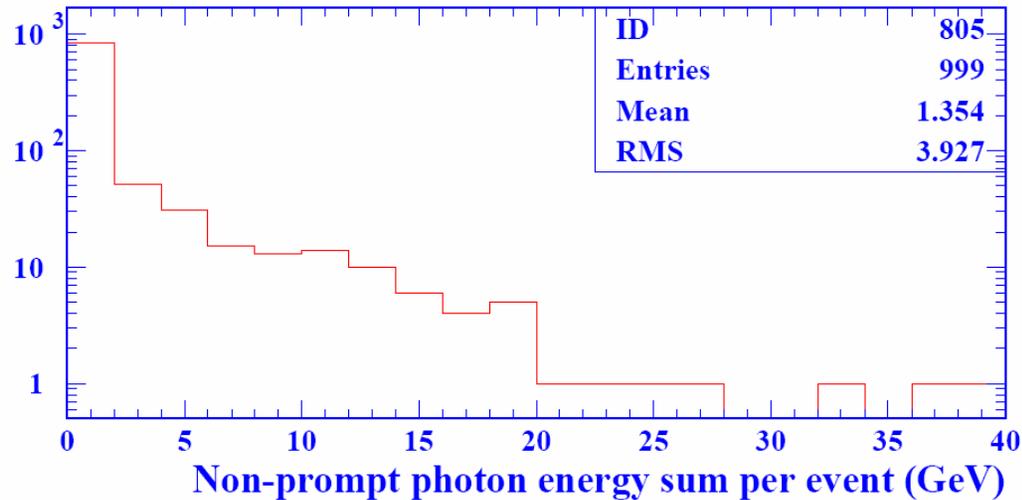
Non-prompt pi0 count

Non-prompt pi0 decay length

# Photon Accounting



cf 19.2 GeV from  
prompt  $\pi^0$



Intrinsic *prompt* photon combinatorial background in  $m_{\gamma\gamma}$  distribution assuming perfect resolution, and requiring  $E_\gamma > 1$  GeV.

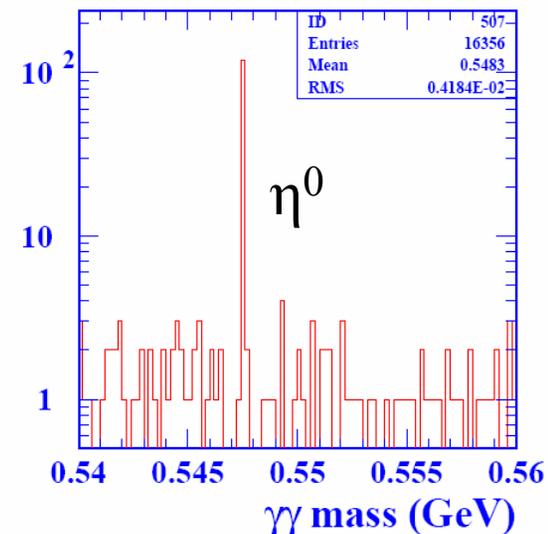
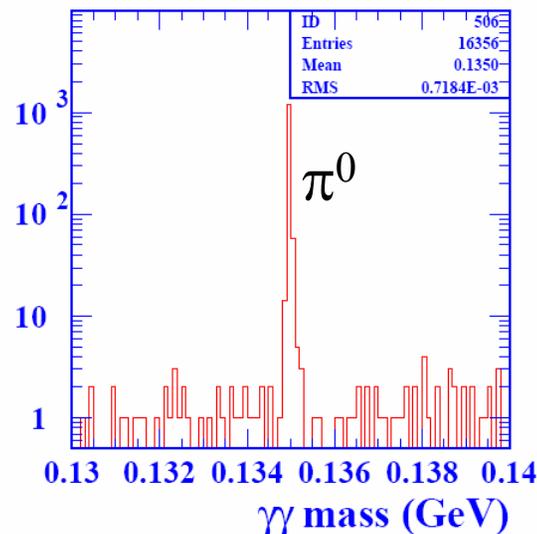
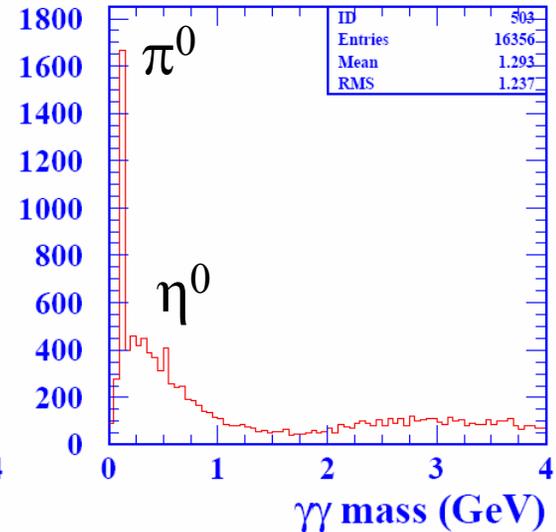
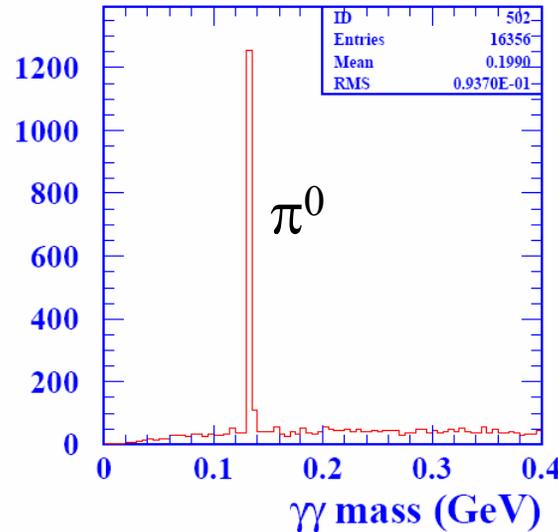
With decent resolution, the combinatoric background looks manageable:

0.09 combinations / 10 MeV/event ( $\pi^0$ ),

0.06 combinations/10 MeV/event ( $\eta$ ).

Especially if one adopts a strategy of finding the most energetic and/or symmetric DK ones first.

## Z to uu,dd,ss at 91 GeV



Next step: play with some algorithms

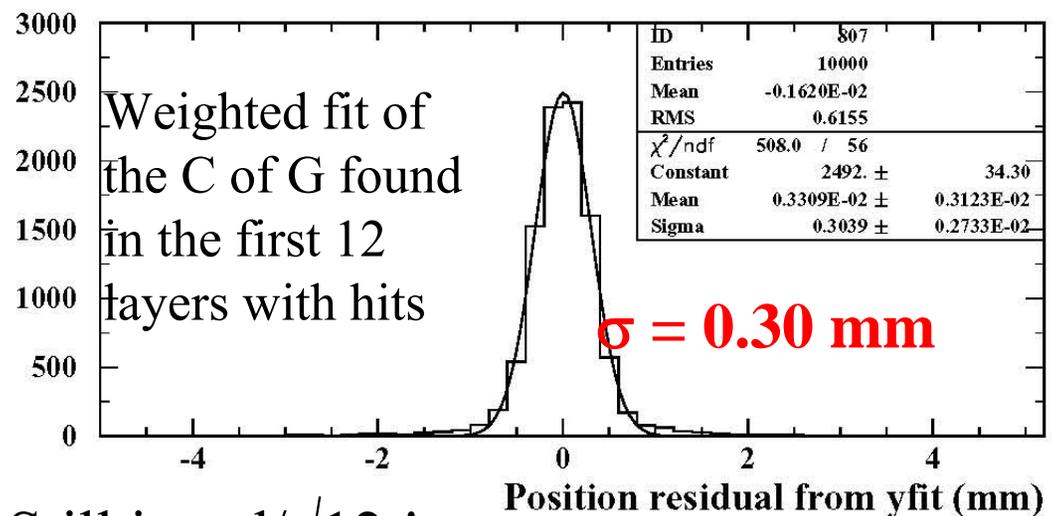
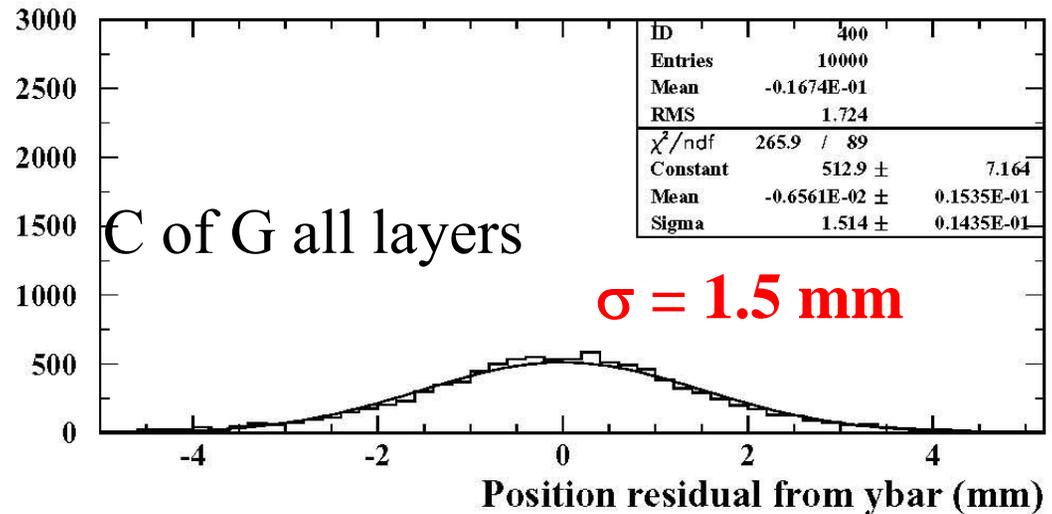
# Position resolution from simple fit

Neglect layer 0 (albedo)

Using the first 12 layers with hits with  $E > 180$  keV, combine the measured C of G from each layer using a least-squares fit (errors varying from 0.32mm to 4.4mm). Iteratively drop up to 5 layers in the “track fit”.

*Position resolution does indeed improve by a factor of 5 in a realistic 100% efficient algorithm!*

1 GeV photon, G4 study (GWW)

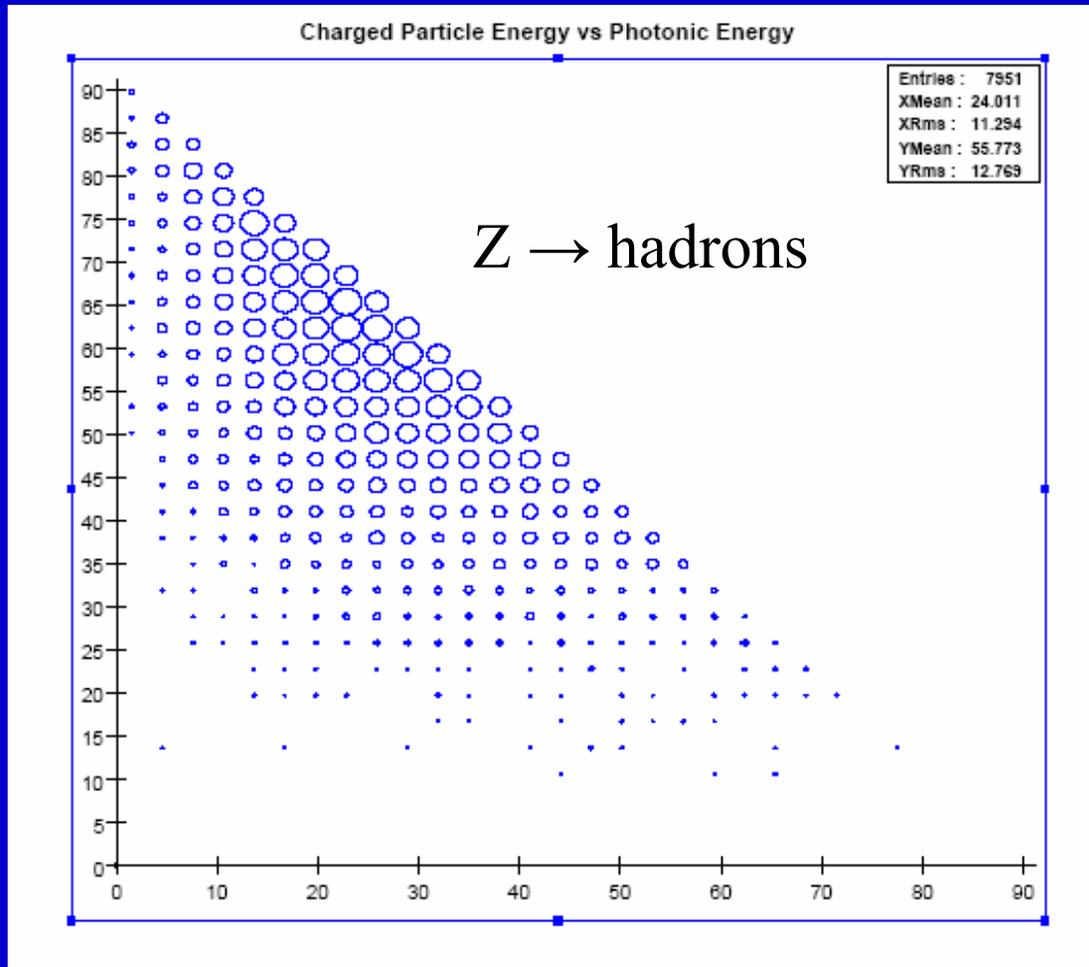


Still just  $d/\sqrt{12}$  !

# PFA “Dalitz” Plot

Also see: [http://heplx3.phsx.ku.edu/~graham/lcws05\\_slacconf\\_gwwilson.pdf](http://heplx3.phsx.ku.edu/~graham/lcws05_slacconf_gwwilson.pdf)

“On Evaluating the Calorimetry Performance of Detector Design Concepts”, for an alternative detector-based view of what we need to be doing.



On average,  
photonic energy  
only about 30%, but  
often much greater.

# $\gamma, \pi^0, \eta^0$ rates measured at LEP

	Experimental results				JETSET 7.4	HERWIG 5.9
	OPAL	ALEPH [6]	DELPHI [9]	L3 [10-12]		
photon						
$x_E$ range	0.003-1.000	0.018-0.450				
$N_\gamma$ in range	$16.84 \pm 0.86$	$7.37 \pm 0.24$				
$N_\gamma$ all $x_E$	$20.97 \pm 1.15$				20.76	22.65
$\pi^0$						
$x_E$ range	0.007-0.400	0.025-1.000	0.011-0.750	0.004-0.150		
$N_{\pi^0}$ in range	$8.29 \pm 0.63$	$4.80 \pm 0.32$	$7.1 \pm 0.8$	$8.38 \pm 0.67$		
$N_{\pi^0}$ all $x_E$	$9.55 \pm 0.76$	$9.63 \pm 0.64$	$9.2 \pm 1.0$	$9.18 \pm 0.73$	9.60	10.29
$\eta$						
$x_E$ range	0.025-1.000	0.100-1.000		0.020-0.300		
$N_\eta$ in range	$0.79 \pm 0.08$	$0.282 \pm 0.022$		$0.70 \pm 0.08$		
$N_\eta$ all $x_E$	$0.97 \pm 0.11$			$0.91 \pm 0.11$	1.00	0.92
$N_\eta$ $x_p > 0.1$	$0.344 \pm 0.030$	$0.282 \pm 0.022$			0.286	0.243

Consistent with JETSET  
tune where 92% of  
photons come from  $\pi^0$ 's.

Some fraction is non-  
prompt, from  $K^0_S, \Lambda$  decay  
9.6  $\pi^0$  per event at Z pole