

Point 5: $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Pair Production at the ILC

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ILD Analysis/Software Phone Meeting

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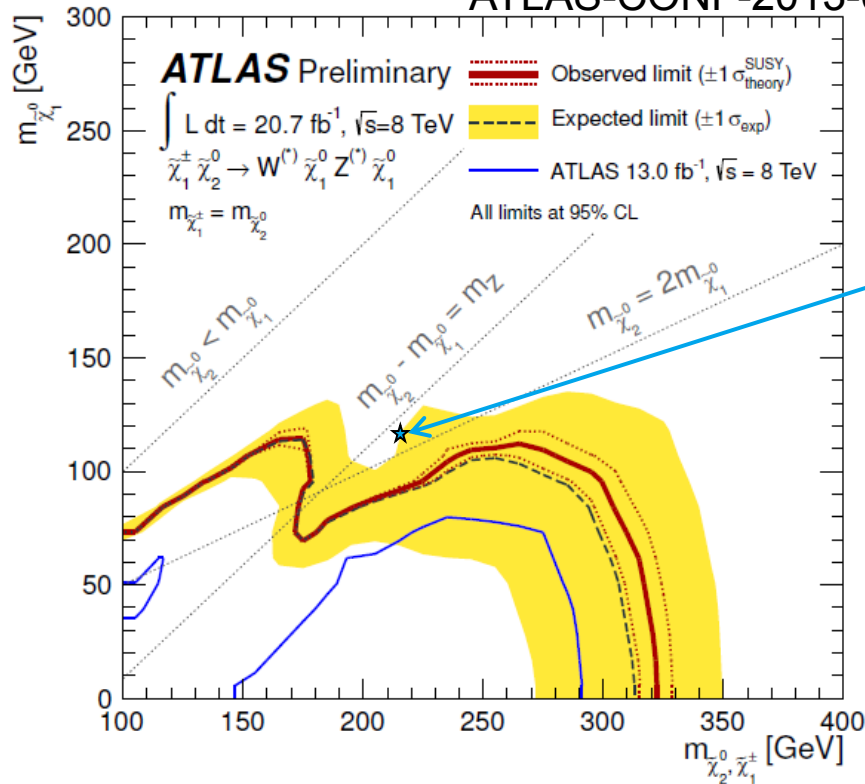
Point 5

“Point 5” benchmark : gaugino pair production at ILC

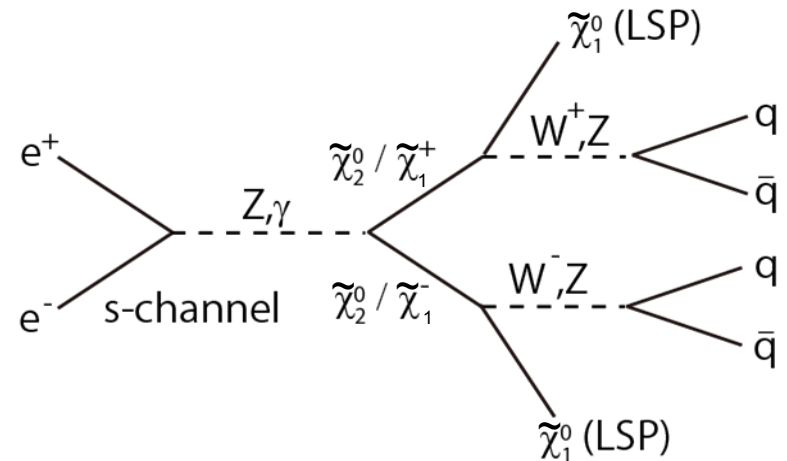
<http://arxiv.org/pdf/1006.3396.pdf> (ILD Lol)

<http://arxiv.org/pdf/0911.0006v1.pdf> (SiD Lol)

ATLAS-CONF-2013-035



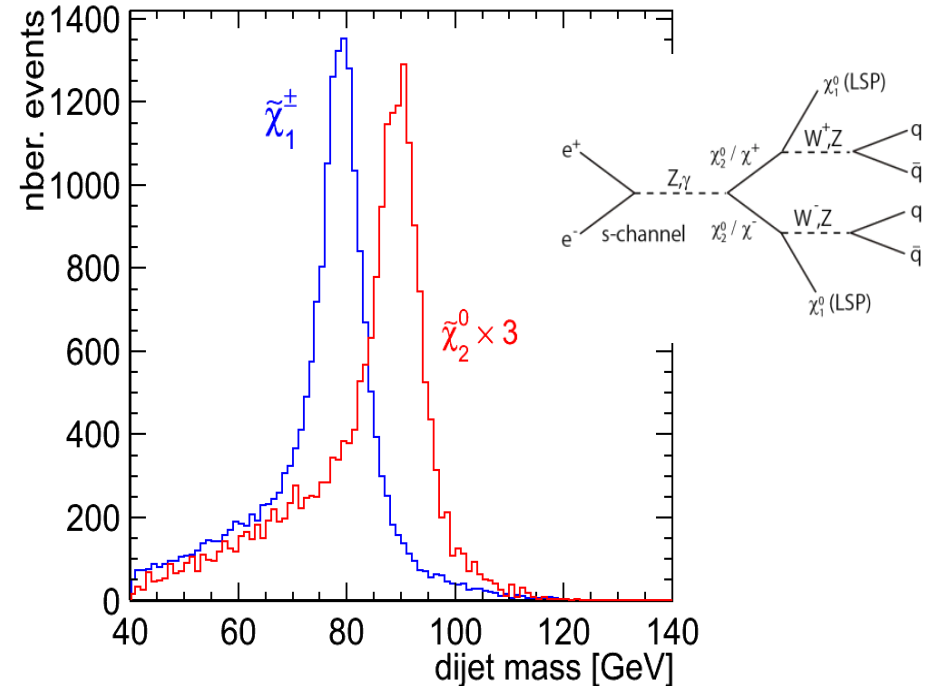
Particle	Mass [GeV]
$\tilde{\chi}_1^0$	115.7
$\tilde{\chi}_1^\pm$	216.5
$\tilde{\chi}_2^0$	216.7
$\tilde{\chi}_3^0$	380



Point 5 - motivation

- > The „point 5“ scenario is a good case for:
- > studying the detector and particle flow performance

- 2 escaping LSP's → missing energy
 - hadronic decay of gauge bosons
 - goal: clearly distinguish between W and Z pair events
- > comparing and studying the performance of two versions of detector simulation (e.g. LOI and DBD)



Data Samples:

> Signal: 40000 $\tilde{\chi}_1^\pm$ events and 9000 $\tilde{\chi}_2^0$ events

> LOI sample:

- Signal generated with `Whizard1.51`
Background generated with `Whizard1.40`
- **Note:** in the signal samples, the M_W was inadvertently lowered by Whizard to $M_W = 79.8$ GeV
- Signal + background were simulated and reconstructed with `ilcsoft v01-06`
- The jet energy scale was increased by 1%
- No $\gamma\gamma$ background overlay
- The analysis was re-run on existing data samples

> DBD sample:

- Used the same **signal** generator files as in the LOI sample
- Re-simulated and re-reconstructed **ONLY** the **signal** samples with `ilcsoft v01-16-02`.
- Used the existing LOI SM samples for background
- The jet energy scale was not increased for the DBD produced signal
- No $\gamma\gamma$ background overlay
- The analysis was re-run



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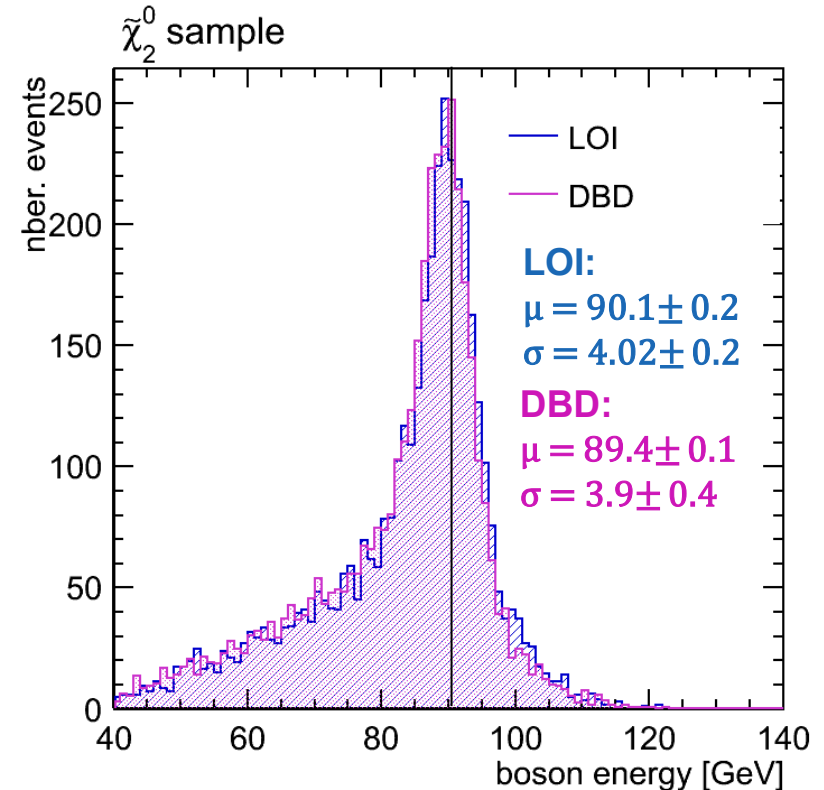
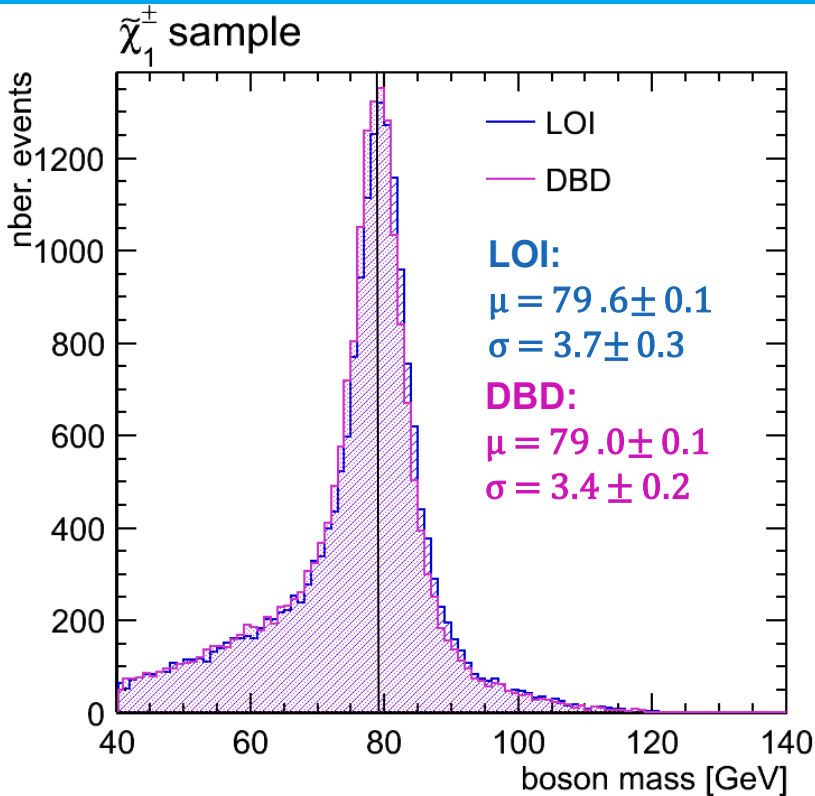
Study case – Analysis Flow

- > The **fully hadronic** decay modes of the on shell gauge bosons were chosen as **signal**
- > **Signal topology**: 4 jets and missing energy
- > **Background**:
 - SM 4f background is dominant
 - Each signal channel acts as background to the other!
- > Event **preselection** – apply cuts on:
 - Number of tracks in event and per jet
 - Minimum number of PFOs per jet = 3
 - Minimum jet energy and $|\cos(\theta)_{\text{jet}}|$
 - $|\cos(\theta)_{\text{pmiss}}| < 0.99$
 - $100 \text{ GeV} < E_{\text{visible}} < 300 \text{ GeV}$
 - $M_{\text{missing}} > 220 \text{ GeV}$
- > Perform **kinematic fit** using `MarlinKinFit`: equal mass constraint (determine best jet pairing and improve resolution)
 - Apply cut on converged kinematic fit



CUT	$\tilde{\chi}_1^\pm$ had	$\tilde{\chi}_2^0$ had	Other SUSY	SMgg	SM 6f	SM 4f	SM 2f	SM Other
No cut	28548	5488	74611	2.81e+09	519242	1.3e+07	8.8e+08	4.78e+06
	28529	5488	74650	3.663e+06	521610	1.48e+07	2.14e+07	4.75e+06
Total # tracks >20	27914	5449	24318	3.03e+06	493257	6.7e+06	5.3e+06	0
	27897	5449	24305	3.03+06	495605	6.7e+06	5.3e+06	0
100 < Evis < 300 GeV	27912	5449	22518	1.05e+06	44435	949380	1.56e+06	0
	27895	5449	22508	1.06e+06	44394	959805	1.56e+06	0
Ejet > 5GeV	27906	5446	20727	908393	44137	905894	1.47e+06	0
	27889	5446	20721	908492	44096	916507	1.47e+06	0
$ \cos(\theta)_{\text{jets}} < 0.99$	26572	5240	19205	350316	41130	668947	875094	0
	26560	5240	19200	350364	41098	678083	874907	0
$Y_{34} > 0.001$	26432	5218	15255	202462	38760	413787	166296	0
	26416	5218	15255	202510	38638	423080	166305	0
# tracks > 2/jet	25731	5146	9559	162161	22752	247160	145269	0
	25717	5146	9559	162193	22740	255870	145270	0
$ \cos(\theta)_{\text{miss}} < 0.99$	25476	5099	9487	25097	22322	185679	4039	0
	25463	5099	9487	25087	22311	193706	4039	0
$E_\perp < 25$ GeV	25135	4981	6463	23129	14409	146984	3533	0
	25123	4981	6463	23133	14407	154927	3534	0
$N_{\text{PFO}} > 3$	25041	4975	6102	23014	13697	139365	3518	0
	25029	4975	6103	23014	13696	139429	3518	0
$ \cos(\theta)_{\text{Pmiss}} < 0.8$	20148	4079	5179	681	9951	62676	529	0
	20144	4079	5180	681	9950	62688	529	0
$M_{\text{miss}} > 220$ GeV	20143	4079	5179	630	3687	45875	386	0
	20139	4079	5180	630	3687	45867	389	0

Dijet [Boson] Mass Comparison – LOI to DBD



- The DBD and LOI distributions are similar.
- Compatible σ , DBD distribution slightly narrower.
- The LOI sample has a jet energy increase of 1% while the DBD sample does not.
- The DBD μ is shifted significantly to lower energies.



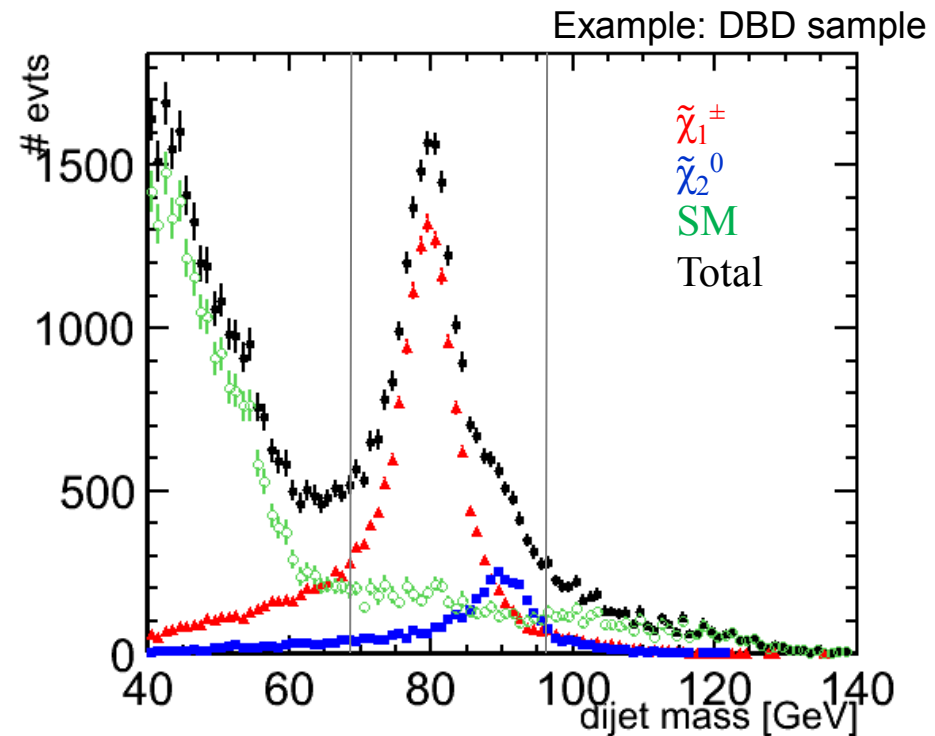
$\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Cross Section Measurement

> Use dijet mass to separate $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ events \rightarrow measure cross section

> After selection cuts + kinematic fit:

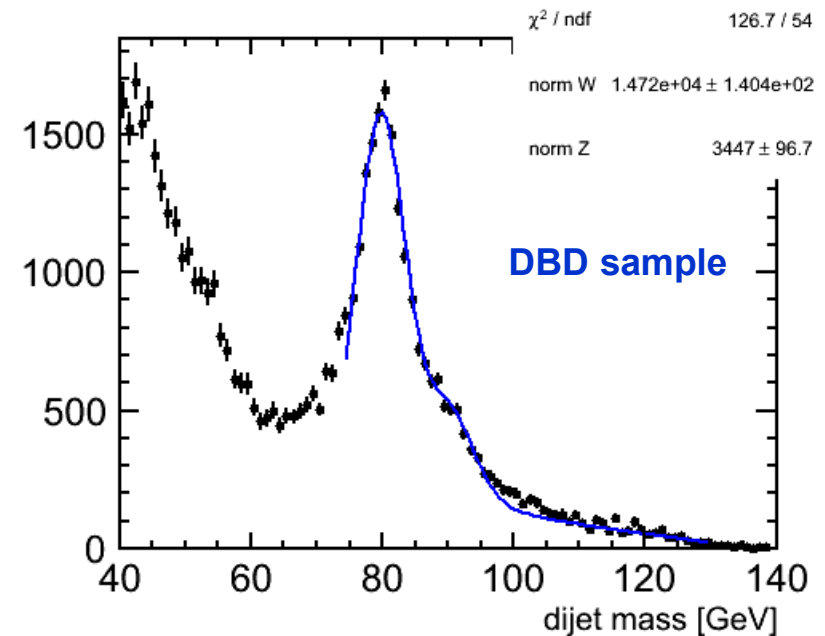
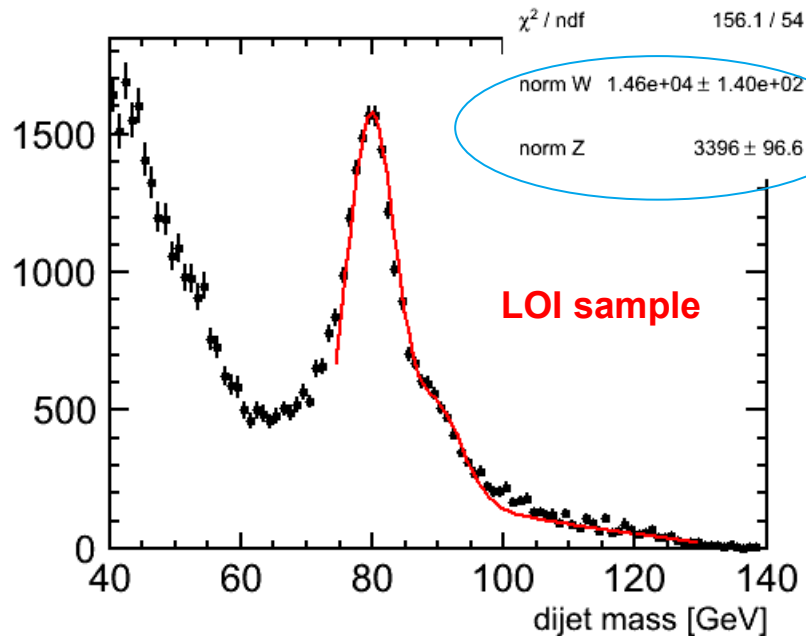
Obs.	DBD		LOI	
	$\tilde{\chi}_1^\pm$	$\tilde{\chi}_2^0$	$\tilde{\chi}_1^\pm$	$\tilde{\chi}_2^0$
Efficiency	58%	64%	57%	65%
Purity	57%	12%	57%	13%

> Perform fit to disentangle chargino and neutralino candidates



$\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Cross Section Measurement

➤ After KinFit → fit distribution with Voigt profile where Γ = boson natural width, σ = detector resolution:



- $\sigma \sim \text{norm W} / \text{Z} \rightarrow$ check the statistical error on norm W/Z
- **For both LOI and DBD samples, the statistical errors are almost identical:**
 - In the case of $\tilde{\chi}_1^\pm$: $\simeq 1\%$
 - In the case of $\tilde{\chi}_2^0$: $\simeq 2.8\%$
- The same precision is obtained for the LOI sample as in the LOI analysis.



$\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Mass Measurement

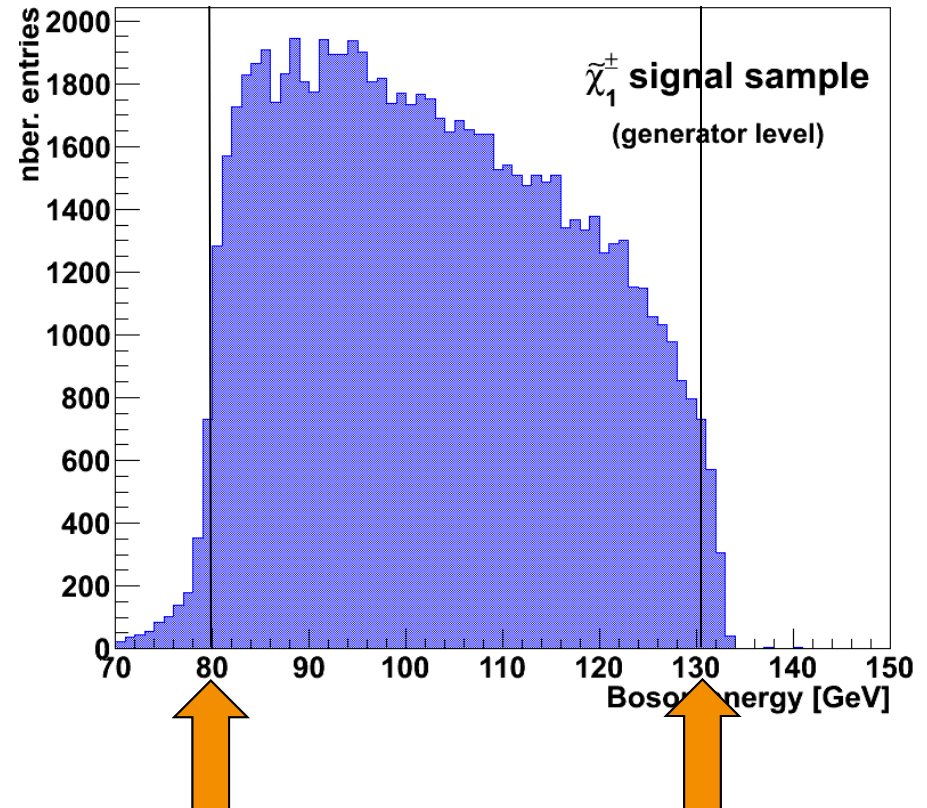
- > Mass difference to LSP ($\tilde{\chi}_1^0$) is **larger** than M_Z
- > Observe the decays of real gauge bosons
- > 2 body decay \rightarrow the edges of the energy spectrum are kinematically determined
- > **Use dijet energy spectrum „end points“ in order to calculate masses**

$$\gamma = \frac{E_{beam}}{M_\chi}$$

$$E_\pm = \gamma \cdot E_V^* \pm \gamma \cdot \beta \cdot \sqrt{E_V^{*2} - M_V^2}$$

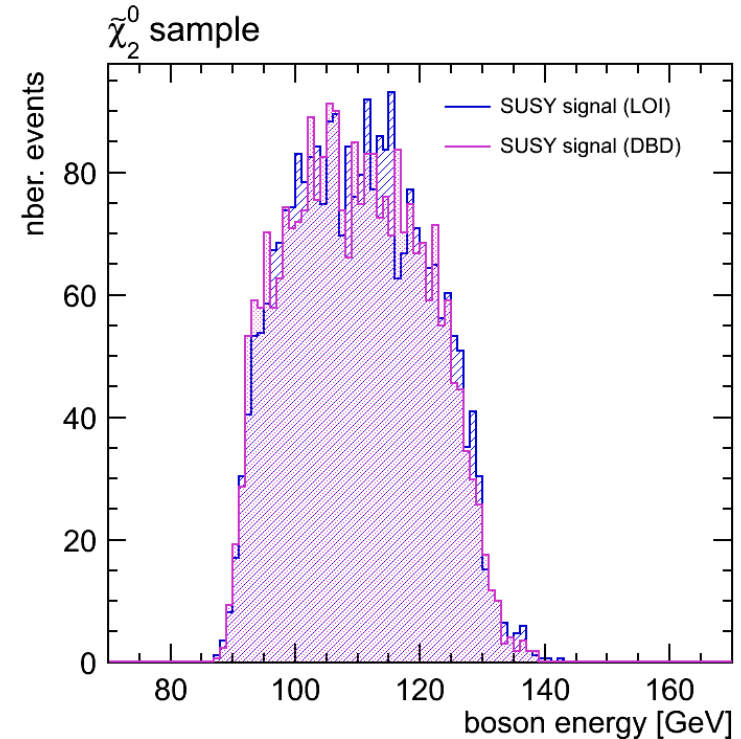
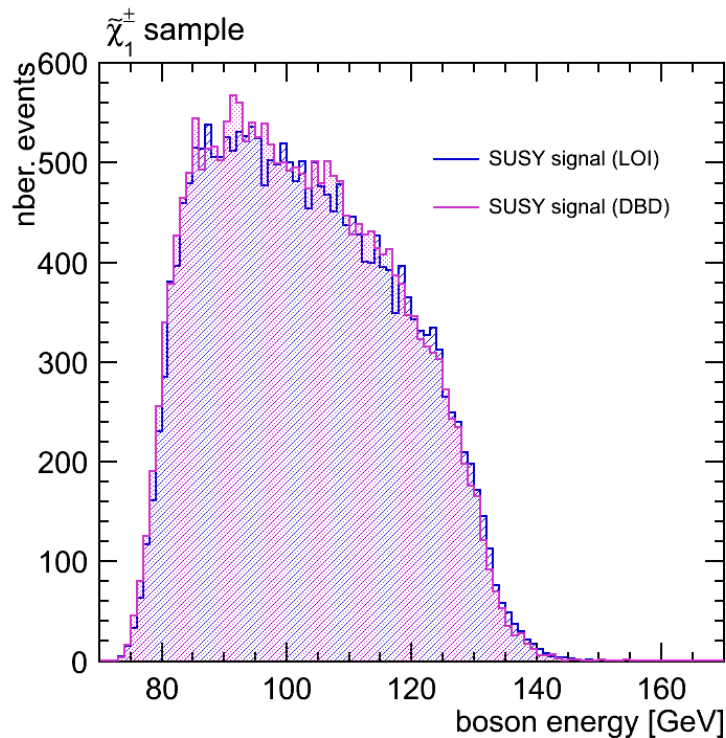
Real [model] edge values [GeV]:

W_{low}	W_{high}	Z_{low}	Z_{high}
80.17	131.53	93.24	129.06



Dijet [Boson] Energy Comparison LOI - DBD

- Use dijet energy to measure $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ mass



- The DBD distribution appears slightly shifted towards lower energies. Nevertheless, **the two distributions agree very well.**



$\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Signal Sample Further Separation

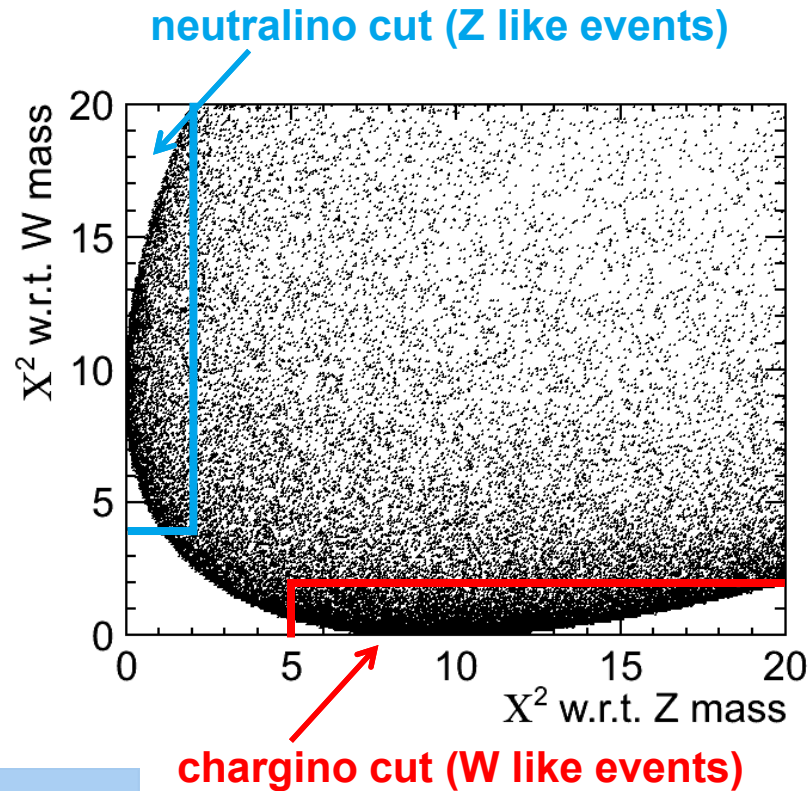
- > Calculate χ^2 with respect to nominal W / Z mass

$$\chi^2(m_{j1}, m_{j2}) = \frac{(m_{j1} - m_V)^2 + (m_{j2} - m_V)^2}{\sigma^2}$$



min $\chi^2 \rightarrow \tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ separation

- > Downside: lose statistics
 - Cut away 43% of $\tilde{\chi}_1^\pm$ surviving events
 - Cut away 68% of $\tilde{\chi}_2^0$ surviving events
- > However, after the χ^2 cut, the separation is quite clear:



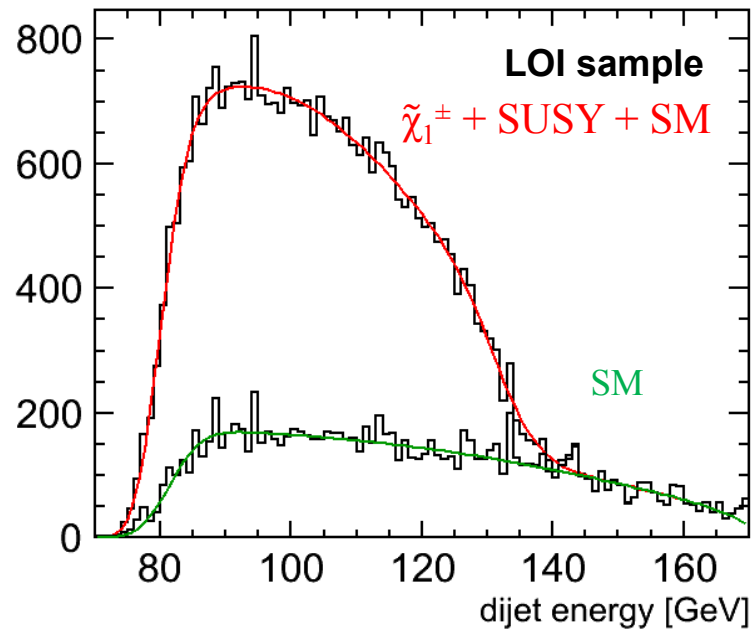
Obs.	DBD		LOI	
	$\tilde{\chi}_1^\pm$	$\tilde{\chi}_2^0$	$\tilde{\chi}_1^\pm$	$\tilde{\chi}_2^0$
Efficiency	57%	32%	56%	34%
Purity (total)	63%	35%	62%	35%
Purity (SUSY)	94%	68%	95%	66%



$\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Mass Measurement – “Endpoint” Method

- > Fit dijet energy spectrum and obtain edge positions:

$$f(x; t_0, b_0, \sigma, \gamma) = fS_M + \int_{t_0}^{t_1} (b_2 t^2 + b_1 t + b_0) V(x - t, \sigma(t), \gamma) dt$$

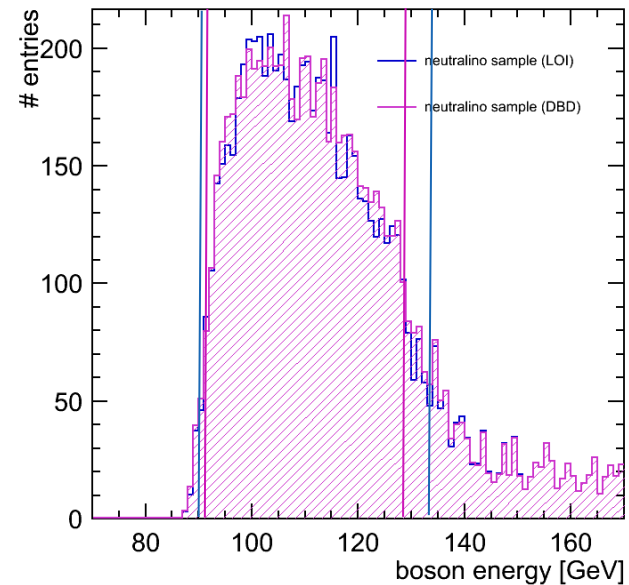
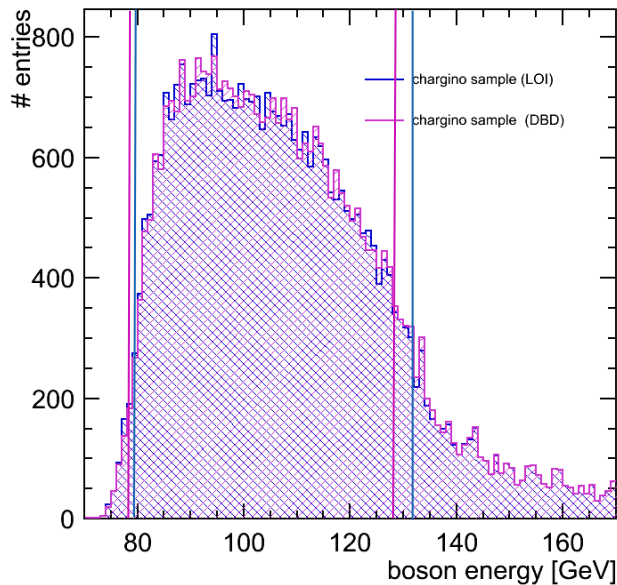


Where:

- The polynomial accounts for the slope of the initial spectrum
- The Voigt function accounts for the detector resolution and gauge boson width



Issues of the „Endpoint Method“



Sim.	Edge W_{low} [GeV]	Edge W_{high} [GeV]	Edge Z_{low} [GeV]	Edge Z_{high} [GeV]
DBD	79.5 ± 1.7	128.3 ± 1.2	91.9 ± 0.8	127.9 ± 0.7
LOI	79.7 ± 0.3	131.9 ± 0.9	91.0 ± 0.7	133.6 ± 0.5

The fitting method appears to be highly dependent on small changes in the fitted distribution → it is clearly NOT appropriate for a comparing the simulation and reconstruction performance.

We need to apply a different edge extraction method!



Endpoint Extraction using an FIR Filter

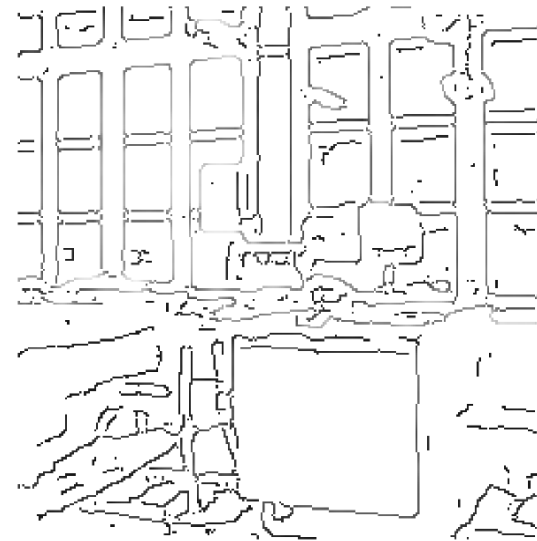
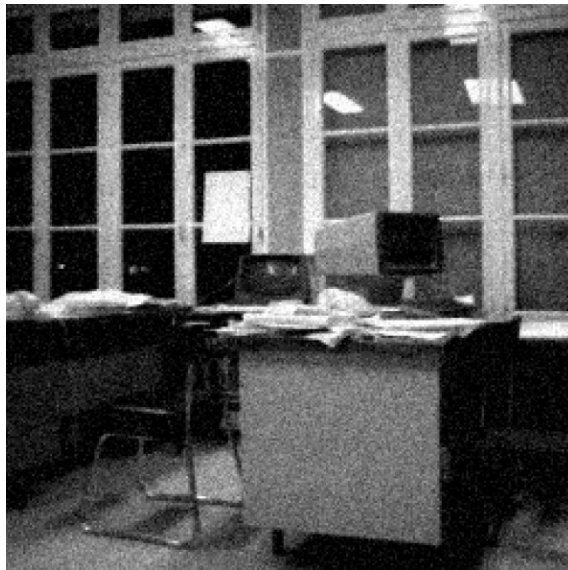
- Finite Impulse Response (FIR) filters are digital filters used in signal processing.
- FIR filters can operate both on discrete as well as continuous values.
- The concept of “finite impulse response” ↔ **the filter output** is computed as a finite, weighted sum of a finite number of values from the filter input.

$$y[n] = \sum_{k=-M_1}^{M_2} b_k x[n-k]$$

the input signal

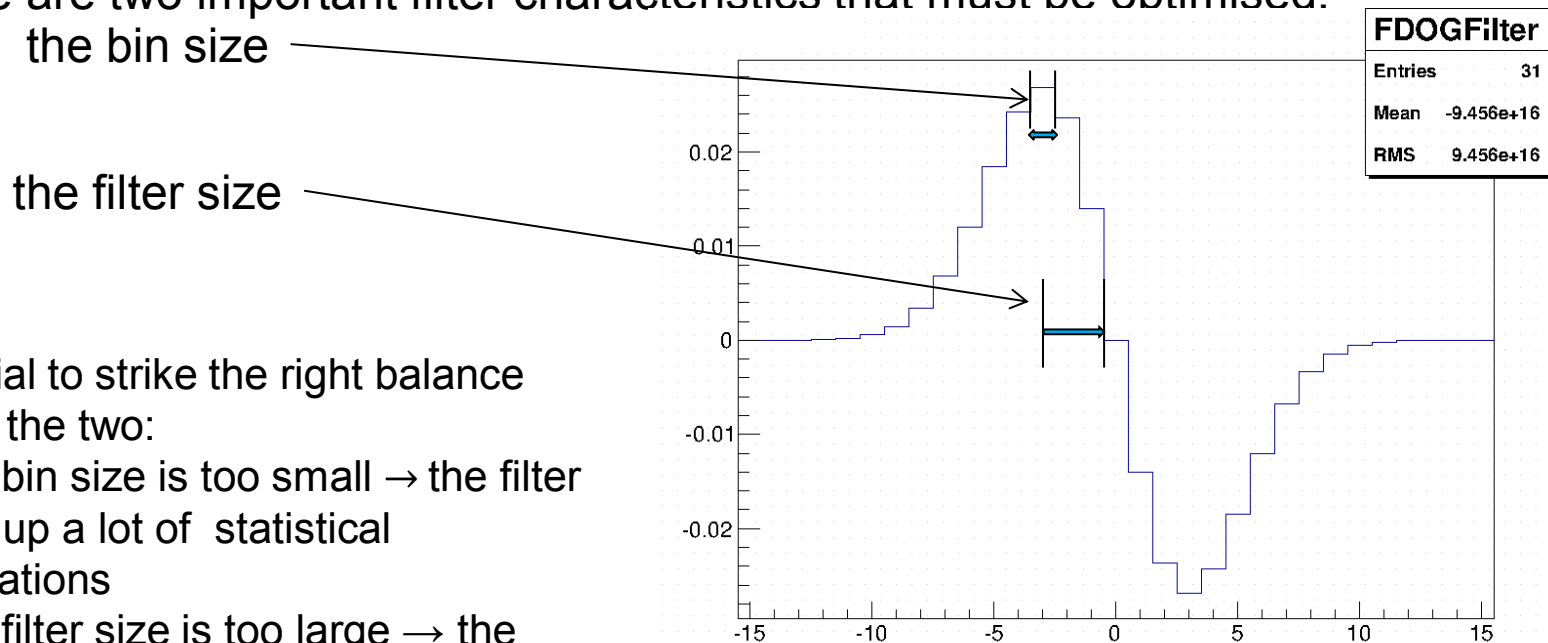
the filter coefficients (weights)

- y is obtained by convolving the input signal with the (finite) weights
- FIR filters are used to detect edges in image processing techniques:



Testing the FDOG Filter

- > J.F.Canny* has suggested that an optimal filter is very similar to the **first derivative of a Gaussian**
- > There are two important filter characteristics that must be optimised:
 - the bin size
 - the filter size



It is crucial to strike the right balance between the two:

- If the bin size is too small → the filter picks up a lot of statistical fluctuations
- If the filter size is too large → the edge position cannot be localised anymore

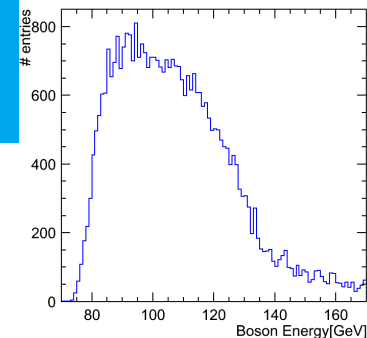
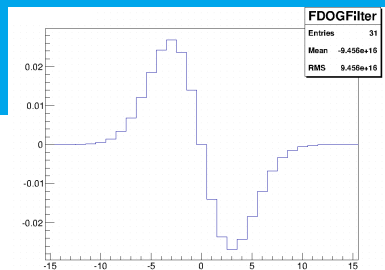
A toy MC study is needed to optimise the filter and bin size!

*) Canny's criteria: [J. F. Canny. **A computational approach to edge detection**. *IEEE Trans. Pattern Analysis and Machine Intelligence*, pages 679-698, 1986]

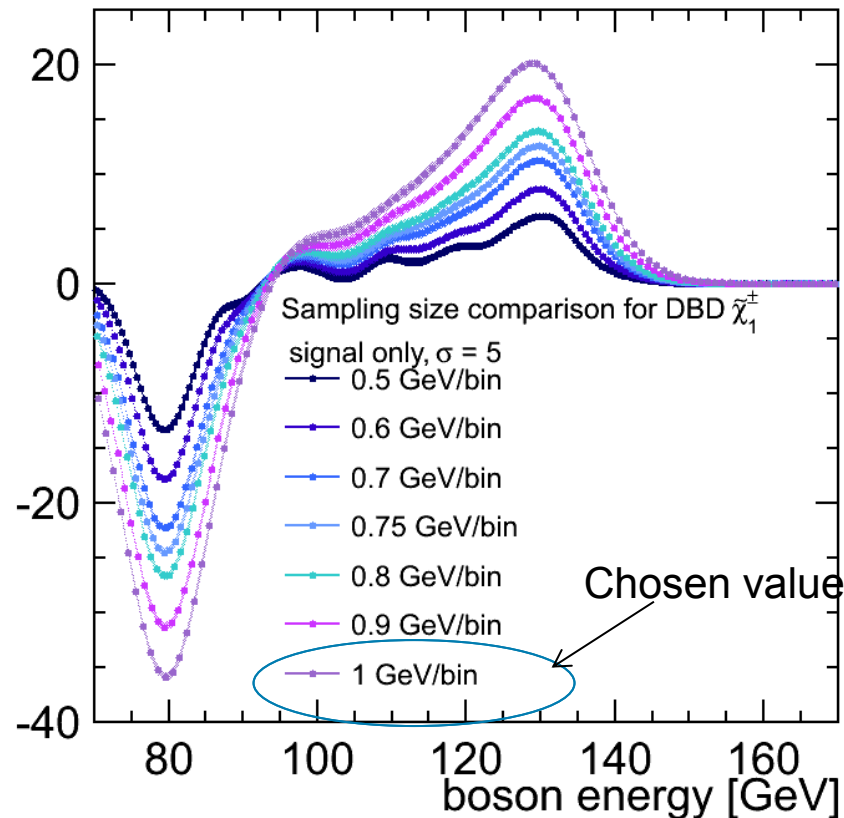
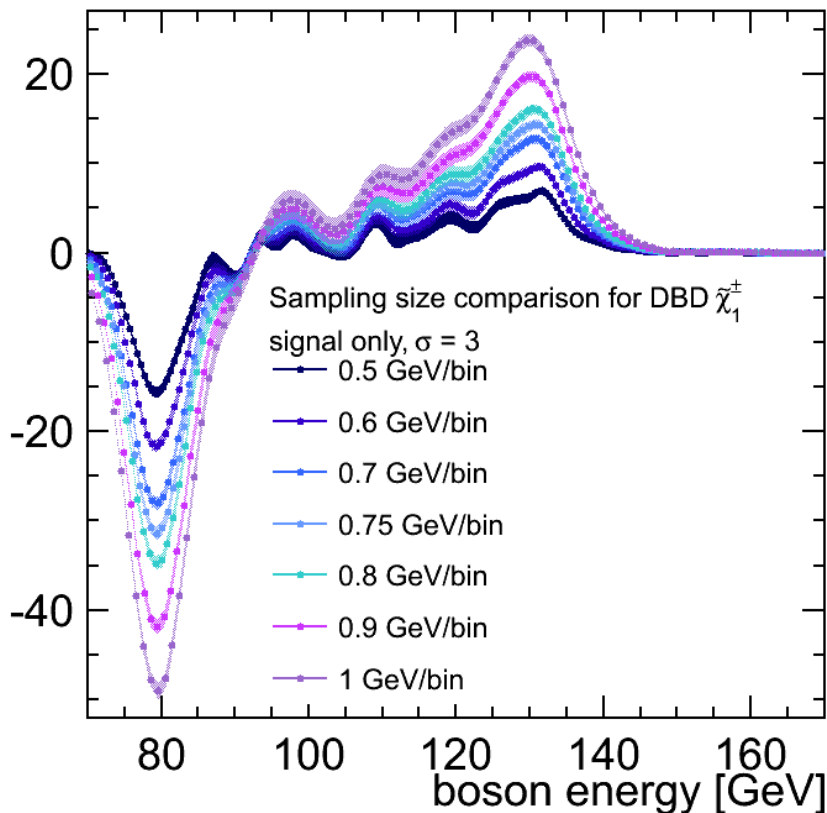


Testing the FDOG Filter

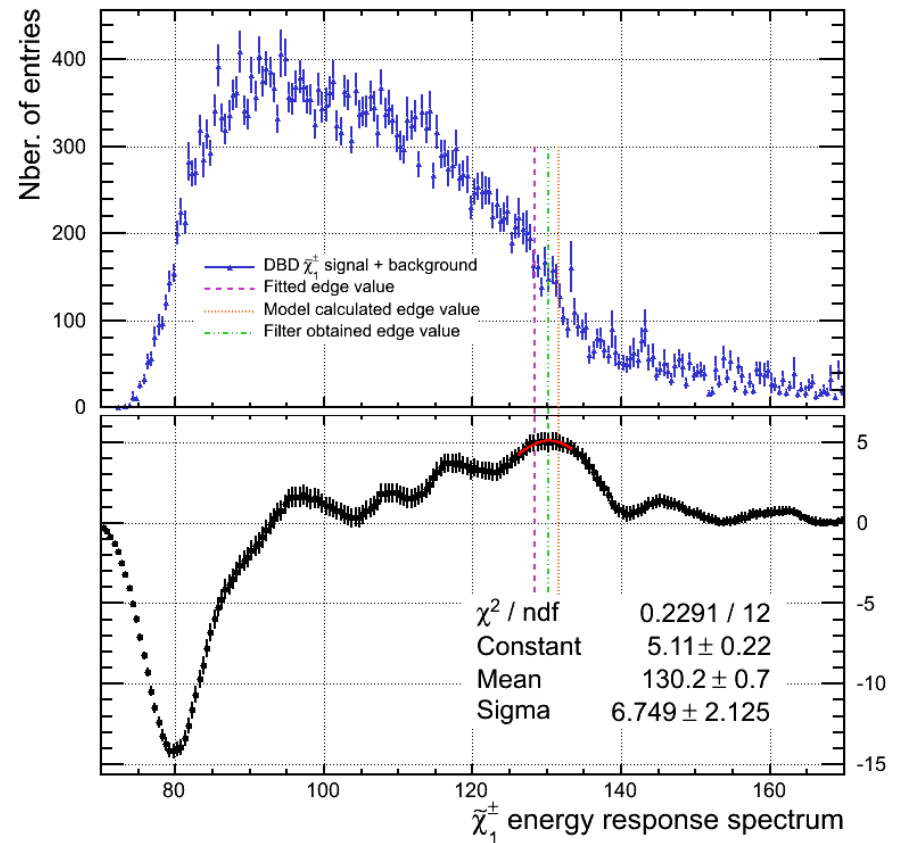
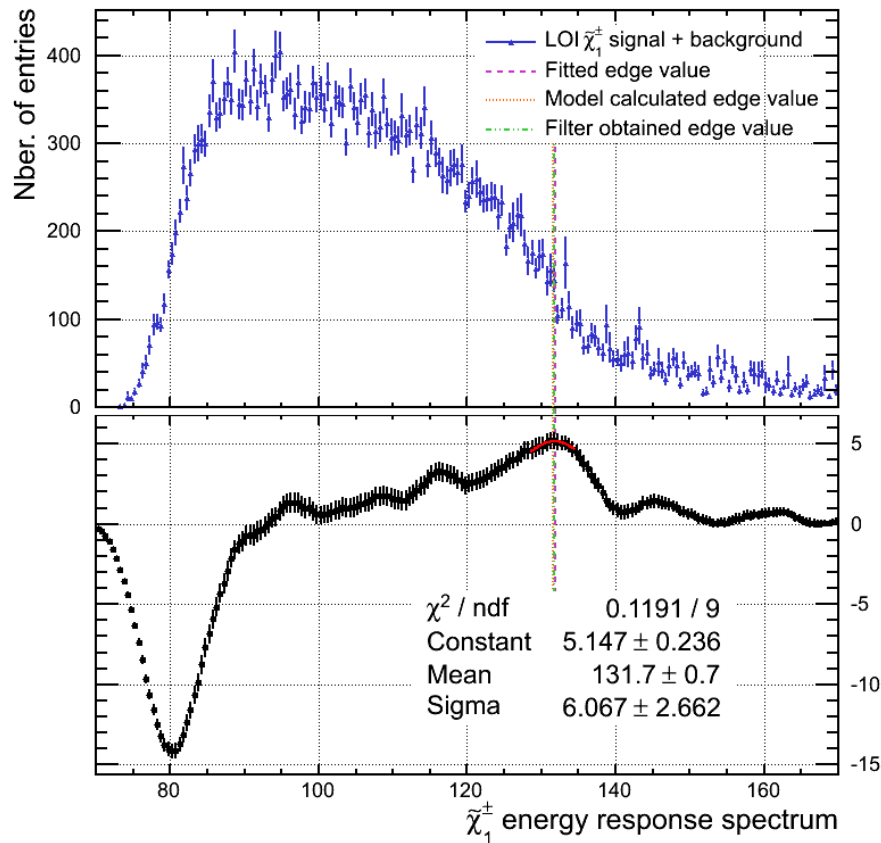
- > There are two important filter characteristics that must be optimised: the **bin size** and the filter size.



Filter response after applying the FDOG Filter to the $\tilde{\chi}_1^\pm$ energy distribution:



FIR Edge Extraction Comparison – LOI to DBD

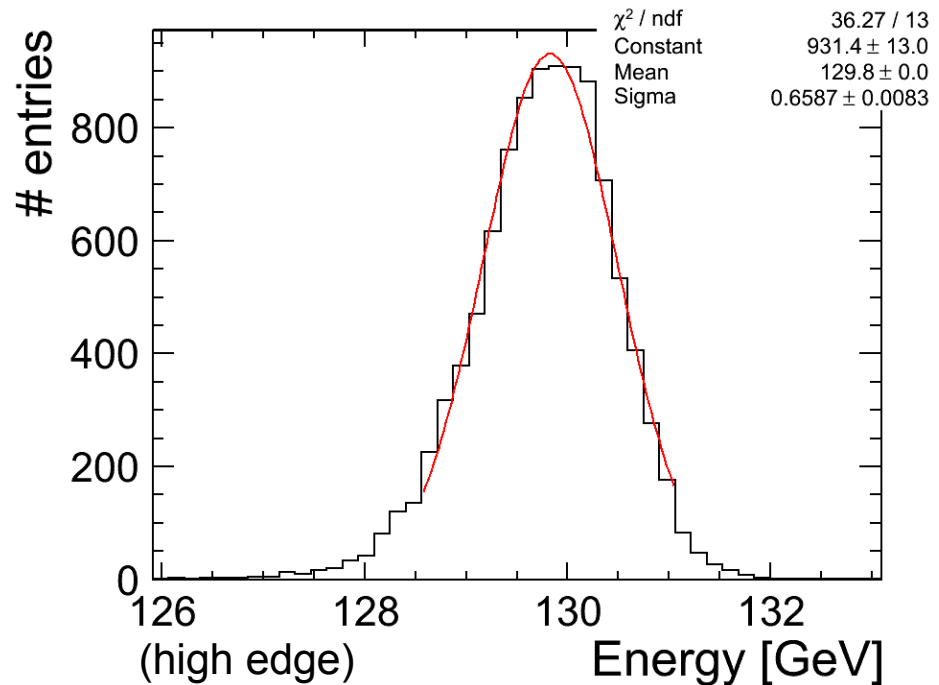
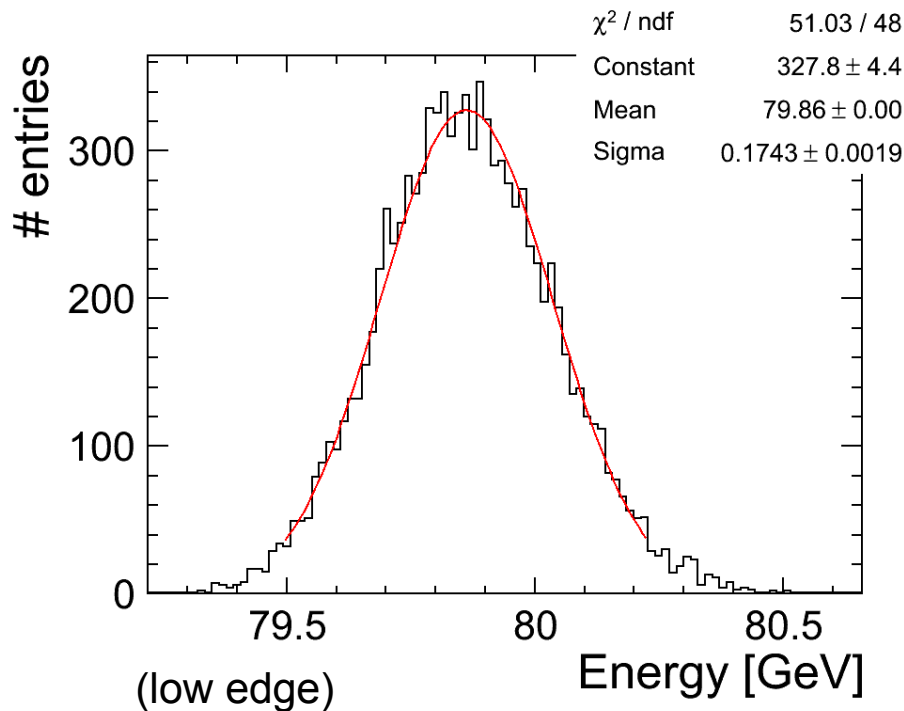
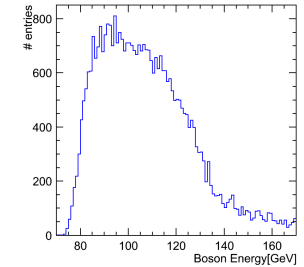


In the **LOI** case: the fitted and filter values are extremely close to the real model value.
In the **DBD** case: the filter value is much closer to the model one than the fitted edge.

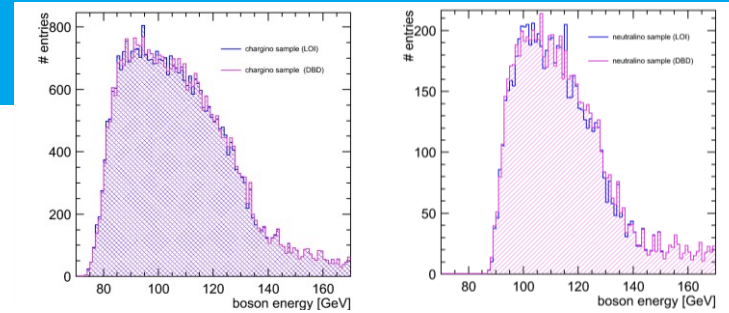


Toy MC for the Filter Edge Extraction

- To estimate the statistical precision of the edge extraction → toy MC
- 10000 $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ energy spectra have been produced
- The FDOG filter was then applied 10000 times
- Example: for the $\tilde{\chi}_1^\pm$ case:



Edge Extraction Comparison



True	80.17	131.53	93.24	129.06
Sim.	Edge W_{low} [GeV]	Edge W_{high} [GeV]	Edge Z_{low} [GeV]	Edge Z_{high} [GeV]
LOI	79.7 ± 0.3	131.9 ± 0.9	91.0 ± 0.7	133.6 ± 0.5
DBD	79.5 ± 1.7	128.3 ± 1.2	91.9 ± 0.8	127.9 ± 0.7
filter LOI	80.3 ± 0.6	131.7 ± 0.7	91.6 ± 0.7	129.0 ± 0.6
filter DBD	80.1 ± 0.2	130.2 ± 0.7	91.9 ± 0.2	127.2 ± 0.7

- The LOI uncertainties do not change much.
- The filter results are comparable between LOI and DBD in central value.
- The lower edges are much more precise with the filter method.

The filter extraction method is preferable:

- it is more stable
- provides smaller uncertainties in determining the edge position.



Conclusions

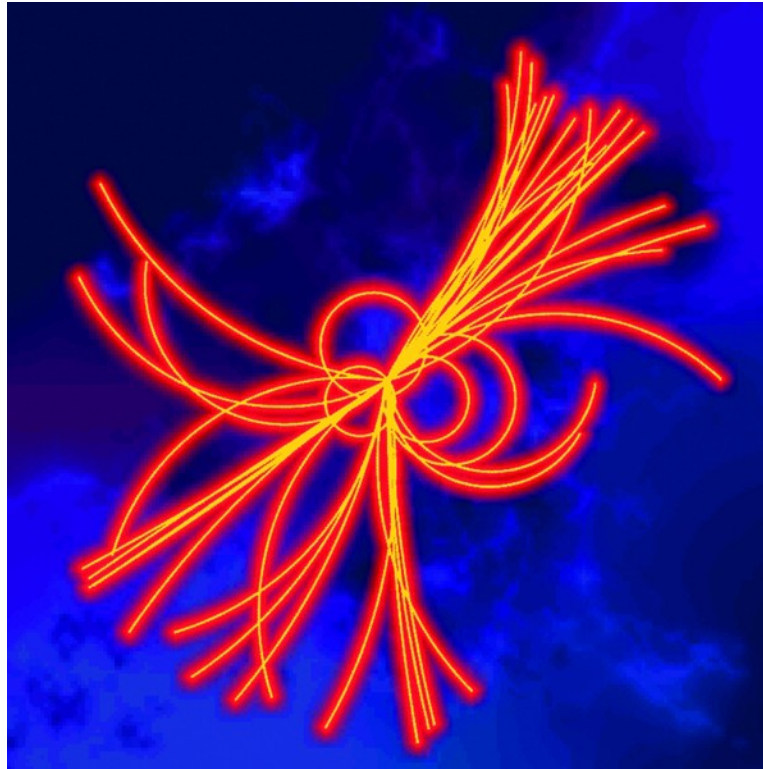
- A preliminary comparison between the LOI and DBD simulation and reconstruction has been made;
 - The DBD reconstructed dijet masses and boson energies are compatible to the LOI analysis.
 - The fitting method for the mass determination appears very sensitive to small changes. A more robust method is needed.
 - Applying a finite impulse response (FIR) filter in order to extract the edge information instead of the fitting method is:
 - More robust (i.e. independent on distribution shape)
 - Provides just as good if not better statistical precision

> Outlook:

- Perform comparison on Full LOI and Full DBD data (update soon)
- Perform mass calibration (to determine systematics).
- Perform 2D fit on dijet masses to improve the x-section measurement



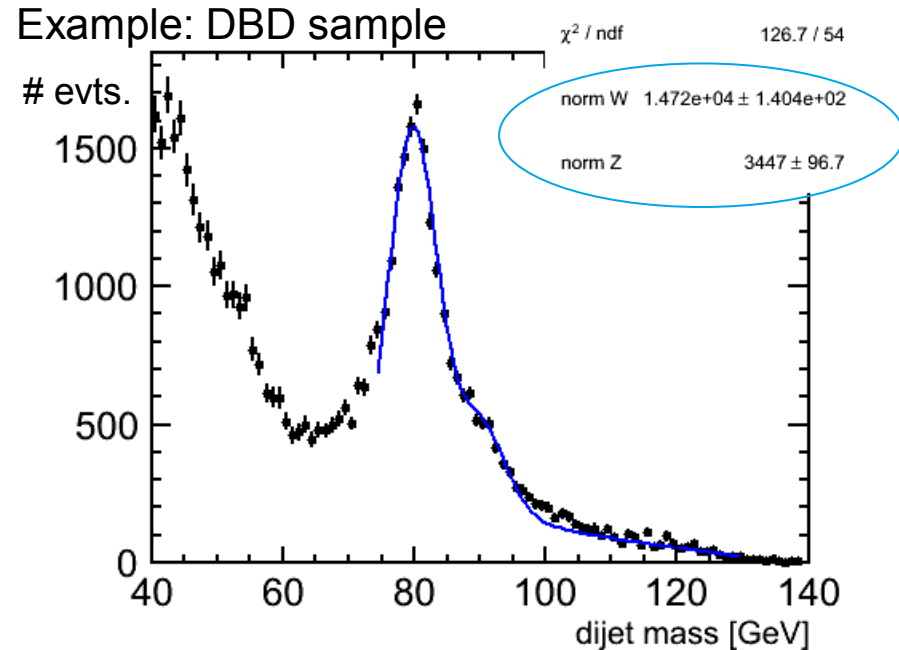
Thank You!



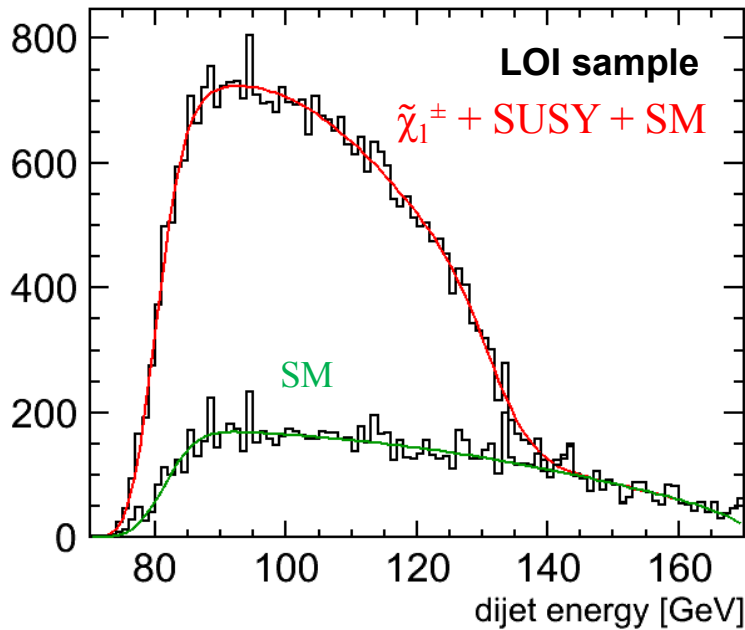
Back up slides

$\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Cross Section Measurement

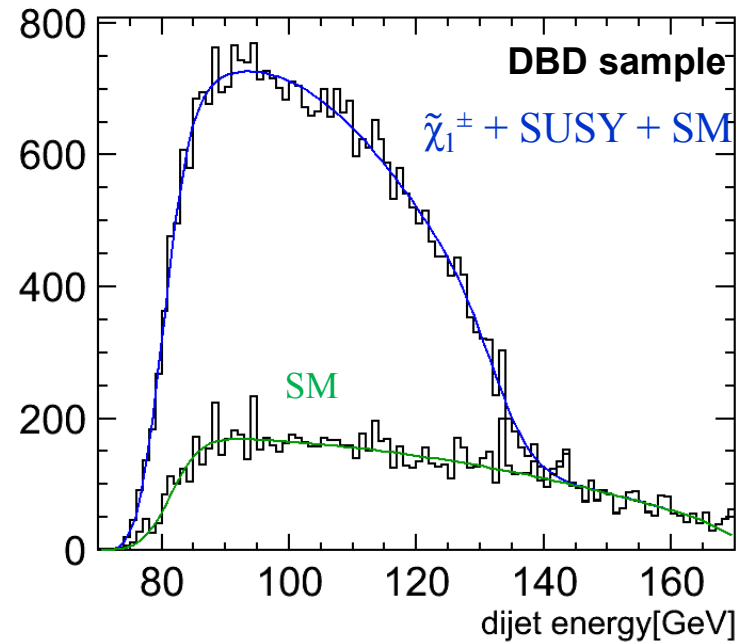
- > Separating W and Z pairs candidates:
 - SM background fitted with **polynomial**
 - Signal distributions fitted with **Voigt profile**
 - Width (Γ) set to boson's natural width (2.11 GeV for W and 2.5 GeV for Z)
 - Voigt $\sigma \simeq 3.5$ GeV detector resolution, deduced from a SM sample. The σ from the signal only sample is in the same ballpark!
 - Determine relative W/Z fractions from fit



Endpoint Extraction Comparison – LOI to DBD



$$E_{\text{low}} \approx 79.7 \pm 0.3 \text{ GeV}$$
$$E_{\text{high}} \approx 131.9 \pm 0.9 \text{ GeV}$$



$$E_{\text{low}} \approx 79.5 \pm 1.7 \text{ GeV}$$
$$E_{\text{high}} \approx 128.3 \pm 1.2 \text{ GeV}$$

- > The DBD distribution appears slightly shifted towards lower energies. Nevertheless, **the two distributions agree very well.**

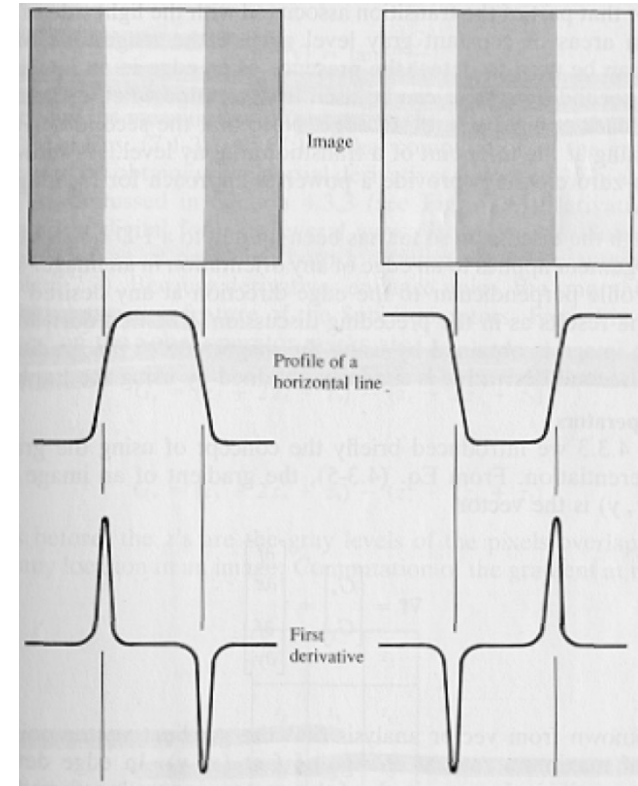


Applying an FIR Filter – Example: the box function

- > The changes of a function can be described by the derivative → interpret the histogram as a 1D function
- > The points that lie on the edge of the distribution → detected by local maxima and minima of the first derivative

$$f'(x) = \lim_{h \rightarrow 0} \frac{f(x+h) - f(x)}{h} \approx f(x+1) - f(x) \quad (h = 1)$$

- > The first derivative is approximated by using the **kernel [-1, 0, 1]**



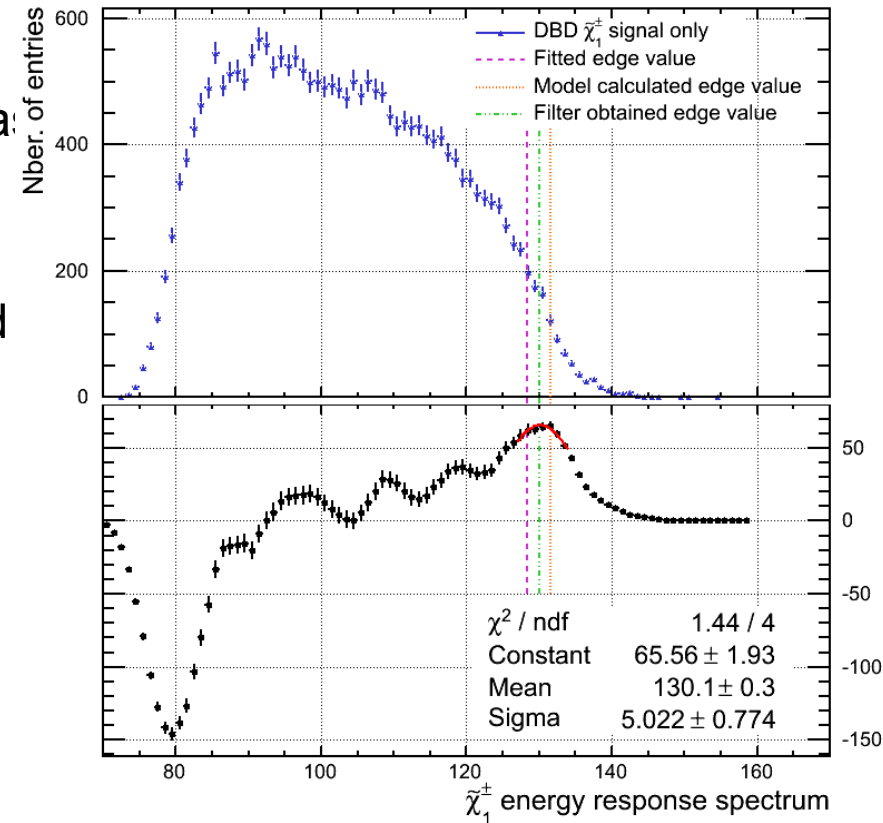
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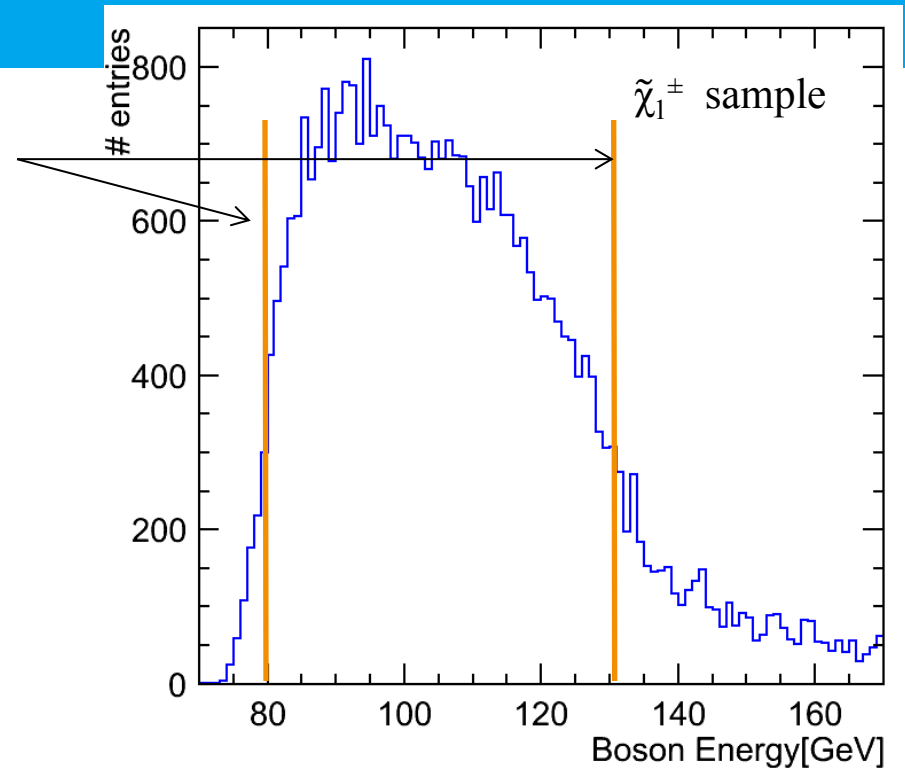
- > The first derivative is approximated by using the **kernel [-1, 0, 1]**
- > The kernel is convoluted with the histogram:

$$response_i = -1 \times bin_{i-1} + 0 \times bin_i + 1 \times bin_{i+1}$$



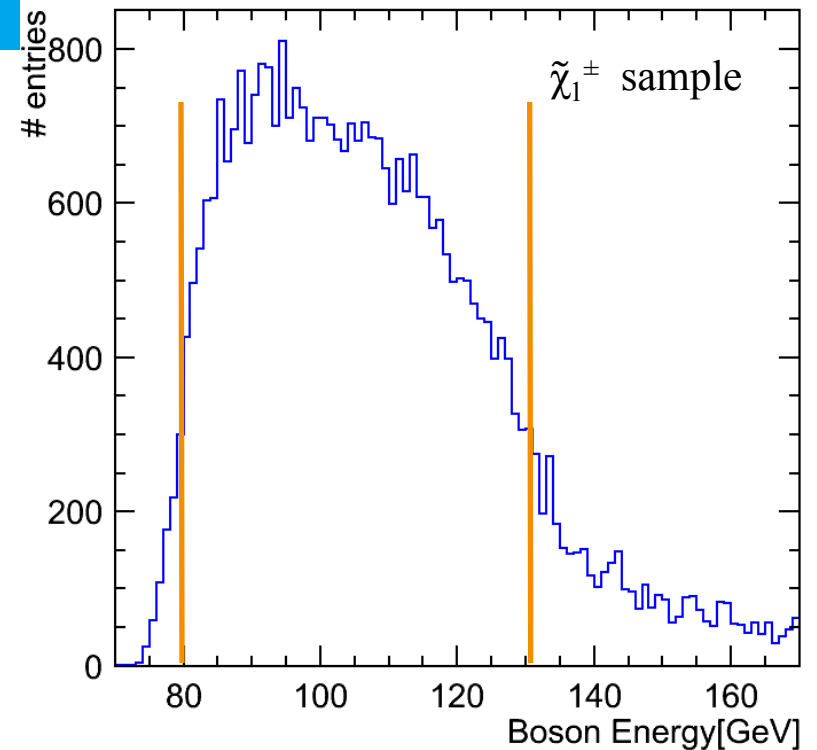
Applying an FIR Filter

- > Goal: find edge positions in spectrum
- > Strategy: use weighted sums of bin content values to find patterns in distribution



Applying an FIR Filter

- > Goal: find edge positions in spectrum
- > Strategy: use weighted sums of bin content values to find patterns in distribution
- > Consider the histogram as an array of bin content values

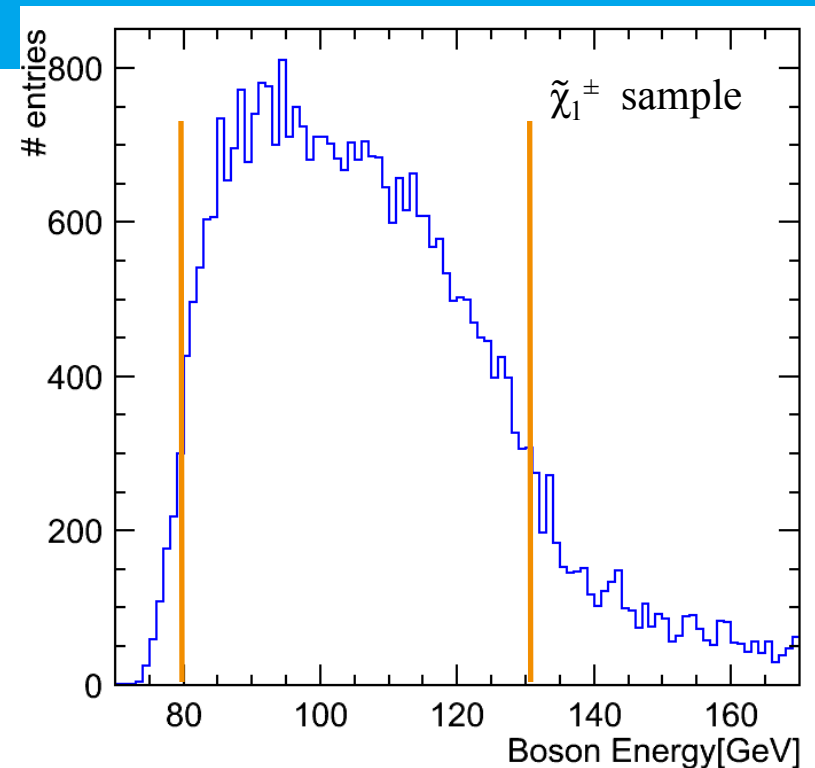


Bin #	1	2	3	...	98	99	100
Entries	0	15	28	...	34	22	4



Applying an FIR Filter

- Goal: find edge positions in spectrum
- Strategy: use weighted sums of bin content values to find patterns in distribution
- Consider the histogram as an array of bin content values
- Consider an array of chosen weights (smaller than the histogram!)
- Create new array of the same size:
 - Each entry in the new array is the weighted sum of the bin content values from the bins surrounding the corresponding bin in the original array.
 - The array is filled using the **same** (finite) weights each time.
- The value of the output depends on the pattern in the neighbourhood of the considered bin and NOT on the position of the bin
- The pattern of weights = kernel
- The filter application = convolution



Bin #	1	2	3	...	98	99	100
Entries	0	15	28	...	34	22	4

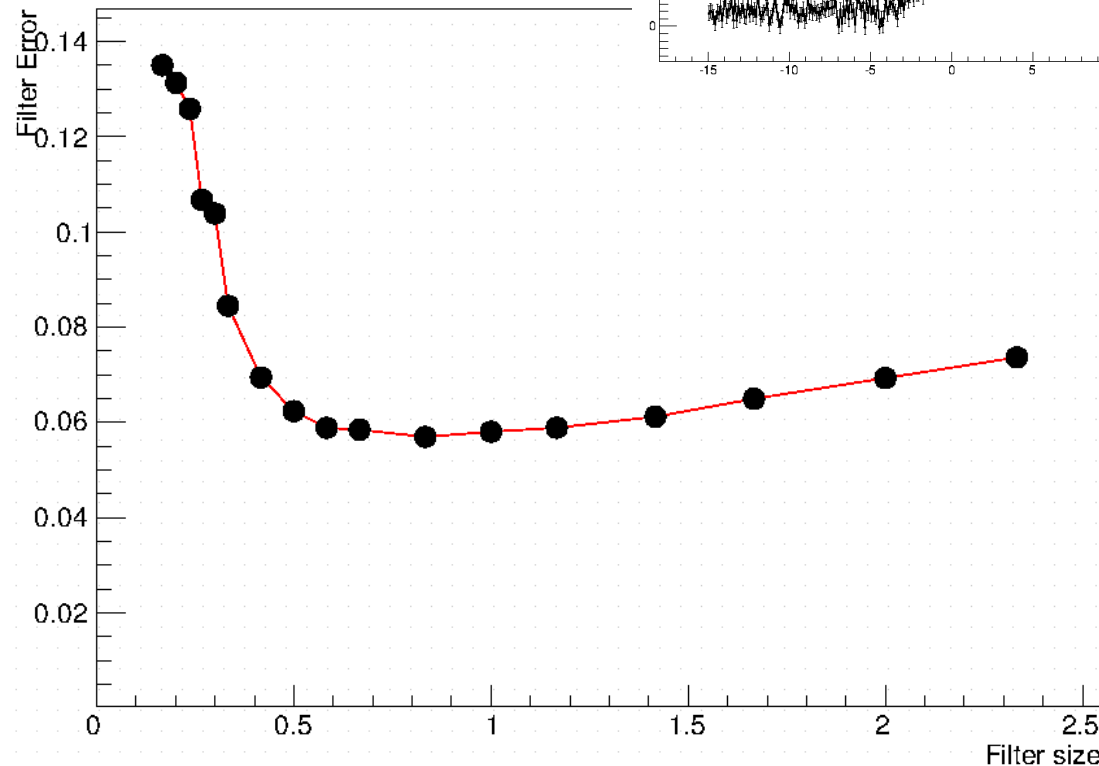
$$w_1 \times 0 + w_2 \times 15 + w_3 \times 28 = \text{val2}$$

Entries	val1	val2	val3	...	val98	val99	val100
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Testing the FDOG Filter

Studied the effect of the filter size on a smeared step edge monte carlo data.



S. Caiazza

The FDOG filter does indeed perform best.
The filter size should be comparable to the size of the edge feature.
We chose $\sigma = 5$ bins.



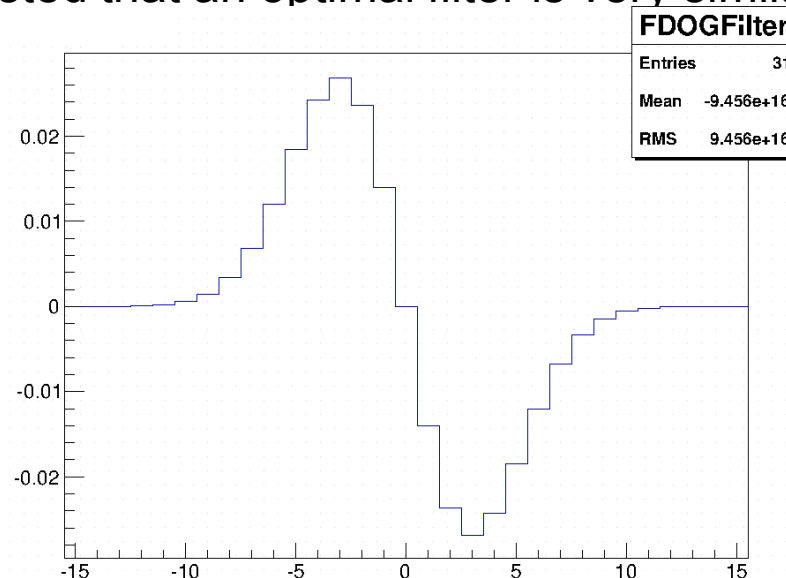
Choosing the Appropriate Filter

- > The first derivative as kernel works
- > It is however a high pass filter → may be rather noisy
- > In order to choose an appropriate filter one can apply the following criteria:

Canny's criteria: [J. F. Canny. **A computational approach to edge detection.** *IEEE Trans. Pattern Analysis and Machine Intelligence*, pages 679-698, 1986]

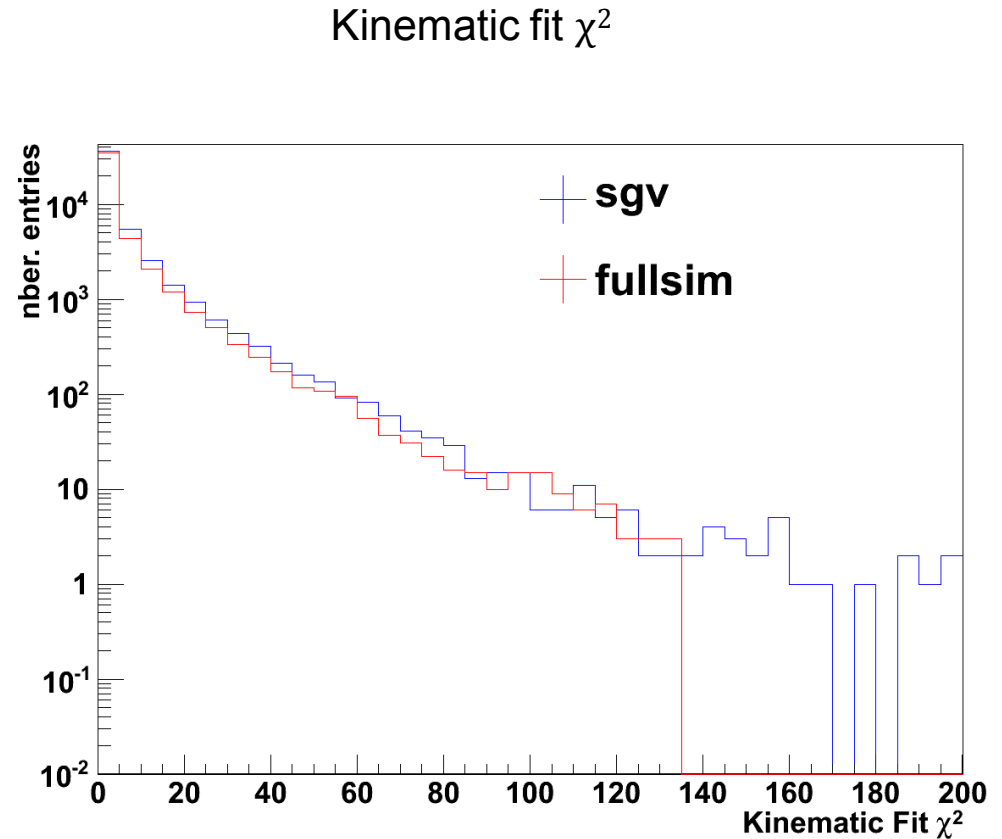
- Good detection: probability of obtaining a peak in the response must be high
- Localisation: standard deviation of the peak position must be small
- Multiple response minimisation: probability of false positive detection must be small

- > Canny has suggested that an optimal filter is very similar to the **first derivative of a Gaussian**



$\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Separation as Study case for Particle Flow

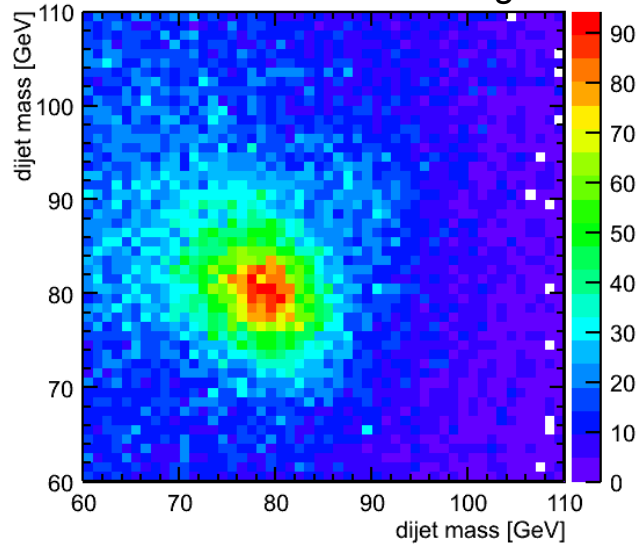
- Signal topology:
4 jets and missing energy
- Event preselection (kinematics, etc.)
- **Perform kinematic fit:**
equal mass constraint
(determine best jet pairing)



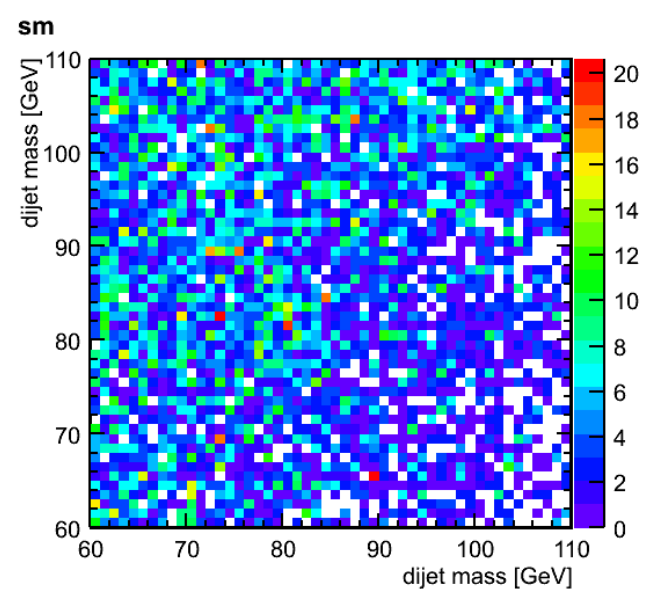
3.2. $\tilde{\chi}_1^\pm$ and $\tilde{\chi}_2^0$ Cross Section Measurement

3.2.2. 2D dijet mass fit

Distribution for SM + all signal

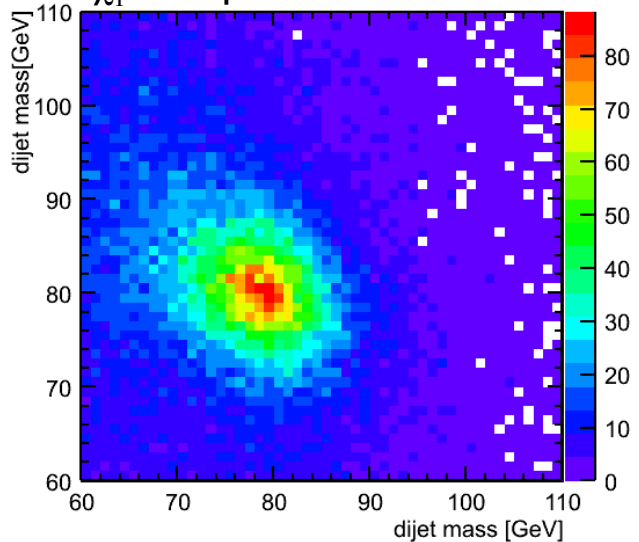


Example: DBD sample sm



Subtract SM

$\tilde{\chi}_1^\pm$ template that will be fit



Perform template fit

$\tilde{\chi}_2^0$ template that will be fit

