

Main Linac Basics

D. Schulte

9th Linear Collider School, October 2015

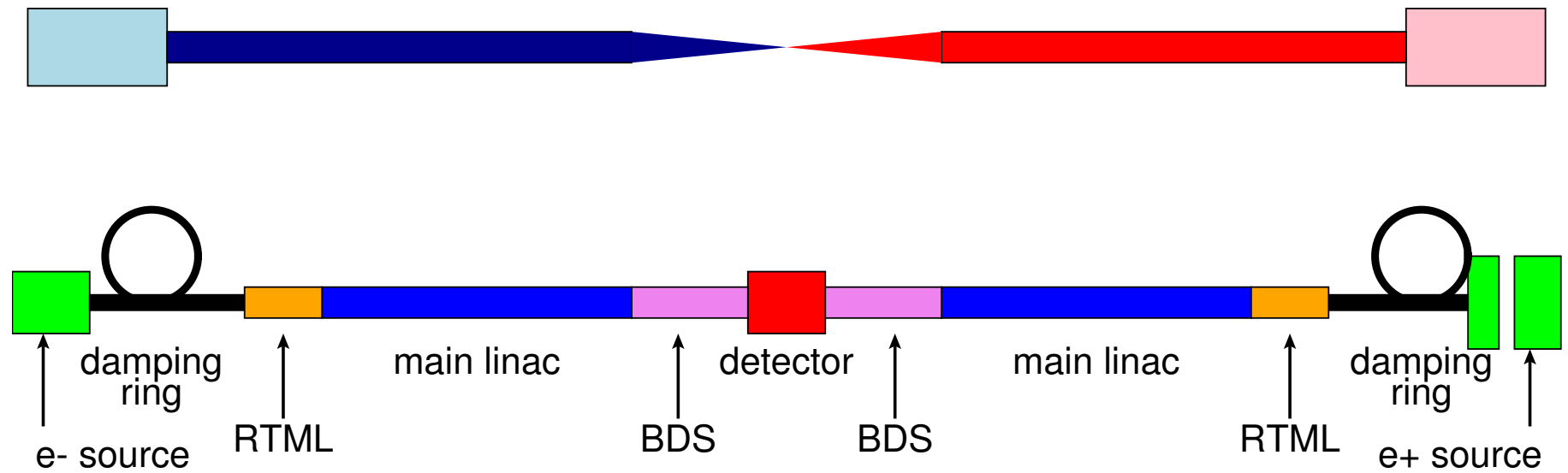
Introduction



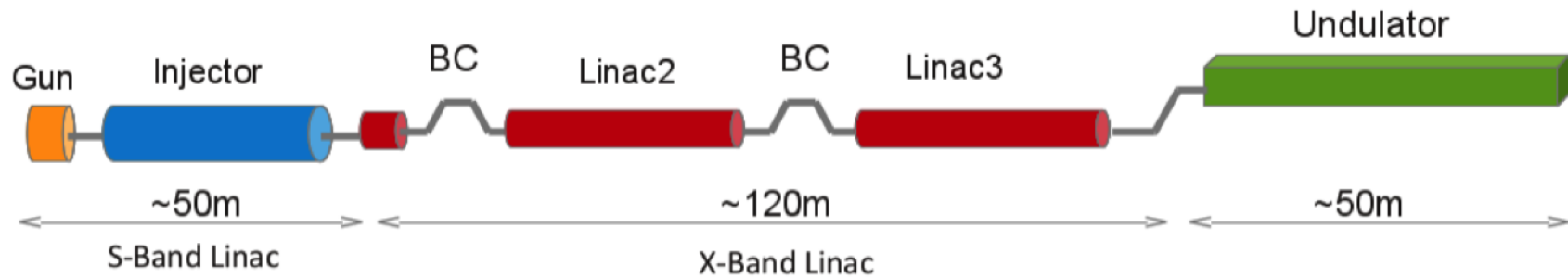
Stepping Stones

- Introduction
- Accelerating structures
- Power efficiency
- Beam parameters
 - single bunch longitudinal wakefield and energy spread
 - beam transport and emittance
 - transverse wakefields and beam break-up
 - multi-bunch effects
- Imperfections
- Parameter optimisation

Generic Linear Collider Design

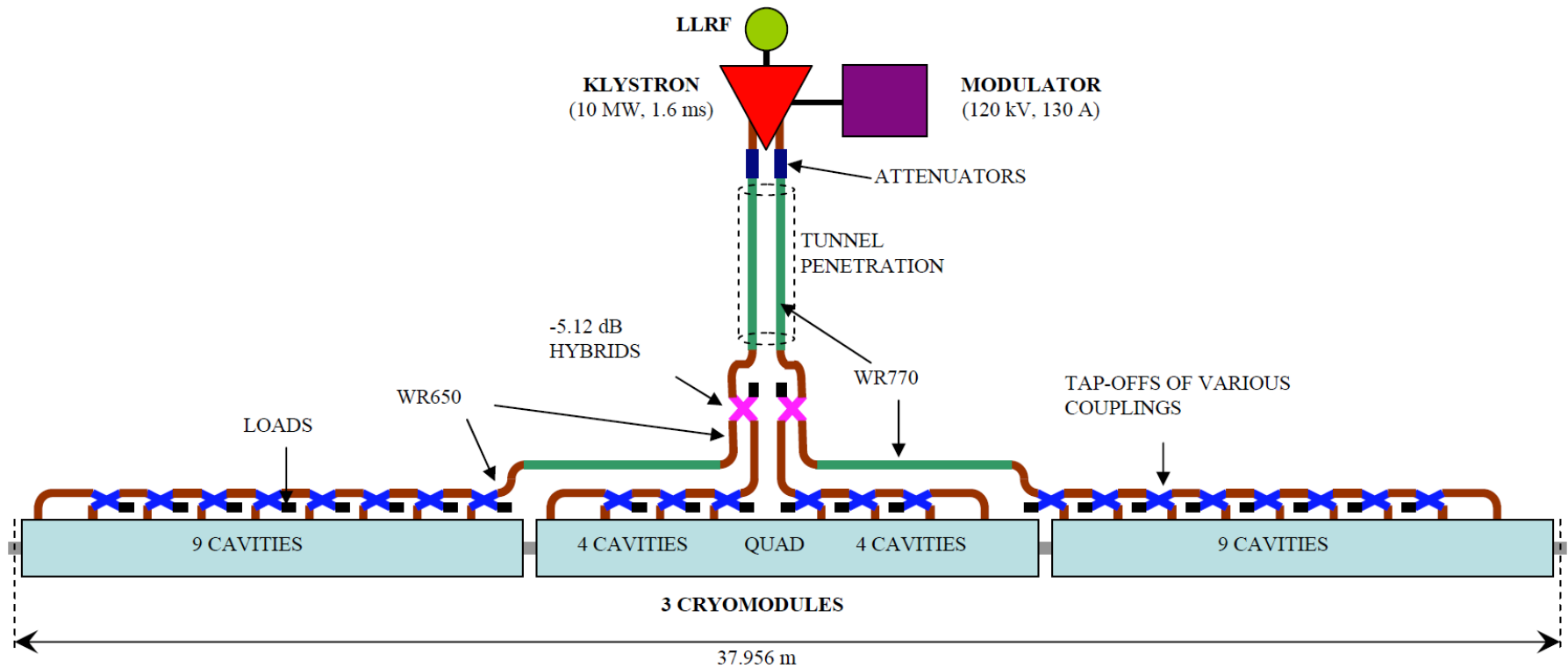


Generic FEL



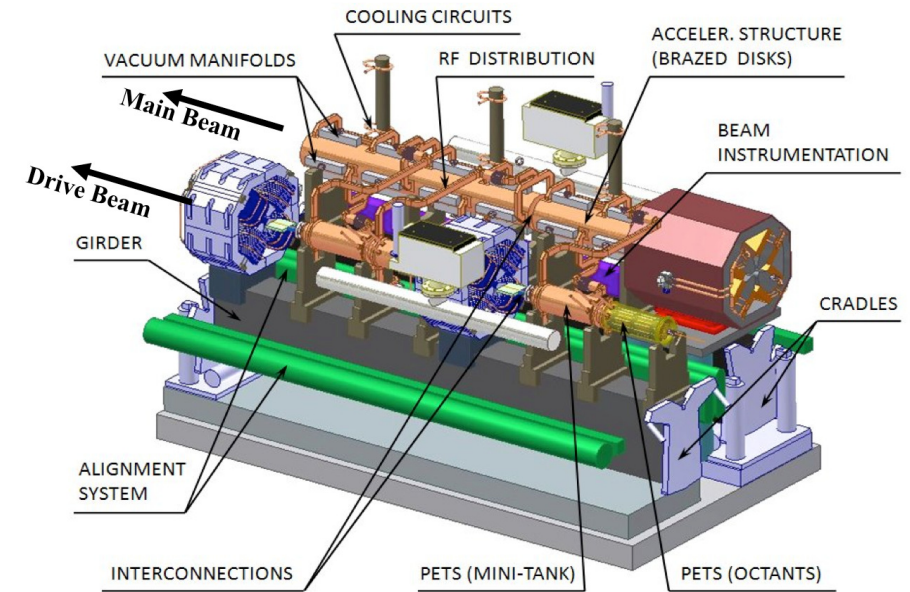
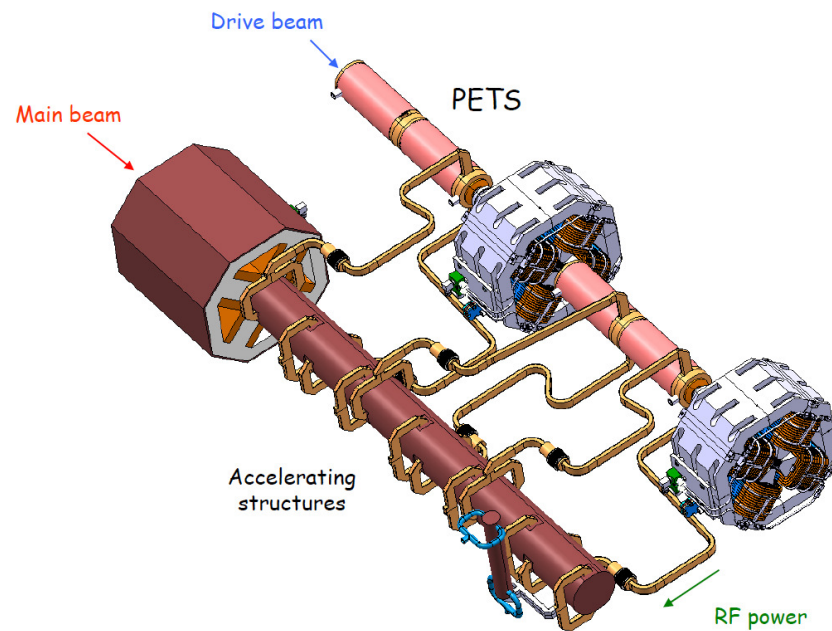
- Normal conducting FEL shown
- But superconducting similar in concept

RF Unit Design Concept (old ILC, European FEL)



- Most relevant components for the beam
 - accelerating structures
 - quadrupoles
 - beam position monitors (BPMs) and correctors

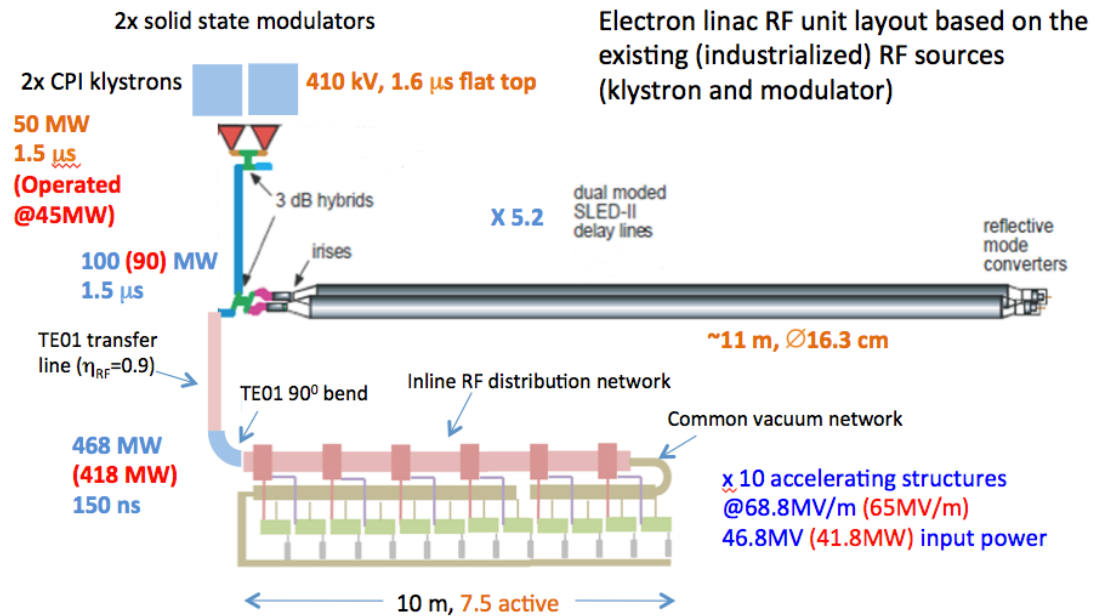
Module Design (CLIC)



- Five types of main linac modules
- Drive beam module is regular
- Most relevant components for the beam
 - accelerating structures
 - quadrupoles
 - beam position monitors (BPMs) and correctors

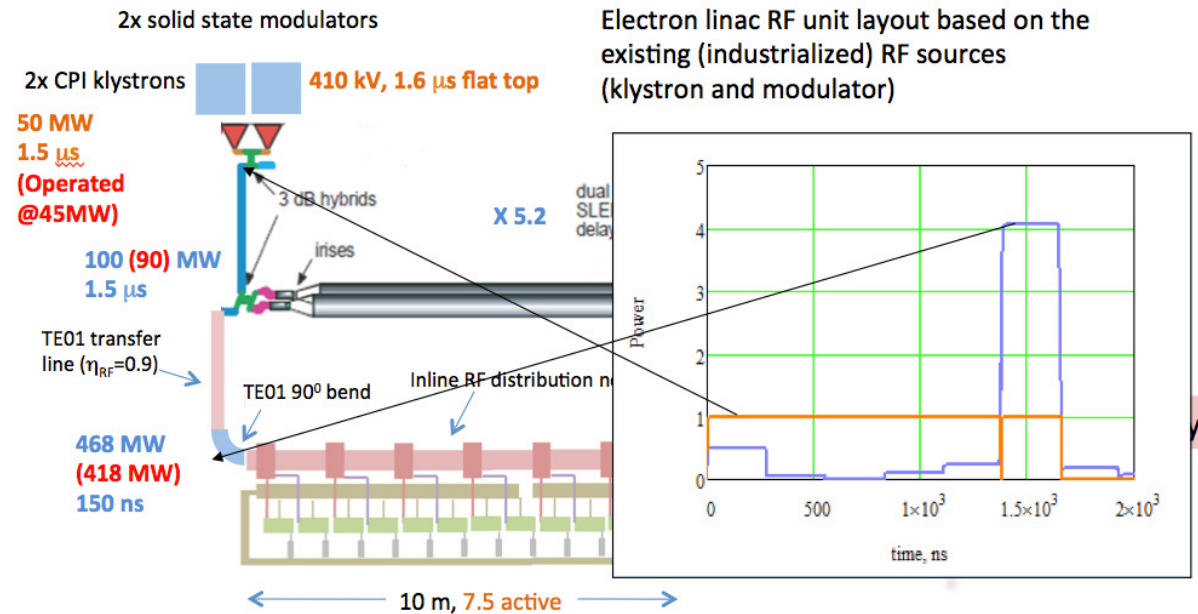
Klystron-based Normal Conducting Module

- Klystron Module for CLIC at low energy
- FEL module
 - could use single klystron per compressor



Klystron-based Normal Conducting Module

- Klystron Module for CLIC at low energy
- FEL module
 - could use single klystron per compressor



Why is the Main Linac Important?

- In linear colliders two main parameters that are important for the physics experiments
 - collision energy
 - luminosity, a measure for the rate of events at the interaction point
- The main linac is the main component to accelerate the beam
 - ⇒ it is responsible for the beam energy
 - the main relevant parameter is the accelerating gradient
- The main linac is the main consumer of power
 - ⇒ it is an important limitation for the beam current
 - the luminosity depends on the beam current
- The main linac is one of the main sources of emittance growth
 - ⇒ the emittance is a parameter that affects the luminosity
- There is a third parameter which the main linac affects very much, the cost
 - is the society willing to pay for it?

Cost Impact

- In ILC 60% of the cost is in the ML
- The long tunnel is expensive
 - and important for the schedule (tunnel boring machines)
- The installed components are expensive
- The linac drives other machine components
 - large damping rings in ILC to be able to store the full bunch train
 - drive beam complex in CLIC
- In FELs the linacs are also important cost items, e.g. 1/3 of the SWISSFEL



Luminosity Impact

- Use normal luminosity formula for LC

$$\mathcal{L} = H_D \frac{N^2}{4\pi\sigma_x\sigma_y} n_b f_r$$

- Rewrite as

$$\mathcal{L} = H_D \frac{N}{\sigma_x} n_b N f_r \frac{1}{\sigma_y}$$

- And find for classical beamstrahlung

$$\mathcal{L} \propto H_D n_\gamma \eta_{RF \rightarrow beam} \frac{P_{RF}}{E_{cm}} \frac{1}{\sigma_y}$$

- And for quantum beamstrahlung

$$\mathcal{L} \propto H_D \frac{n_\gamma^{3/2}}{\sqrt{\sigma_z}} \eta_{RF \rightarrow beam} \frac{P_{RF}}{E_{cm}} \frac{1}{\sigma_y}$$

- Remember

$$\sigma_y = \sqrt{\beta_y \epsilon_y / \gamma}$$

Some Fundamental Parameters

parameter	symbol	SLC	ILC	CLIC
centre of mass energy	E_{cm} [GeV]	92	500	3000
luminosity	\mathcal{L} [10^{34} cm ⁻² s ⁻¹]	0.0003	1.8	5.9
luminosity in peak	$\mathcal{L}_{0.01}$ [10^{34} cm ⁻² s ⁻¹]	0.0003	1.1	2
gradient	G [MV/m]	20	31.5	100
charge per bunch	N [10^9]	37	20	3.72
bunch length	σ_z [μ m]	1000	300	44
beam size	$\sigma_{x,y}$ [nm]	1700/600	474/5.9	40/1
vertical emittance	ϵ_y [nm]	3000	35	20
bunches per pulse	n_b	1	1312	312
distance between bunches	Δ_b [ns]	—	554	0.5
repetition frequency	f_r [Hz]	120	5	50
average beam power	[MW]		10.5	28
peak beam power	[GW]		2.9	3600

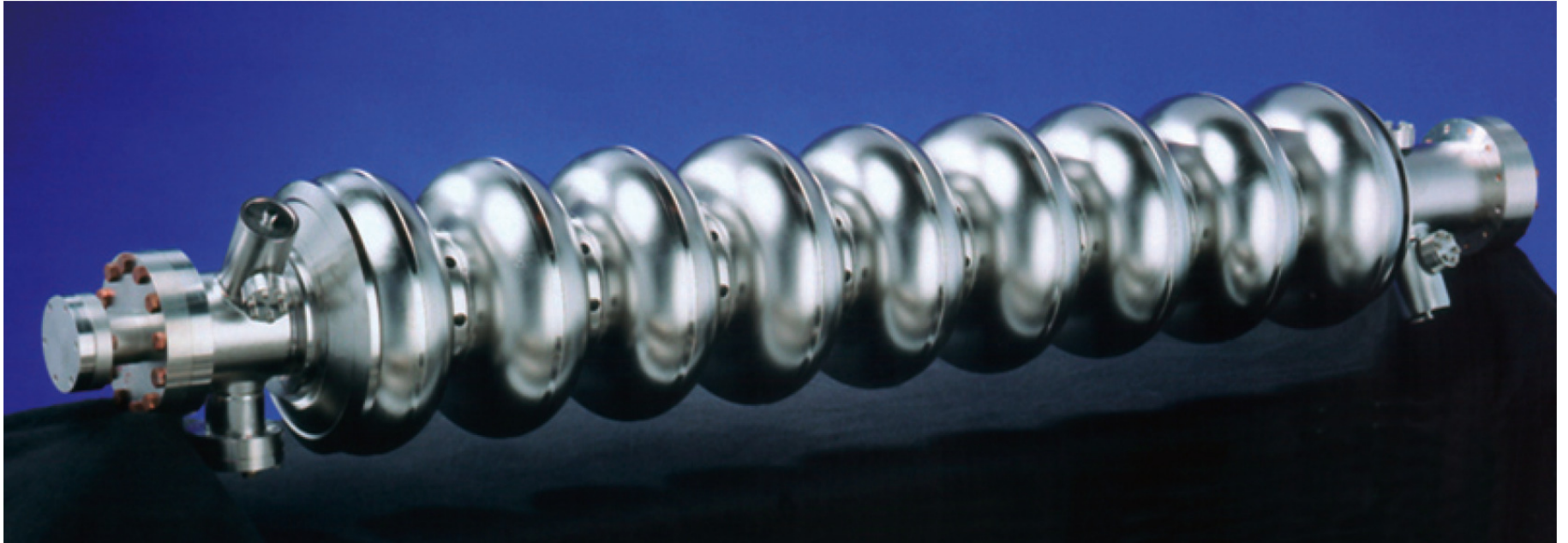
⇒ Beam Parameters are very different

- We will see that this is driven by the main linac

Accelerating Structures

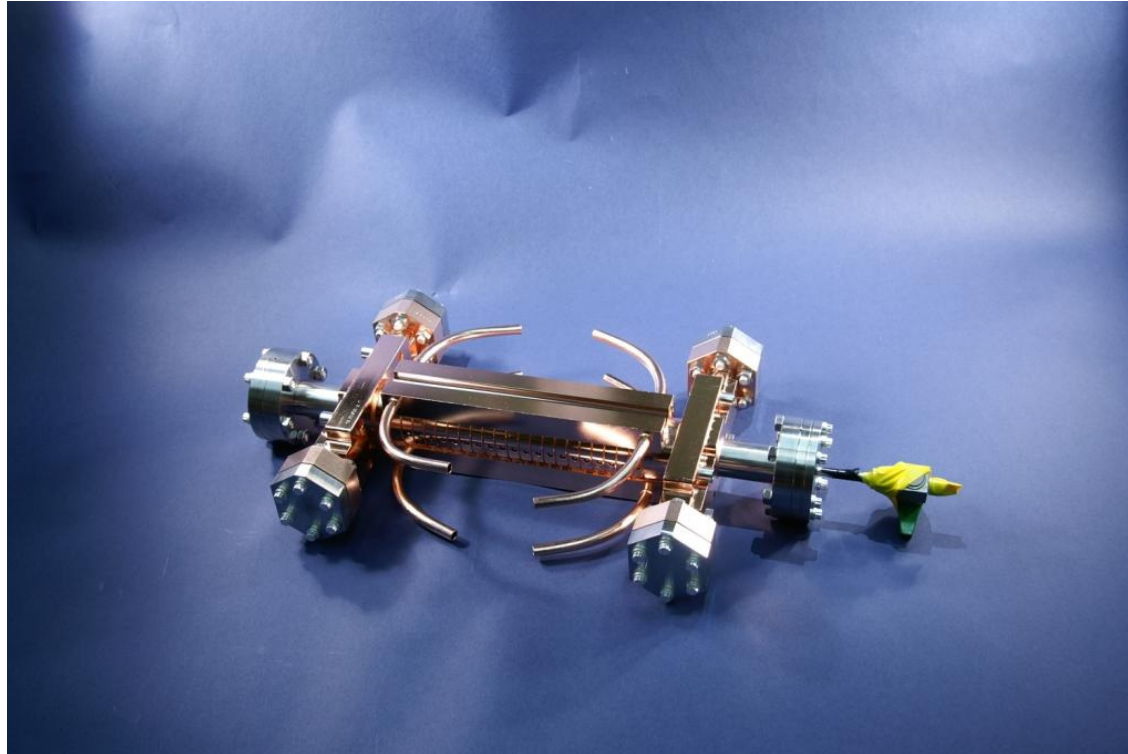


Accelerating Structure (ILC)



- About 1 m long cavity with 31.5 MV/m,
 - super-conducting
 - 1.3 GHz
 - standing wave
 - constant impedance

Accelerating Structure (CLIC)



- About 23 cm long structure with $G = 100 \text{ MV/m}$
 - normal-conducting
 - 12 GHz
 - travelling wave
 - constant gradient (almost)

Types of Structures

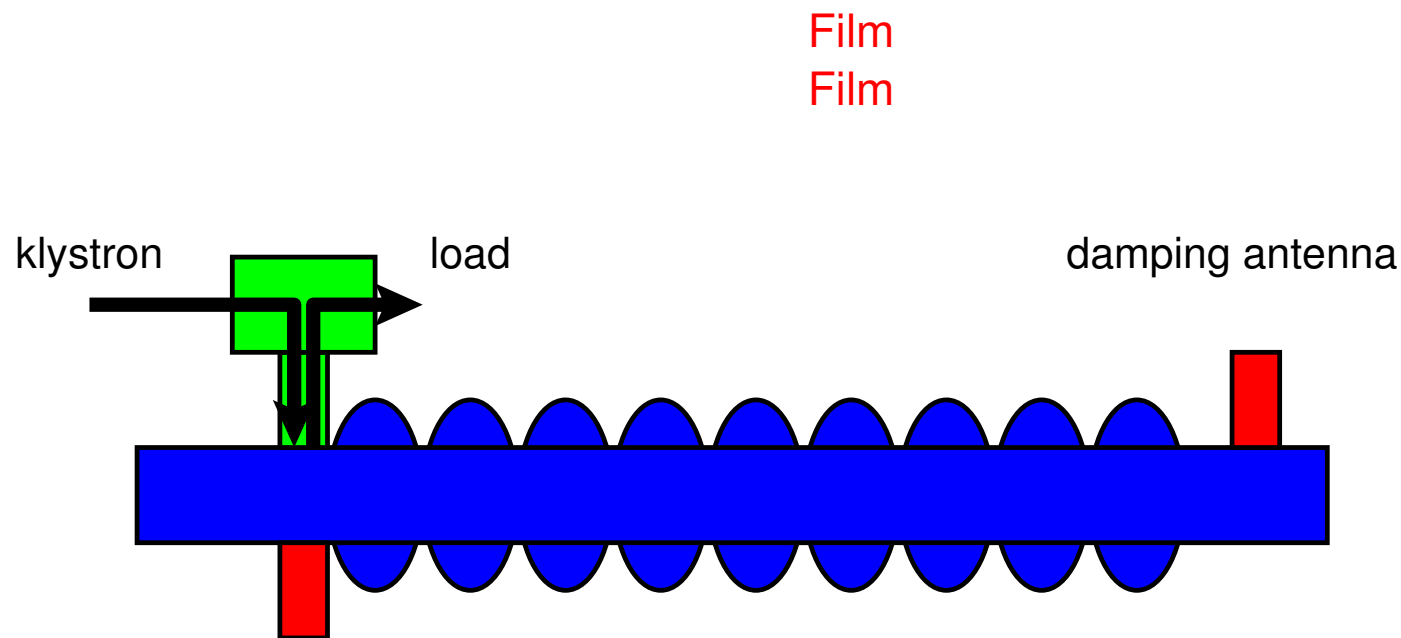
- Accelerating structures can be normal-conducting or super-conducting
 - in a super-conducting structure very little power is lost in the walls
 - in a normal conducting structure a significant power is lost in the walls (in most cases)
- They can be standing wave or travelling wave structures
 - in standing wave the energy is trapped and the RF wave is reflected at the ends creating the standing wave
 - in a travelling wave structure power is coupled into one end and extracted at the other
- They can be constant impedance structures or constant gradient structures (or something else)
 - all cells can be the same design or the design differs along the structure

Choice of Material

- The material is the most fundamental design choice
- Super-conducting structures
 - allow a small beam current
 - ⇒ low background per unit time in IP
 - ⇒ intra-pulse feedback is possible everywhere
- Normal conducting structures
 - allow for high gradient
 - ⇒ high centre-of-mass energy
 - need high beam current
 - ⇒ significant wakefield effects
 - use short pulses
 - ⇒ smaller damping ring

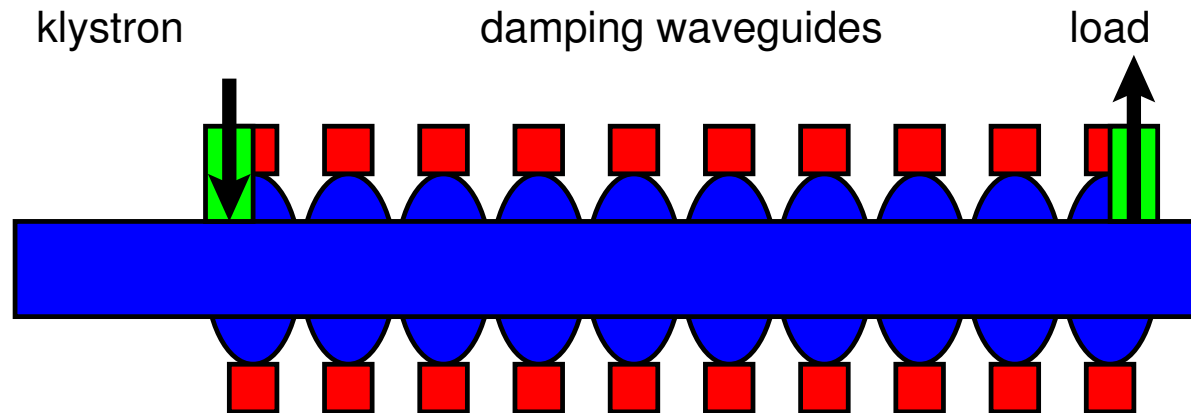
Standing Wave Structures

- The power is feed into one end
 - the power is reflected at the coupler
 - as the power in the cavity is increasing, the reflection is reduced
- there is a level when there is no reflection
 - ⇒ now switch on the beam



Travelling Wave Structures

- The power is feed into one end
 - no reflection if designed properly
- It slowly moves through the structure
 - group velocity is typically a few percent of the speed of light



Film

Choice of Structure Design

- In a super-conducting structure little power is lost in the wall
 - so can afford a small beam current
 - little power is extracted but over long times
 - natural choice is standing wave structures, to avoid all the power draining out at the end
 - no need to compensate extraction of energy along the structure
- For a normal conducting structure all four options (constant impedance/constant gradient and standing/travelling wave) could be used
 - for CLIC travelling wave, constant gradient structures have been chosen
 - travelling wave structures avoid recirculators to keep the energy in the structures
 - constant gradient allows to reach higher effective gradients

Choice of Frequency

- Obviously the frequency choice differs
 - CLIC: 12 GHz
 - ILC: 1.3 GHz
- So what drives the choice?
- ILC uses super-conducting structures
 - high frequencies lead to higher surface resistance
 - high frequencies lead to higher wakefield amplitudes $W_L \propto f^2$, $W_{\perp} \propto f^3$
 - a very low frequency makes the structures expensive (dimension $\propto \lambda$)

⇒ so a frequency with existing power sources has been picked
- CLIC uses normal-conducting structures
 - higher frequencies help in reaching high gradients
 - but also lead to higher wakefields

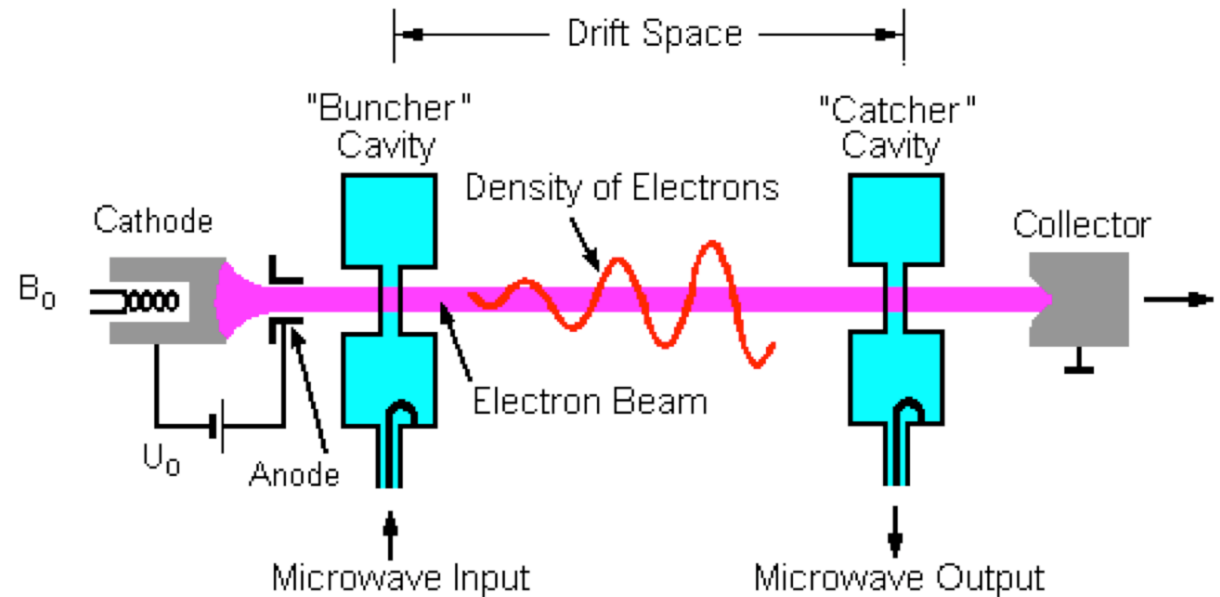
⇒ full optimisation of the design has been performed to achieve the lowest cost for a fixed energy and luminosity target

RF Power Generation



Klystron

- Usually the input RF power for the accelerating structures is provided by klystrons
- In ILC or superconducting FEL klystrons are used to directly power the main beam
- In CLIC they power the drive beam accelerator
 - only at low energy could use them in the main linac
- In normal conducting FEL would use klystrons and pulse compressors
- Klystrons tend to be more efficient at low frequencies and long pulses



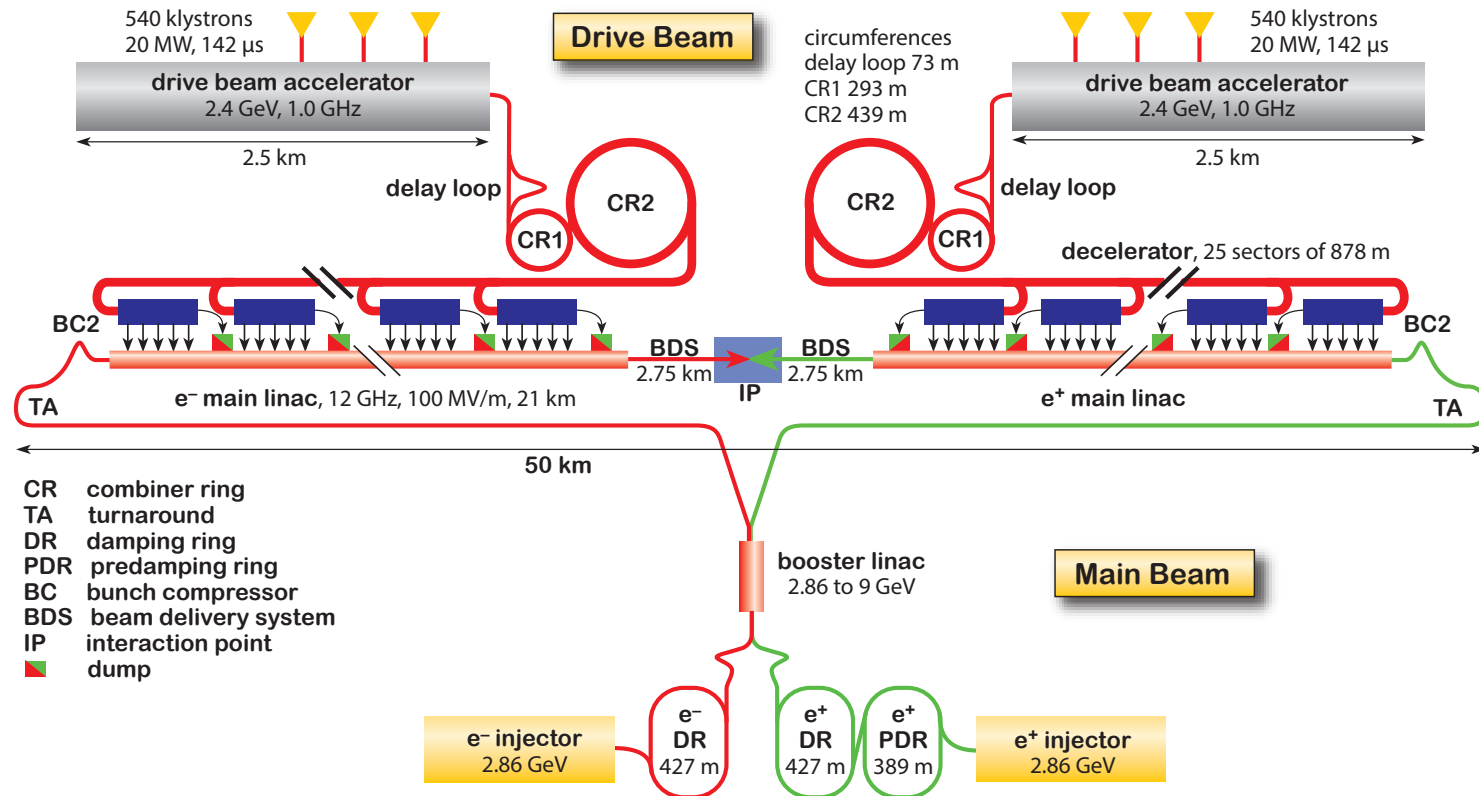
Power Needs

	ILC ML	CLIC ML	CLIC DB
Peak power/structure [MW]	0.2	61.3	20
Structures	16,000	140,000	1100
Total peak power [GW]	3	8,600	22
Pulse length [μs]	1,600	0.244	142

Note: Numbers are rounded, new CLIC drive beam numbers used

- Main cost drivers are peak power, average power and energy per pulse
 - the first make the klystron tough
 - the second the modulators
- Very short high peak power for CLIC main linac would be very expensive
 - ⇒ hence the drive beam scheme with reasonable klystron peak power
- For ILC and CLIC drive beam average power is important for cost
 - but there is nothing we can do to reduce it if we do not want to compromise luminosity
 - ILC klystrons: 1.3 GHz, 5×1.5 ms **at** 10 MW
 - CLIC drive beam klystrons: 1 GHz, 50×140 μs **at** 20 MW

Drive Beam (CLIC)



- Can see the CLIC drive beam complex as a single huge klystron
 - with a fancy pulse compression

Power Efficiency



Coordinate Systems

- We use two frames, the laboratory frame and the beam frame
- The nominal direction of motion of the beam is called s in the laboratory frame, the beam moves toward increasing s
- The same direction is called z in the beam frame, with smaller z moving ahead of particles with larger z
- A particle preserves its longitudinal position within the beam
- The transverse dimensions are x in the horizontal and y in the vertical plane, in both coordinate systems
- People use different systems so find out what they talk about

Beam Power

- Power consumption of the main linac is a prime consideration
 - electricity cost
 - equipment cost

- Examples of total beam power

- ILC

$$P_{beam} = 2n_b f_r N E \approx 11 \text{ MW}$$

- CLIC

$$P_{beam} \approx 28 \text{ MW}$$

- Wall plug power can be transformed into RF power with limited efficiency
- The efficiency of transforming RF power into beam power depends on
 - structure design
 - the gradient
 - the beam parameters
- The structures need to be cooled (especially in a super-conducting machine)

RF to Beam Power Efficiency

The RF to beam efficiency can be calculated looking at a single structure/cavity during the RF pulse

- Efficiency is

$$\eta_{RF \rightarrow beam} = \frac{\text{Energy taken by one beam pulse}}{\text{Energy in each RF pulse}}$$

Assuming constant RF pulse power we can calculate

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{RF}} \cdot \frac{P_{beam}}{P_{RF}}$$

P_{beam} is the power going into the beam during the beam pulse, P_{RF} is the RF power during the RF pulse

- We simplify

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \cdot \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$

Note what I call τ_{fill} contains several components of which the fill time is the most important; RF experts will learn more

RF to Beam Power Efficiency

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \cdot \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$

- RF pulse needs to be longer than beam pulse in order to fill the structures with energy before the beam arrives
- In a super-conducting cavity
 - little RF power is lost in the walls during the pulse
 - but the cooling requires some significant overhead
 - some cooling is also needed against heating from the environment

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}}$$

- In normal conducting structures
 - A significant fraction of the RF power is lost into the walls
 - some power will be draining out of the travelling wave structure (usually)

$$\eta_{RF \rightarrow beam} = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \cdot \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$

Shunt Impedance R and P_{loss}

Note: the concept of shunt impedance will be important for all efficiency effects

The field in a structure induces losses in the walls

The loss is described by R , the shunt impedance, defined as

$$R = \frac{\text{effective voltage}^2}{\text{ohmic power loss}} = \frac{V^2}{P_{loss}} = \frac{(GL)^2}{P_{loss}}$$

Note: the impedance is here given in “Linac Ohms” , in “Circuit Ohms” the number would be only 50%: 1”Linac Ohm”= 0.5”Circuit Ohm”

So one obtains easily the power

$$P_{loss} = \frac{(GL)^2}{R}$$

⇒ High R means little losses

Losses vs. Acceleration

Power loss per unit length in the wall

$$P'_{loss} = \frac{G^2}{R'}$$

R' is shunt impedance per unit length
The ratio is

$$\frac{P'_{beam}}{P'_{loss}} = \textcolor{red}{R'} \frac{\textcolor{blue}{I}}{\textcolor{green}{G}}$$

Power per unit length given to the beam

$$P'_{beam} = IG$$

⇒ For high efficiency want

- **lower gradient G**
 - **higher current I**
 - **higher shunt impedance R'**
- The average beam current is determined by the luminosity goal
 - The machines are pulsed to increase the beam current while the RF is on
 - So what limits the shunt impedance and the beam current?

Shunt Impedance

The shunt impedance R depends on three main factors

- structure geometry
- structure material
- RF frequency

The energy stored in the structure is only a function of the geometry

- all energy is in the vacuum
- described by R/Q (and ω)

The rate of losses depends on the surface material, the shape and the RF frequency

- material is most important
- described by Q

Hence, the value of R can be written as

$$R = \frac{R}{Q} Q$$

Stored Energy R/Q

- We can simply calculate R/Q

$$R = \frac{\text{effective voltage}^2}{\text{ohmic power loss}} = \frac{(GL)^2}{P_{loss}}$$

$$Q = \frac{\text{stored energy}}{\text{ohmic energy loss per radian of RF circle}} = \frac{E}{P_{loss}}\omega$$

- Hence

$$(R/Q) = \frac{(GL)^2}{P_{loss}} \frac{P}{E\omega} = \frac{(GL)^2}{E\omega}$$

so one can calculate

$$E = \frac{(GL)^2}{(R/Q)\omega}$$

⇒ The structure geometry defines R/Q and does not depend on the material

Remark: Scaling of R/Q

The structure geometry defines

$$\left(\frac{R}{Q}\right) = \frac{(GL)^2}{E\omega}$$

Energy in the structure (same gradient) scales with the volume

$$E \propto \lambda^3$$

the energy gain GL scales with

$$GL \propto \lambda$$

and the frequency ω as

$$\omega = 1/\lambda$$

Hence

$$\Rightarrow \frac{R}{Q} = \frac{(GL)^2}{E} \frac{1}{\omega} \propto \frac{\lambda^2 \lambda}{\lambda^3 1} = \text{const}$$

A typical value for superconducting cavities is 110Ω per cell

Quality Factor Q

- The internal quality factor Q (here the same as Q_0) is defined as

$$Q = \frac{\text{stored energy}}{\text{ohmic energy loss per radian of RF circle}} = \frac{E}{P_{loss}} \omega$$

this allows to easily write the decay of the energy due to ohmic losses

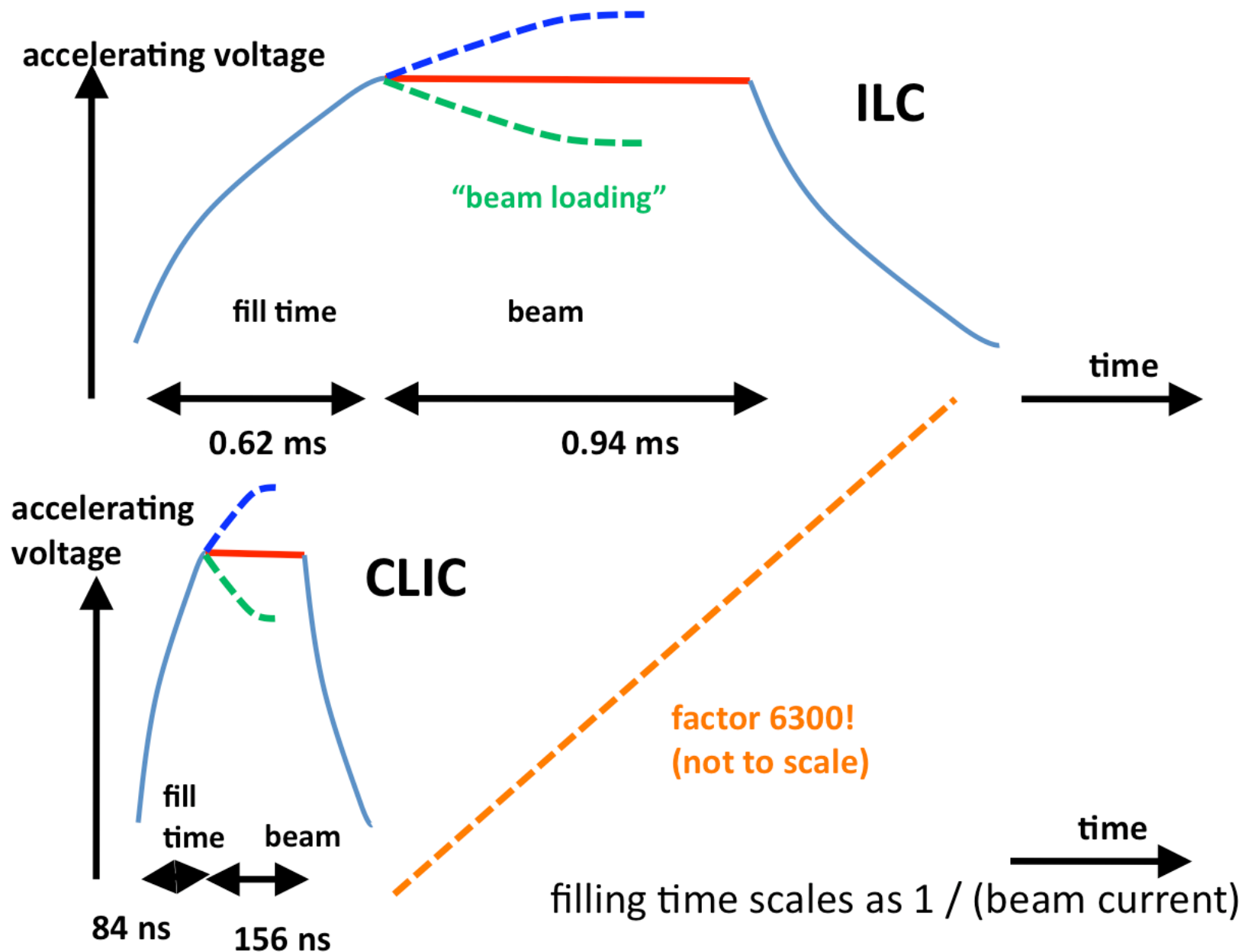
$$E(t) = E_0 \exp(-\omega t / Q)$$

⇒ High Q indicates little losses

Example values are

- $O(10^{10})$ for superconducting
 - $O(10^4)$ for normal conducting structures
- Scaling is
 - $\propto \omega^{-2}$ for superconducting structures (but upper limit from other resistivity)
 - $\propto \sqrt{\omega^{-1}}$ for normal conducting structures

Required RF Pulse Length (Outdated Numbers)



Filling a Standing Wave Cavity

- Once filled, the energy should be kept in the cavity

⇒ can only allow little coupling to the outside, i.e. large Q_E

$$E(t) = E(t_0) \exp\left(-\frac{t - t_0}{Q_E} \omega\right) \quad G(t) = G(t_0) \exp\left(-\frac{t - t_0}{2Q_E} \omega\right)$$

⇒ RF power sent to the structure can be reflected

⇒ So we need to match the coupling to have no reflection at nominal gradient

- First we chose the input power to correspond to the power extracted by the beam (neglecting losses in the wall)

$$P_{in} = G_{target} L I_{beam}$$

Filling a Standing Wave Cavity (cont.)

- Now we determine the required coupling Q_E

The reflected voltage for input power P_{in} is given by

$$V_{refl} = \sqrt{aP_{in}}$$

The stored energy causes a power flow in direction of the reflected wave

$$P_{cavity} = \frac{E\omega}{Q_E}$$

This causes a field outside of the coupler iris

$$V_{out} = -\sqrt{aP_{out}}$$

This yields the voltage for the load V_{load} :

$$V_{load} = V_{refl} + V_{out} = \sqrt{aP_{in}} - \sqrt{a\frac{E_{target}}{Q_E}\omega}$$

In order to have no power going to the load we require

$$\begin{aligned} V_{load} &= 0 \\ \Rightarrow P_{in} &= P_{out} = \frac{E_{target}}{Q_E}\omega \\ \Rightarrow Q_E &= \frac{E_{target}}{P_{in}}\omega \end{aligned}$$

Filling a Standing Wave Cavity (cont.)

- Now we calculate the fill time

To simplify, we define

$$t_c = \frac{E_{target}}{P_{in}}$$

We will not go through the calculation here but present the result

The gradient in the structure is given by

$$G = 2G_{target} \left(1 - \exp \left(-\frac{t}{2t_c} \right) \right)$$

Hence the target gradient is reached after the fill time t_{fill} :

$$t_{fill} = \ln(4)t_c$$

Filling A Travelling Wave Cavity

- In a travelling wave, normal conducting structure the fill time is the time for an energy to flow from input coupler to output coupler
 - in principle need to add rise time (but for RF experts)
- ⇒ get your number from the RF expert
- We will discuss the wakefield view of the beam loading to understand
 - reason for output power
 - beam loading compensation

Passage of a Particle

- A particle in the structure will

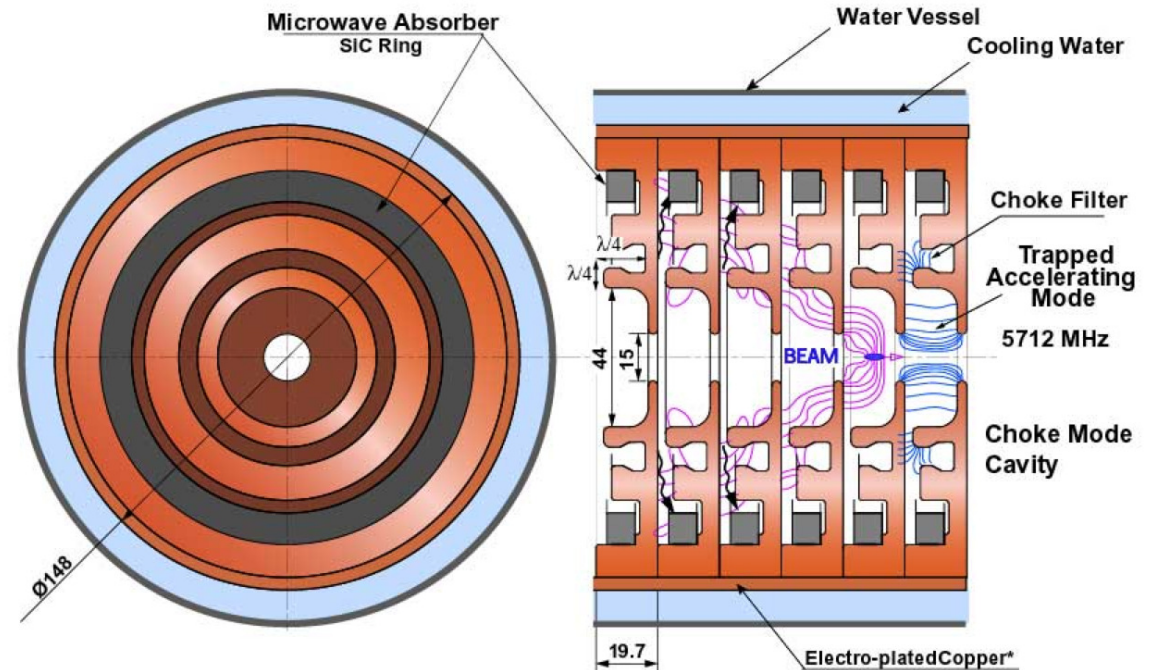
⇒ extract or leave energy
(depending on energy
in structure)

- induce electromagnetic
wakefields

⇒ cosine-like longi-
tudinal (monopole)
and sine-like trans-
verse (dipole) modes
for offset driving
particles

⇒ the wakefield does
not depend on the
energy in the struc-
ture

- The longitudinal wakefield $W_L(z)$ expresses the average acceleration of a particle at time z along the structure $[V/mC]$
- The transverse wakefield $W_{\perp}(z)$ expresses the average transverse deflection of a particle at time z along the structure $[V/m^2C]$



Wakefield

- The field seen by a following particle depends on the time and position along the structure

$$G_{wake}(s, z)$$

- For most purposes we average this field for the passage through the structure
- A bunch with charge Ne and transverse offset δ is followed at distance z by a witness electron

- Energy change is $\Delta P_L c \approx \Delta E = Ne W_L(z) L e$

- Transverse deflection $\Delta P_\perp c = Ne W_\perp(z) L \delta e$

- Analytic longitudinal wake for iris radius a
- Analytic transverse wake

$$W_L(z \rightarrow 0) = \frac{Z_0 c}{\pi a^2}$$

$$W_\perp(z \rightarrow 0) = \frac{2Z_0 c}{\pi a^4} z$$

- For larger distances one has to perform simulations

Wakefield and Power Extraction

- Why can a wakefield model be used for the beam loading?

- i.e.

$$\Delta G(q) = \text{const } q$$

- The energy stored per unit length in the accelerating structure is

$$E'(s) = \frac{G(s)^2}{(R'/Q)(s)\omega}$$

- The reduction of accelerating field due to the passing charge q is $-\Delta G(s)$
- This yields for the energy lost by the structure

$$\Delta E'_{lost}(s) = \frac{G^2(s) - (G(s) - \Delta G(s))^2}{(R'/Q)(s)\omega} \Rightarrow \Delta E'_{lost}(s) = \frac{2G(s)\Delta G(s) - (\Delta G(s))^2}{(R'/Q)(s)\omega}$$

- The beam extracts an energy

$$\Delta E'_{beam}(s) = q \left(G(s) - \frac{1}{2} \Delta G(s) \right)$$

hence

$$\begin{aligned} q \left(G(s) - \frac{1}{2} \Delta G(s) \right) &= \frac{2G(s)\Delta G(s) - (\Delta G(s))^2}{(R'/Q)(s)\omega} \\ \Rightarrow \Delta G(s) &= \frac{(R'/Q)(s)\omega}{2} q \end{aligned}$$

\Rightarrow The gradient change depends only on the charge not the initial gradient, as expected

- Note: I simplified a bit (sorry, but this is easier with cheating)

Beam Loading in Travelling Wave Structure

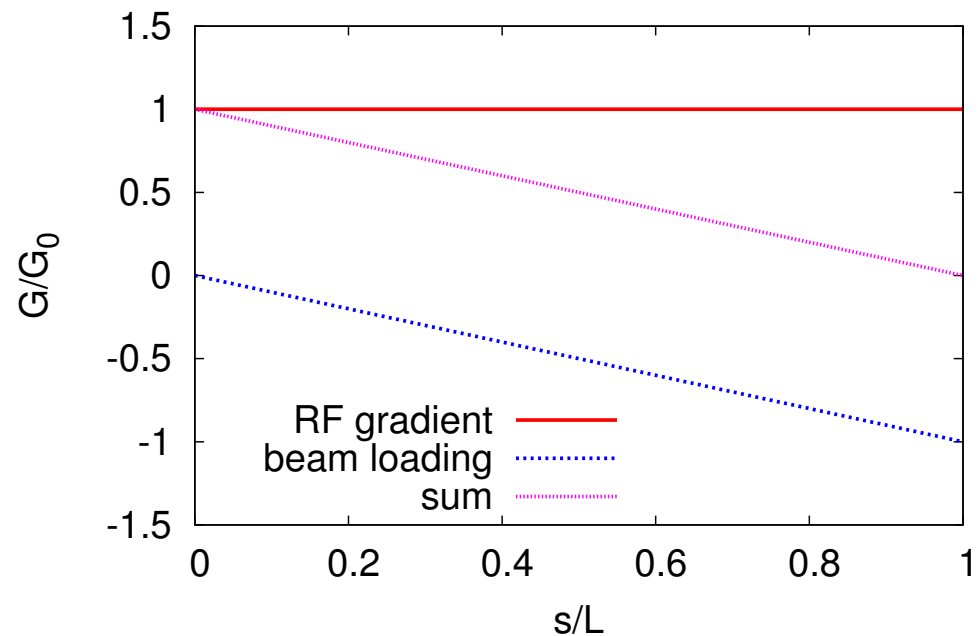
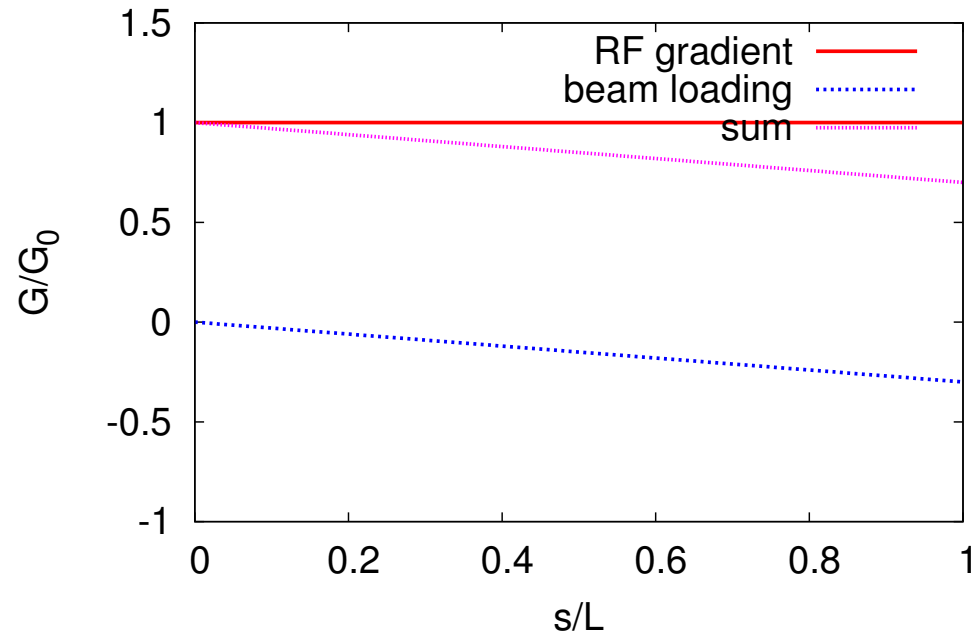
- Consider constant impedance, $Q = \infty$
 - Field induced by passing bunch is moving forward
 - as is external RF
- ⇒ beam loading fields build up along the structure

- The RF loses power in the wall

⇒ The gradient decreases along the structure

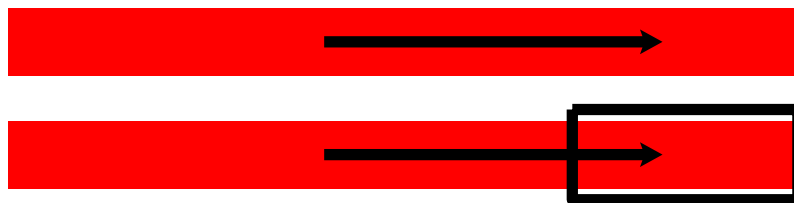
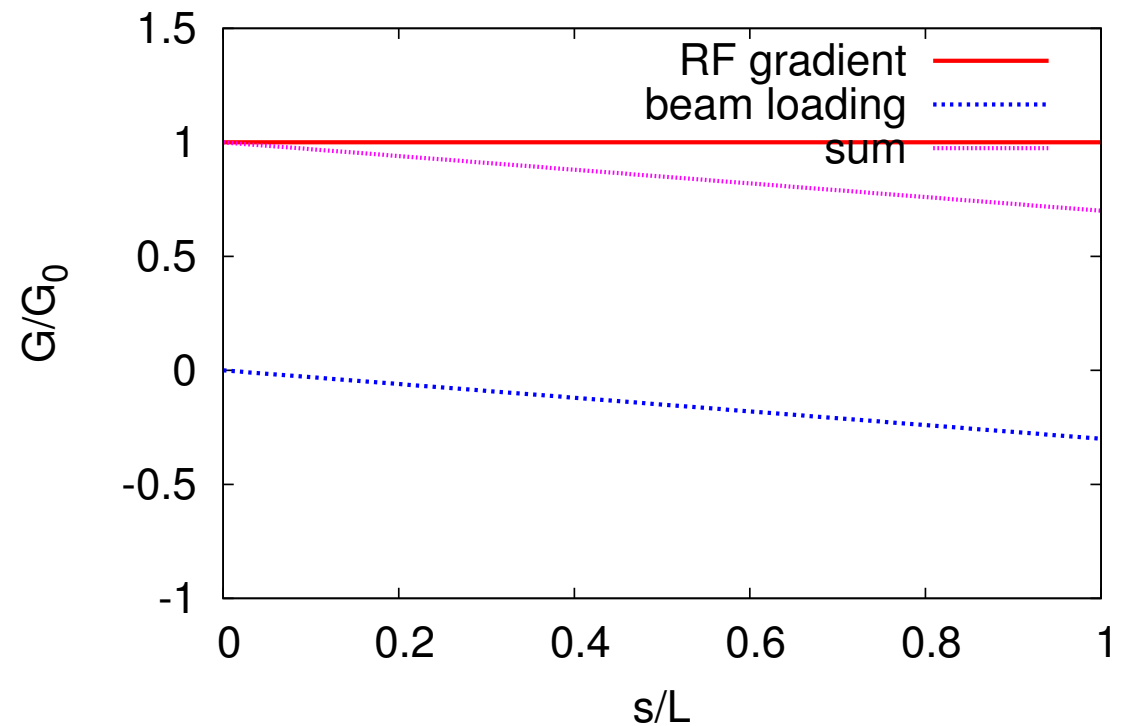
Film

- Warning: simplified flying sausage model, not strictly correct but good for some understanding



Beam Loading Compensation

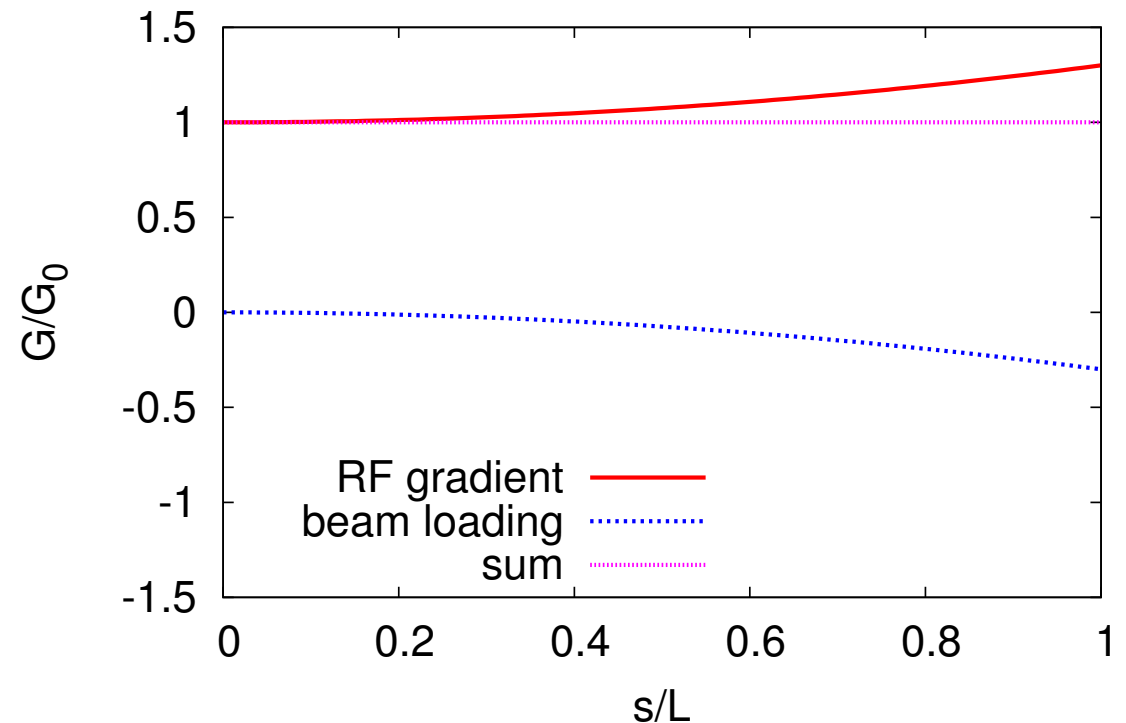
- Constant impedance example with losses into the walls
 - The first bunch sees no beam loading
- ⇒ We need to shape the RF pulse accordingly



Film

Structure Tapering

- By decreasing the along the structure iris radius the local R/Q increases
- ⇒ The unloaded gradient increases along the structure
- ⇒ The loaded gradient remains constant
- In practice we have to ensure that the RF constraints are fulfilled in each cell
- Note: beam loading could reduce breakdown rate

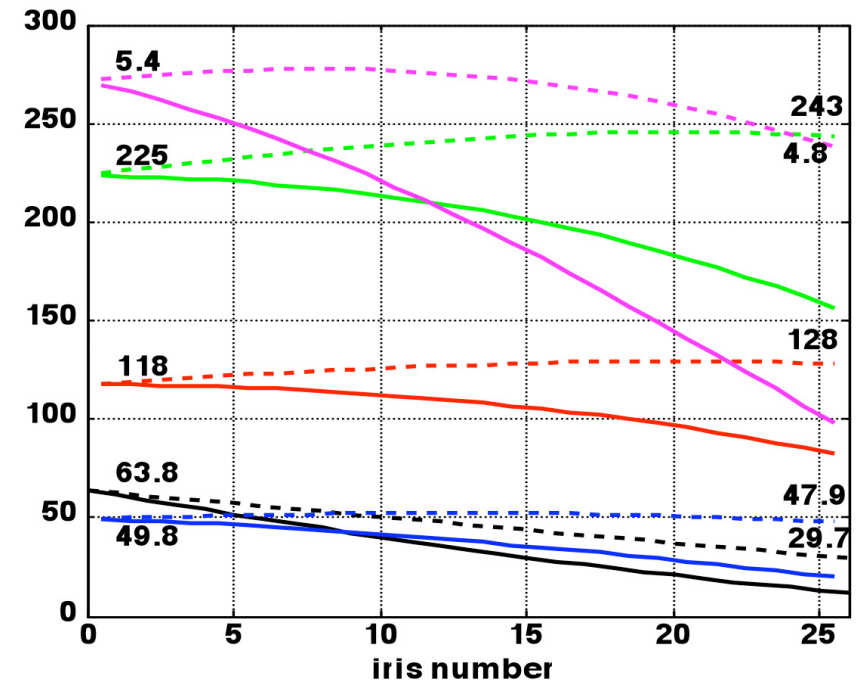


- Note: in CLIC about 20% of the RF power are lost in the loads during the flat top

Film

Constant Impedance vs. Constant Gradient

- In a travelling wave structure, the beam extracts energy during its passage
⇒ the gradient will be lower at the end of the structure
- This can be avoided by reducing the iris radius along the structure (tapering)
 - the smaller irises produce more gradient per power flowing through them
- An additional difference exists for the long-range transverse wakefields
 - in a constant impedance structure one strong wakefield mode exists
 - in a tapered structure many small modes exist which reduces the effective wakefield



RF to Beam Power Efficiency Summary

parameter	CLIC	ILC (RDR)
R'/Q	$\approx 11 \text{ k}\Omega/\text{m}$	$1.036 \text{ k}\Omega/\text{m}$
Q	≈ 6000	$\approx 10^{10}$
R'	$\approx 66 \text{ M}\Omega/\text{m}$	$\approx 10^7 \text{ M}\Omega/\text{m}$

• ILC: $I \approx 5.8 \text{ mA}$

\Rightarrow

$$\frac{P'_{beam}}{P'_{wall}} \approx 1650$$

• CLIC: $I \approx 1.2 \text{ A}$

\Rightarrow

$$\frac{P'_{beam}}{P'_{wall}} \approx 0.8$$

- Efficiency is

$$\eta = \frac{\tau_{beam}}{\tau_{beam} + \tau_{fill}} \frac{P_{beam}}{P_{beam} + P_{loss} + P_{out}}$$

- Plugging in numbers for ILC

$$\eta \approx \frac{730 \mu\text{s}}{730 \mu\text{s} + 900 \mu\text{s}} \approx 0.45$$

- Plugging in (slightly older) numbers for CLIC

$$\eta = \frac{156 \text{ ns}}{156 \text{ ns} + 83 \text{ ns}} \cdot \frac{27 \text{ MW}}{27 \text{ MW} + 25 \text{ MW} + 12 \text{ MW}} \approx 0.65 \cdot 0.42 \approx 0.277$$

Remark: Drive Beam Accelerator

- High current at low gradient allows high efficiency

$$\frac{P'_{beam}}{P'_{wall}} = \frac{R'I}{G}$$

- Acceleration at low frequency is efficient

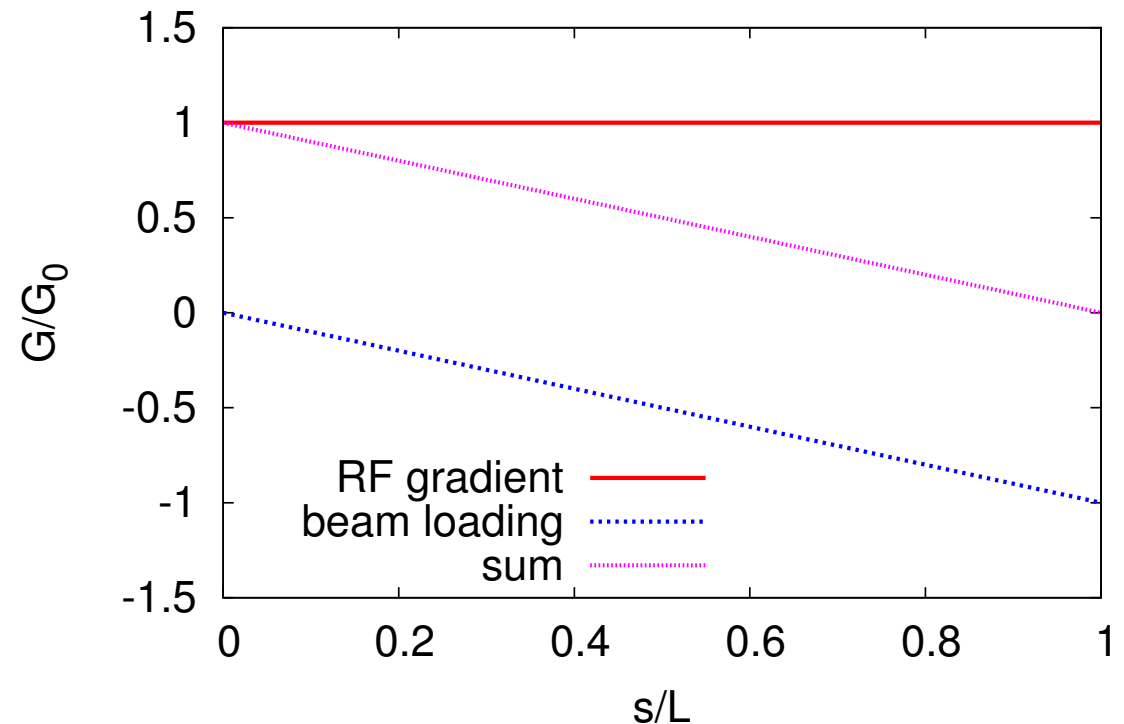
- Q is high $Q \propto 1/\sqrt{\omega}$

- klystrons are efficient

- In CLIC $\eta \approx 97.5\%$ expected

- Structure needs to be long enough not to have power leaking out

$$G = G_{RF} + G_{BL} \quad G = \frac{1}{2}G_{RF}$$
$$G_{BL} \propto LI$$



ILC Limiting Factors for Efficiency

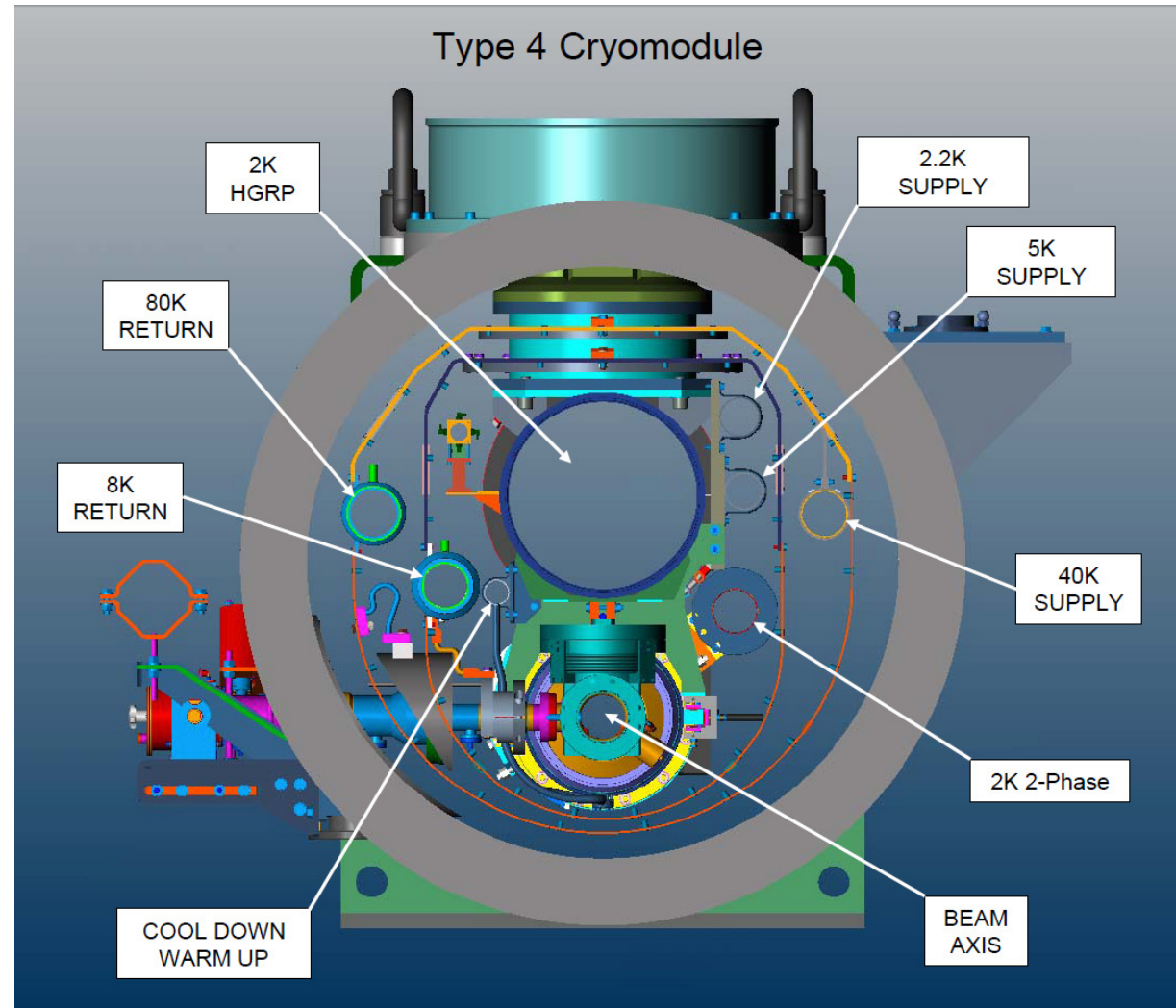
- The transfer of RF to the beam is almost perfect during the pulse
- The main power consumption is for the cooling
 - to cool 1 W at 2 K requires about 700 W

remember Carnot process, in best case

$$\frac{P_{cool}}{P_{source}} \geq \frac{T_2 - T_1}{T_1}$$

- Additionally a number of other sources exist
 - higher order modes induced by the beam
 - static losses through the cryostat

⇒ Cooling power is about twice the beam power (35 kW)



	40–80 K		5–8 K		2 K		Total
	Static	Dynamic	Static	Dynamic	Static	Dynamic	
Heat load (W)	177.6	270.3	31.7	12.5	5.1	29.0	
Installed power (kW)	4.4	6.2	9.6	3.5	8.1	28.5	60.4

Superconducting CW Operation

- Can easily calculate for ILC that operating CW leads to a total heat load of 1.5 MW
 - this requires 1 GW of power to cool
- ⇒ need the pulsed operation
- In an FEL need less final energy
 - can afford a lower gradient
 - which may also increase the Q
- ⇒ CW operation is interesting
 - no losses due to the filling time

CLIC Limiting Factors for the Efficiency

- A lower gradient G
 - leads to a longer main linac hence to higher cost
 - requires reducing the current
- A higher shunt impedance R'
 - leads usually to larger wakefields also in the transverse hence to a less stable beam
- A higher beam current I
 - leads to a less stable beam
- An optimisation can be performed of the whole machine
 - varying G and R' and adjusting the current to the highest possible value
 - selecting the best combination taking into account luminosity and cost
- This optimisation has indeed been performed for CLIC
 - ⇒ let us see which is the highest current for a given structure and gradient

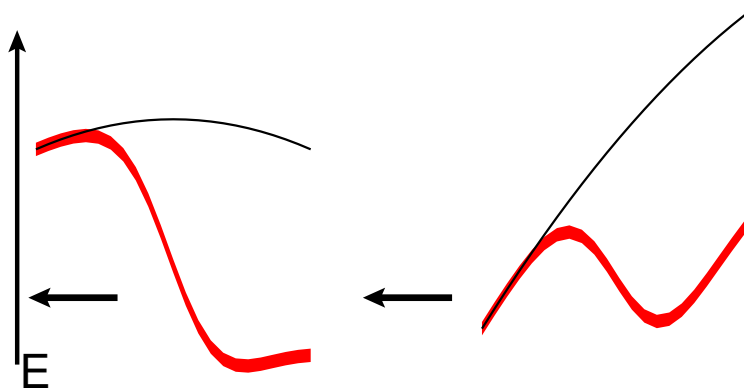
Beam Parameters: Longitudinal Wake and Bunch Charge Limits

Correlating bunch length and charge



Wakefields and Bunch Length

- Aim for shortest possible bunch to reduce transverse wakefield effects
- Energy spread into the beam delivery system should be limited to about 1% full width or 0.35% rms
- Multi-bunch beam loading compensated by RF
- Single bunch longitudinal wakefield needs to be compensated
⇒ accelerate off-crest



- Limit around average $\Delta\Phi \leq 12^\circ$
⇒ $\sigma_z = 44 \mu\text{m}$ for $N = 3.72 \times 10$

Specific Wakefields

- Longitudinal wakefields contain more than the fundamental mode

- We will use wakefields based on fits derived by Karl Bane

l length of the cell

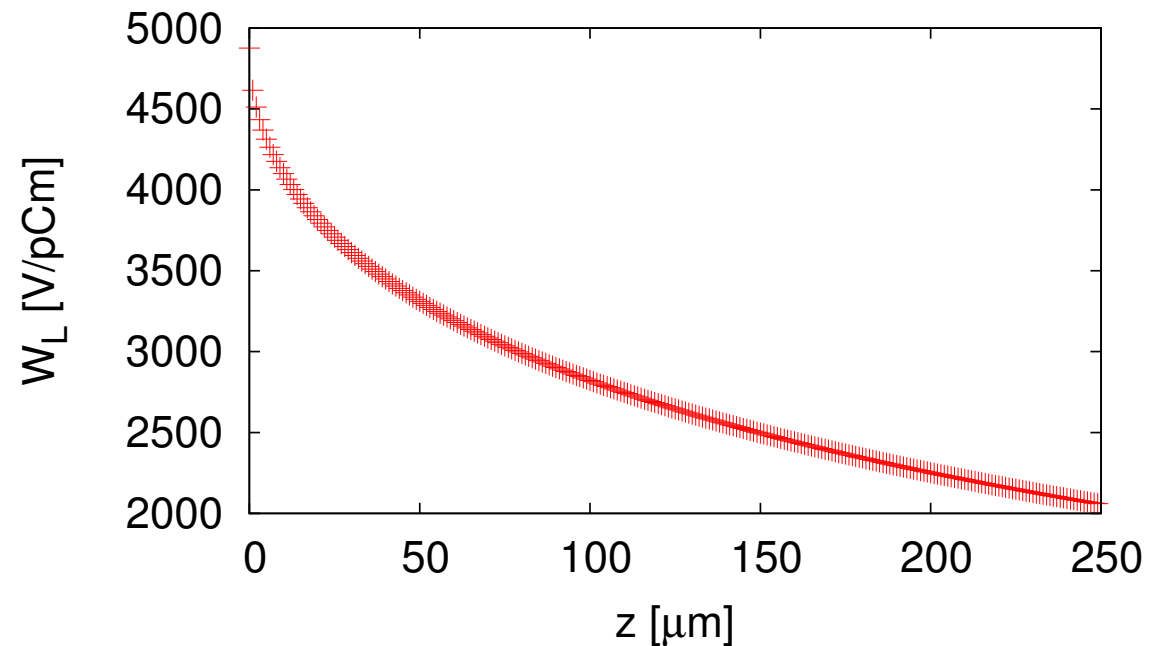
a radius of the iris aperture

g length between irises

$$z_0 = 0.41a^{1.8}g^{1.6}\left(\frac{1}{l}\right)^{2.4}$$

$$W_L(z) = \frac{Z_0 c}{\pi a^2} \exp\left(-\sqrt{\frac{z}{z_0}}\right)$$

- Use CLIC structure parameters

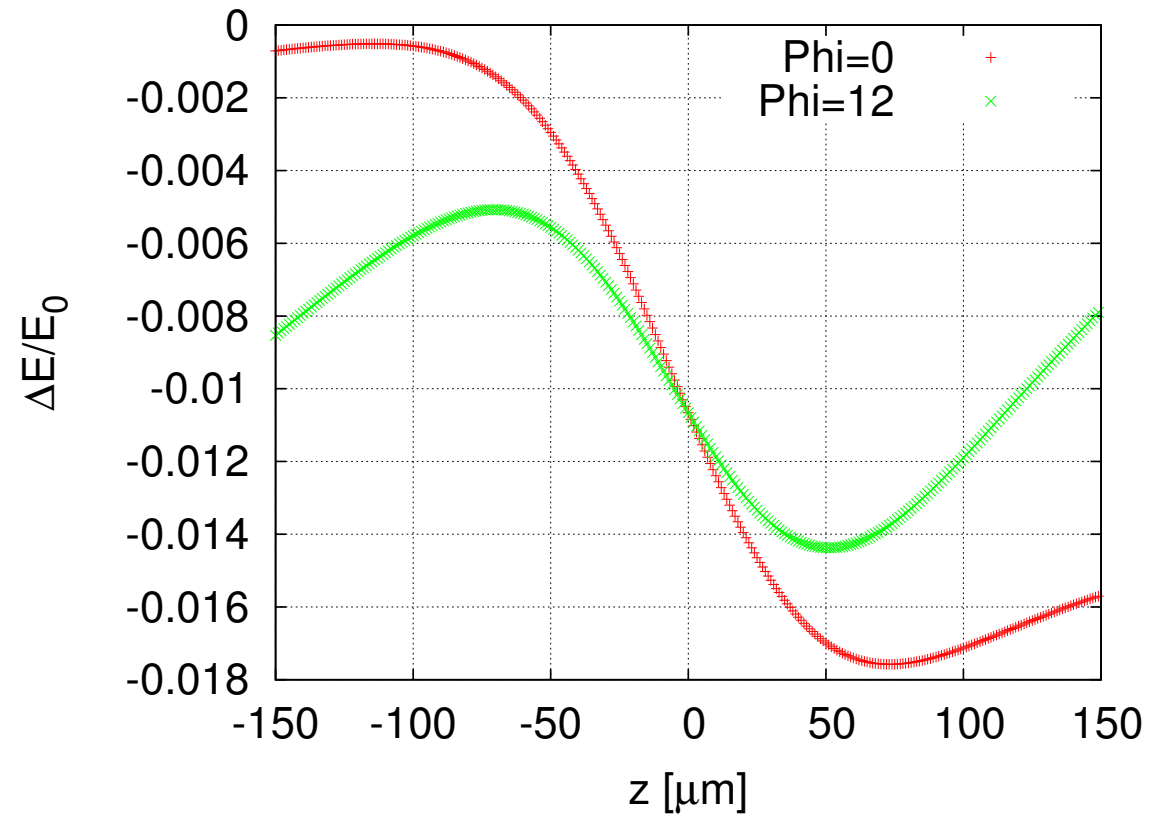


- Summation of an infinite number of cosine-like modes
 - calculation in time domain or approximations for high frequency modes

Energy Spread at End of Linac

- We use a constant RF phase along the linac
- Have to fold the longitudinal wakefield with bunch charge distribution

$$\delta G(z_0) = \int_{-\infty}^{z_0} \rho(z) W_L(z_0 - z) dz$$



Recipe for Choosing the Bunch Parameters

- Decide on the average RF phase
 - OK, we fix 12°
 - smaller values give less bunch charge, larger values give more sensitivity to phase jitter
- Decide on an acceptable energy spread at the end of the linac
 - OK, we choose 0.35%
 - mainly from BDS and physics requirements
- Determine $\sigma_z(N)$
 - choose a bunch charge
 - vary the bunch length until the final energy spread is acceptable
 - choose next charge
- Determine which bunch charge (and corresponding bunch length) can be transported stably

Simplified Treatment

Assume

- $W_z(s) = W_z = \text{const}$
- uniform bunch with length $L \ll \lambda$
- and use linear approximation

Field seen by first particle

$$G_H = G \cos\left(\phi - \frac{L}{2} \frac{2\pi}{\lambda}\right) \approx G \left(\cos(\phi) - \frac{L}{2} \frac{2\pi}{\lambda} \sin(\phi) \right)$$

Field seen by last particle

$$G_T = G \cos\left(\phi + \frac{L}{2} \frac{2\pi}{\lambda}\right) \approx G \left(\cos(\phi) + \frac{L}{2} \frac{2\pi}{\lambda} \sin(\phi) \right) - NeW_z$$

We require (this automatically solves the equation for all other particles)

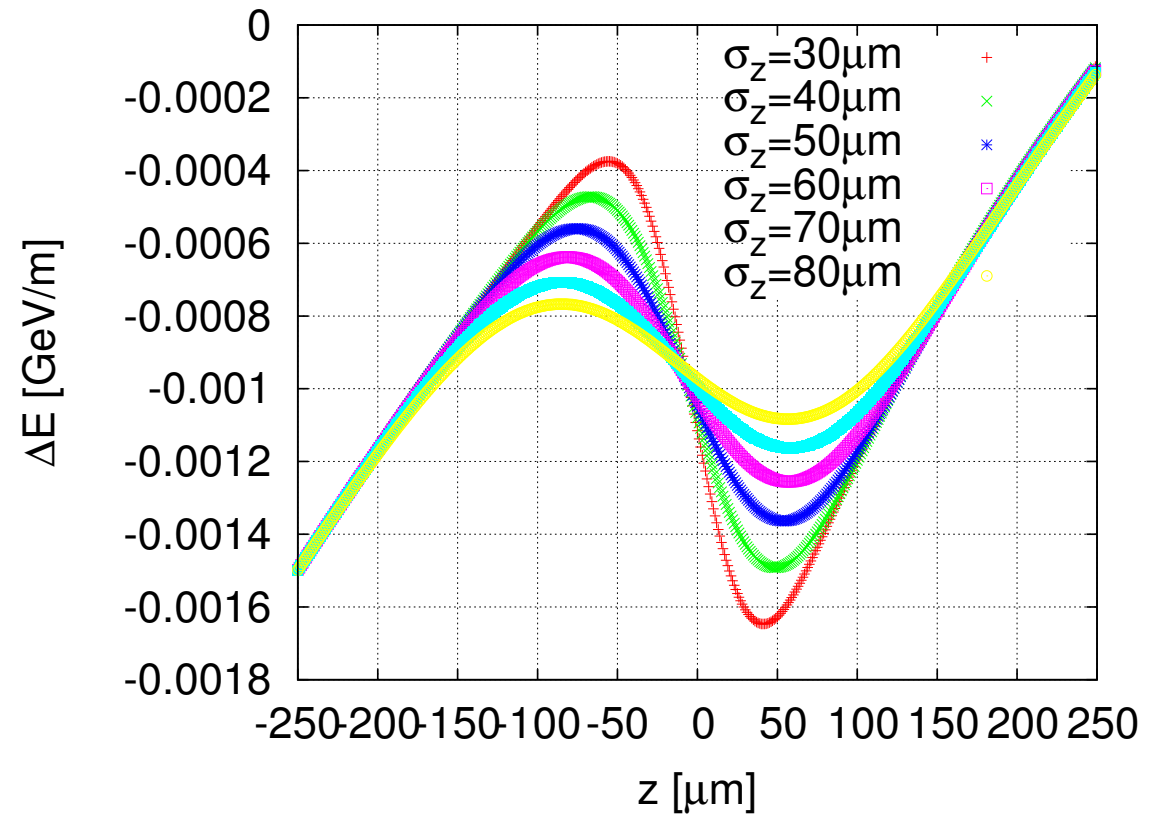
$$G_H = G_T$$

which leads to

$$L = \frac{NeW_z}{G} \frac{\lambda}{2\pi \sin(\phi)}$$

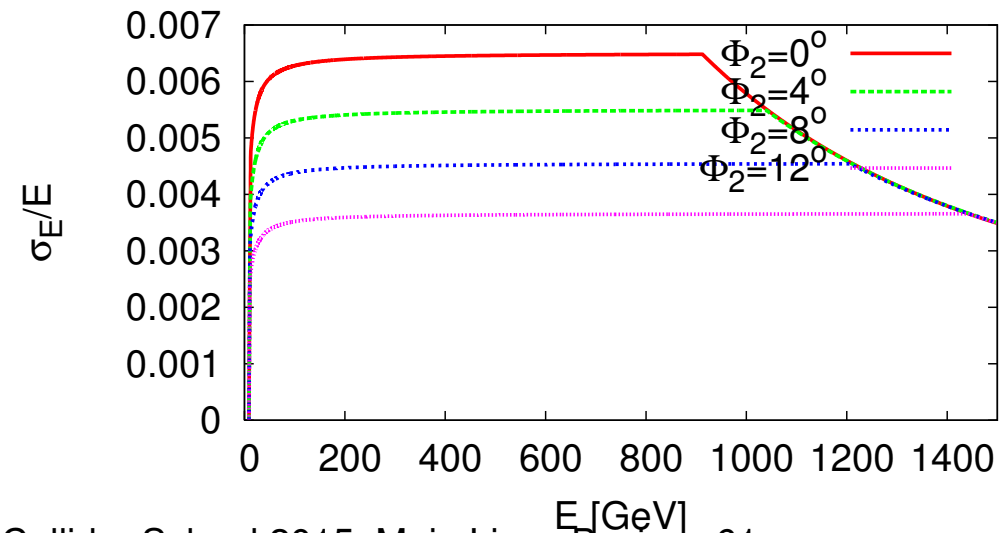
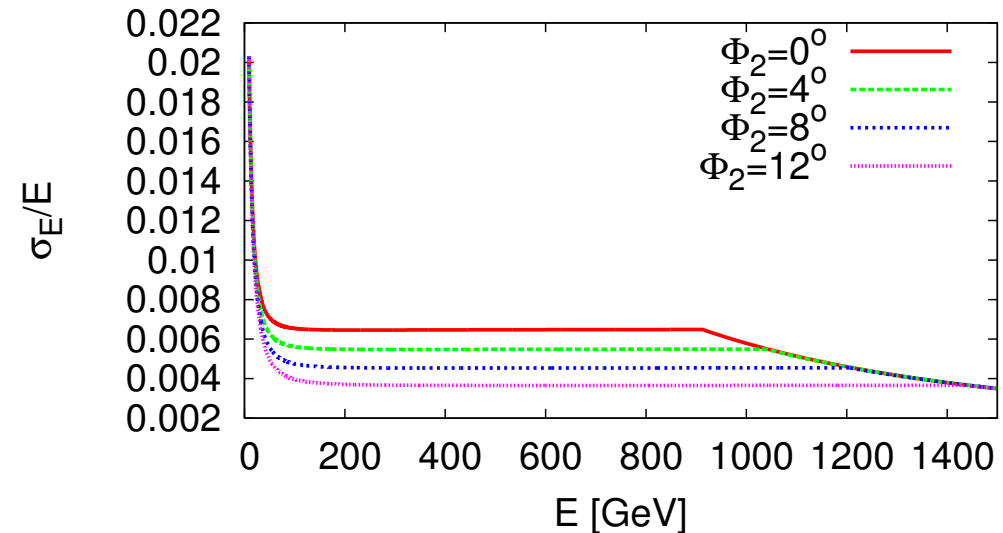
Dependence of Energy Spread on Bunch Length

- For a given charge and phase the bunch length is varied



Note: Energy Spread Along Linac

- Three regions
 - generate
 - maintain
 - compress
- Configurations are named according to RF phase in section 2
- Trade-off in fixed lattice
 - large energy spread is more stable
 - small energy spread is better for alignment



Beam Parameters: Beam Transport and Emittance

Know $\sigma_z(N)$ but current limit will depend on wakefields and lattice design, important problem



Emittance

- The beam particles do not have identical coordinates
 - they occupy some phase space
- According to Liouville theorem (from the Liouville equation)

$$\frac{d\rho}{dt} = \frac{\partial \rho}{\partial t} + \sum_{i=1}^N \left[\frac{\partial \rho}{\partial q_i} \dot{q}_i + \frac{\partial \rho}{\partial p_i} \dot{p}_i \right] = 0$$

the density in phase space around a trajectory remains constant in an unperturbed system

- For some reason particles are conventionally not described by (x, y, z, p_x, p_y, p_z) but by (x, y, z, x', y', E)
 - \Rightarrow in this representation the “phase space” changes
- We use the emittance to describe the phase space volume
 - geometric emittance is the actual size in $x \ x'$ and changes with acceleration
 - the normalised emittance is size in $x \ x'$ for $\gamma = 1$ and is constant

Why is the Emittance Important?

- The luminosity can be written as

$$\mathcal{L} = H_D \frac{N^2 n_b f_r}{4\pi \sigma_x^* \sigma_y^*}$$

H_D a factor usually between 1 and 2, due to the beam-beam forces

N the number of particles per bunch

n_b the number of bunches per beam pulse (train)

f_r the frequency of trains

σ_x^* and σ_y^* the transverse dimensions at the interaction point

- We will see that $\sigma_{x,y}$ can be written as the function of two parameters

$$\sigma_{x,y} = \sqrt{\frac{\beta_{x,y} \epsilon_{x,y}}{\gamma}}$$

$\epsilon_{x,y}$ is the normalised emittance, a beam property

$\beta_{x,y}$ is the beta-function, a lattice property

Main Linac Emittance Growth

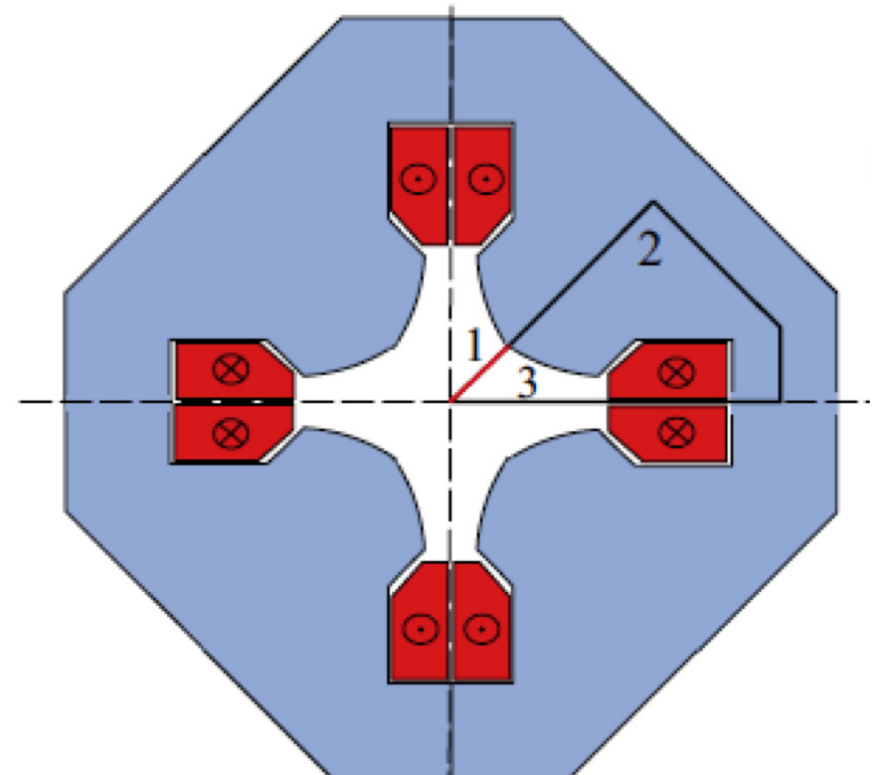
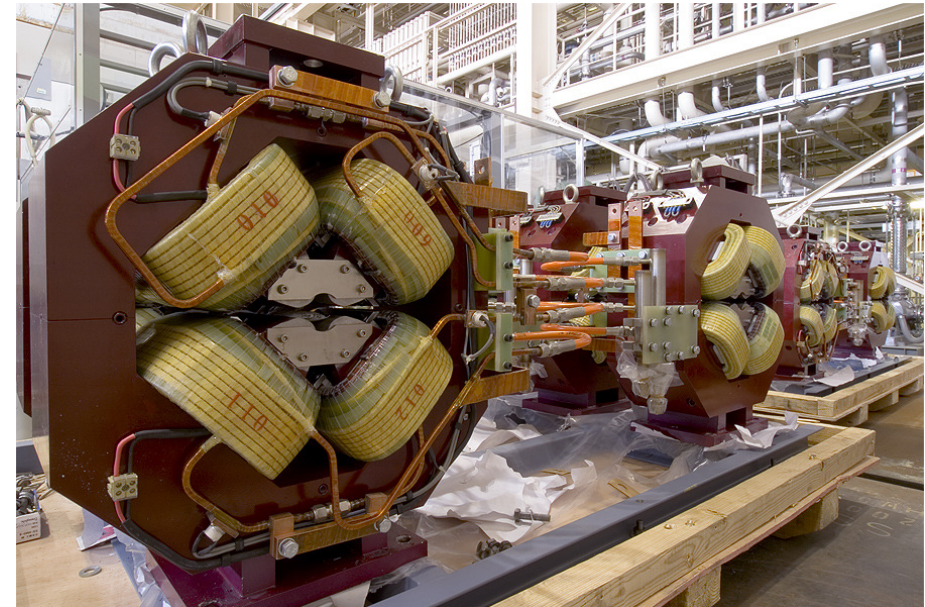
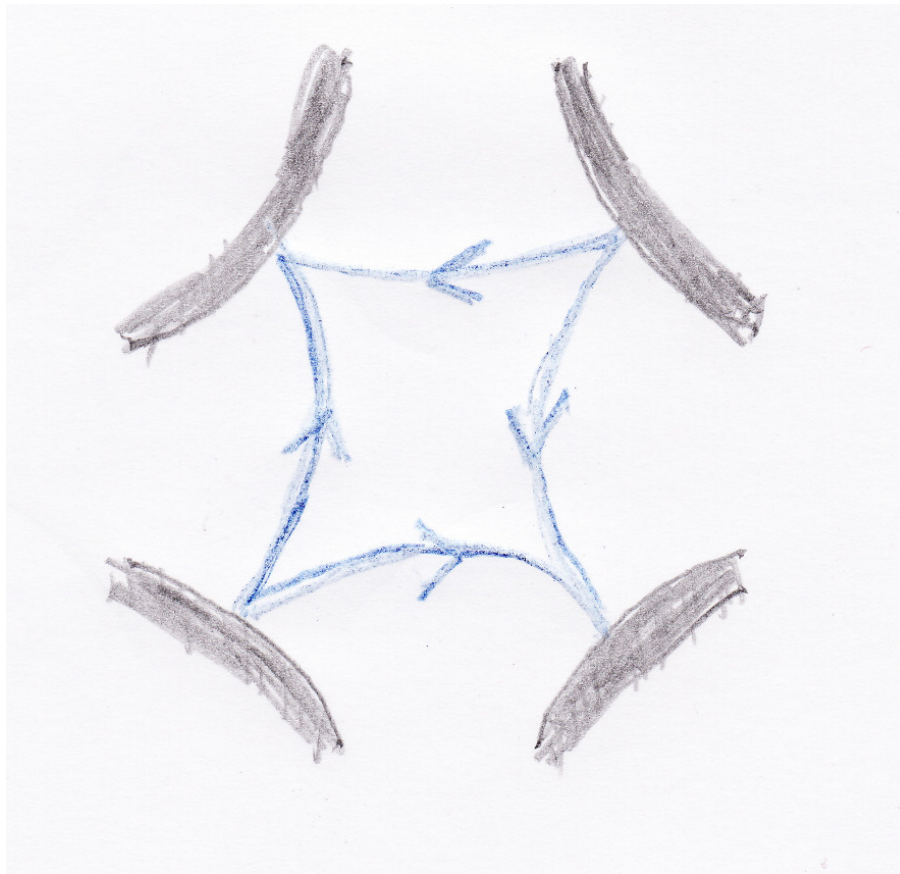
- The vertical emittance is most important since it is much smaller than the horizontal one (10 nm vs. 600 nm, 24 nm vs. 8400 nm)
- For a perfect implementation of the machine the main linac emittance growth would be negligible
- Two main sources of emittance growth exist
 - static imperfections
 - dynamic imperfections
- The emittance growth budget is 5 nm for static imperfections
 - i.e. 90% of the machines must be better
- For dynamic imperfections the budget is 5 nm
 - but short term fluctuation must be smaller to avoid problems with luminosity tuning

Low Emittance Transport Challenges

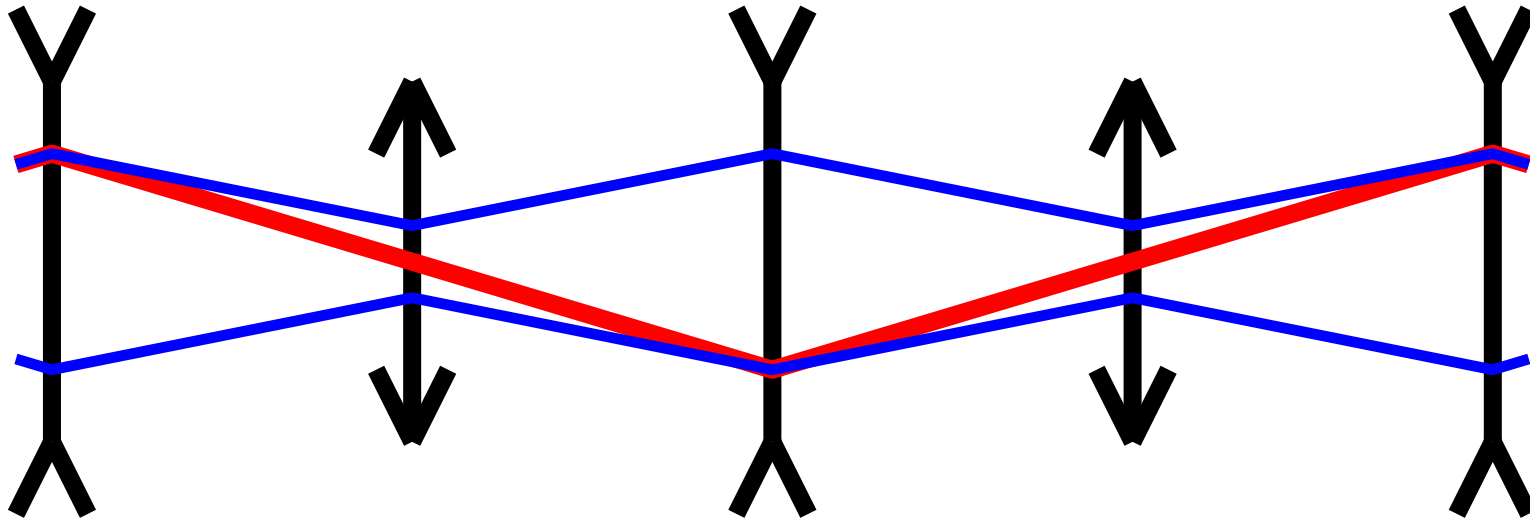
- Beam stability
 - incoming beam can jitter (have small offsets) and become unstable
 - lattice design, choice of beam parameters
- Static imperfections
 - errors of reference line, elements to reference line, elements. . .
 - excellent pre-alignment, lattice design, beam-based alignment, beam-based tuning
- Dynamic imperfections
 - element jitter, RF jitter, ground motion, beam jitter, electronic noise, . . .
 - lattice design, BNS damping, component stabilisation, feedback, re-tuning, re-alignment
- Combination of dynamic and static imperfections can be severe
- Lattice design needs to balance dynamic and static effects

Guiding the Beams: Quadrupoles

- The focusing is provided by quadrupoles
- They focus in one plane but defocus in the other planes
 - octapoles would focus in x and y but defocus in the planes at 45°
 - also their magnetic field is not linear



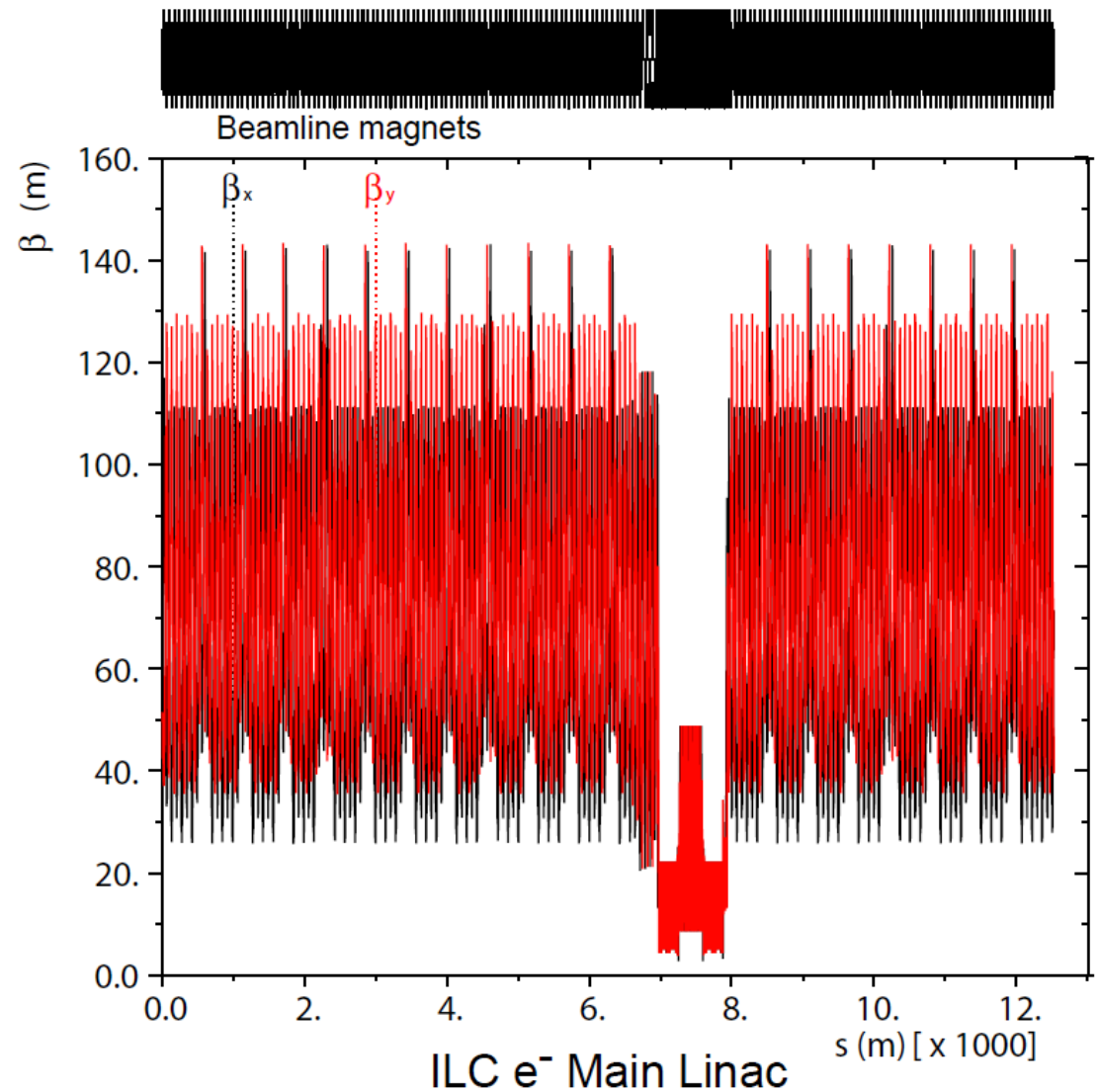
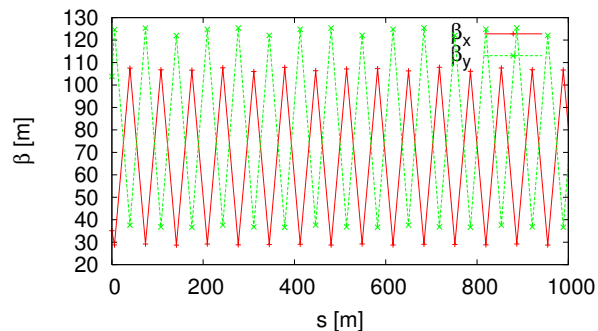
FODO Lattice



- Focusing is achieved by alternating focusing and defocusing quadrupoles

ILC Lattice

- In the ILC constant quadrupole spacing is chosen
- The phase advance per cell is constant
- The phase advance is different in the two planes
 - reduces some coupling effects between the two planes

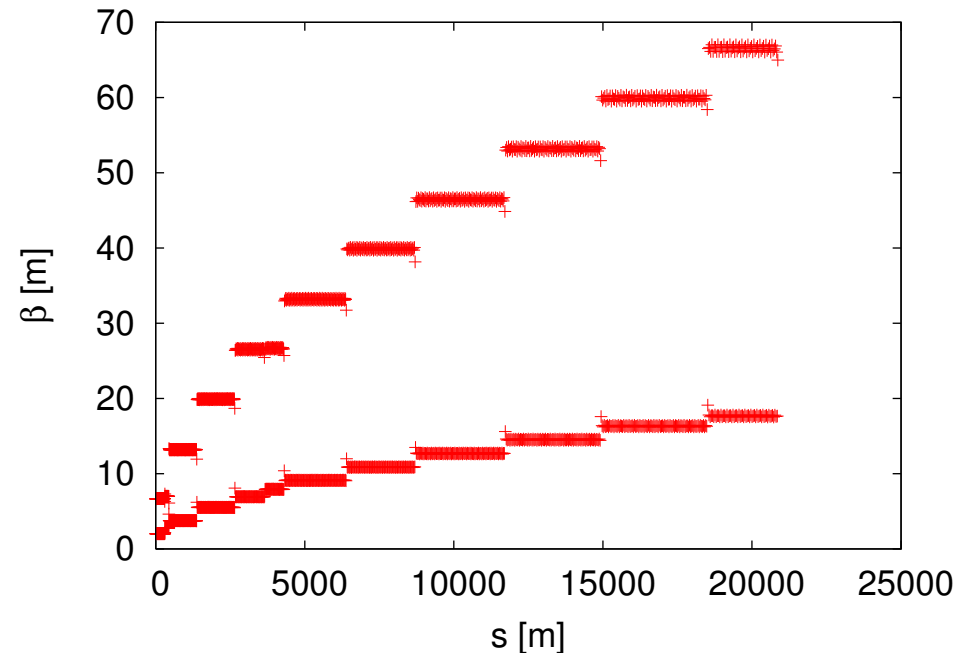


CLIC Lattice Design

- Use strong focusing (small β) to stabilise beam
 - 10% of linac are quadrupoles
- Used $\beta \propto \sqrt{E}$, $\Delta\Phi = \text{const}$
 - Quadrupole spacing and length scale as \sqrt{E}

\Rightarrow roughly constant fill factor

 - phase advance is chosen to balance between wakefield and ground motion effects
- Total length 20867.6m
 - fill factor 78.6%



- 12 different sectors used
- Matching between sectors using 7 quadrupoles to allow for some energy bandwidth

Note: fill factor = active length/total length

Hill's Equation and Beta-Functions

- In many interesting cases the particle motion can be described by Hill's equation

$$x''(s) + K(s)x(s) = 0$$

i.e. a harmonic oscillator with varying spring constant

The solutions for this equation can be formulated as

$$x(s) = \sqrt{\epsilon\beta(s)} \cos(\phi(s) + \phi_0)$$
$$x'(s) = \sqrt{\frac{\epsilon}{\beta(s)}} \left[\frac{\beta'}{2} \cos(\phi(s) + \phi_0) - \sin(\phi(s) + \phi_0) \right]$$

where

$$\phi(s) = \int_0^s \frac{1}{\beta(s')} ds'$$

and β has to fulfill

$$\frac{\beta''\beta}{2} - \frac{\beta'^2}{4} + K\beta^2 = 1$$

- The solution can be easily verified
- It depends partially on the particle (ϵ, ϕ_0) and partially on the lattice (β)

Phase Space Representation

$$x(s) = \sqrt{\epsilon\beta(s)} \cos(\phi(s) + \phi_0)$$

$$x'(s) = \sqrt{\frac{\epsilon}{\beta(s)}} \left[\frac{\beta'(s)}{2} \cos(\phi(s) + \phi_0) - \sin(\phi(s) + \phi_0) \right]$$

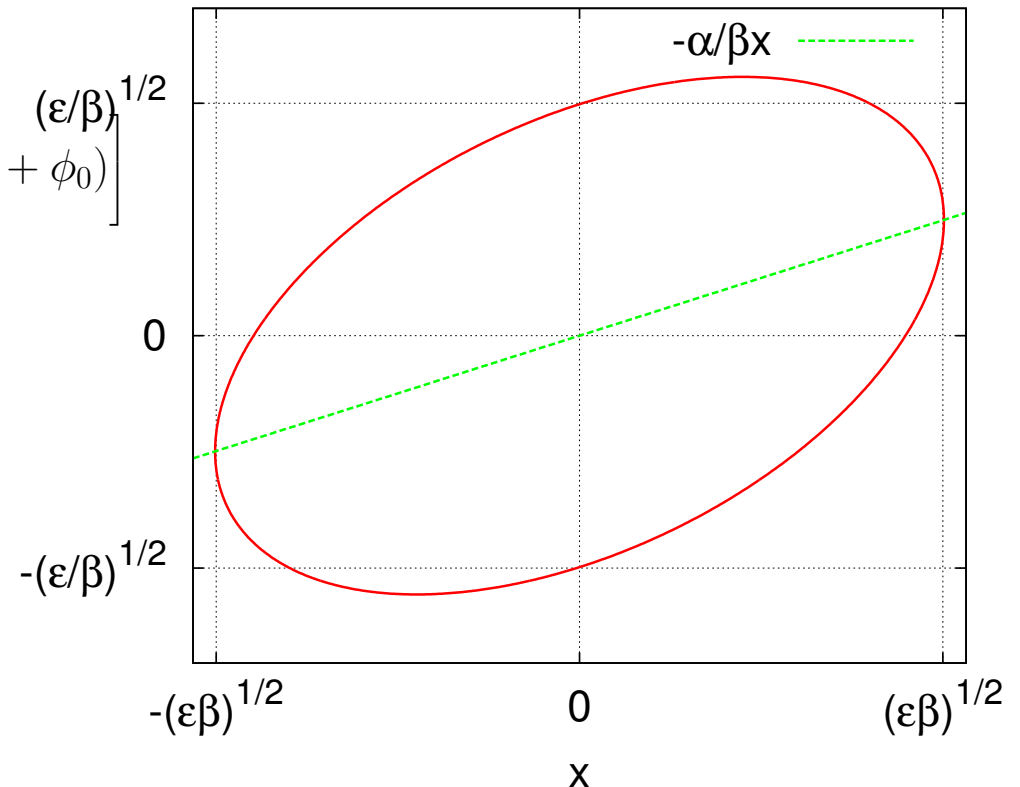
As homework you will show that $K(s) = \text{const}$ leads to $\beta = \text{const}$

$$x(s) = \sqrt{\epsilon\beta} \cos\left(\frac{s}{\beta} + \phi_0\right)$$

$$x'(s) = -\sqrt{\frac{\epsilon}{\beta}} \sin\left(\frac{s}{\beta} + \phi_0\right)$$

⇒ You can understand most things assuming a harmonic oscillator and some average beta-function

⌘



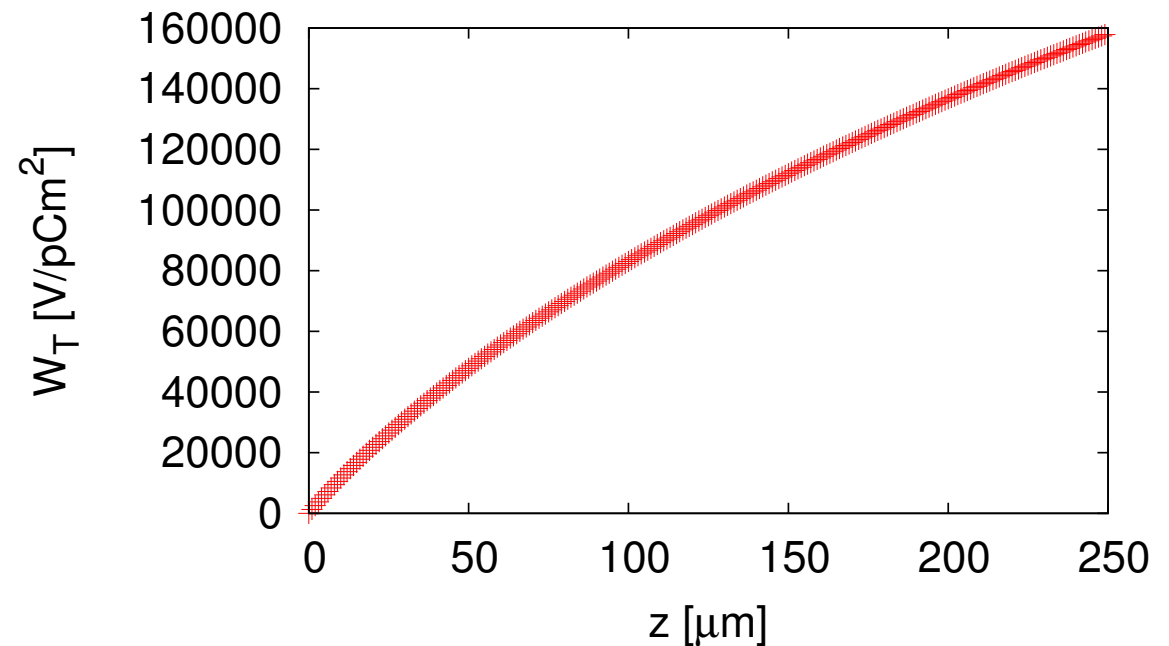
Beam Parameters: Transverse Wakefields and Beam Break-up

Limit on the bunch charge



Example of Single Bunch Transverse Wakefield (CLIC)

Fit obtained by K. Bane
For short distances the wake-field rises linear
Summation of an infinite number of sine-like modes with different frequencies



$$W_{\perp}(z) = 4 \frac{Z_0 c z_0}{\pi a^4} \left[1 - \left(1 + \sqrt{\frac{z}{z_0}} \right) \exp \left(-\sqrt{\frac{z}{z_0}} \right) \right]$$
$$z_0 = 0.169 a^{1.79} g^{0.38} \left(\frac{1}{l} \right)^{1.17}$$
$$W_{\perp}(z \ll z_0) \approx 2 \frac{Z_0 c}{\pi a^4} z$$

Beam Stability

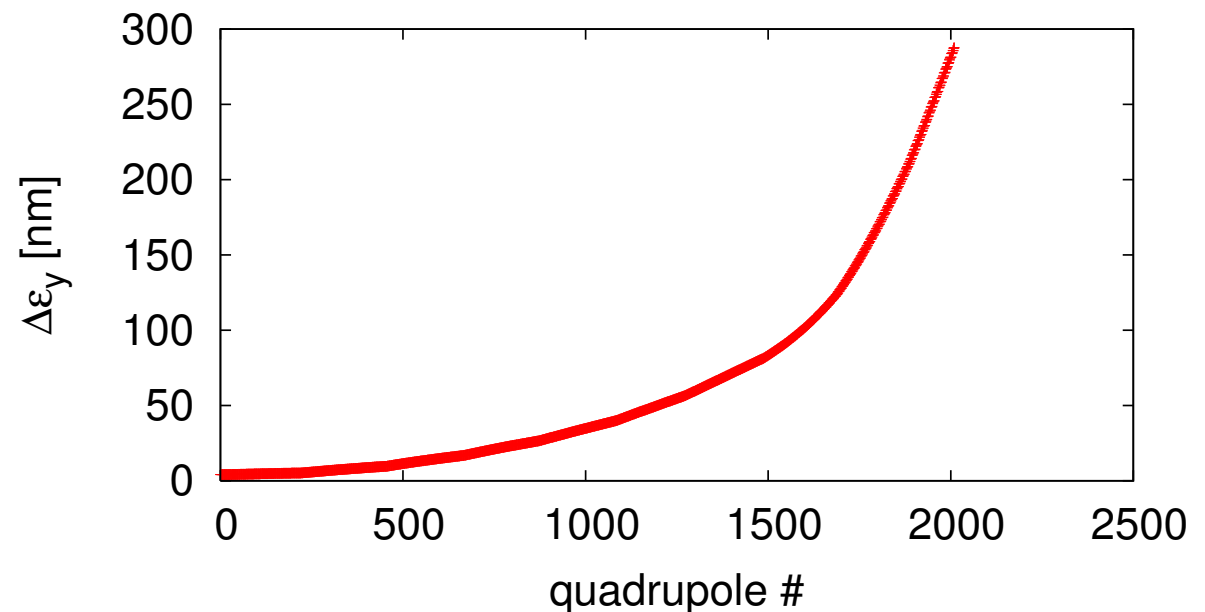
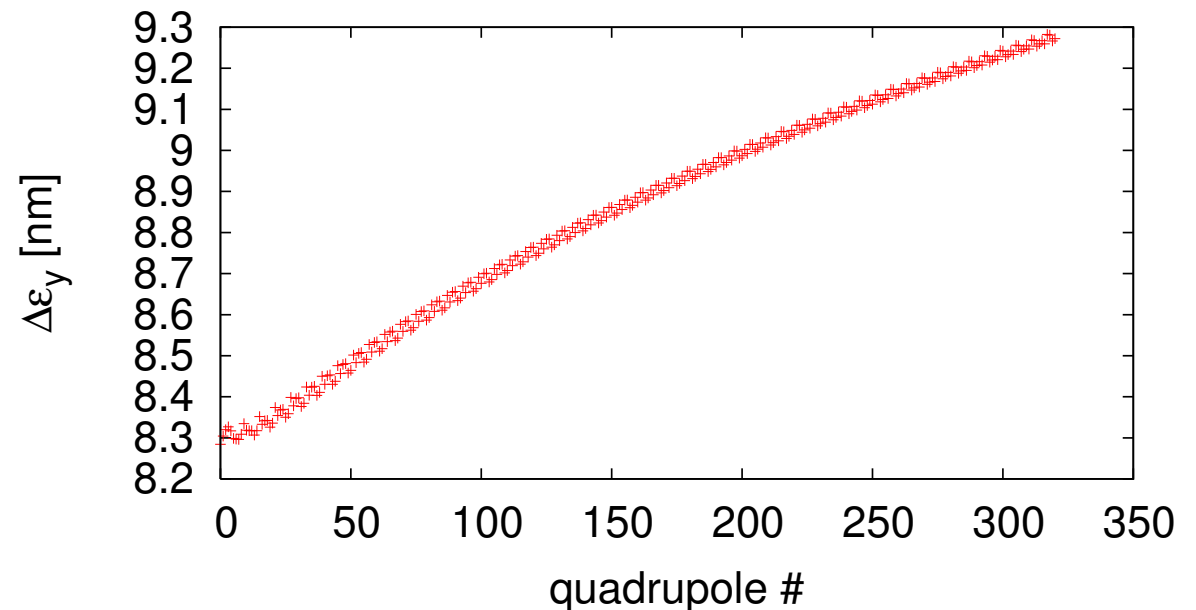
- Transverse stability of a beam with initial offset of σ_y

- no energy spread assumed in the beam

- emittance with respect to the beam axis is shown

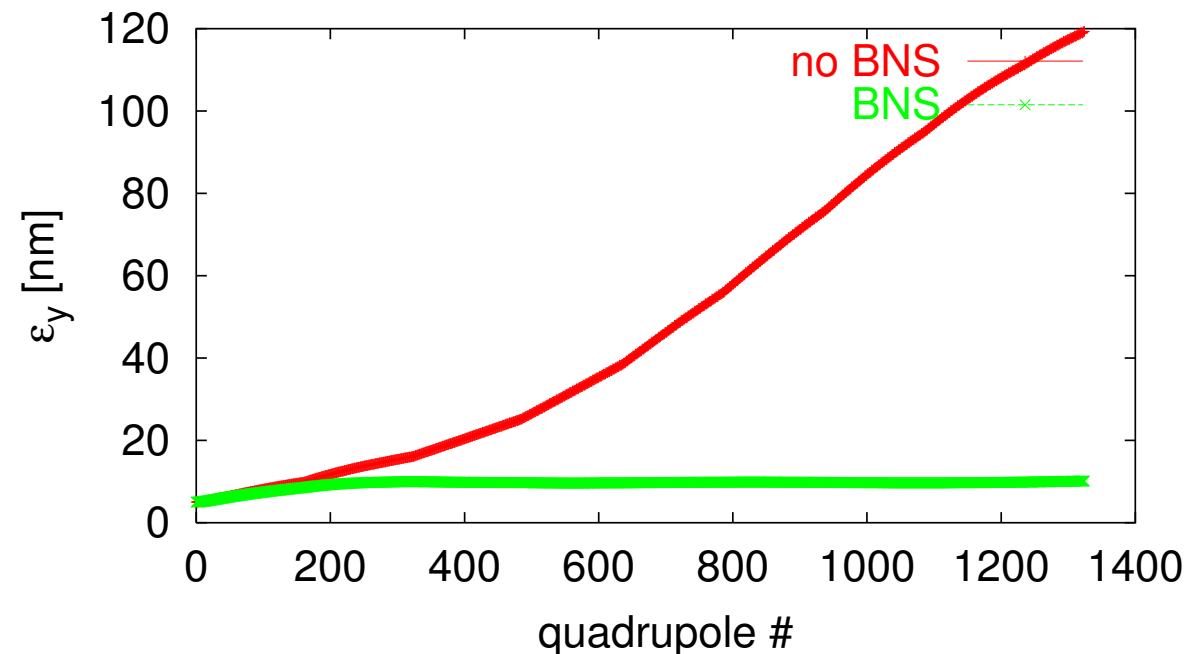
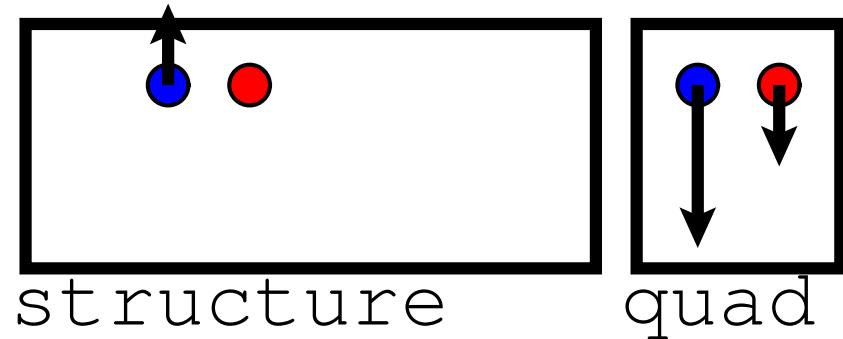
⇒ acceptable for ILC (top)

⇒ would be intolerable for CLIC (bottom)



Achieving Beam Stability

- Transverse wakes act as defocusing force on tail
⇒ beam jitter is exponentially amplified
- BNS (Balakin, Novokhatsky, and Smirnov) damping prevents this growth
 - manipulate RF phases to have energy spread
 - take spread out at end



Two-Particle Wakefield Model

- Assume bunch can be represented by two particles and constant $K(s) = 1/\beta^2$
 - second particle is kicked by transverse wakefield
 - initial oscillation

$$x_1'' + \frac{1}{\beta^2}x_1 = 0 \quad x_1(0) = x_0 \quad x_1'(0) = 0$$
$$\Rightarrow x_1 = x_0 \cos\left(\frac{s}{\beta}\right)$$

For the second particle

$$x_2'' + \frac{1}{\beta^2}x_2 = \frac{Ne^2W_{\perp}}{P_Lc}x_0 \cos\left(\frac{s}{\beta}\right) \quad x_2(0) = x_0 \quad x_2'(0) = 0$$

- Solution is simple with an ansatz (and using $P_Lc = E$)

$$x_2 = x_0 \cos\left(\frac{s}{\beta}\right) + \left(\frac{x_0 Ne^2W_{\perp}\beta}{2E}s\right) \sin\left(\frac{s}{\beta}\right)$$

\Rightarrow Amplitude of second particle oscillation is growing linearly with s

Driving Parameters

$$x_2 = x_0 \cos\left(\frac{s}{\beta}\right) + \left(\frac{x_0 N e^2 W_{\perp} \beta}{2E} s\right) \sin\left(\frac{s}{\beta}\right)$$

- Factors for the amplitude growth of the second particle
 - β : small beta-function (strong focusing) helps
 - $1/E$: high energy helps
 - W_{\perp} : small wakefield helps
 - N : small bunch charge helps
 - s : shorter linac helps (i.e. higher gradient)

Note: the integral

$$\int \beta(s)/E(s) ds$$

is an important measure of the sensitivity to all transverse wakefield effects

BNS Damping

For simplicity assume initial offset but no angle

- First particle performs a harmonic oscillation

$$x_1(s) = x_0 \cos\left(\frac{s}{\beta_1}\right)$$

- We want the second particle to perform the **same** oscillation

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta_1}\right)$$

- Change **unperturbed** oscillation frequency of second particle (e.g. change energy)

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta_2}\right)$$

- Including the effect of the first particle yields

$$x_2'' + \frac{1}{\beta_2^2}x_2 = \frac{Ne^2W_\perp}{E}x_0 \cos\left(\frac{s}{\beta_1}\right) = \frac{Ne^2W_\perp}{E}x_1(s)$$

BNS Damping

$$x_2'' + \frac{1}{\beta_2^2} x_2 = \frac{Ne^2 W_\perp}{E} x_0 \cos\left(\frac{s}{\beta_1}\right) = \frac{Ne^2 W_\perp}{E} x_1(s)$$

- Plugging in our wanted solution for $x_2(s)$

$$x_2(s) = x_0 \cos\left(\frac{s}{\beta_1}\right)$$

we find

$$-\frac{1}{\beta_1^2} x_0 \cos\left(\frac{s}{\beta_1}\right) + \frac{1}{\beta_2^2} x_0 \cos\left(\frac{s}{\beta_1}\right) = \frac{Ne^2 W_\perp}{E} x_0 \cos\left(\frac{s}{\beta_1}\right)$$

- which is fulfilled for

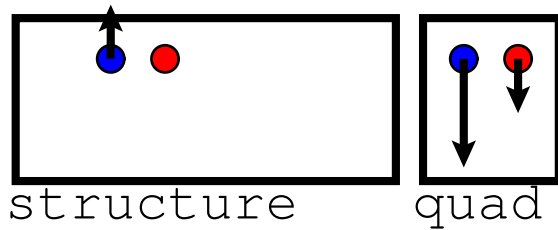
$$\frac{1}{\beta_2^2} = \frac{1}{\beta_1^2} + \frac{Ne^2 W_\perp}{E}$$

which requires $E_2 < E_1$

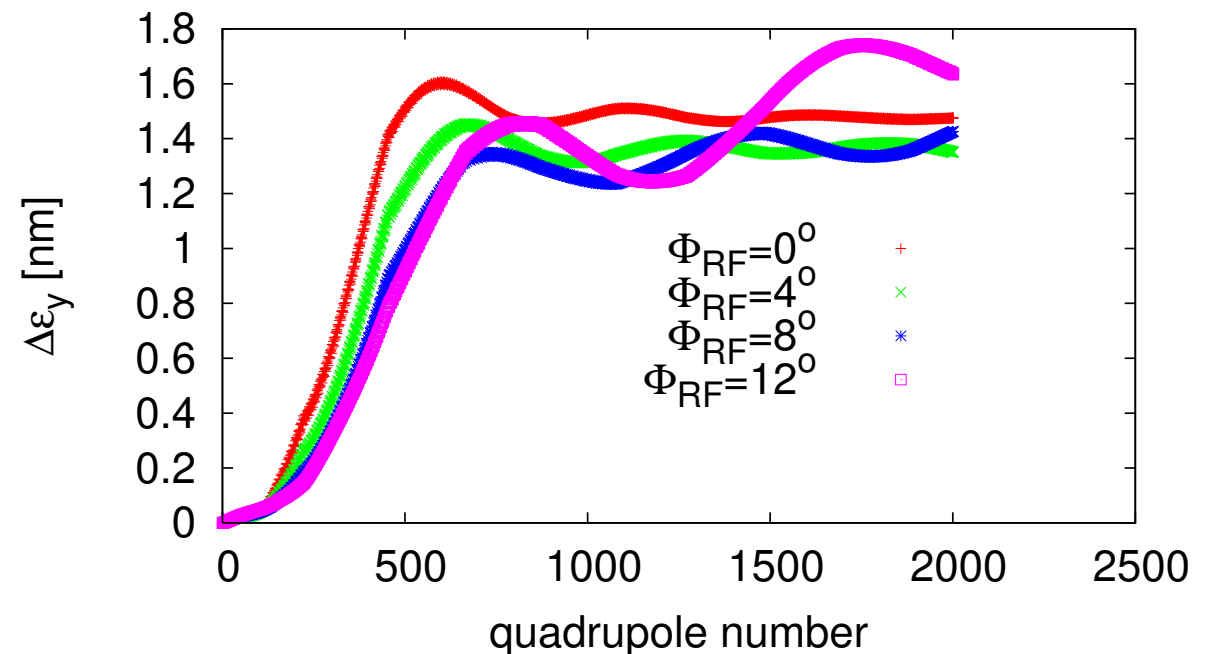
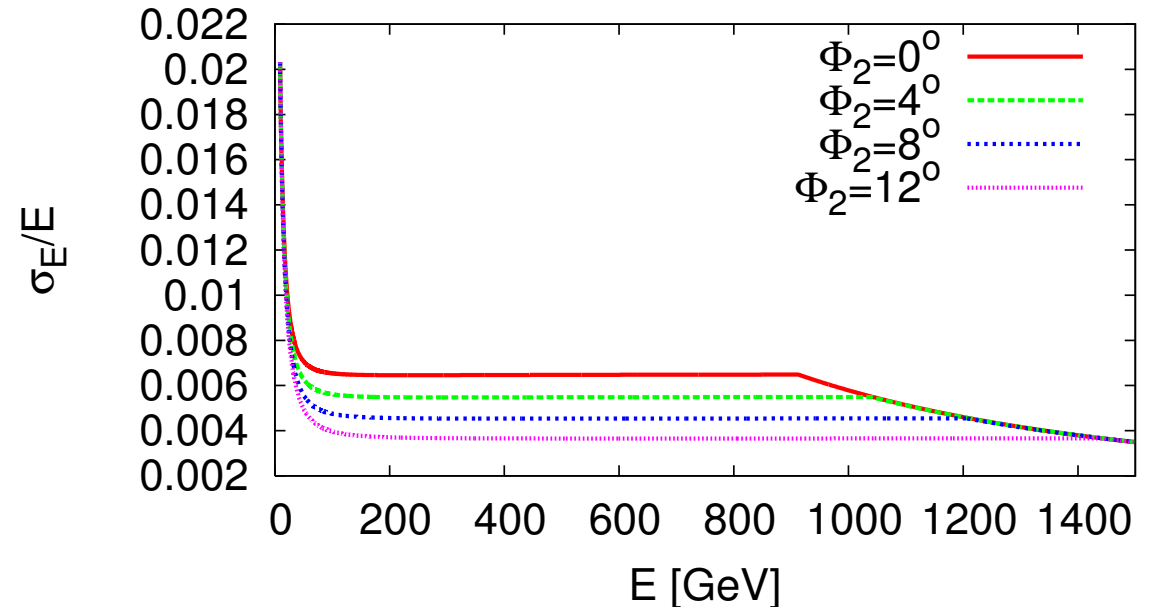
\Rightarrow No more wakefield effect

Energy Spread and Beam Stability

- Trade-off in fixed lattice
 - large energy spread is more stable
 - small energy spread is better for alignment



- ⇒ Beam with $N = 3.7 \times 10^9$ will be stable
- ⇒ Beam with larger charge will not be stable (sorry, without plot)



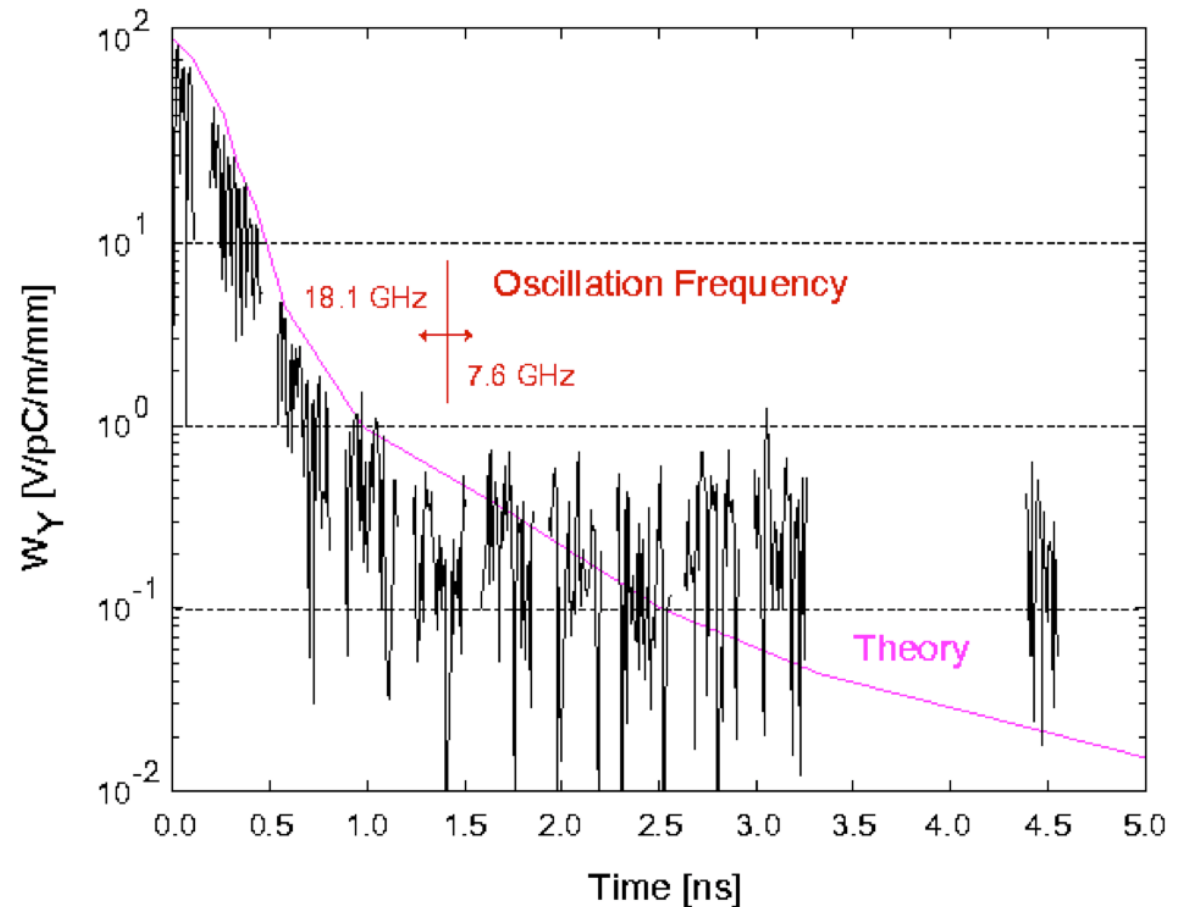
Beam Parameters: Multi-bunch Effects

Final component of the beam current



Multi-Bunch Wakefields

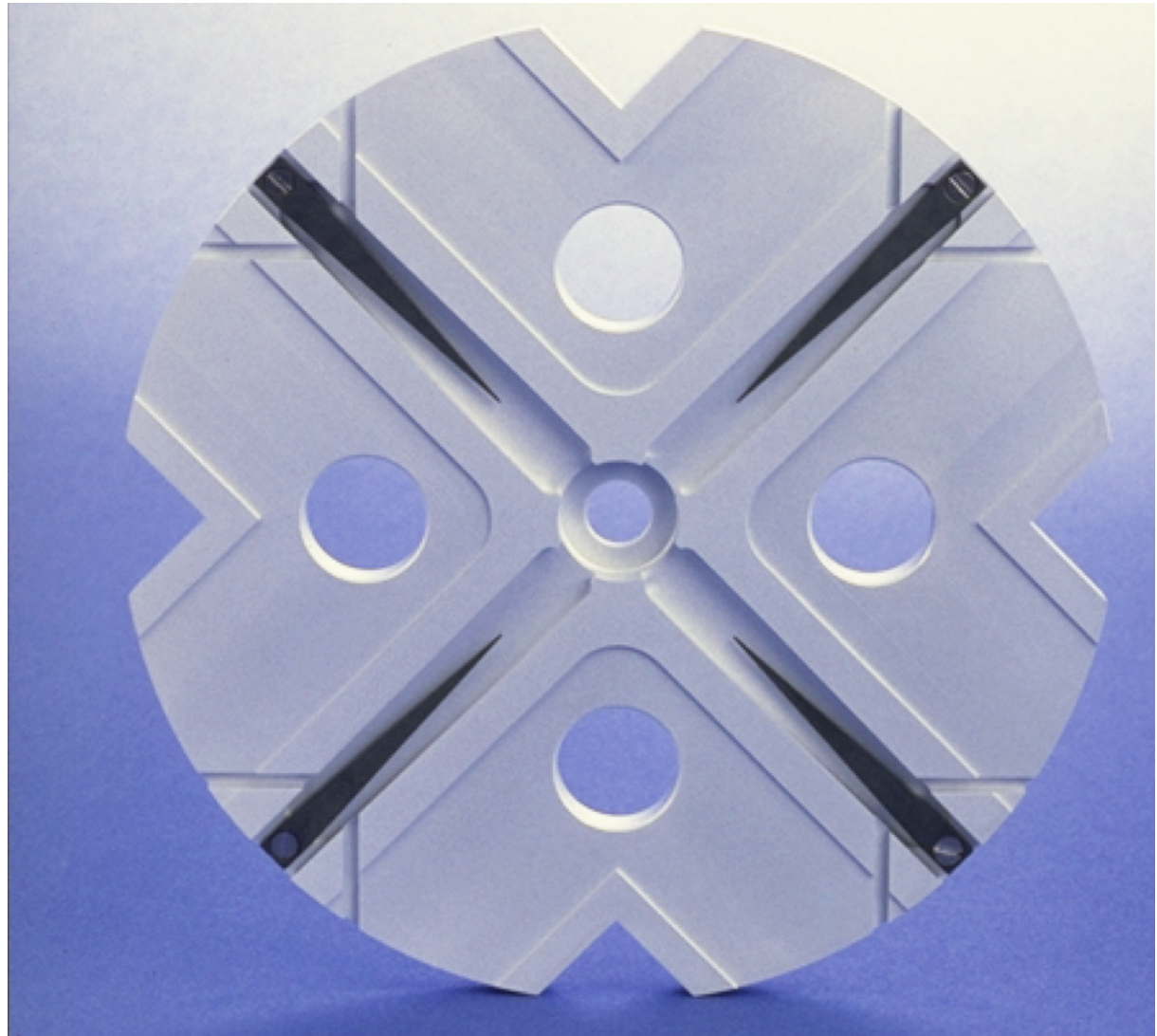
- Long-range transverse wakefield determine how close on can put the bunches in the linac
⇒ critical for the normal conducting linacs
- Long-range transverse wakefields are sine-like
- They can be reduced by
 - damping
 - detuning



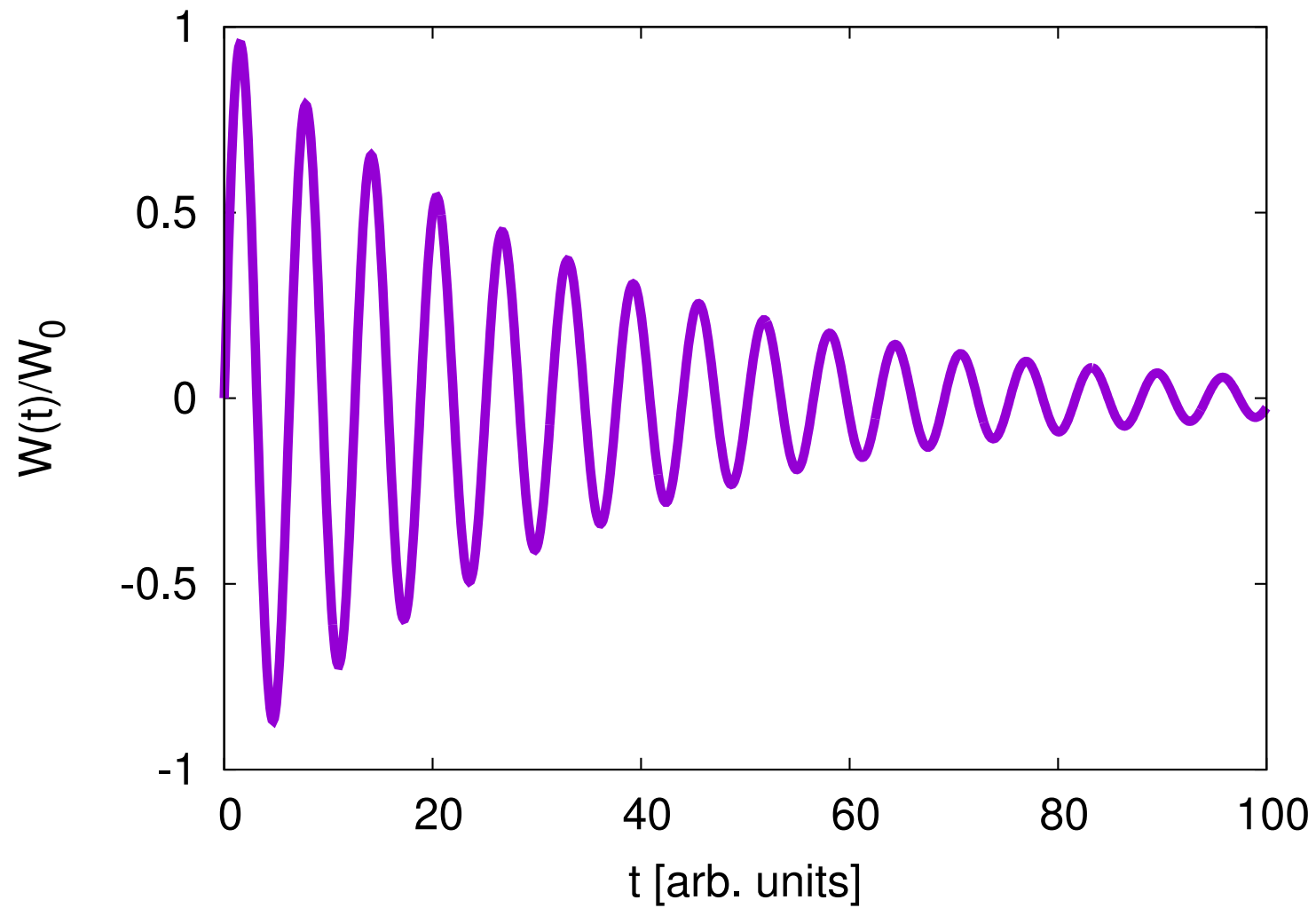
$$W_{\perp}(z) = \sum_i^{\infty} 2k_i \sin\left(2\pi \frac{z}{\lambda_i}\right) \exp\left(-\frac{\pi z}{\lambda_i Q_i}\right)$$

Damping

- Damping can be achieved by extracting the power of transverse modes from the structure
- In CLIC each cell has waveguides for this purpose
 - the fundamental mode cannot escape
- ILC has antennas at the end
 - weaker damping but bunch distance is larger
- Note: the difference has since been understood



Effect of Damping



Detuning

To make our life simple we neglect damping

We split the wakefield $W(z) = W_0 \sin(kz)$ into two modes

$$W(z) = W_0 \frac{\sin((k + \Delta)z) + \sin((k - \Delta)z)}{2}$$

the resulting amplitude is

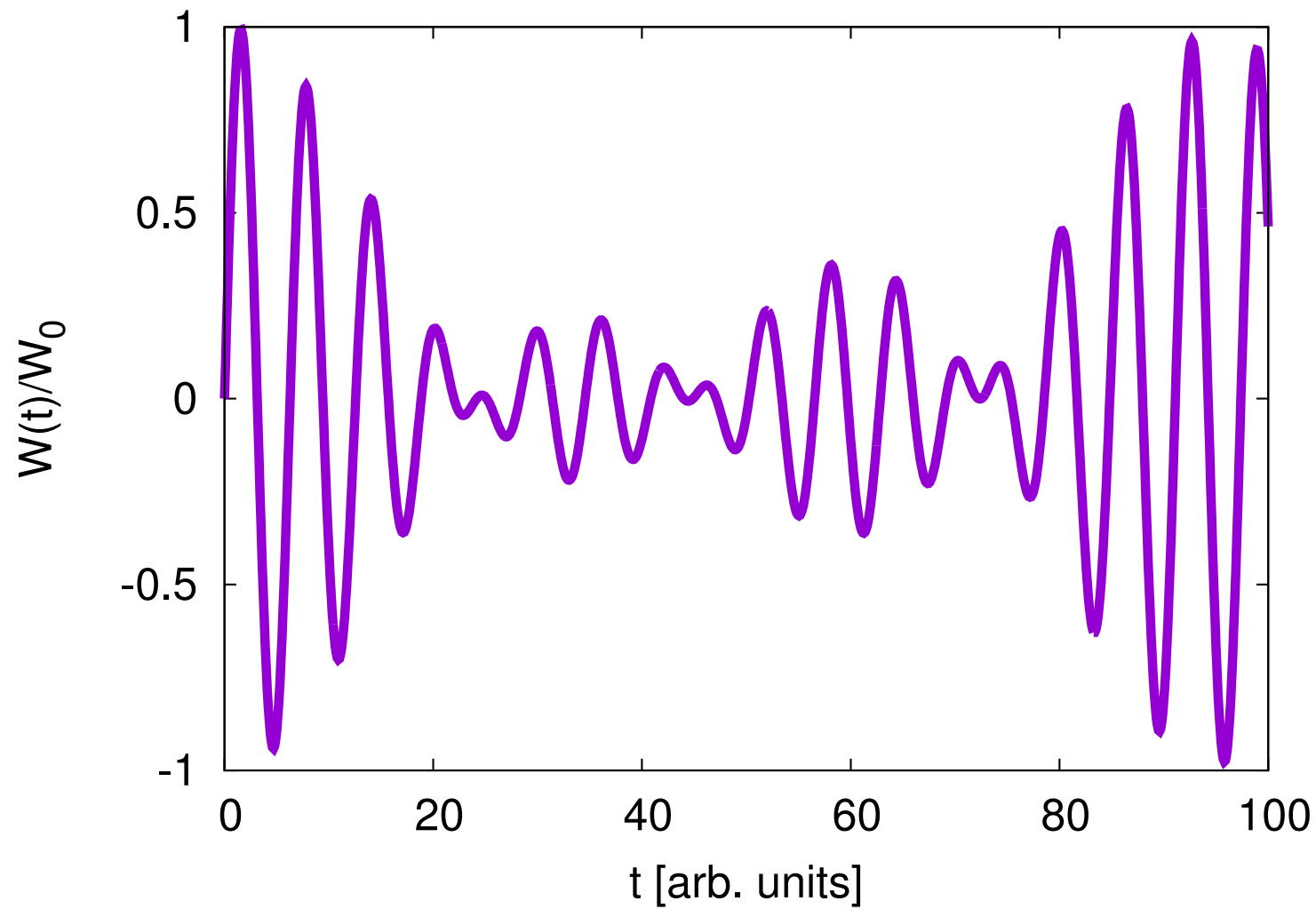
$$W(z) = W_0 \sin(kz) \cos(\Delta z)$$

integrating over a Gaussian distribution yields

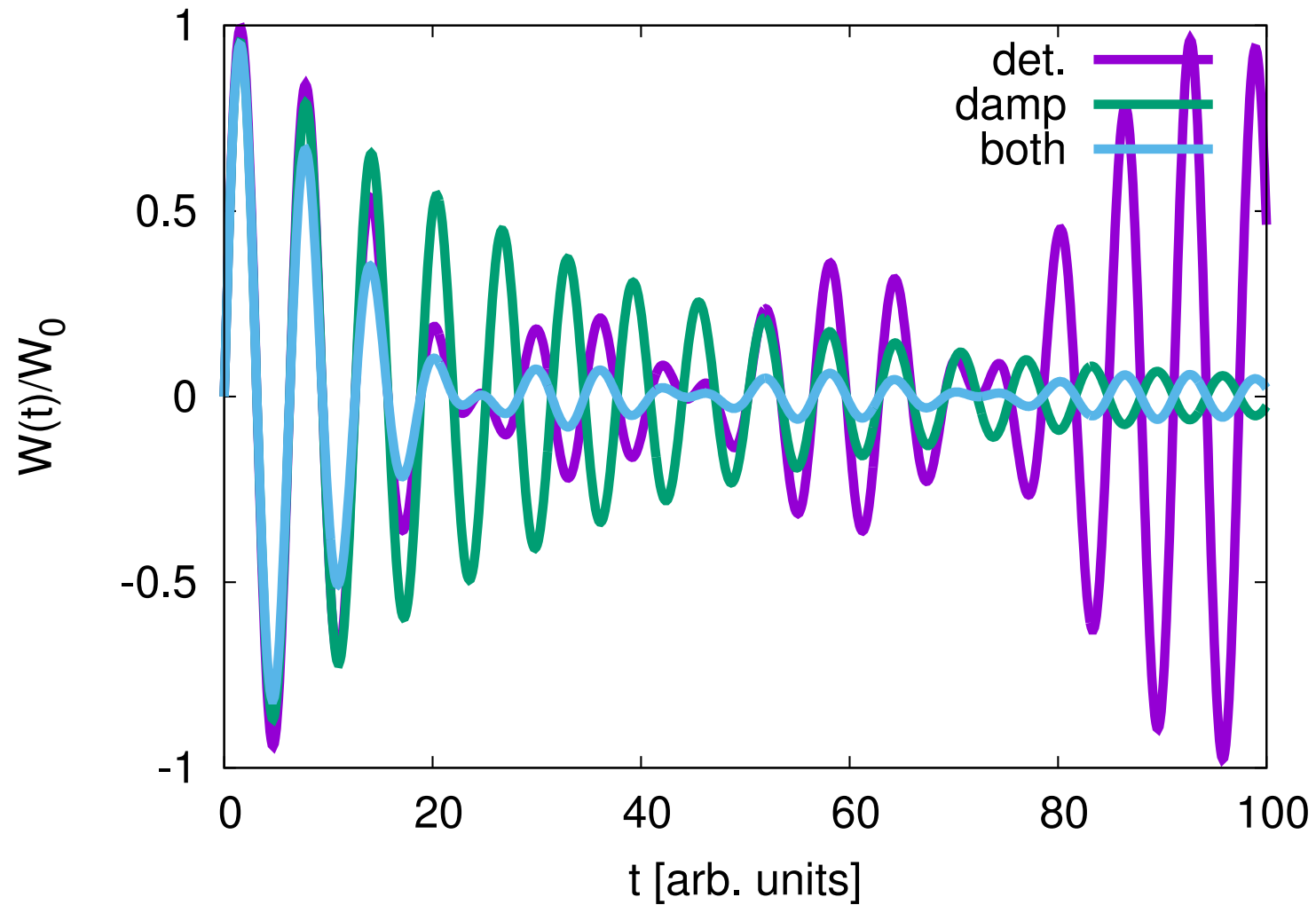
$$W(z) = W_0 \sin(kz) \int_0^\infty \frac{2}{\sqrt{2\pi}\sigma_\Delta} \exp\left(-\frac{\Delta^2}{2\sigma_\Delta^2}\right) \cos(\Delta z) d\Delta$$
$$\Rightarrow W(z) = W_0 \sin(kz) \exp\left(-\frac{(z\sigma_\Delta)^2}{2}\right)$$

- For a limited number of modes, recoherence can occur
 \Rightarrow damping is also needed
- In ILC detuning is important

Effect of Detuning

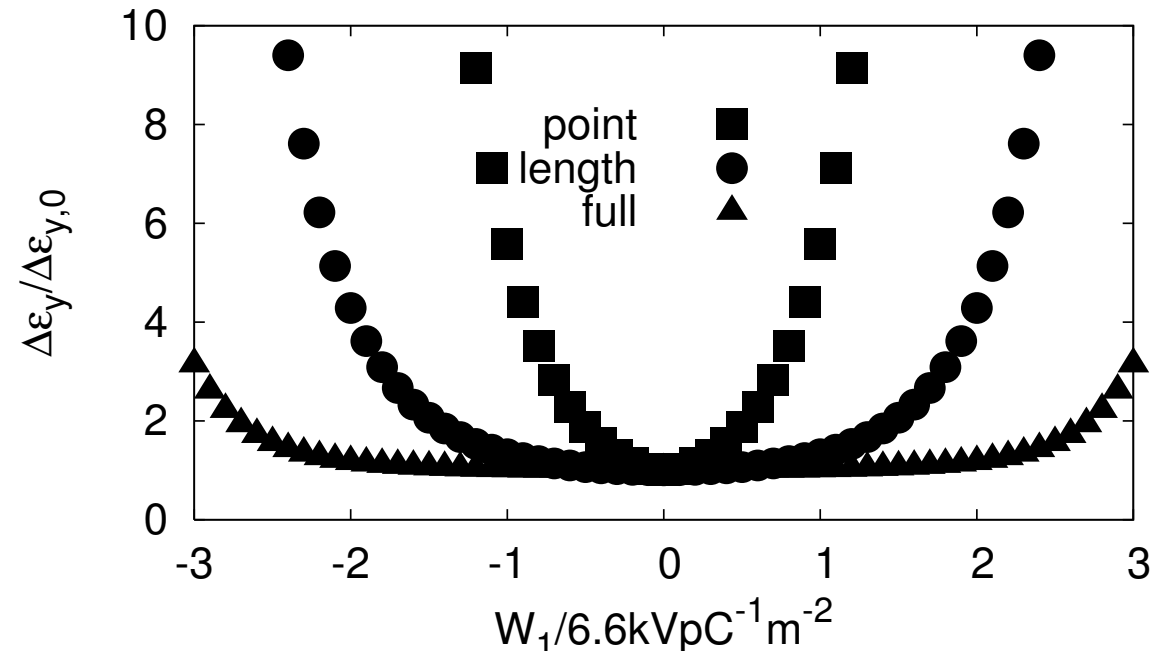


Effect of Both



Multi-Bunch Jitter Emittance Growth (CLIC)

- Multi-bunch effects can be calculated analytically for point-like bunches
 - an energy spread leads to a more stable case
- Simulations show
 - point-like bunches
 - bunches with energy spread due to bunch length
 - including also initial energy spread



- ⇒ Point-like bunches is a pessimistic assumption for the dynamic effects
- ⇒ The field drops to the required level after 0.5 ns

Static Multi-Bunch Effects (ILC)

- Simulation of long-range transverse wakefield effects

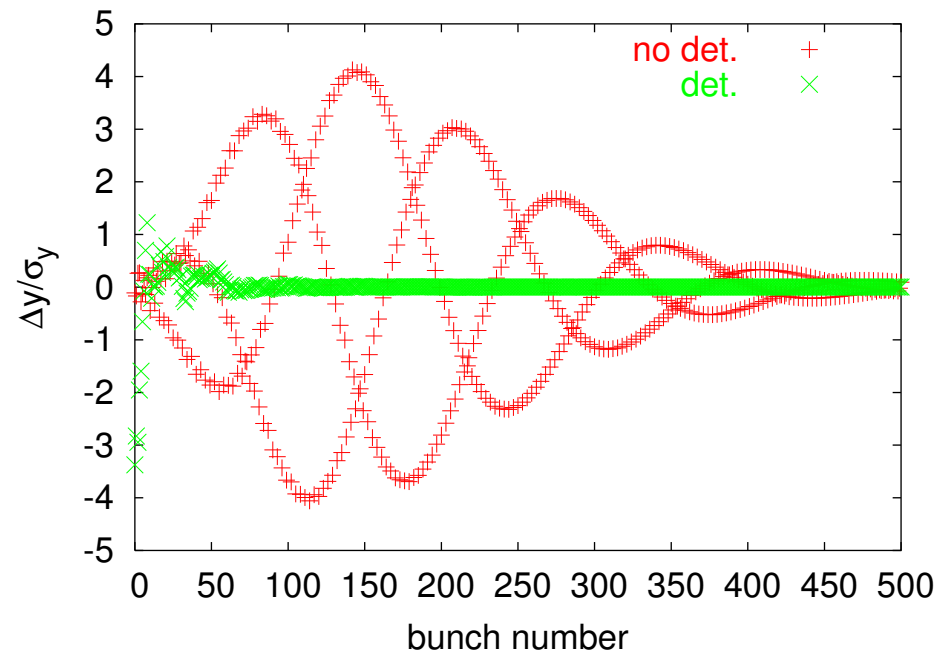
- with no detuning
- with random detuning from cavity to cavity

⇒ Cavity detuning is essential

⇒ Need to ensure that this detuning is present

- it does happen naturally
- but also if you depend on it?

- Note: results depend on exact frequency of transverse modes
 - some uncertainty in the prediction
 - but not a worry with detuning



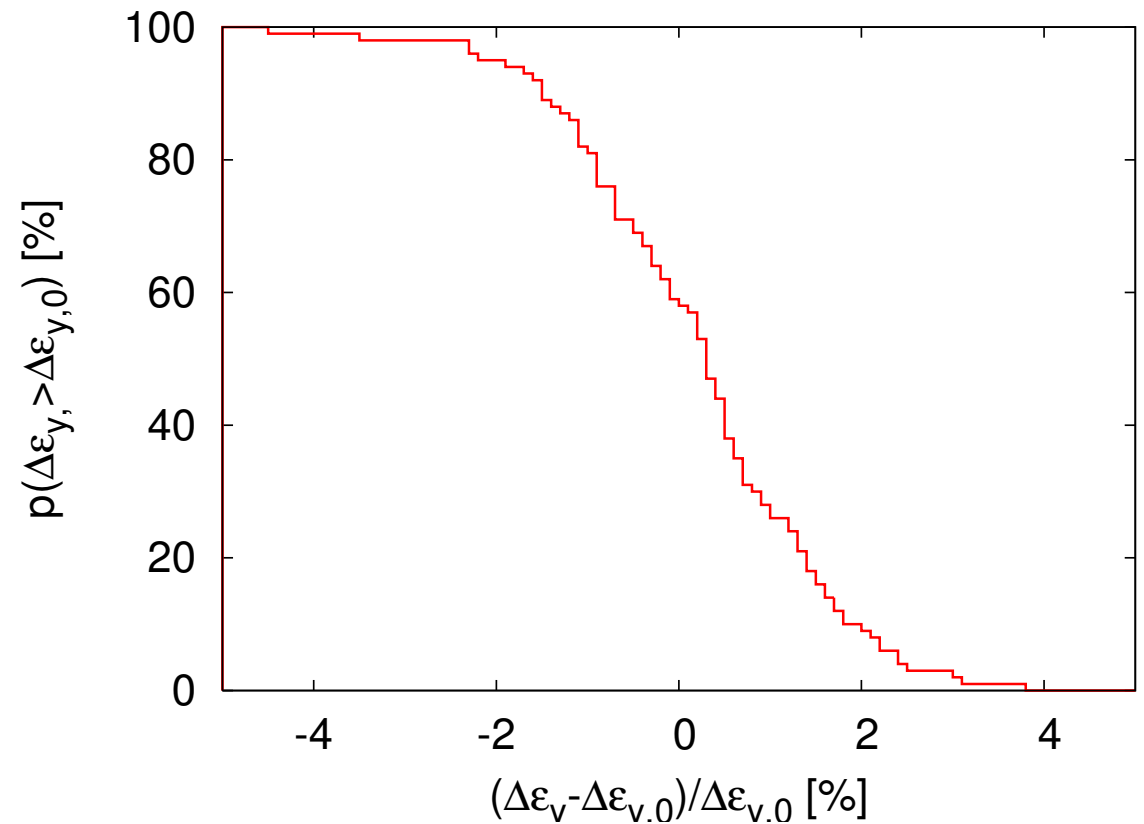
All main linac cavities are scattered by $500 \mu\text{m}$

Long-range wakefields are represented by a number of RF modes

$$W_{\perp}(z) = \sum_{i=0}^n a_i \sin\left(\frac{2\pi z}{\lambda_i}\right) \exp\left(-\frac{\pi z}{\lambda_i Q_i}\right)$$

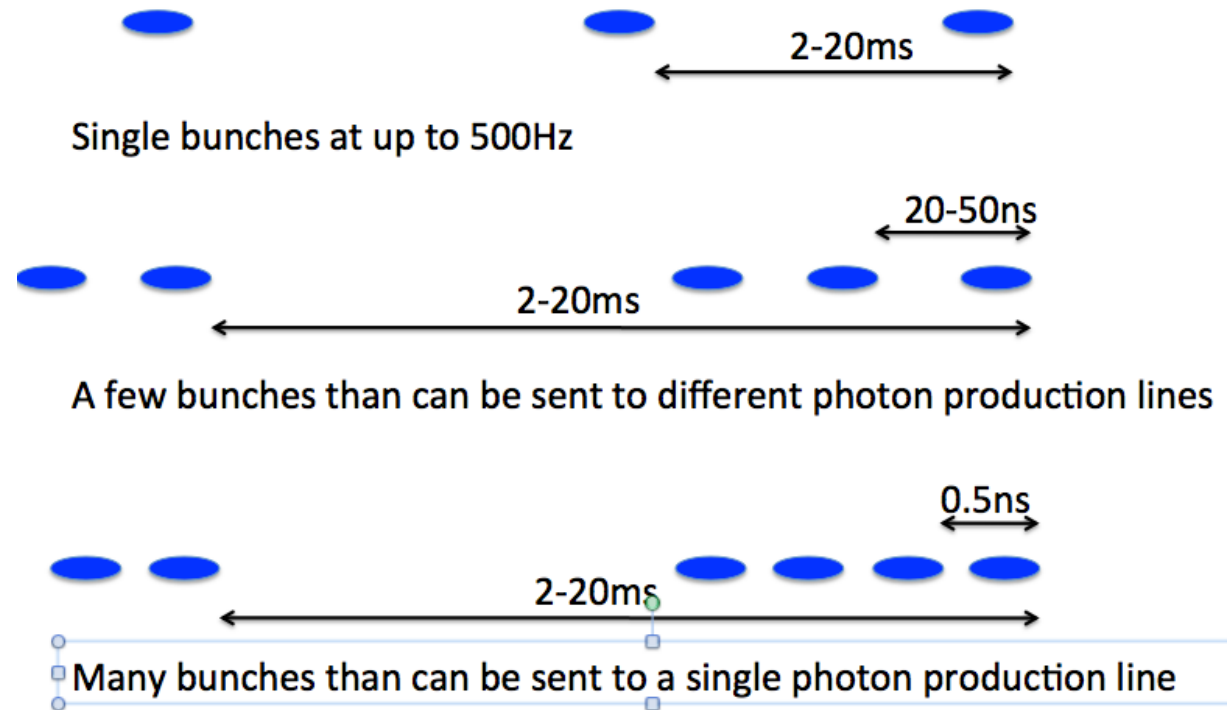
Beam Jitter (ILC)

- Perfect machines used
- 100 machines simulated
 - TESLA wakefields with 0.1% RMS frequency spread
 - beam set to an offset
 - 5% bunch-to-bunch charge variations in uncorrected test beam
 - additional relative emittance growth due to multi-bunch is determined



Normal Conducting FEL

- The minimum bunch spacing in an FEL is given by the ability to use the beam
- If bunches need to be separated into different lines need to have some nanoseconds spacing
 - and need one station per bunch
- Swiss FEL plans a couple of bunches per train
- Room for improvement



Imperfections



Introduction

- Have now been able to design a lattice that can transport the beam
- Need to determine how the imperfections in the machine affect the emittance preservation
- Will discuss the misalignment of elements
 - most important source of static emittance growth
- Have two ways to deal with tight tolerances for imperfections
 - work on the lattice to loosen tolerances
 - push R&D to satisfy tighter tolerances
 - e.g. in CLIC strong effort is ongoing to push imperfections down by about an order of magnitude

Element Misalignments

- Pre-Alignment imperfections can be roughly categorised into short-distance and long-distance errors
 - To first order, the imperfections can be treated as independent
 - as long as a linear main linac model is sufficient
 - The short-distance misalignments give largest emittance contribution
 - misalignment of elements is largely independent
 - simulated by scattering elements around a straight line
 - or slightly more complex local model
 - The long-distance misalignments are dominated by the wire system
- ⇒ ignore short-distance misalignments and simulate wire errors only
- Combined studies are mainly for completeness

Simulation Rational

- One can understand the effects qualitatively
 - some can be calculated analytically
 - some can be approximated analytically
 - but things soon become complex

⇒ Beam dynamics tracking code is used for studies (choose your favorite one)

- Implemented models are usually very flexible
 - e.g. linear and non-linear effects
- Script language used to steer the simulation
- The art is in using minimum model
 - as little as possible
 - as much as necessary

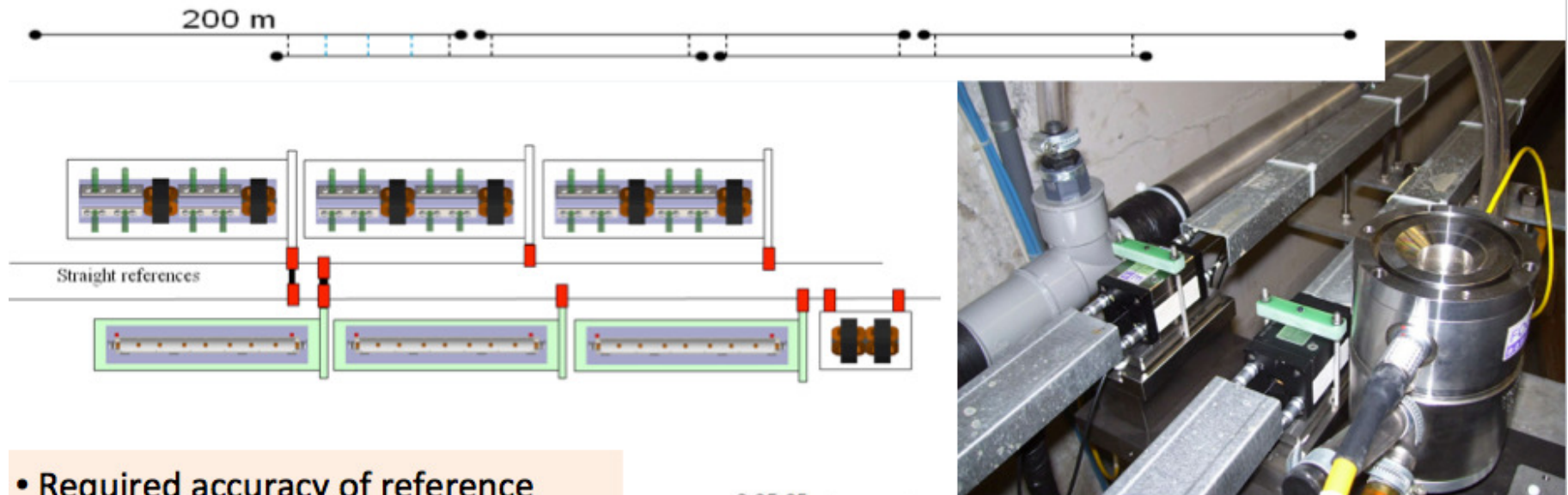
⇒ Cannot say what is in the code but rather what is in each individual study

Main Linac Static Tolerances

Element	error	with respect to	tolerance	
			CLIC	ILC
Structure	offset	beam	$5.8\ \mu\text{m}$	$\approx 700\ \mu\text{m}$
Structure	tilt	beam	$220\ \mu\text{radian}$	$\approx 1000\ \mu\text{radian}$
Quadrupole	offset	straight line	—	—
Quadrupole	roll	axis	$240\ \mu\text{radian}$	$190\ \mu\text{radian}$
BPM	offset	straight line	$0.44\ \mu\text{m}$	$15\ \mu\text{m}$
BPM	resolution	BPM center	$0.44\ \mu\text{m}$	$15\ \mu\text{m}$

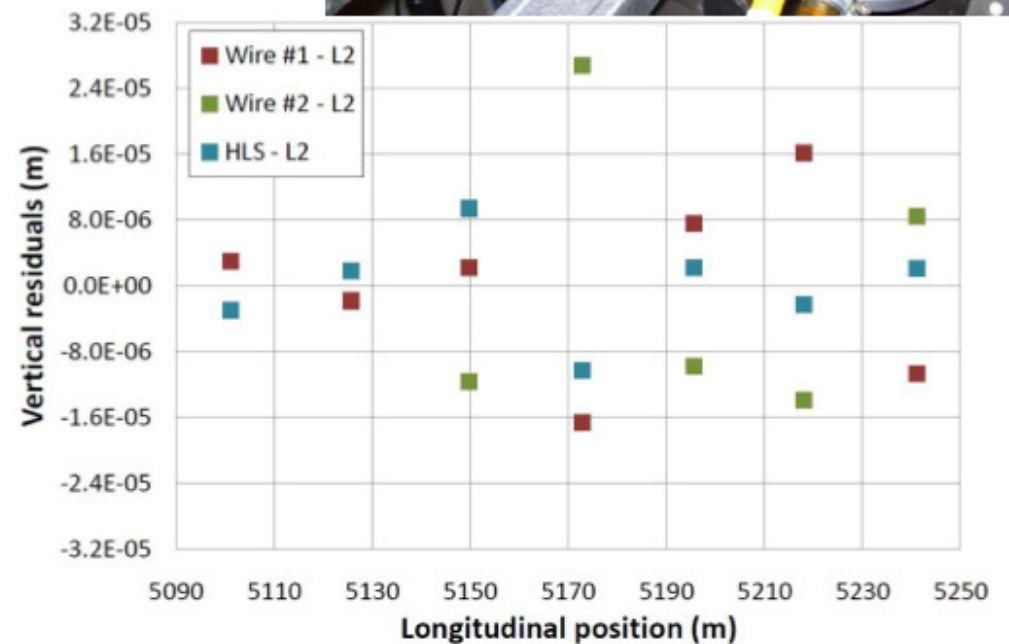
- All tolerances for 1 nm growth after one-to-one steering
- Goal is to have 90% of the machines achieve an emittance growth due to static effects of less than 5 nm

CLIC Survey Concept



- Required accuracy of reference points is $10\mu\text{m}$

- Test of prototype shows
 - vertical RMS error of $11\mu\text{m}$
 - i.e. accuracy is approx. $13.5\mu\text{m}$
- Improvement path identified



Assumed Survey Performance

Element	error	with respect to	alignment	
			ILC	CLIC
Structure	offset	girder	300 μm	5 μm
Structure	tilts	girder	300 μradian	200(*) μm
Girder	offset	survey line	200 μm	9.4 μm
Girder	tilt	survey line	20 μradian	9.4 μradian
Quadrupole	offset	girder/survey line	300 μm	17 μm
Quadrupole	roll	survey line	300 μradian	$\leq 100 \mu\text{radian}$
BPM	offset	girder/survey line	300 μm	14 μm
BPM	resolution	BPM center	$\approx 1 \mu\text{m}$	0.1 μm
Wakefield mon.	offset	wake center	—	5 μm

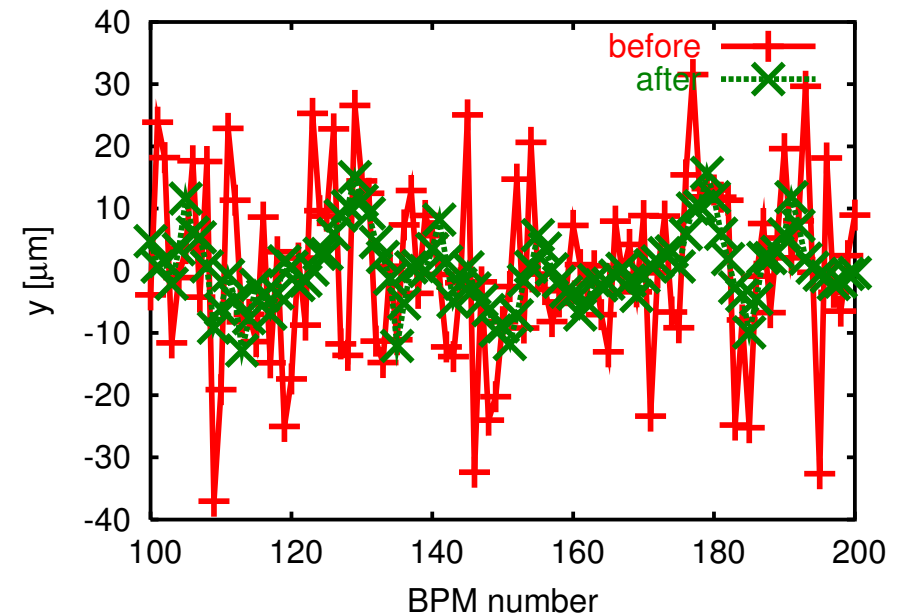
- In ILC specifications have much larger values than in CLIC
 - more difficult alignment in super-conducting environment
 - dedicated effort for CLIC needed
- Wakefield monitors are currently only foreseen in CLIC
 - but could be an option also in ILC

Beam-Based Alignment and Tuning Strategy

- Make beam pass linac
 - one-to-one correction
- Remove dispersion, align BPMs and quadrupoles
 - dispersion free steering
 - ballistic alignment
 - kick minimisation
- Remove residual wakefield and dispersive effects
 - accelerating structure alignment (CLIC only)
 - emittance tuning bumps
- Tune luminosity
 - tuning knobs

Dispersion Free Correction

- Basic idea: use different beam energies
- NLC: switch on/off different accelerating structures
- CLIC (ILC): accelerate beams with different gradient and initial energy
 - try to do this in a single pulse (time resolution)



- Optimise trajectories for different energies together:

$$S = \sum_{i=1}^n \left(w_i(x_{i,1})^2 + \sum_{j=2}^m w_{i,j}(x_{i,1} - x_{i,j})^2 \right) + \sum_{k=1}^l w'_k(c_k)^2$$

- Last term is omitted
- Idea is to mimic energy differences that exist in the bunch with different beams

Emittance Growth (ILC)

Error	with respect to	value	$\Delta\gamma\epsilon_y$ [nm]	$\Delta\gamma\epsilon_{y,121}$ [nm]	$\Delta\gamma\epsilon_{y,dfs}$ [nm]
Cavity offset	module	300 μm	3.5	0.2	0.2(0.2)
Cavity tilt	module	300 μradian	2600	< 0.1	1.8(8)
BPM offset	module	300 μm	0	360	4(2)
Quadrupole offset	module	300 μm	700000	0	0(0)
Quadrupole roll	module	300 μradian	2.2	2.2	2.2(2.2)
Module offset	perfect line	200 μm	250000	155	2(1.2)
Module tilt	perfect line	20 μradian	880	1.7	—

- The results of the reference DFS method is quoted, results of a different implementation in brackets
- Note in the simulations the correction the quadrupoles had been shifted, other wise some residual effect of the quadrupole misalignment would exist

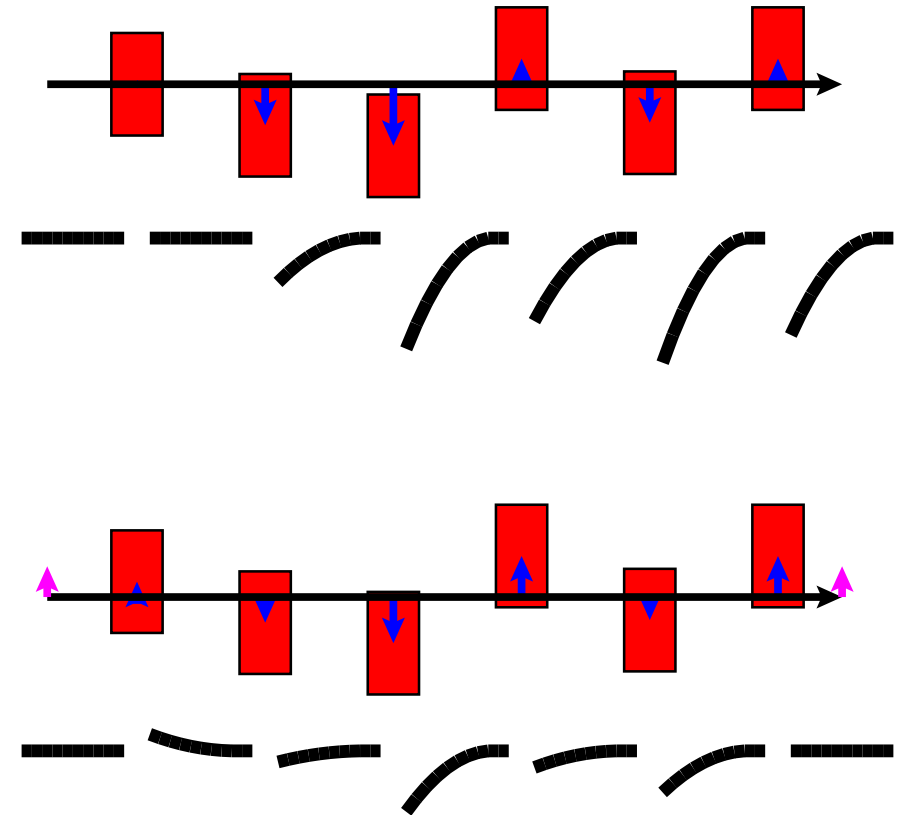
Beam-Based Structure Alignment (CLIC)

- Each structure is equipped with a wake-field monitor (RMS position error $5\text{ }\mu\text{m}$)
- Up to eight structures on one movable girders

⇒ Align structures to the beam

- Assume identical wake fields
 - the mean structure to wakefield monitor offset is most important
 - in upper figure monitors are perfect, mean offset structure to beam is zero after alignment
 - scatter around mean does not matter a lot

- With scattered monitors
 - final mean offset is σ_{wm}/\sqrt{n}
- In the current simulation each structure is moved independently
- A study has been performed to move the articulation points
- Girder stop size $< 1\text{ }\mu\text{m}$



- For our tolerance $\sigma_{wm} = 5\text{ }\mu\text{m}$ we find $\Delta\epsilon_y \approx 0.5\text{ nm}$
 - some dependence on alignment method

Emittance Tuning Bumps

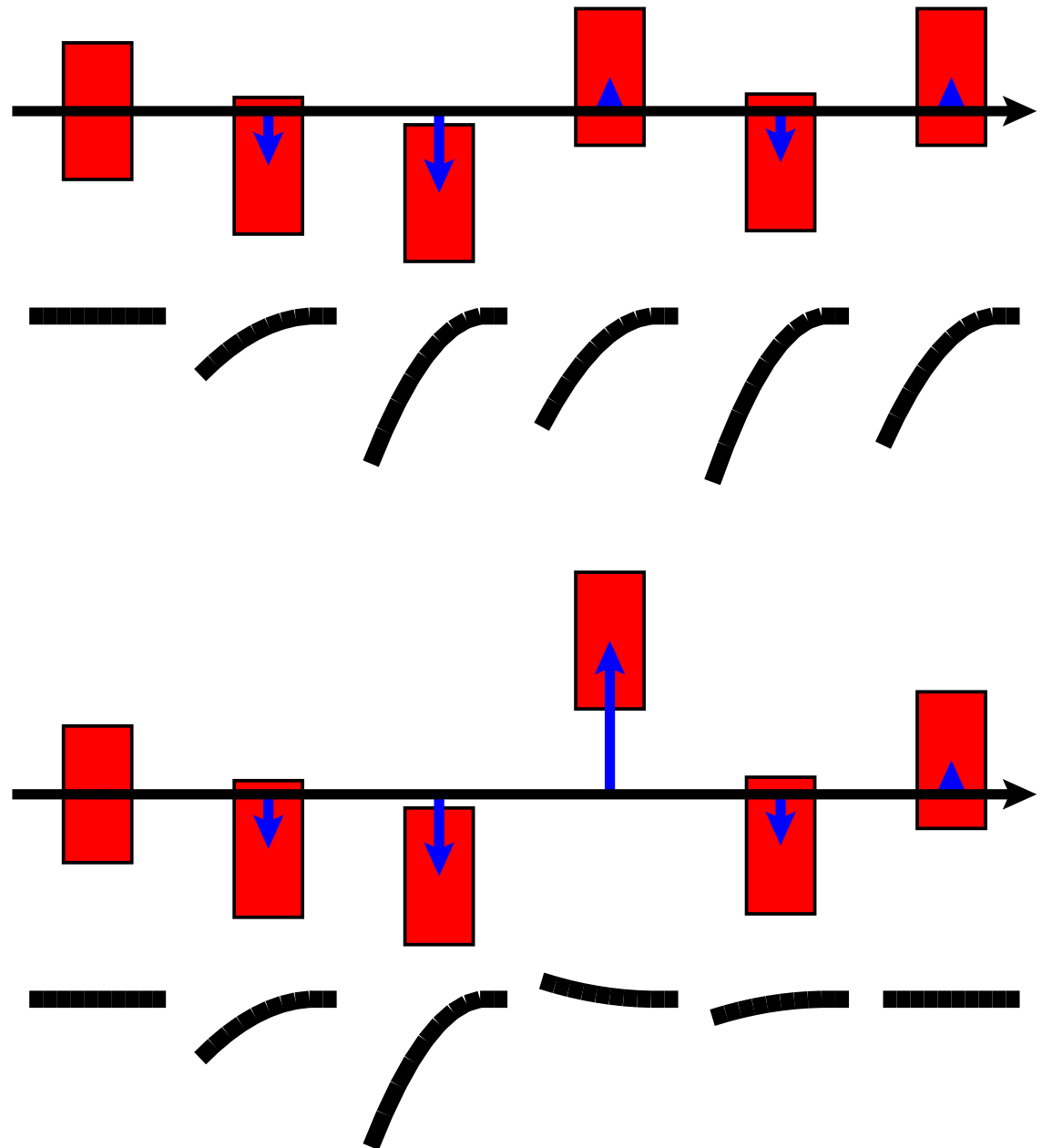
- Emittance (or luminosity) tuning bumps can further improve performance

- globally correct wake-field by moving some structures
- similar procedure for dispersion

- Need to monitor beam size

- Optimisation procedure

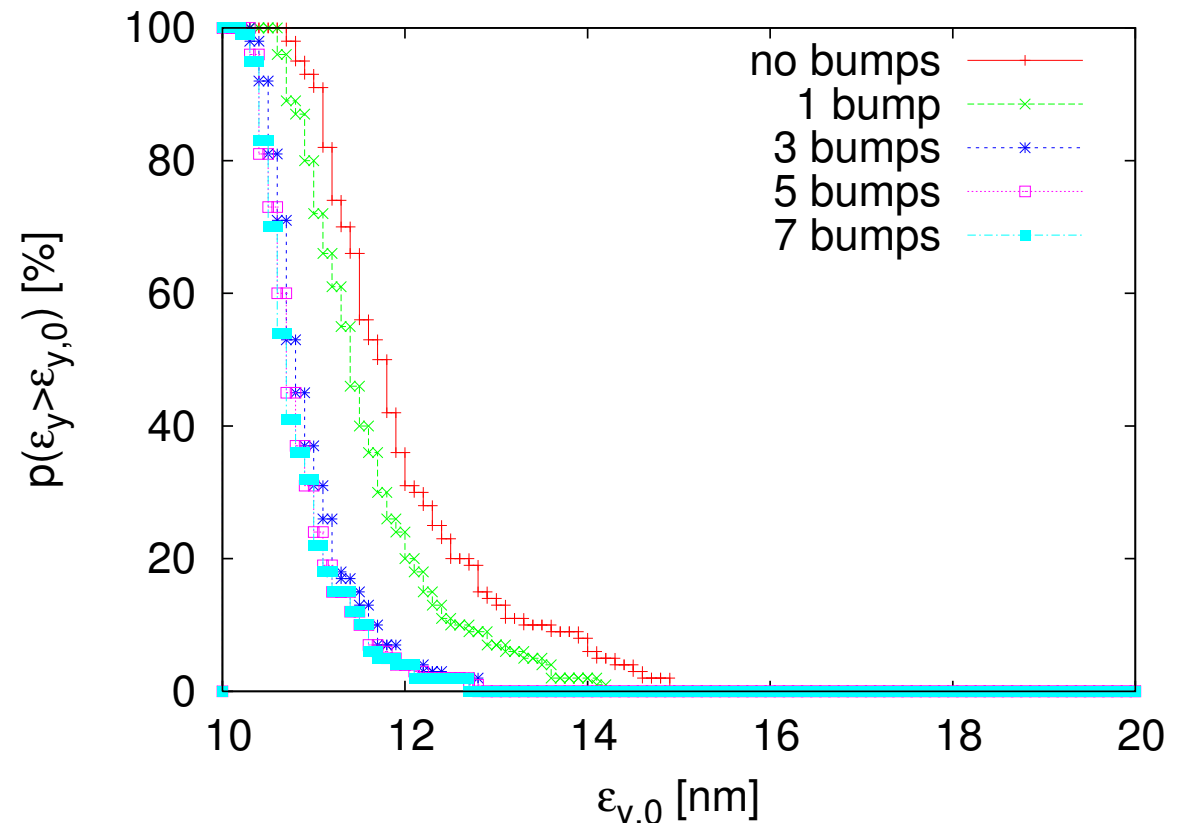
- measure beam size for different bump settings
- make a fit to determine optimum setting
- apply optimum
- iterate on next bump



Final Emittance Growth (CLIC)

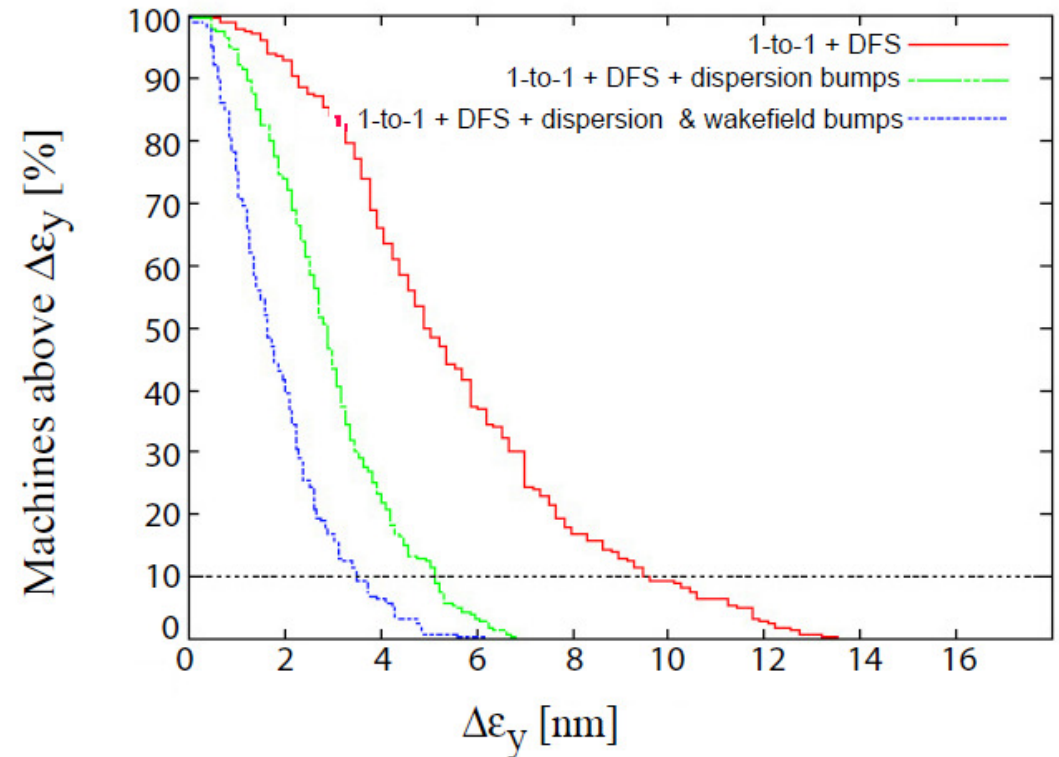
imperfection	with respect to	symbol	value	emitt. growth
BPM offset	wire reference	σ_{BPM}	$14 \mu\text{m}$	0.367 nm
BPM resolution		σ_{res}	$0.1 \mu\text{m}$	0.04 nm
accelerating structure offset	girder axis	σ_4	$10 \mu\text{m}$	0.03 nm
accelerating structure tilt	girder axis	σ_t	$200 \mu\text{radian}$	0.38 nm
articulation point offset	wire reference	σ_5	$12 \mu\text{m}$	0.1 nm
girder end point	articulation point	σ_6	$5 \mu\text{m}$	0.02 nm
wake monitor	structure centre	σ_7	$5 \mu\text{m}$	0.54 nm
quadrupole roll	longitudinal axis	σ_r	$100 \mu\text{radian}$	$\approx 0.12 \text{ nm}$

- Multi-bunch wakefield mis-alignments of $10 \mu\text{m}$ lead to $\Delta\epsilon_y \approx 0.13 \text{ nm}$
 - Can reach emittance preservation goal with our prealignment
 - would become worse for larger bunch charge
- ⇒ the other limit for the bunch charge



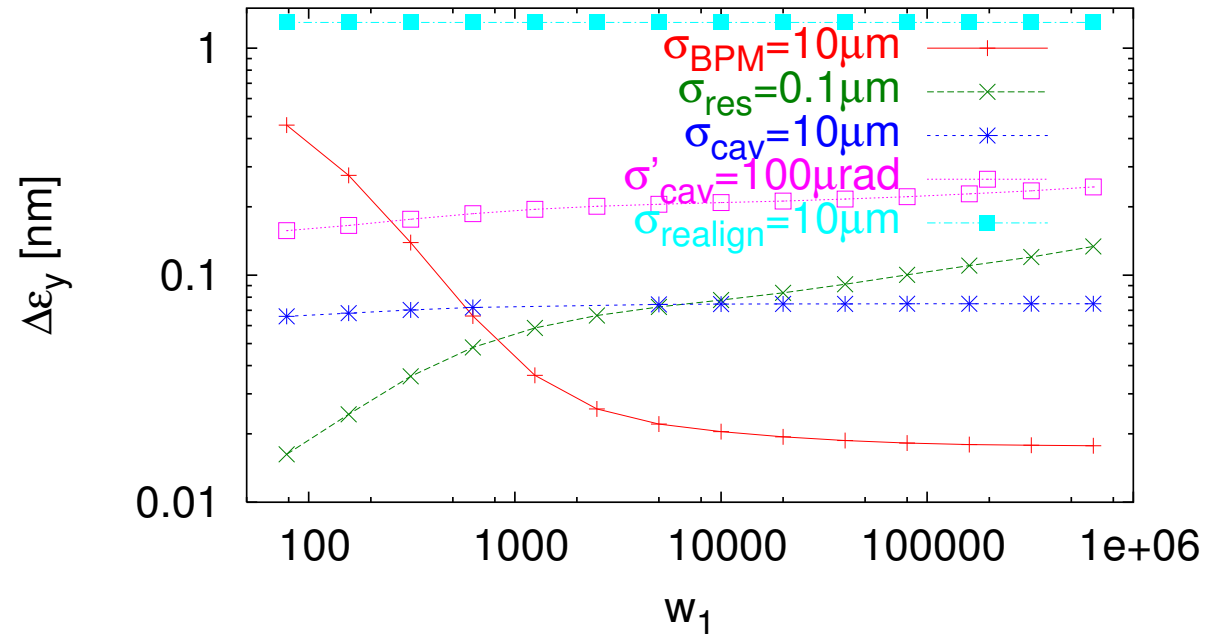
Results (ILC)

- DFS brings us close to the required performance
 - Tuning of the dispersion helps a lot
 - Even wakefield tuning helps us
 - The remaining emittance growth is to a significant extent due to quadrupole roll
- ⇒ should add a tuning bump for this effect as well



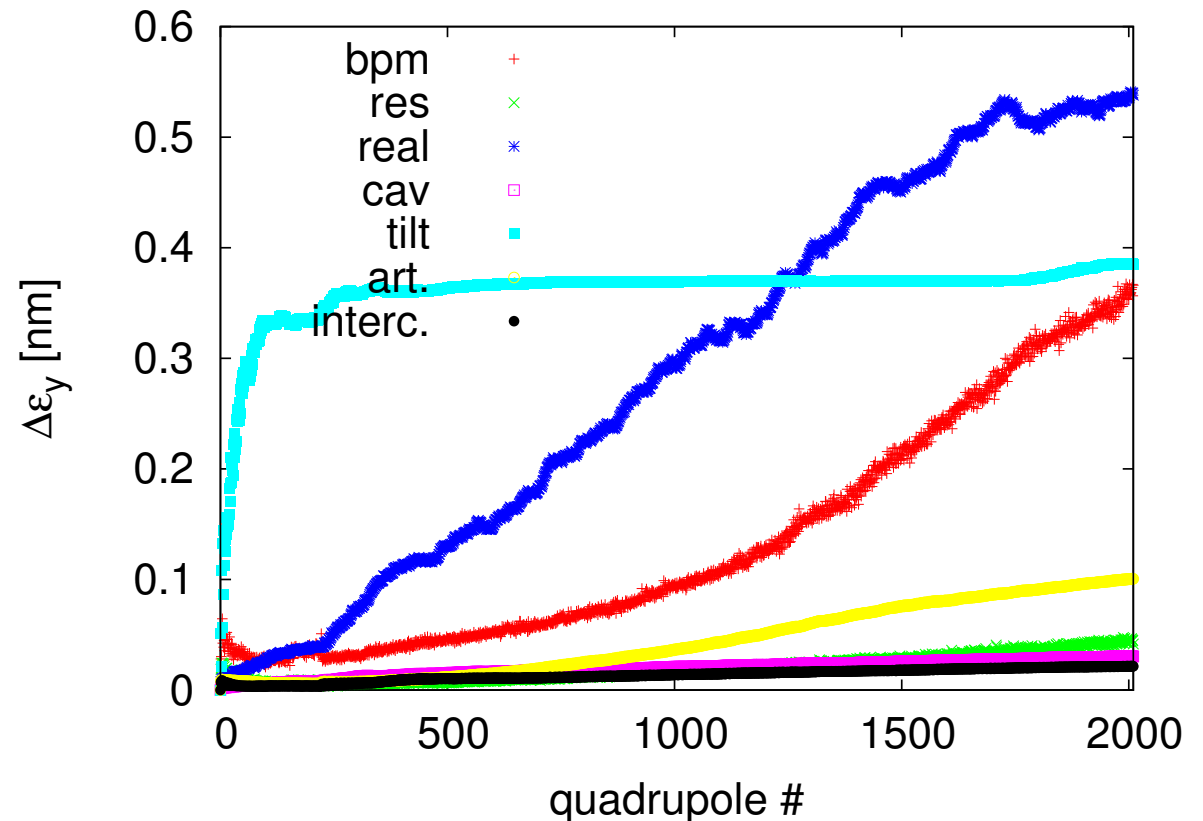
Dependence on Weights (Old CLIC Parameters)

- For TRC parameters set
 - One test beam is used with a different gradient and a different incoming beam energy
- ⇒ BPM position errors are less important at large w_1
- ⇒ BPM resolution is less important at small w_1
- ⇒ Need to find a compromise
- ⇒ There is no such thing as “the” tolerance for one error source

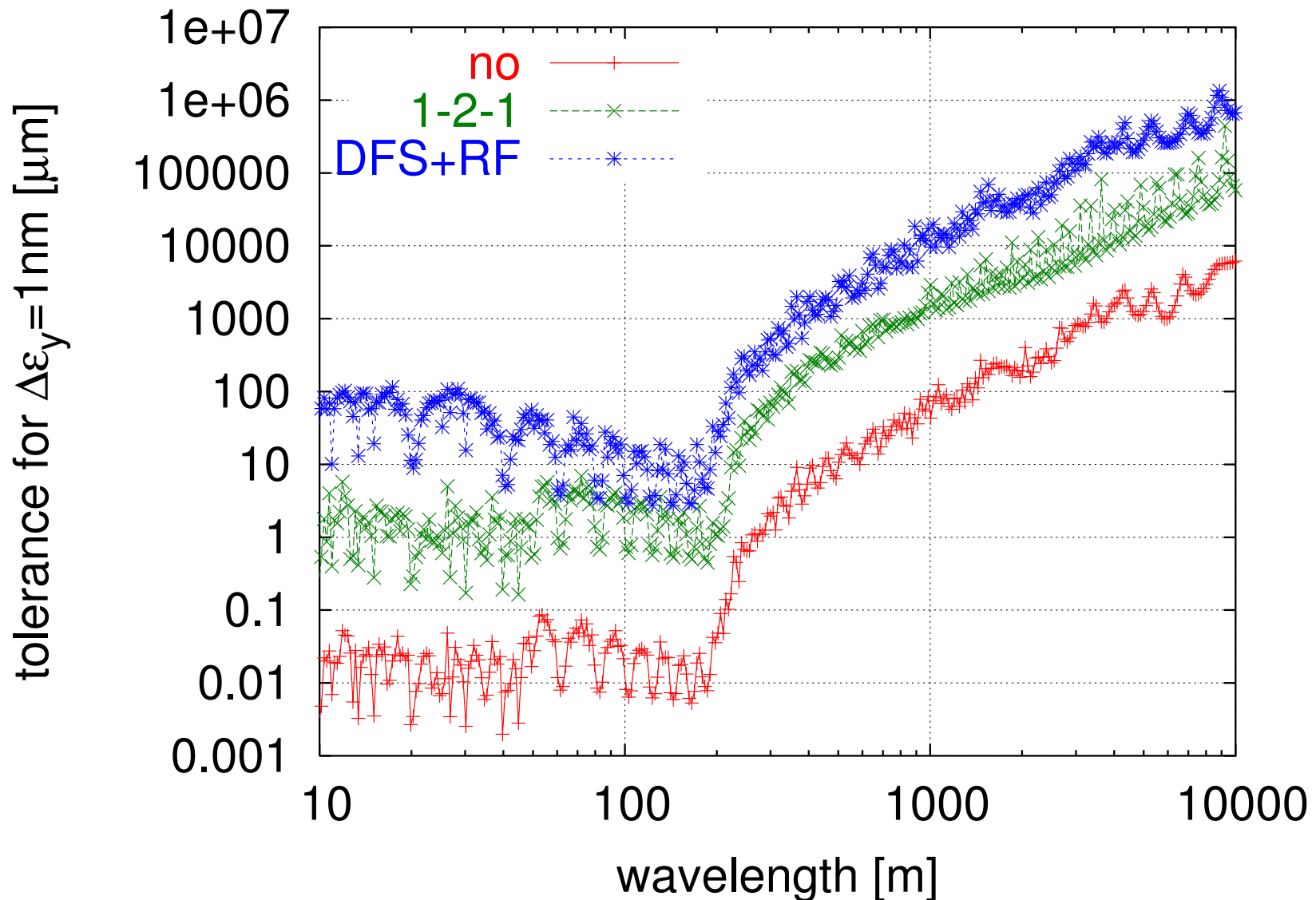


Growth Along Main Linac (CLIC)

- Emittance growth along the main linac due to the different imperfections
- Growth is mainly constant per cell
 - follows from first principles applied during lattice design
- Exception is structure tilt
 - due to uncorrelated energy spread
 - flexible weight to be investigated
- Some difference for BPMs
 - due to secondary emittance growth



Sensitivity to Survey Line Errors (CLIC)



- Cosine-line misalignments, beta-functions clearly visible

Energy Stability

Not to be forgotten



Requirements

- The final energy needs to be accurately known for physics
 - measurement
- The final energy needs to be stable for physics
 - large energy variations would also cause luminosity loss due to limited BDS bandwidth
 - need to control final energy
- The emittance needs to be preserved in presence of static imperfections
 - differences between the actual and the assumed lattice can cause emittance growth
 - need to control energy profile
- The emittance needs to be preserved in presence dynamic of imperfections
 - the energy profile needs to be stable
 - kicks due to cavity tilts need to be controlled
- Beam timing errors lead to luminosity loss
 - need to control bunch compressor RF stability

Main Linac RF Noise Sources (ILC)

- Lorentz force detuning
 - systematic from pulse to pulse
 - is largely corrected using piezo tuners in feed-forward
- Microphonics
 - unpredictable
 - corrected by klystron-based (or piezo-based) feedback
- Klystron amplitude and phase jitter
 - corrected by klystron based feedback
- Beam current variation
 - measure beam current at damping ring and use feed-forward for klystrons
- Feedback noise
 - measurement noise
 - feedback amplifies at some frequencies
- Jitter of timing reference
 - impacts feedback systems

Low Level RF Controls

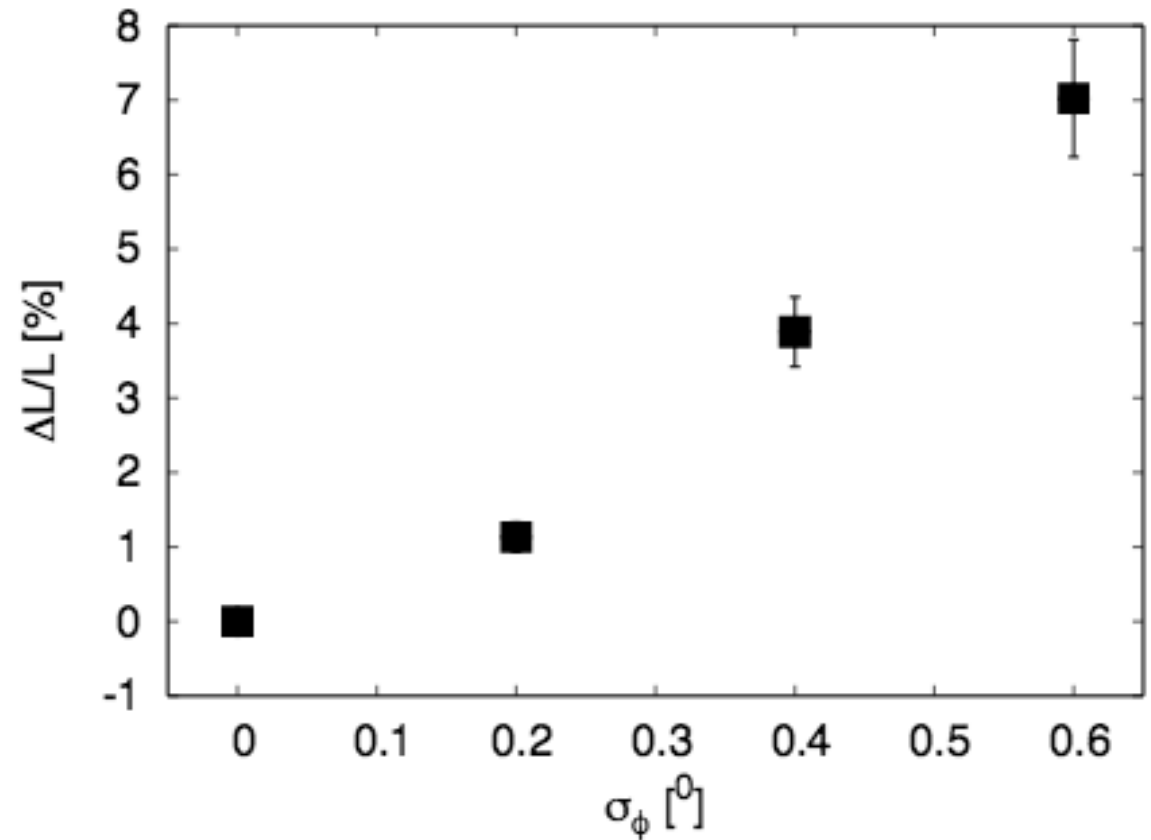
- The low level RF control ties the RF phase to a timing reference and adjusts the gradient
- For each cavity one measures
 - field amplitude and phase
 - input power
 - reflected power
- As correctors are used
 - piezo tuners in each cavity
 - stepping motors
 - klystron amplitude and phase
- One needs a beam timing feedback
- The klystron-based feedback acts on the vector sum of all cavity gradients in a unit
- The sensors are calibrated measuring the field with and without beam
 - the field induced by the beam can be calculated
- Input and reflected power per cavity is measured
- Beam current is measured at damping ring and used for feed-forward

CLIC RF Jitter Tolerance

- RF gradient and phase errors lead to final beam energy errors
- The BDS bandwidth is limited

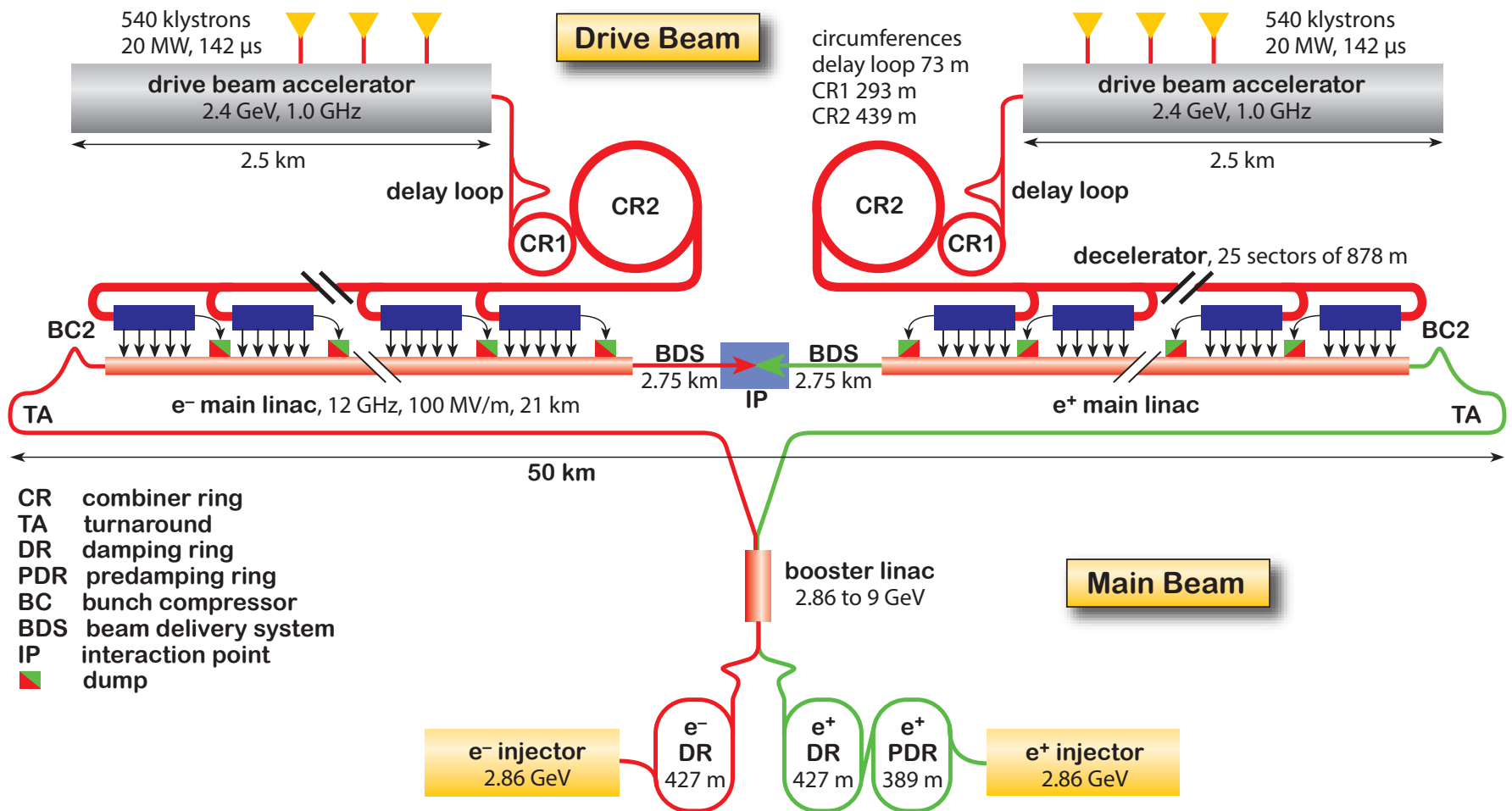
⇒ Lose luminosity

- RF tolerances translate directly into drive beam current and phase tolerances



$$\frac{\Delta \mathcal{L}}{\mathcal{L}} \approx 0.01 \left[\left(\frac{\sigma_{\phi,coh}}{0.2^\circ} \right)^2 + \left(\frac{\sigma_{\phi,inc}}{0.8^\circ} \right)^2 + \left(\frac{\sigma_{G,coh}}{0.75 \cdot 10^{-3} G} \right)^2 + \left(\frac{\sigma_{G,inc}}{2.2 \cdot 10^{-3} G} \right)^2 \right]$$

CLIC Layout



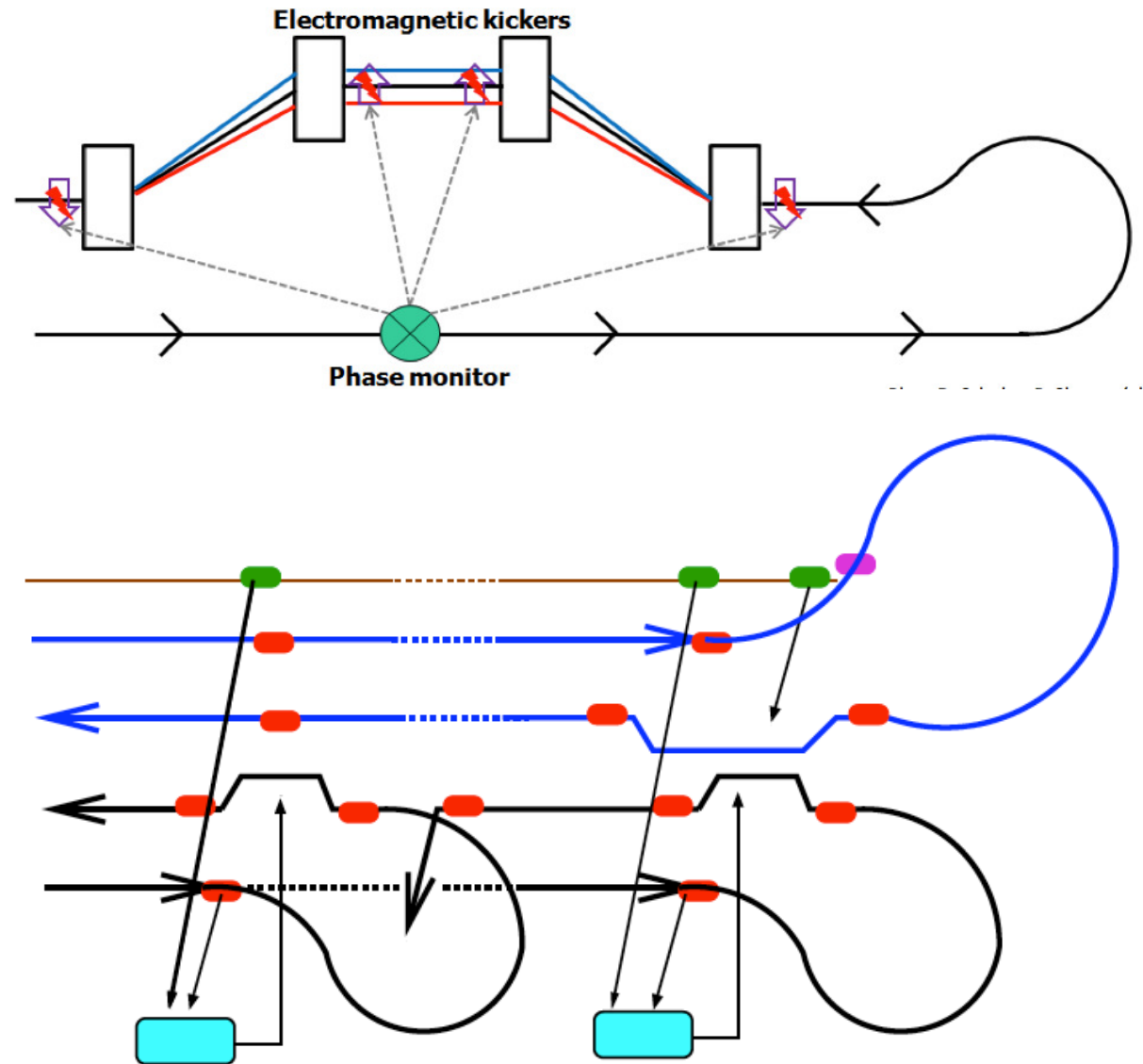
Phase Feed-forward

- Design drive beam complex for current and phase stability

- Measured current stability is OK
- Phase stability needs a factor to improvement

⇒ Correct the phase of the drive beam at the final turn-around

- requires timing reference system
- but gives the missing factor



Conclusion

- Introduced some basic physics of the main linac
- For CLIC aim to maximise the beam current for best efficiency
 - leads to short pulses
 - requires drive beam scheme
- For ILC can afford using longer pulses
 - but still need pulsed operation
- Superconducting FELs could be operated in CW mode
- Normalconducting FELs need to find ways to use bunch trains

Thanks



- Many thanks to you for listening and to the people who helped me to prepare this lecture
 - with advice
 - with plots

Erik Adli, Alexej Grudiev, Erk Jensen, Jochem Snuverink, Igor Syrathev, Rolf Wegner, Walter Wuensch, Riccardo Zennaro, Frank Zimmermann

Parameter Optimisation

Luminosity

Simplified treatment and approximations used throughout

$$\mathcal{L} = H_D \frac{N^2 f_{rep} n_b}{4\pi \sigma_x \sigma_y}$$

$$\mathcal{L} \propto H_D \frac{N}{\sqrt{\beta_x \epsilon_x} \sqrt{\beta_y \epsilon_y}} \eta P$$

$$\epsilon_x = \epsilon_{x,DR} + \epsilon_{x,BC} + \epsilon_{x,BDS} + \dots$$

$$\begin{aligned} \epsilon_y = & \epsilon_{y,DR} + \epsilon_{y,BC} + \epsilon_{y,linac} + \epsilon_{y,BDS} \\ & + \epsilon_{y,growth} + \epsilon_{y,offset} \dots \end{aligned}$$

$$\sigma_{x,y} \propto \sqrt{\beta_{x,y} \epsilon_{x,y} / \gamma}$$

$$N f_{rep} n_b \propto \eta P$$

typically $\epsilon_x \gg \epsilon_y$,
 $\beta_x \gg \beta_y$

Fundamental limitations from

- beam-beam: $N / \sqrt{\beta_x \epsilon_x}$, $N / \sqrt{\beta_x \epsilon_x \beta_y \epsilon_y}$
- emittance generation and preservation: $\sqrt{\beta_x \epsilon_x}$, $\sqrt{\beta_y \epsilon_y}$
- main linac RF: η

Potential Limitations

Efficiency η :

depends on beam current that can be transported

- Decrease bunch distance \Rightarrow long-range transverse wakefields in main linac
- Increase bunch charge \Rightarrow short-range transverse and longitudinal wakefields in main linac, other effects
- Increase the RF pulse length \Rightarrow is limited bz the structure, leads to higher drive beam cost

- **Horizontal beam size σ_x :**

limit for N/σ_x and $N/(\sigma_x\sigma_y)$ from beam-beam effects

final focus system can limit achievable σ_x

damping ring due to generated ϵ_x bunch compressors can increase ϵ_x

- **vertical beam size σ_y :**

vertical emittance generated in damping ring

emittance increase in bunch compressor and main linac

beam delivery system can limit achievable σ_y

the need to collide beams can give lower limit on σ_y

beam-beam effects via the two-stream instability

- Will try to show how to derive $L_{bx}(f, a, \sigma_a, G)$

Beam Size Limit at IP

- The vertical beam size had been $\sigma_y = 1 \text{ nm}$ (BDS)
 \Rightarrow challenging enough, so keep it $\Rightarrow \epsilon_y = 10 \text{ nm}$
- Fundamental limit on horizontal beam size arises from beamstrahlung

Two regimes exist depending on beamstrahlung parameter

$$\Upsilon = \frac{2\hbar\omega_c}{3E_0} \propto \frac{N\gamma}{(\sigma_x + \sigma_y)\sigma_z}$$

$\Upsilon \ll 1$: classical regime, $\Upsilon \gg 1$: quantum regime

At high energy and high luminosity $\Upsilon \gg 1$

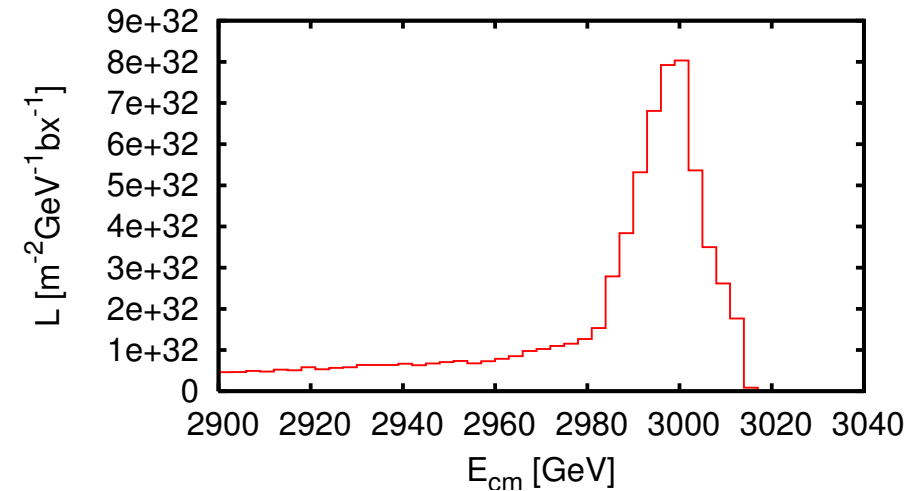
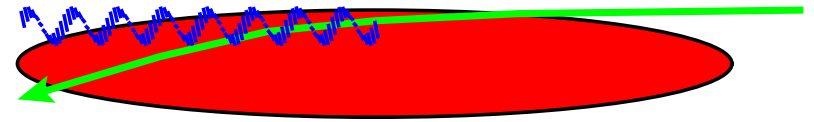
$$\mathcal{L} \propto \Upsilon \sigma_z / \gamma P \eta$$

\Rightarrow partial suppression of beamstrahlung

\Rightarrow coherent pair production

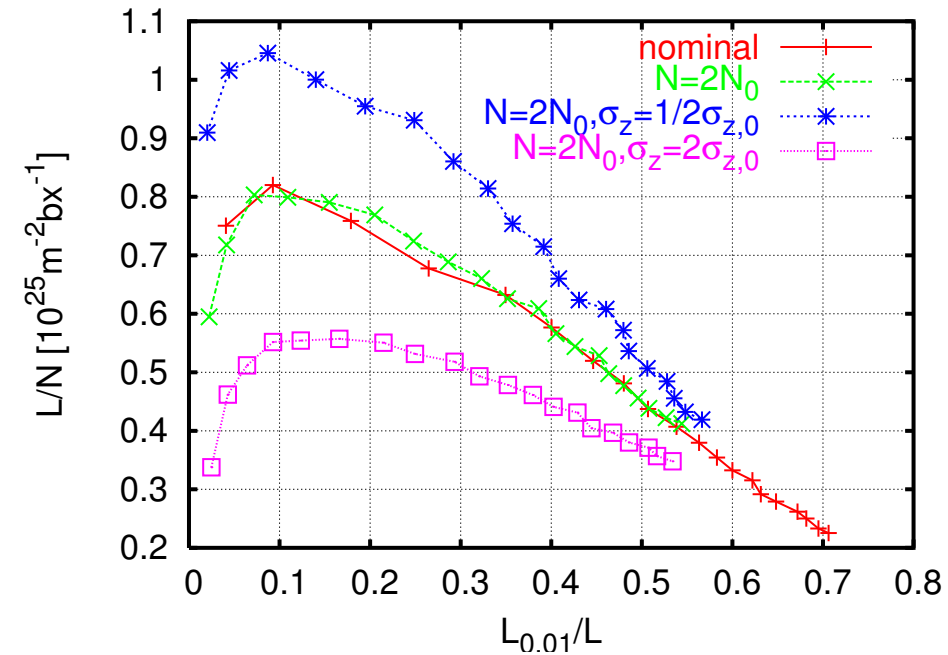
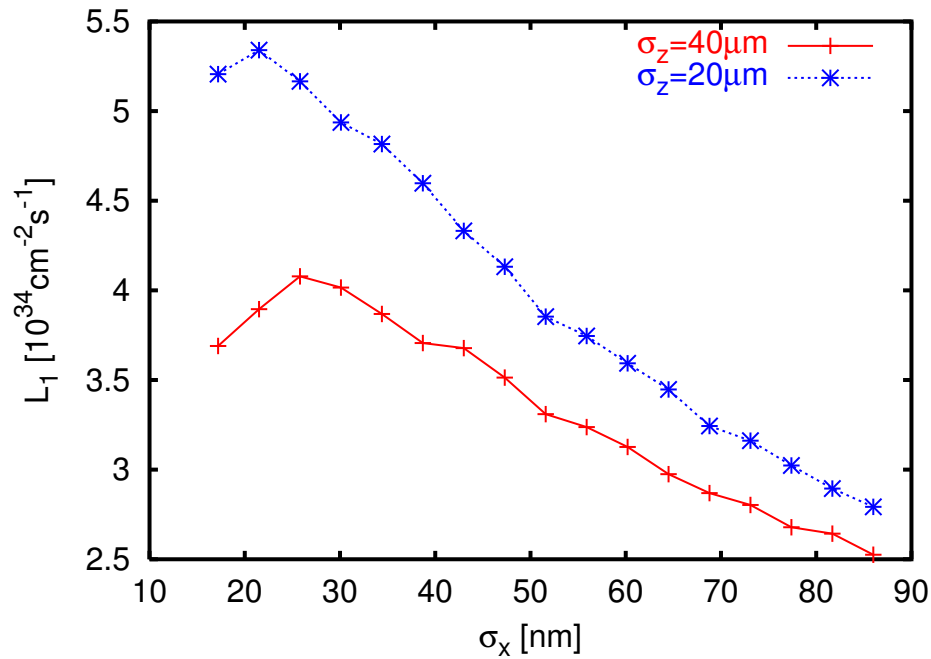
In CLIC $\langle \Upsilon \rangle \approx 6$, $N_{coh} \approx 0.1N$

\Rightarrow somewhat in quantum regime



\Rightarrow Use luminosity in peak as figure of merit

Luminosity Optimisation at IP



Total luminosity for $\Upsilon \gg 1$

$$\mathcal{L} \propto \frac{N}{\sigma_x \sigma_y} \eta \propto \frac{n_\gamma^{3/2}}{\sqrt{\sigma_z}} \frac{\eta}{\sigma_y}$$

large $n_\gamma \Rightarrow$ **higher** $\mathcal{L} \Rightarrow$ **degraded spec-**
trum

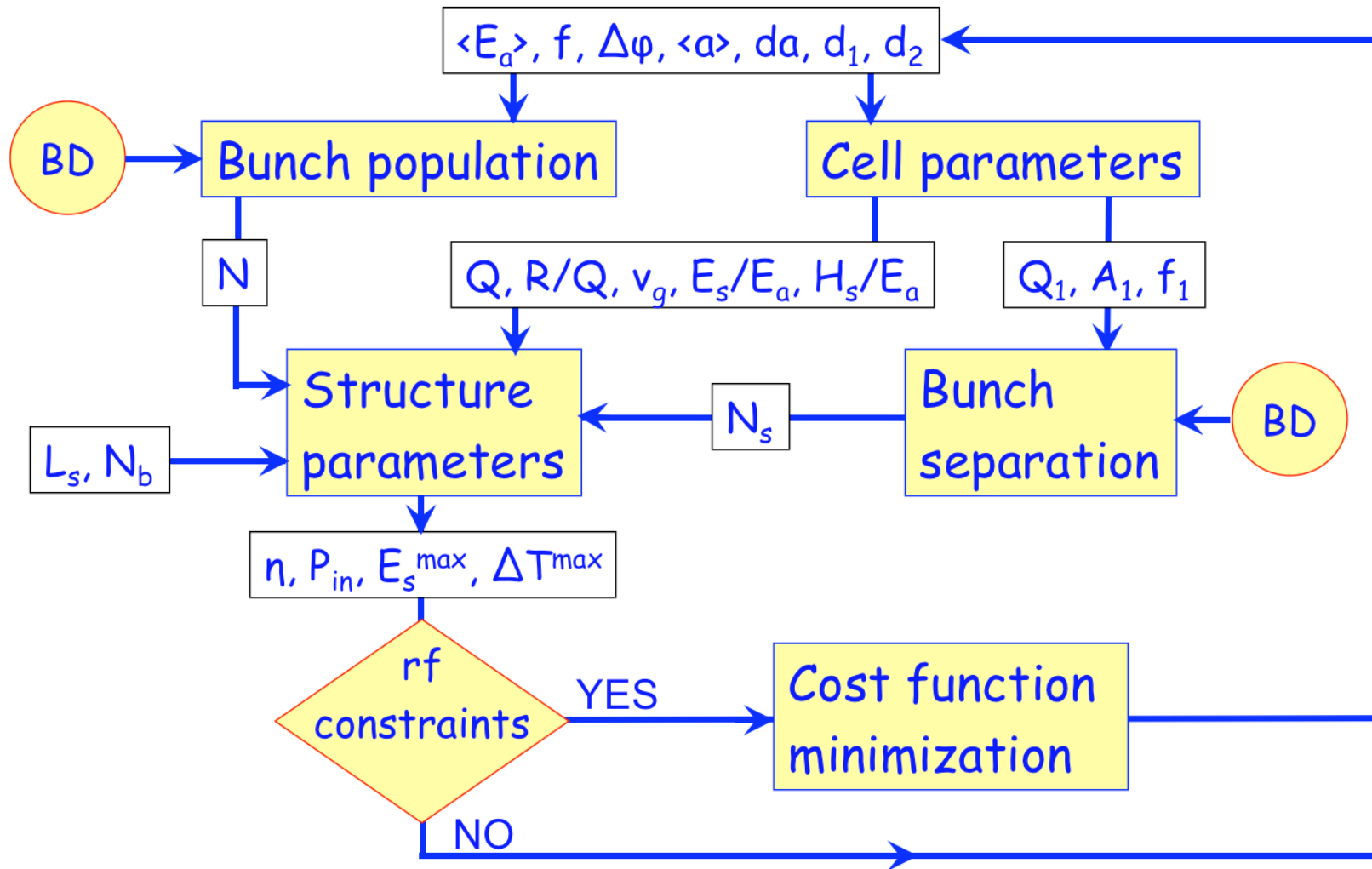
chose n_γ , e.g. maximum $L_{0.01}$ or $L_{0.01}/L = 0.4$ or ...

$$\mathcal{L}_{0.01} \propto \frac{\eta}{\sqrt{\sigma_z} \sigma_y}$$

Other Beam Size Limitations

- Final focus system squeezes beams to small sizes with main problems:
 - beam has energy spread (RMS of $\approx 0.35\%$) \Rightarrow avoid chromaticity
 - synchrotron radiation in bends \Rightarrow use weak bends \Rightarrow long system
 - radiation in final doublet (Oide Effect)
- Large $\beta_{x,y} \Rightarrow$ large nominal beam size
- Small $\beta_{x,y} \Rightarrow$ large distortions
- Beam-beam simulation of nominal case: effective $\sigma_x \approx 40 \text{ nm}$, $\sigma_y \approx 1 \text{ nm}$
 \Rightarrow lower limit of $\sigma_x \Rightarrow$ for small N optimum n_γ cannot be reached
 - new FFS reaches $\sigma_x \approx 40 \text{ nm}$, $\sigma_y \approx 1 \text{ nm}$
- Assume that the transverse emittances remain the same
 - not strictly true
 - emittance depends on charge in damping ring (e.g. $\epsilon_x(N = 2 \times 10^9) = 450 \text{ nm}$, $\epsilon_x(N = 4 \times 10^9) = 550 \text{ nm}$)

Work Flow



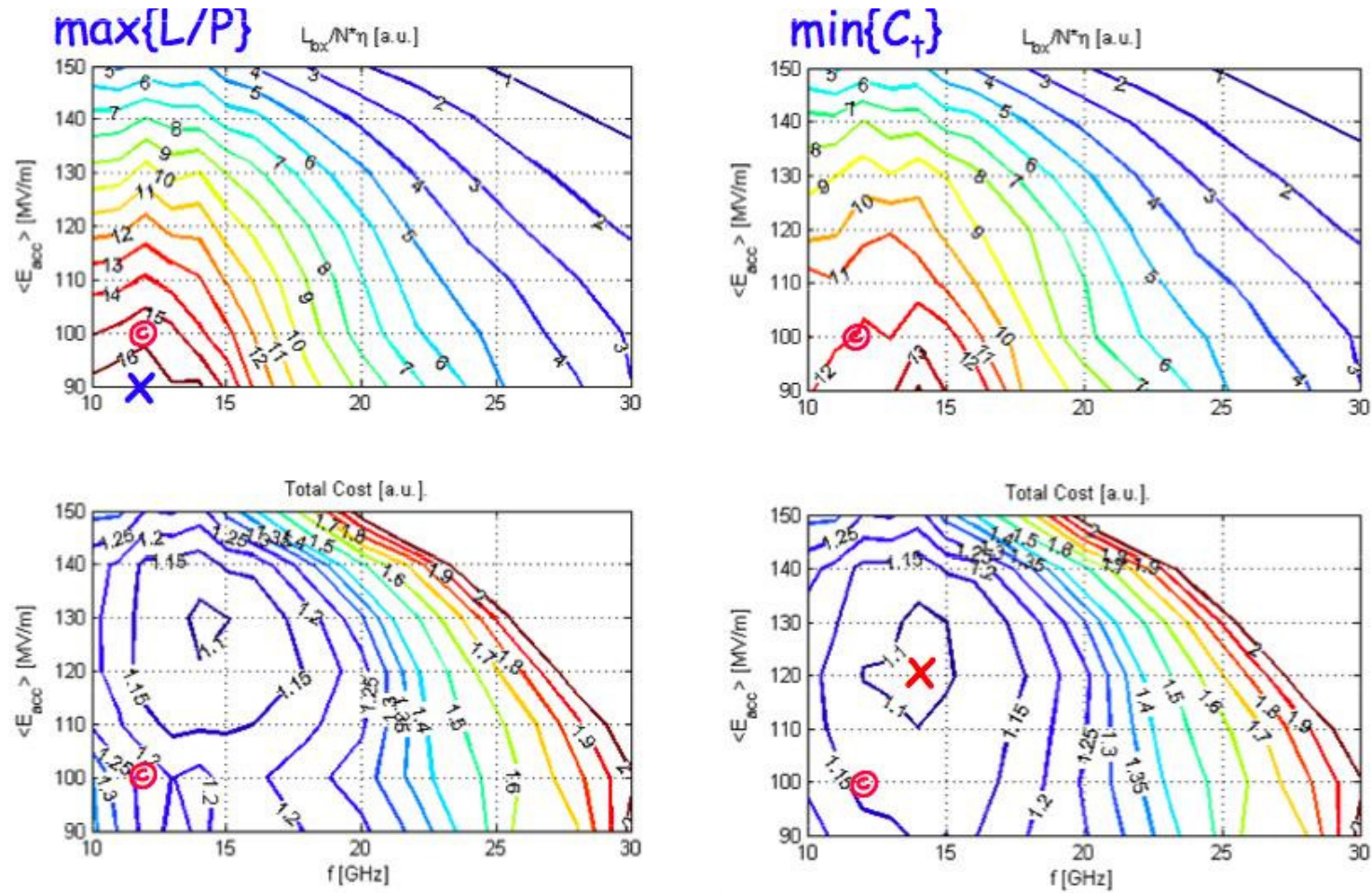
Beam Dynamics Work Flow

- Optimisation keeping the main linac beam dynamics tolerances at the original level
 - do not change the lattice
- Minimum spot size at IP is dominated by BDS and damping ring
 - adjust N/σ_x for large bunch charges to respect beam-beam limit
- For each of the different values of f , a/λ and G find $\sigma_z(N)$
 - respecting final RMS energy spread to be $\sigma_E/E = 0.35\%$ and running 12° off-crest
- Choose N such that $2NW_\perp(\sigma_z(N))$ is acceptable (i.e. old value)
- All the single bunch parameters are now fixed
 - Need to chose pulse length and repeton rate
 - They are linked by the luminosity goal
- We like to chose a repeton rate that is a harmonic or subharmonic of the grid frequency
 - This minisises electric and magnetic interference

How to Choose the Pulse Length

- Longer pulses are more efficient
 - ⇒ efficiency reduces the cost and increases the acceptance of a project
 - But they require more RF energy per pulse
 - ⇒ higher cost for storage of energy in modulators
 - Longer trains of bunches are more costly to produce
 - Note: in ILC the number of bunches is very large, this requires a large damping ring and can drive the cost
 - In CLIC we have a clear limit of the pulse length for a given gradient
 - lower gradients allow for longer pulses but increase the cost since the linac will be longer
 - There is some impact of the pulse length on the detector
- ⇒ The choice of pulse length is somewhat involved
- for CLIC we chose the one which gives the lowest cost for each combination of a specific structure and gradient

Results



Results 2

