

## Test Stands for Breakdown Studies on RF-Driven and Beam-Driven Structures\*

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Yale University



*Omega-P R&D, Inc.*

# Outline

TWO TEST STANDS: *One RF-driven and one beam-driven.*

- Two Frequency Test Stand  $f + 2f$ :  
S-band + C-band phased -synchronized RF source
- Beam Driven Accelerator Test Stand:  
X-band long bunch train source ( $\sim 10^4$  bunches/pulse)

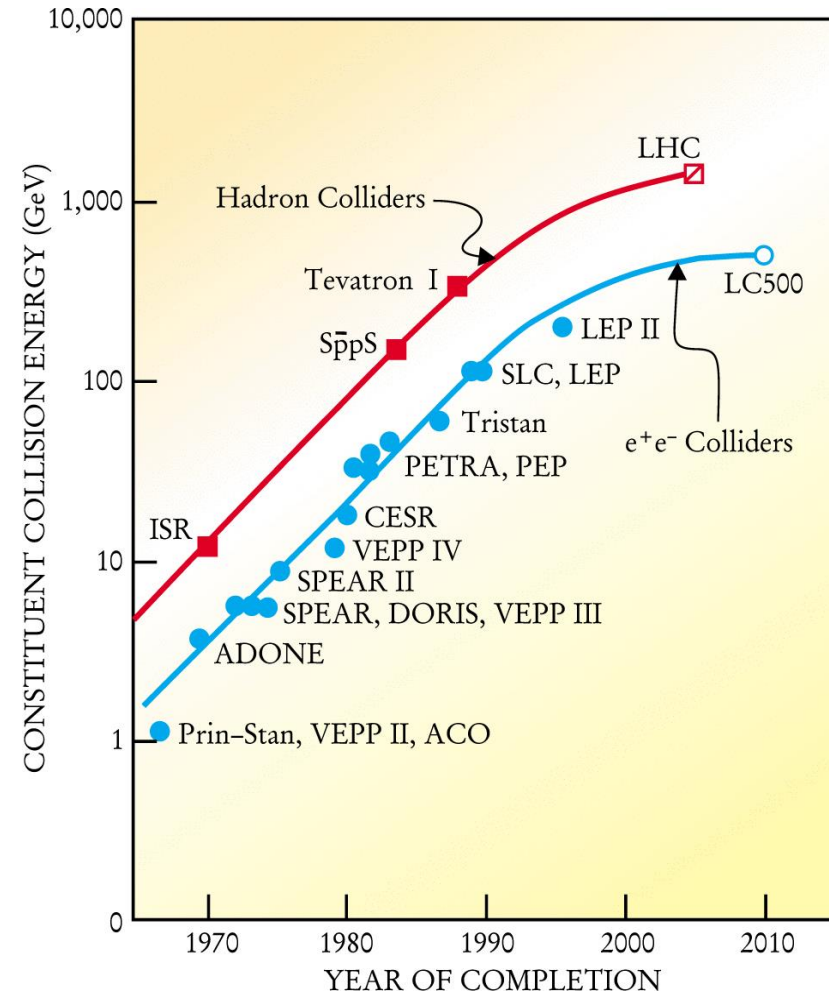
MOTIVATION: *Trying to avert RF breakdown at high gradients.*

- Bimodal RF-driven cavity structures  
(possibly leading to a bimodal linac)
- Bimodal RF-driven electron gun injector  
(a near-term application of a bimodal cavity structure)
- Bimodal beam-driven detuned cavity structures  
(possibly leading to a bimodal two-beam accelerator)

*Two bimodal mechanisms may enable breakdown suppression at high gradients: our planned tests are to confirm this prediction.*

# Accelerator Moore's Law

**RF BREAKDOWN** is a fundamental limitation in the practical realization of high-energy accelerators



- FCC (100 TeV, ?)
- SPPC (70 TeV, ?)
- LHC Run II (13 TeV)
- CLIC (linac, 380 GeV, ?)
- FCC-ee (ring, 350 GeV, ?)
- ILC (linac, 250 GeV, ?)
- CEPC (ring, 240 GeV, ?)

## How to Beat CPU Moore's Law

Analogy could be strategically following CPU industry

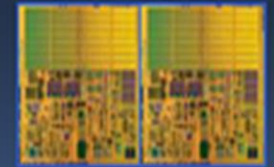
### SINGLE CORE



Area = 1  
Voltage = 1  
Freq = 1  
Power = 1  
Perf = 1



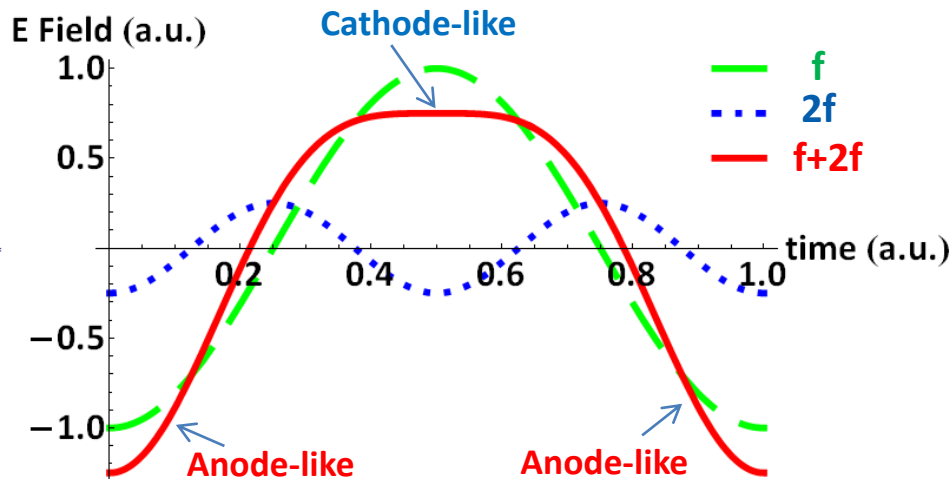
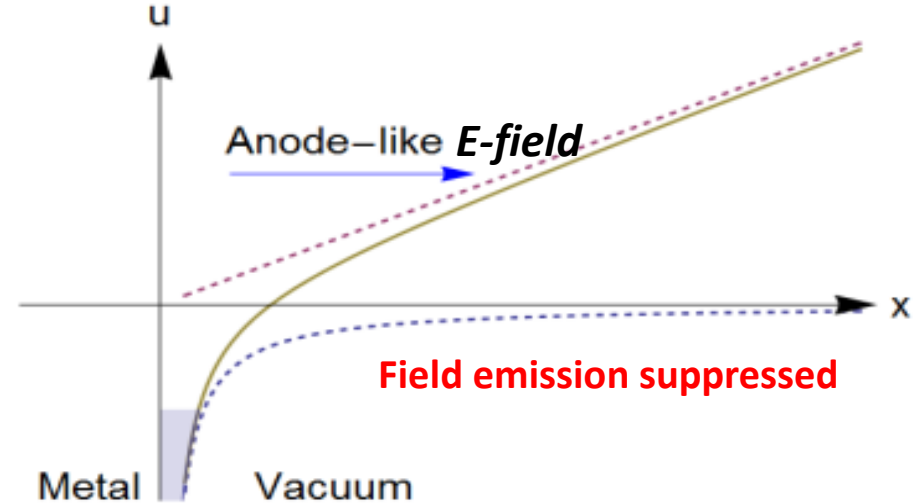
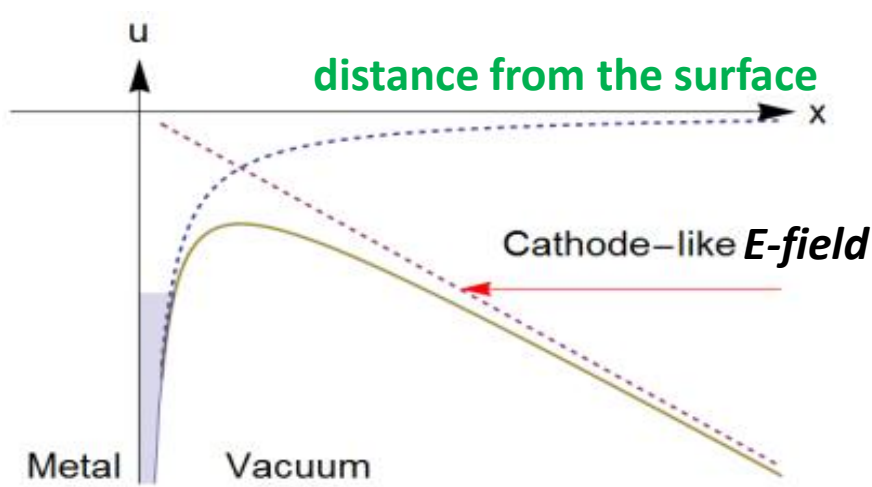
### DUAL CORE



Area = 2  
Voltage = 0.85  
Freq = 0.85  
Power = 1  
Perf = ~1.8

# Bimodal Mechanism I: Suppress Field Emission

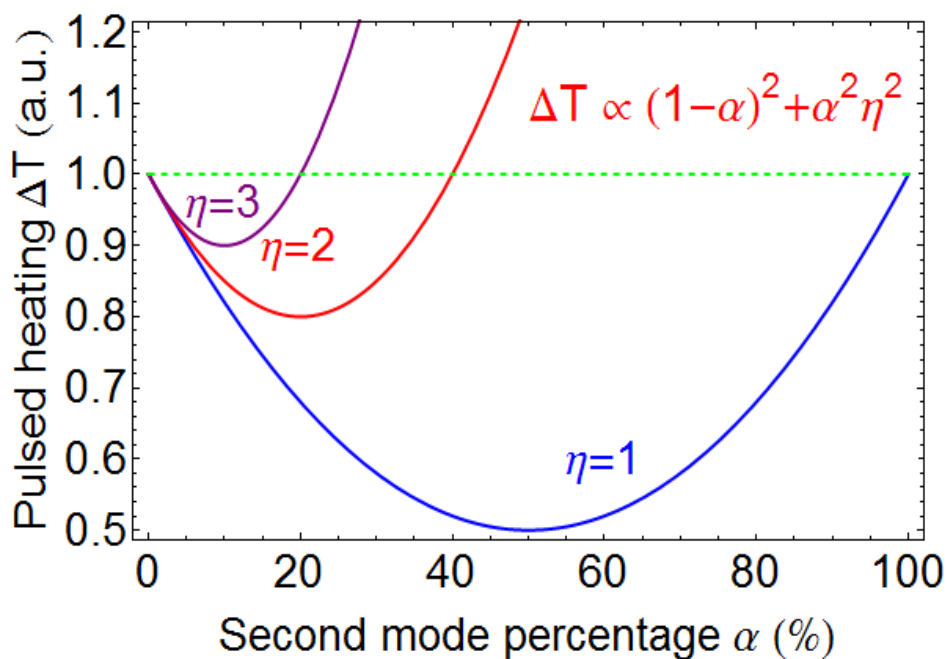
potential energy of an electron near metal surface



## "Anode - Cathode" Effect

*Two harmonic mode superposition should suppress field emission, believed to be a precursor to breakdown. Note: requires axial asymmetry.*

# Bimodal Mechanism II: Suppress Surface Pulsed Heating



$$E_{total} = (1 - \alpha)E_1 + \alpha E_2$$

$$H_{total} = (1 - \alpha)H_1 + \alpha H_2$$

$E_1, E_2$  normalized to the same acceleration gradient

$\alpha$  is the percentage of the 2<sup>nd</sup> mode

$$\begin{aligned} \Delta T &\propto (1 - \alpha)^2 \langle H_1^2 \rangle + \alpha^2 \sqrt{f_2/f_1} \langle H_2^2 \rangle \\ &= \langle H_1^2 \rangle [(1 - \alpha)^2 + \alpha^2 \eta^2] \end{aligned}$$

where  $\eta = \sqrt{(f_2/f_1)^{1/2} \langle H_2^2 \rangle / \langle H_1^2 \rangle}$

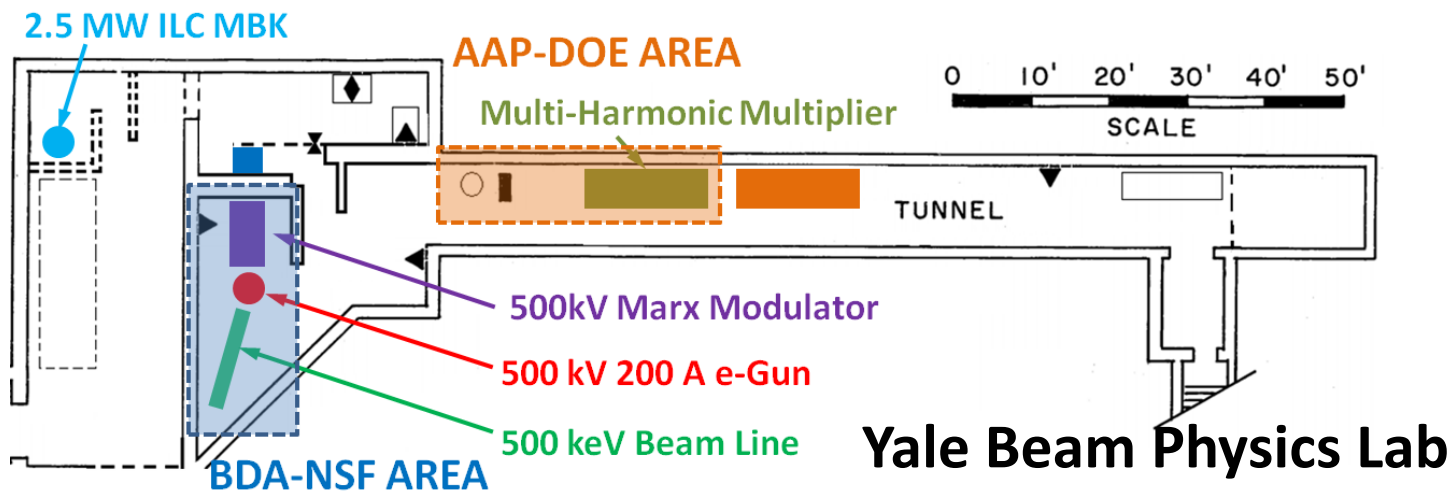
**“Quadratic Dependence” Effect**

$$\exists \alpha \quad (1 - \alpha)^2 + \alpha^2 \eta^2 < 1$$

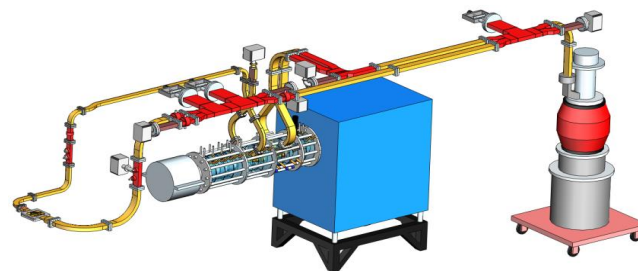
Also, modified Poynting vector  $S_c$  and total RF power  $P_{total}$  are reduced.

*Two harmonic mode superposition could suppress pulsed heating, also believe to be a precursor to breakdown.*

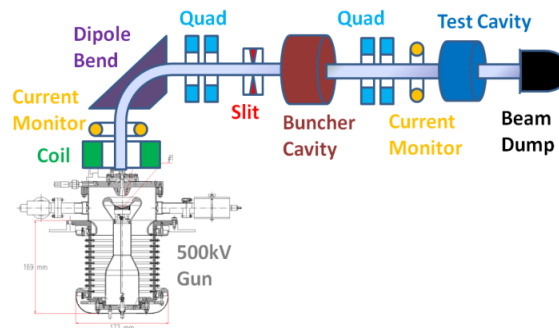
# Two Test Stands At Yale Under Construction



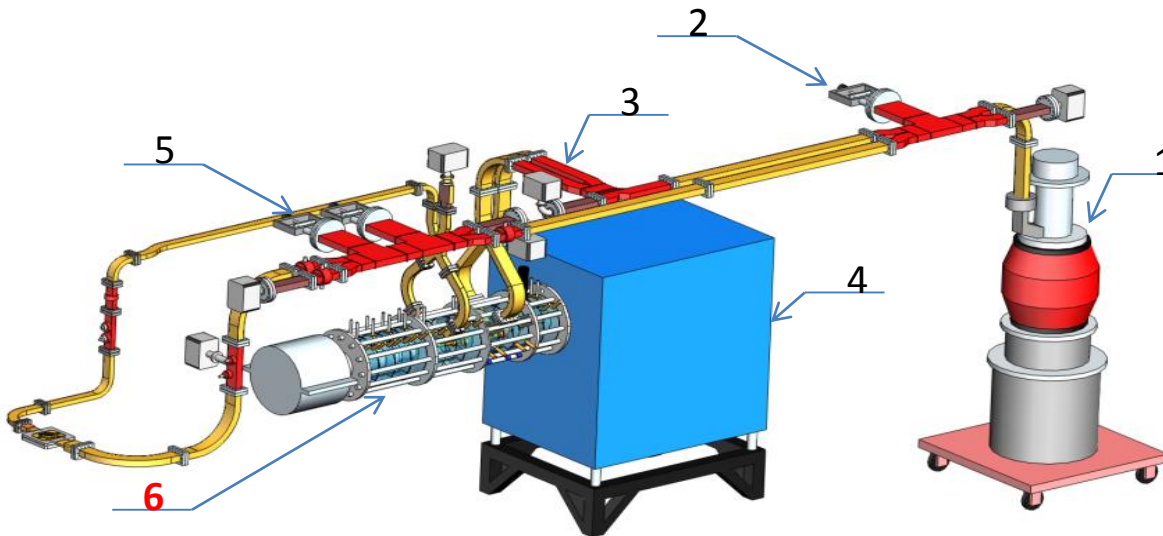
**Two Frequency RF Source**  
for RF Driven Bimodal Structure  
Experiments



**Long Bunch Train Beam Source**  
for Beam Driven Experiments



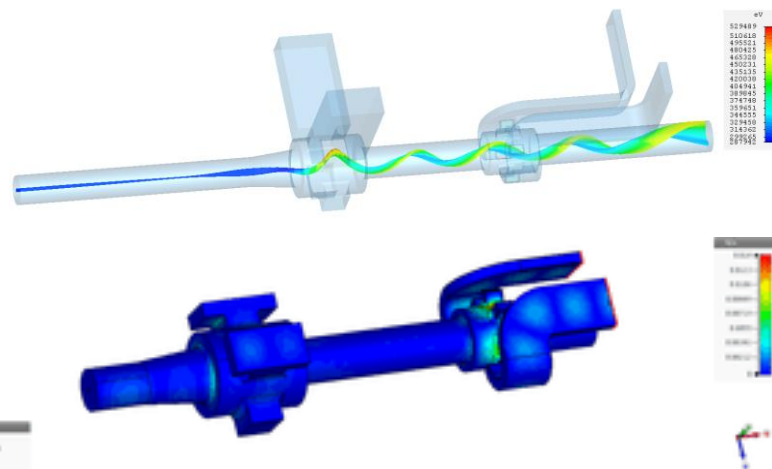
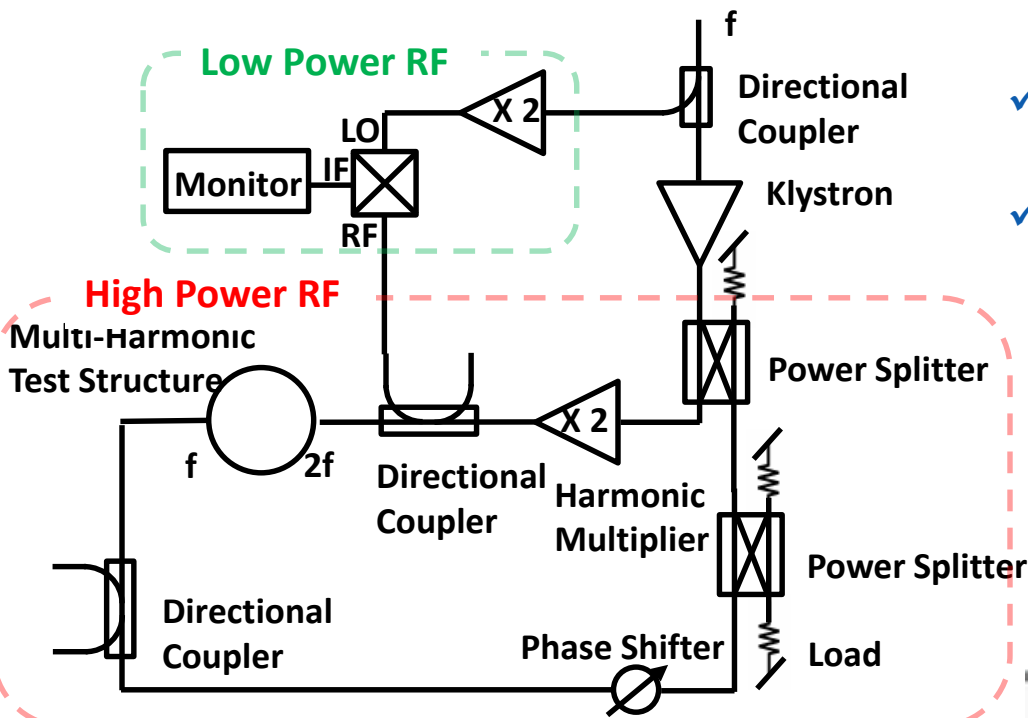
# Two Frequency RF Source



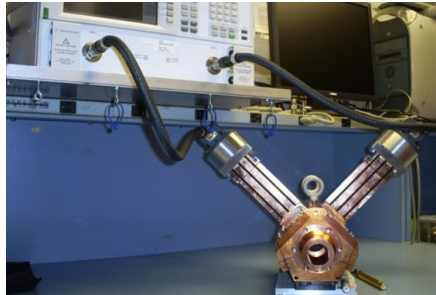
## Layout

- 1 – S-band klystron
- 2 – variable power splitter
- 3 – 3-dB hybrid splitter
- 4 – 300-kV gun tank
- 5 – variable power splitter/phase shifter
- 6 – **harmonic multiplier**

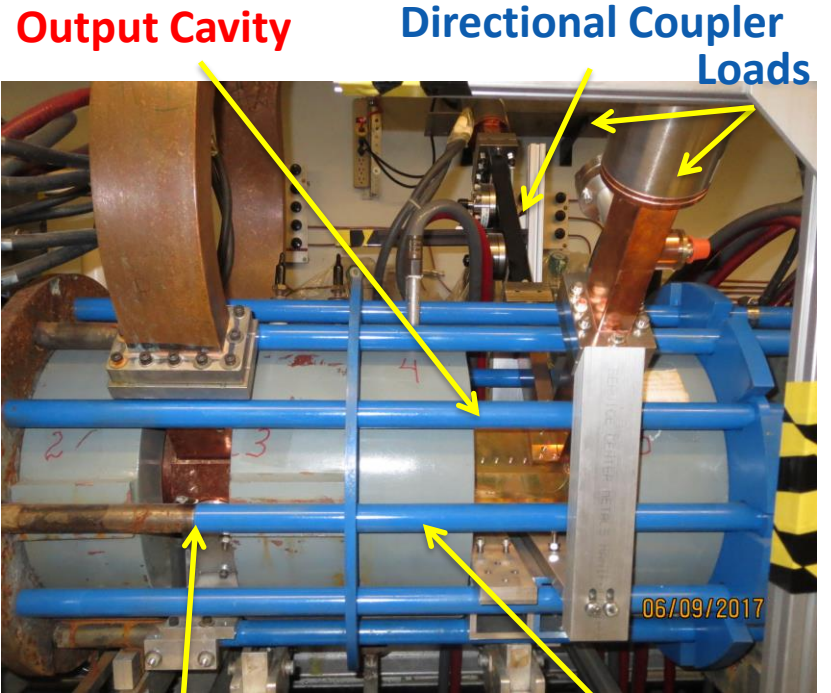
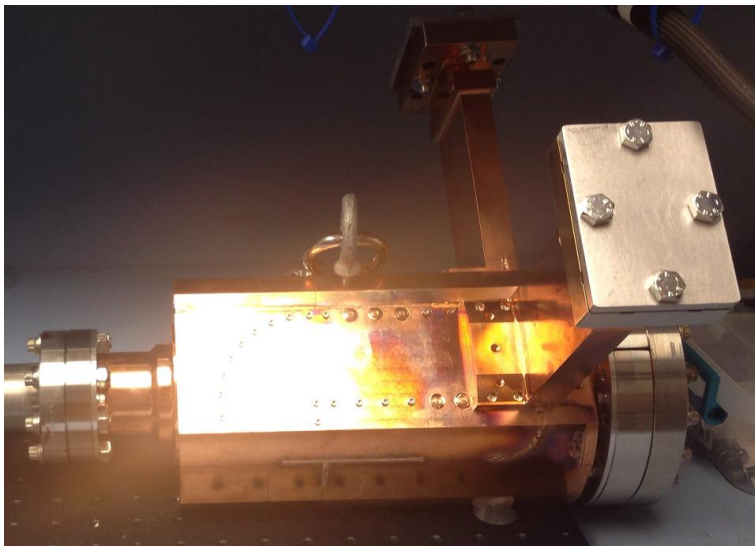
- ✓ Adjustable relative amplitude and phase
- ✓ Two sources automatically phase-locked
- ✓ No new C-band klystron needed



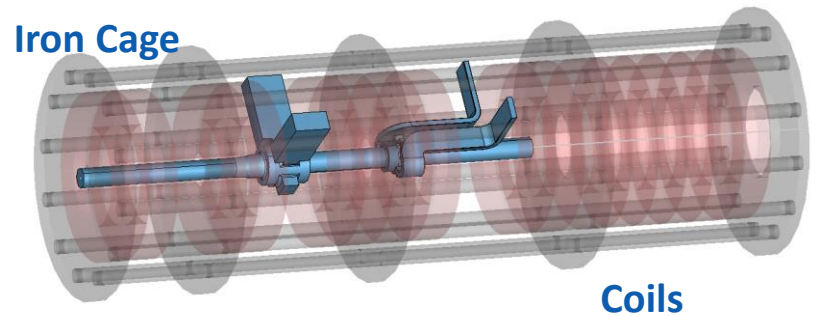
# Installation of Output Cavity



After cold tests and brazing



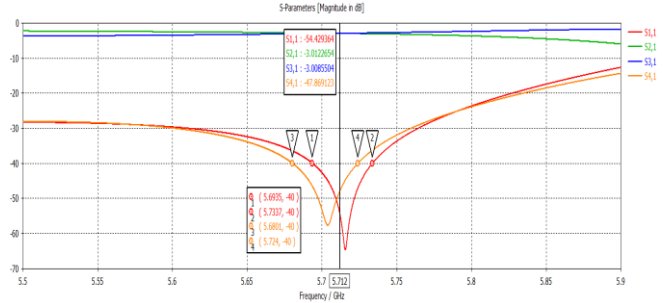
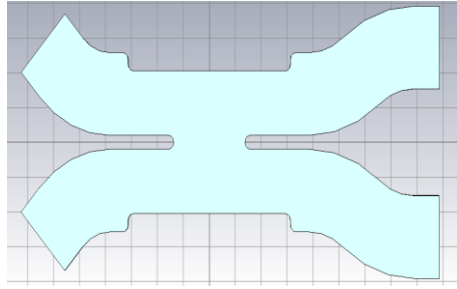
Drive Cavity      Magnetic System



Currently under conditioning

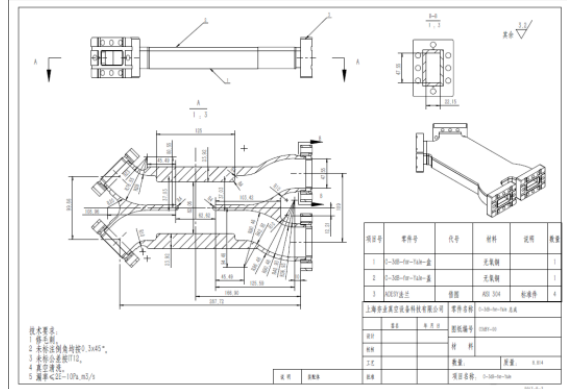
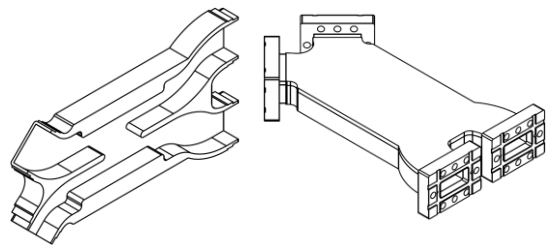
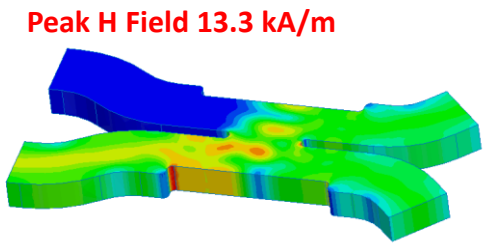
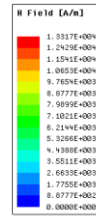
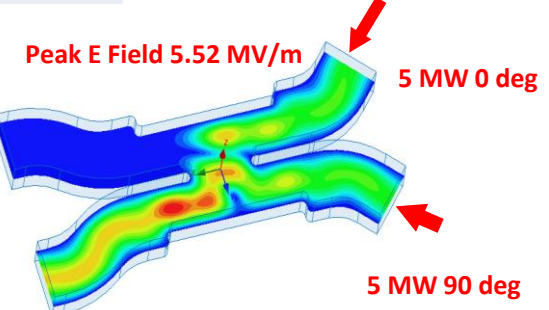
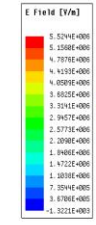
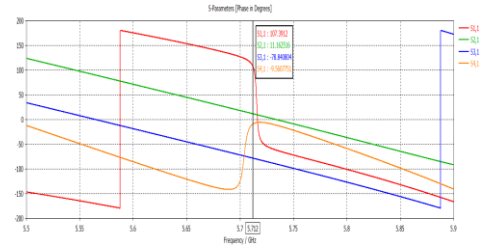


# Design & Machining of C-band Hybrid

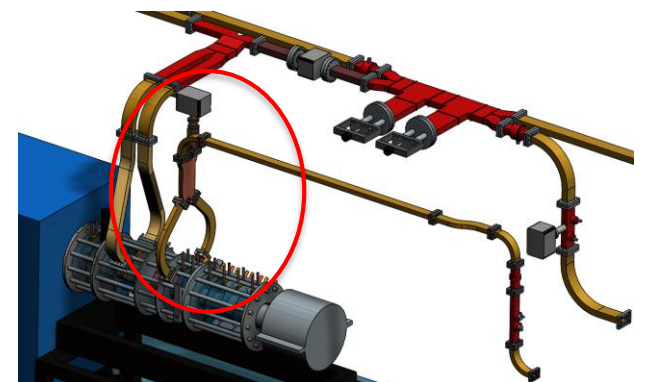
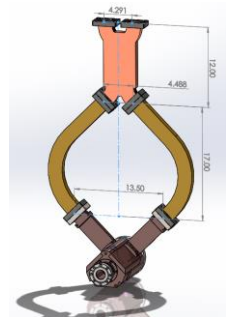


S11	-54.429dB
S21	-3.0123dB
S31	-3.0086dB
S41	-47.869dB

Bandwidth: at least 30MHz  
 Phase different between Port2 and Port3: 90.003°



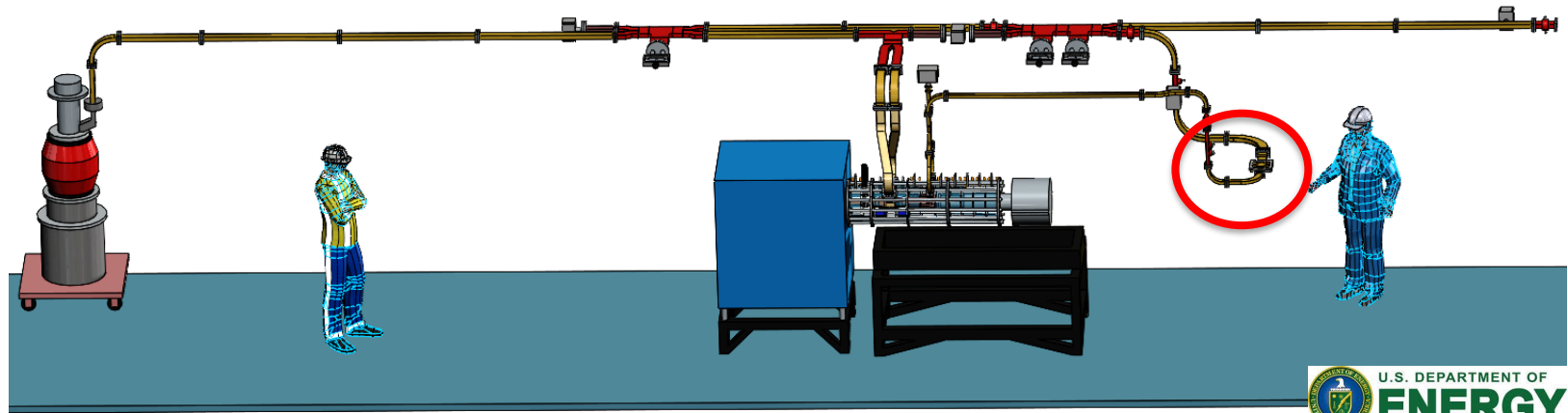
Current Status:  
 Machined. Cold Test Now



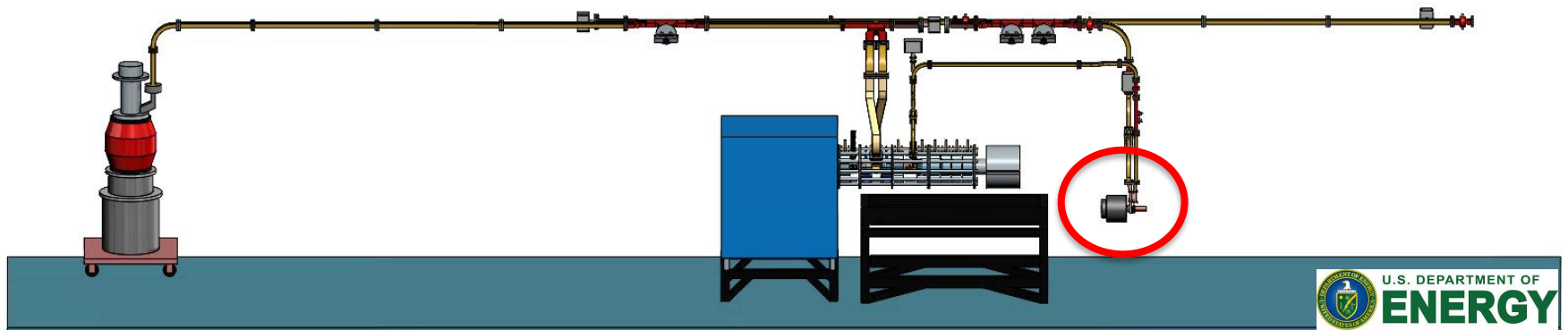
# First Planned Experiments on Test Stand

## RF Breakdown and Pulsed Heating Experiments (2017-2018)

- *Asymmetric* Bimodal Cavity: Test Anode-Cathode Effect
- *Symmetric* Bimodal Cavity: Test Surface Pulse Heating Effect



## Bimodal Electron Gun Experiments (2018-2019)

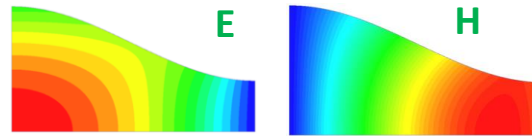


# RF Properties of Asymmetric Anode-Cathode Cavity

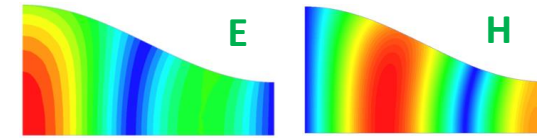
## TM<sub>010</sub>+TM<sub>020</sub> Cavity



TM<sub>010</sub> 2.856 GHz



TM<sub>020</sub> 5.712 GHz



required RF power

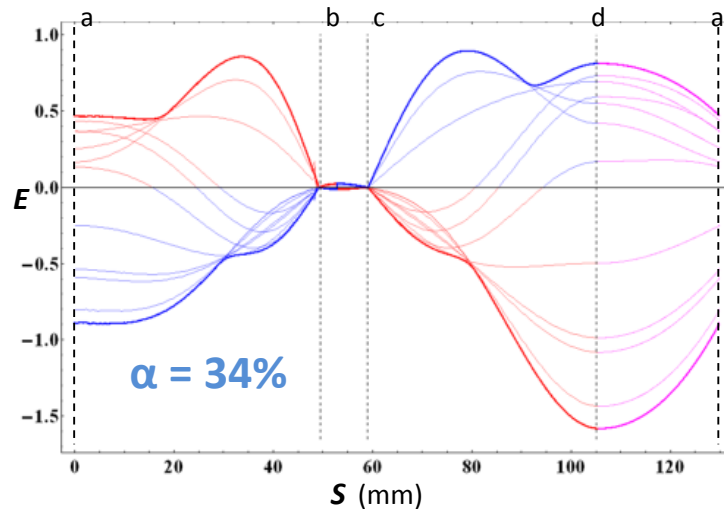
1<sup>st</sup> harmonic alone

2<sup>nd</sup> harmonic alone

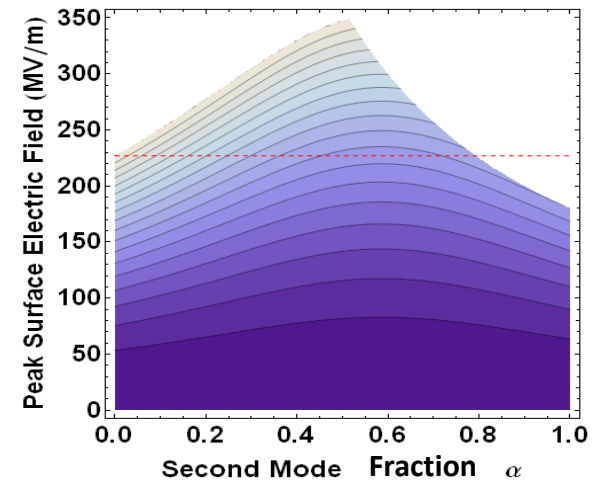
both modes

frequency (GHz)	2.856	5.712	66% $f_1$ and 34% $f_2$
P (MW) for $E_{\text{surf}} = 100$ MV/m	3.49	1.98	1.75
P (MW) for $E_{\text{surf}} = 200$ MV/m	13.97	7.92	7.21
P (MW) for $E_{\text{surf}} = 300$ MV/m	31.43	17.82	16.23

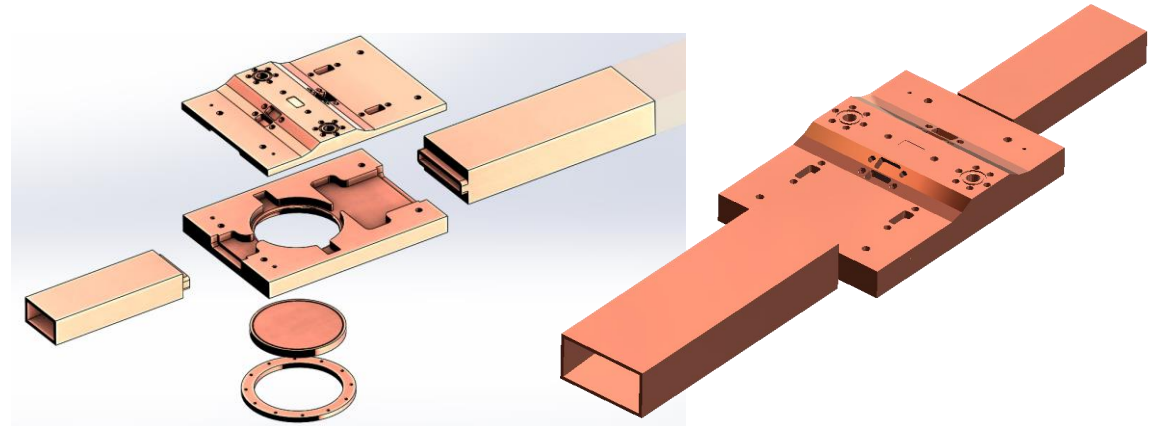
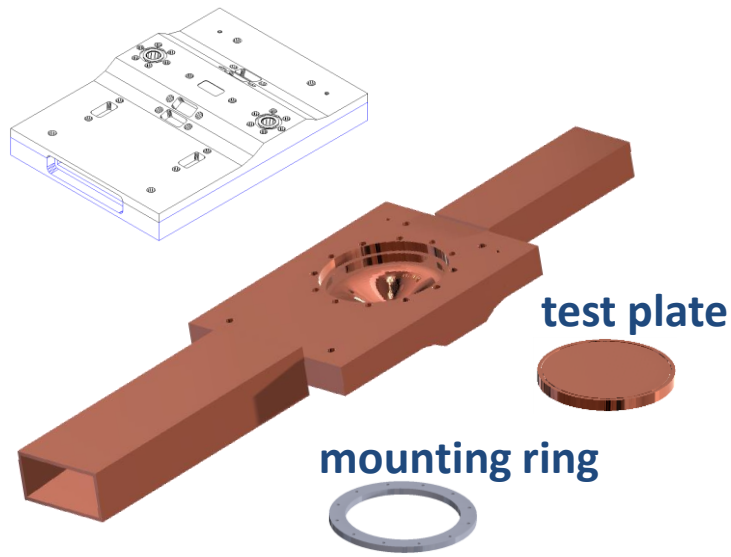
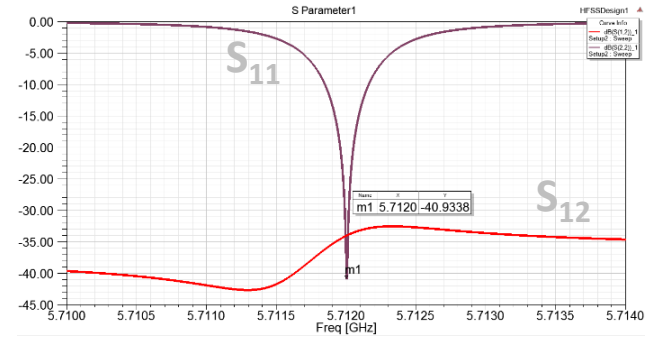
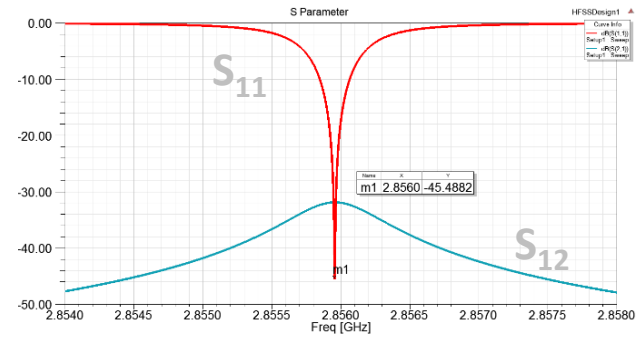
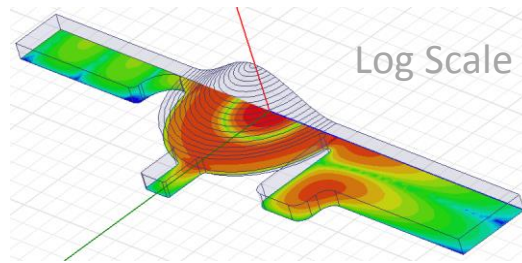
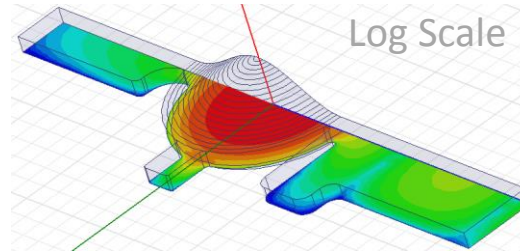
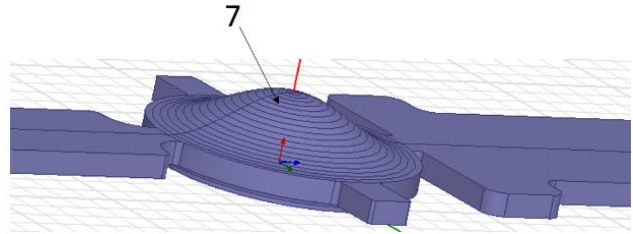
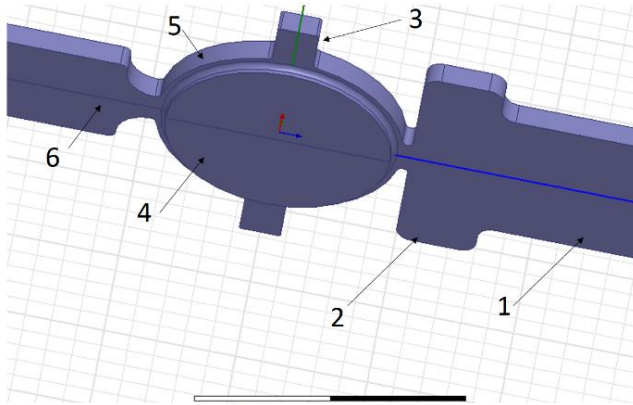
## E-field distribution along cavity periphery S



## peak surface E-field with 18 MW klystron power



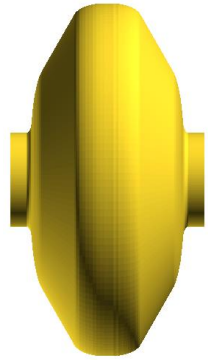
# RF/Engineering Design of Anode-Cathode Cavity



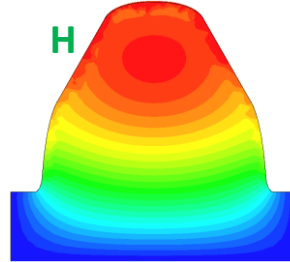
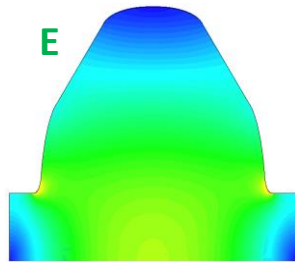
# Symmetric Bimodal Cavity for Pulsed Heating Suppression

$TM_{010} + TM_{011}$  ( $f + 2f$ )

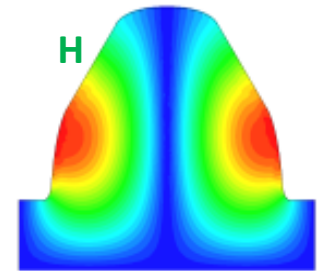
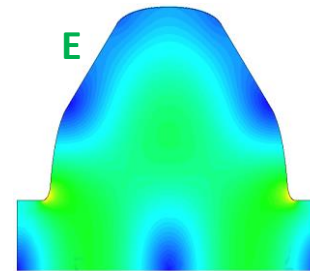
Quadratic Dependence Effect



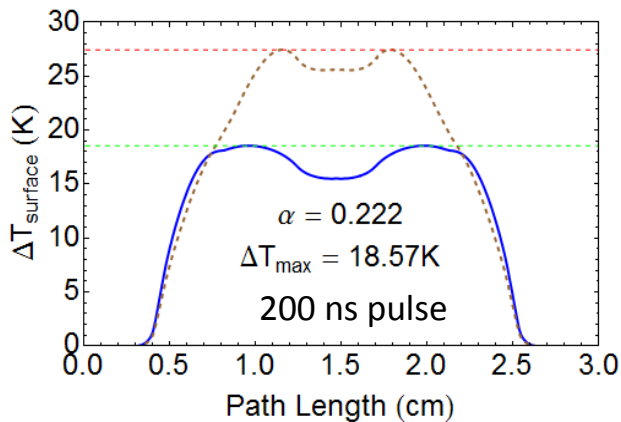
$TM_{010}$  12 GHz



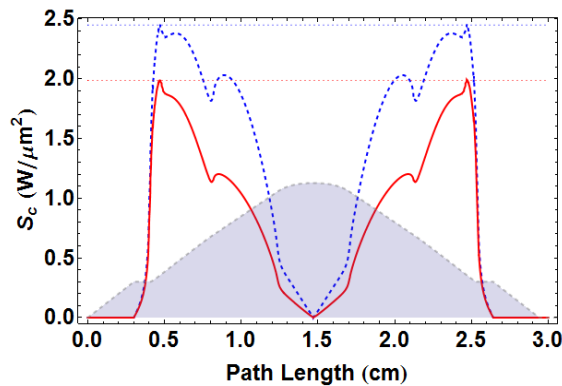
$TM_{011}$  24 GHz



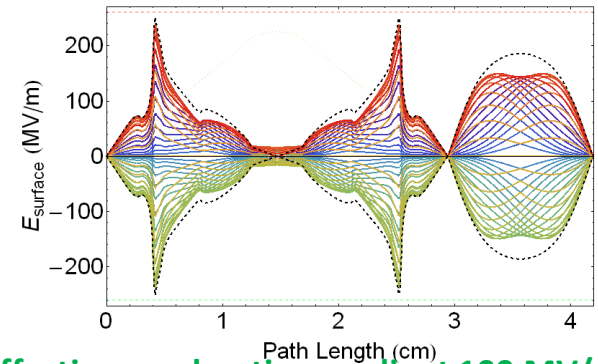
Pulsed temperature rise



modified Poynting vector  $S_c$



surface E-field along periphery

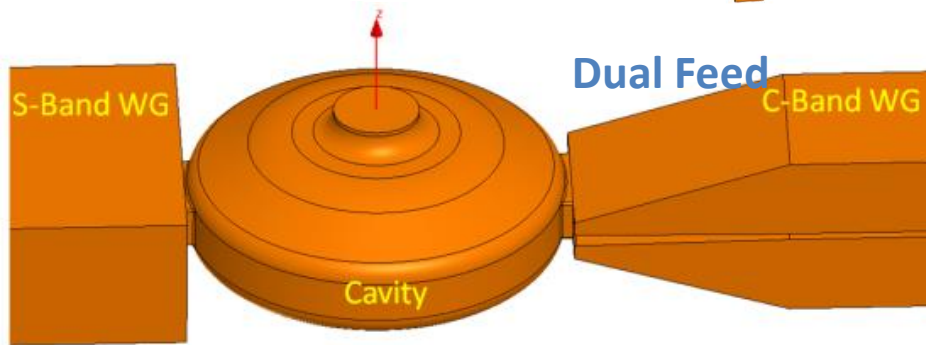
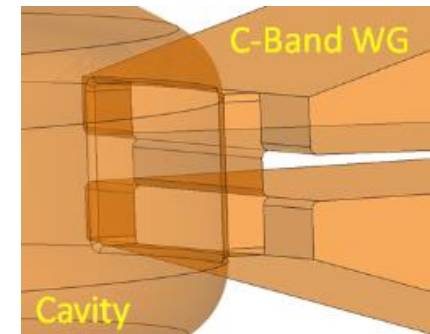
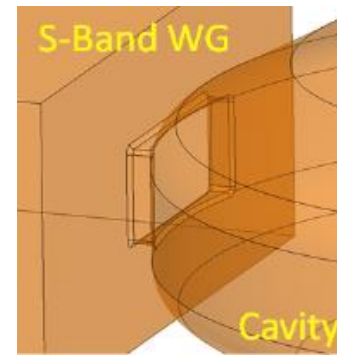
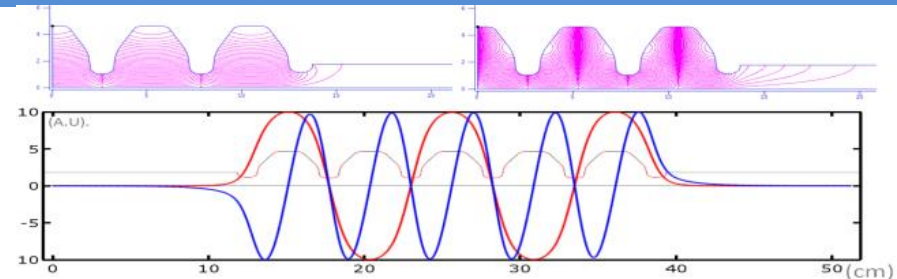
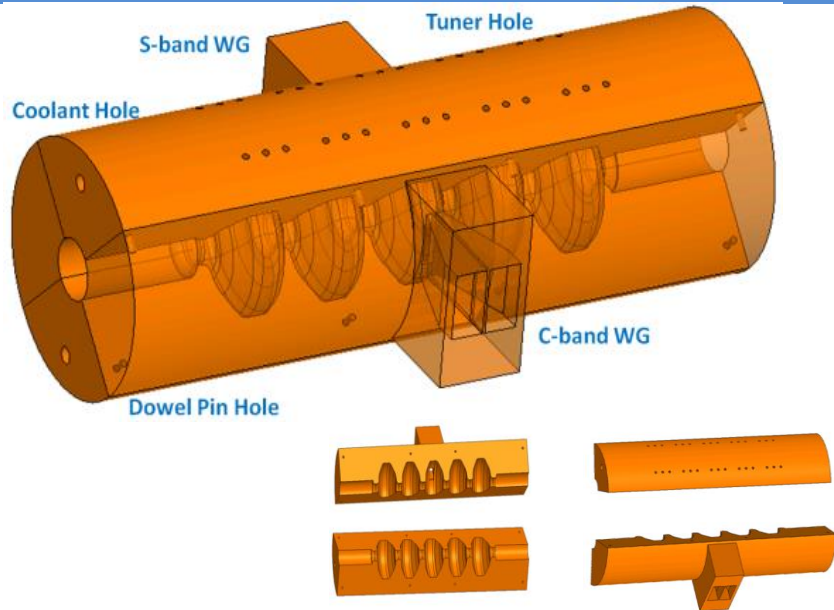


Effective acceleration gradient 100 MV/m

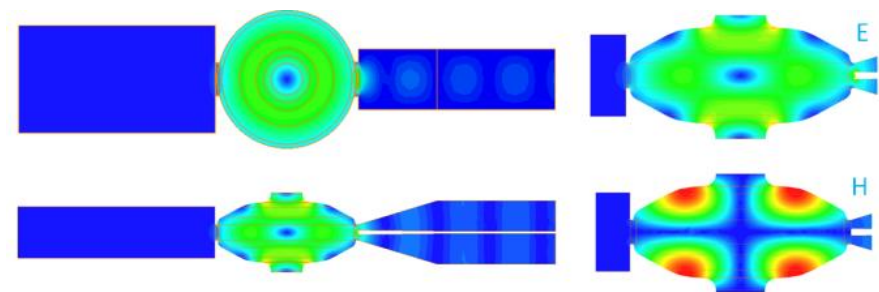
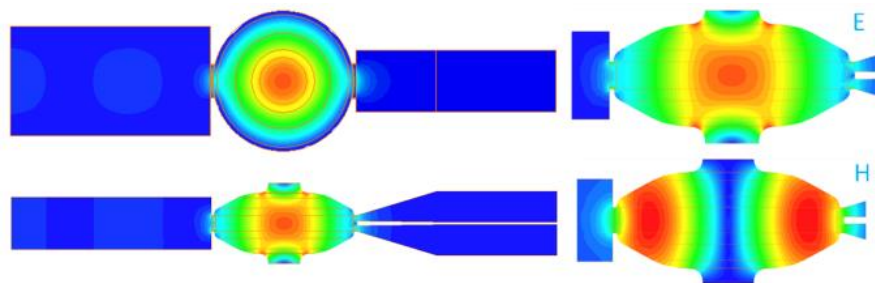
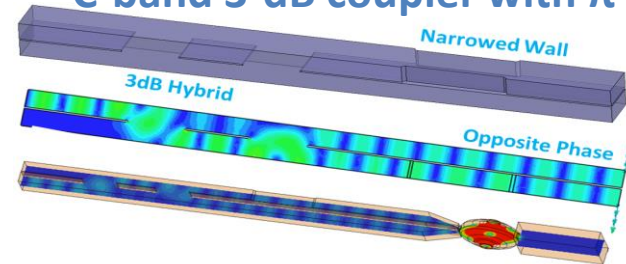
2-mode superposition compared to fundamental mode alone in the same MHC :

- pulsed heating temperature ↓ 32%
- total required RF power ↓ 27%
- maximum modified Poynting vector  $S_c$  ↓ 20%
- effective shunt impedance ↑ 37%

# Symmetric Bimodal Cavity Structure

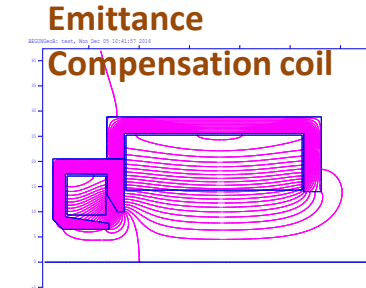
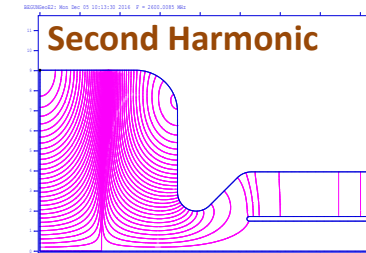
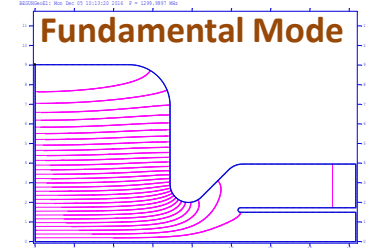
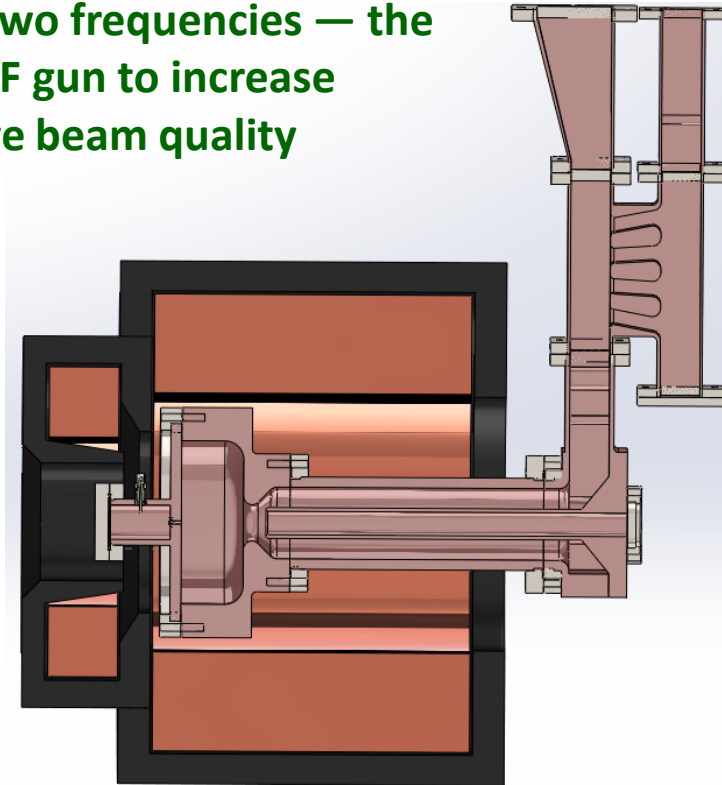
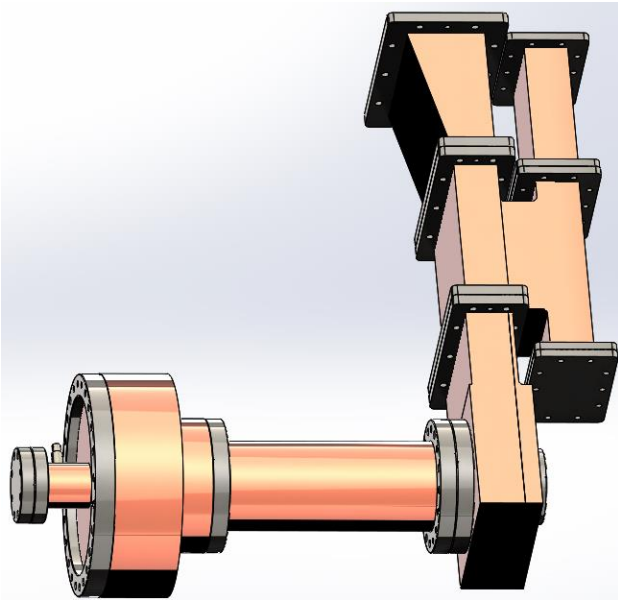


## C-band 3-dB coupler with $\pi$ -phase shift

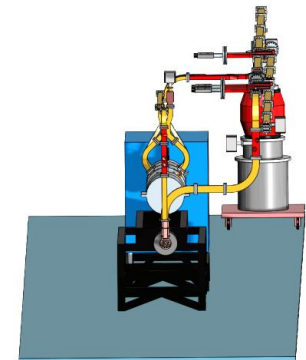
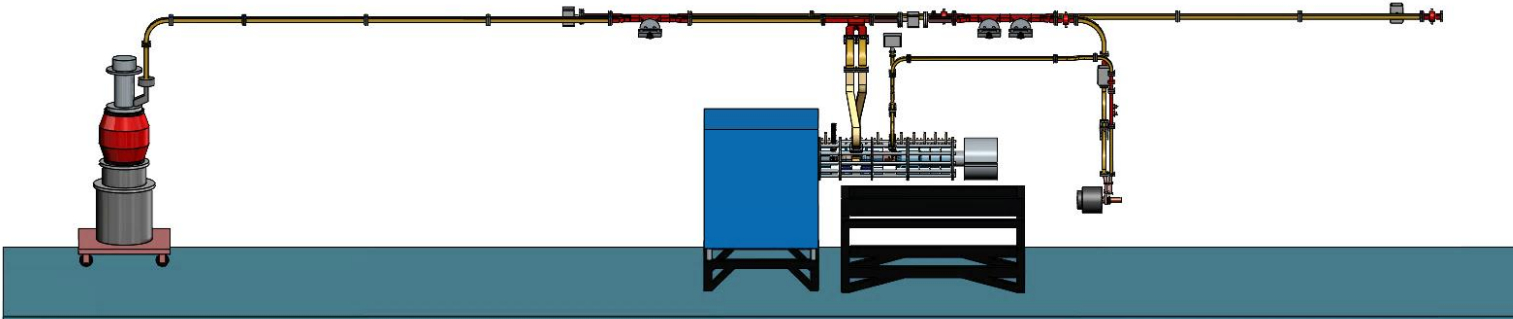


# Bimodal Electron Gun Experiment

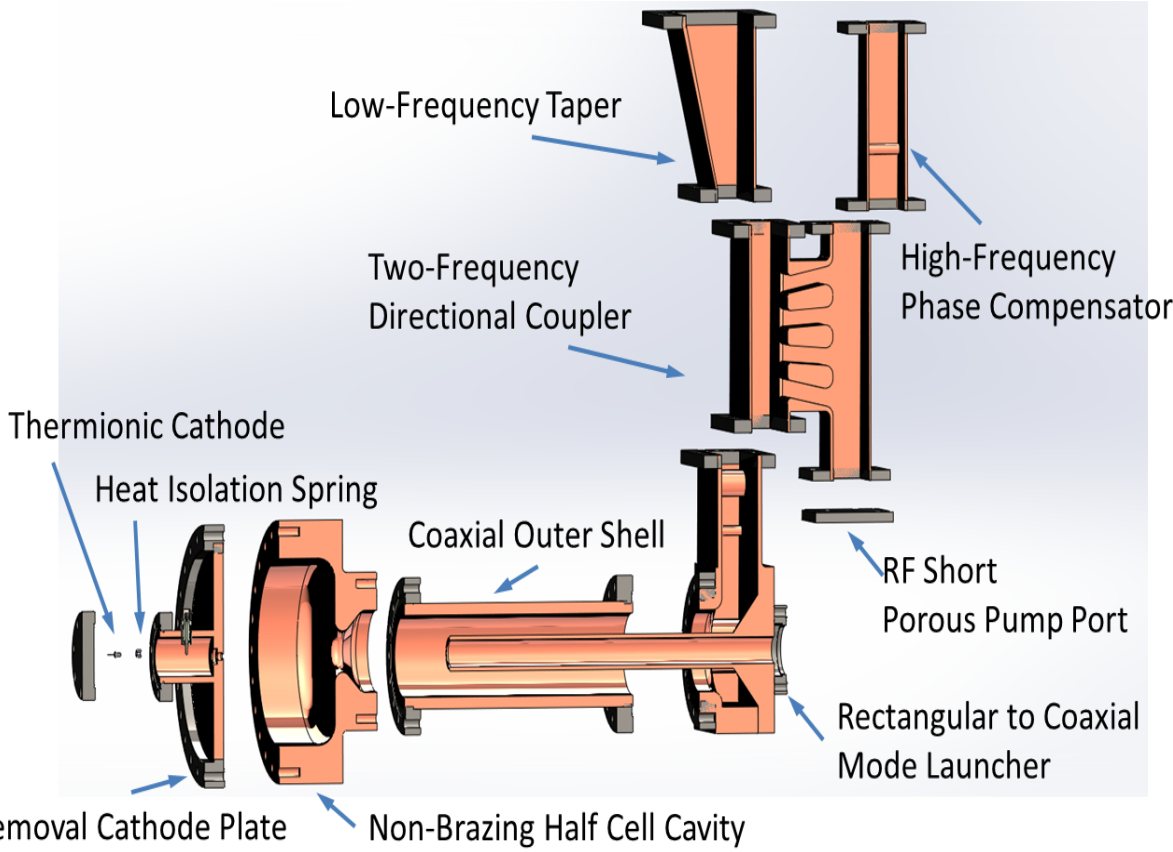
Superposition of microwaves at two frequencies — the first and second harmonic — in RF gun to increase acceleration gradient and improve beam quality



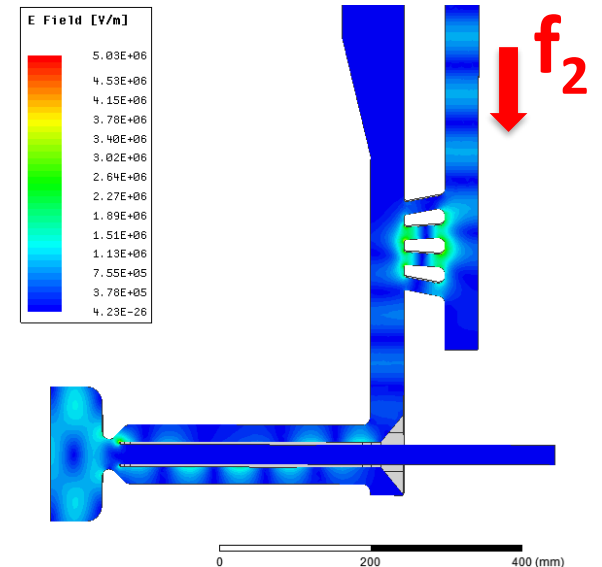
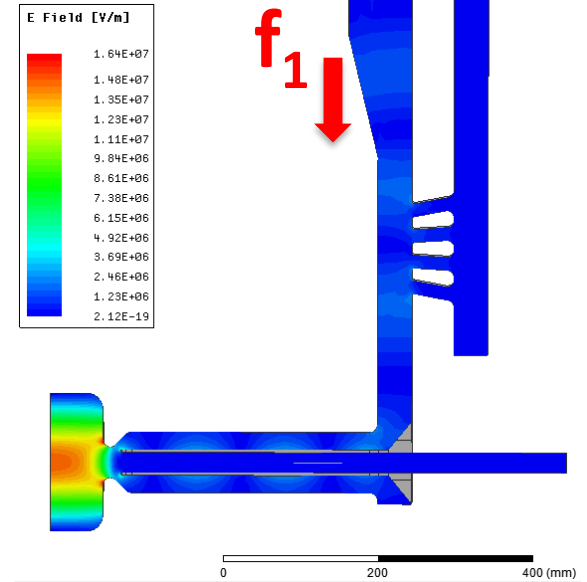
## Experimental Setup at Yale BPL



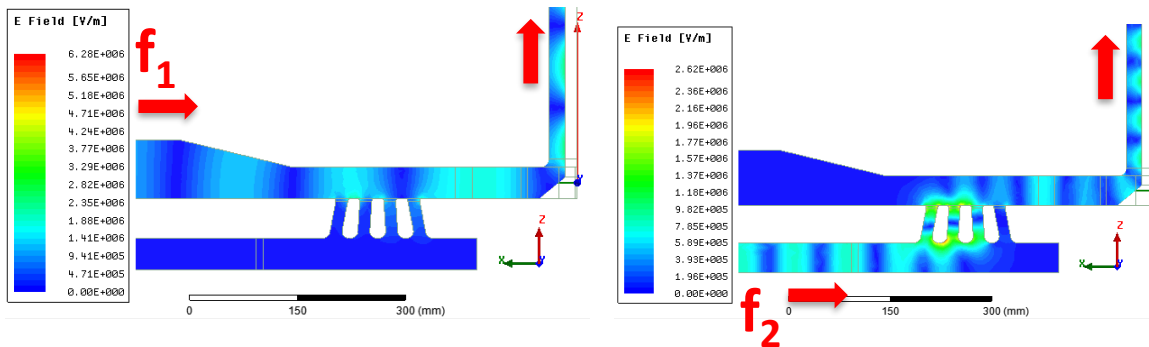
# Design of Bimodal Electron Gun



## Two-Frequency Excitation



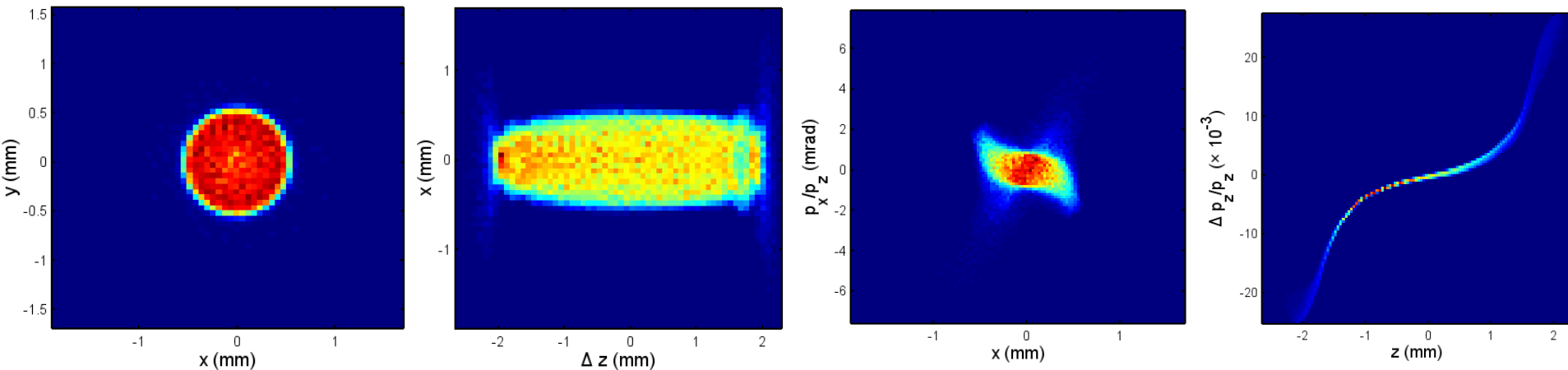
## Two-Frequency Directional Coupler



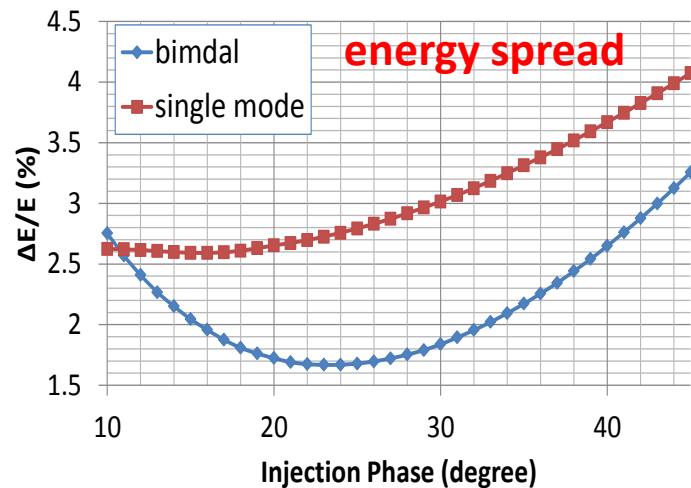
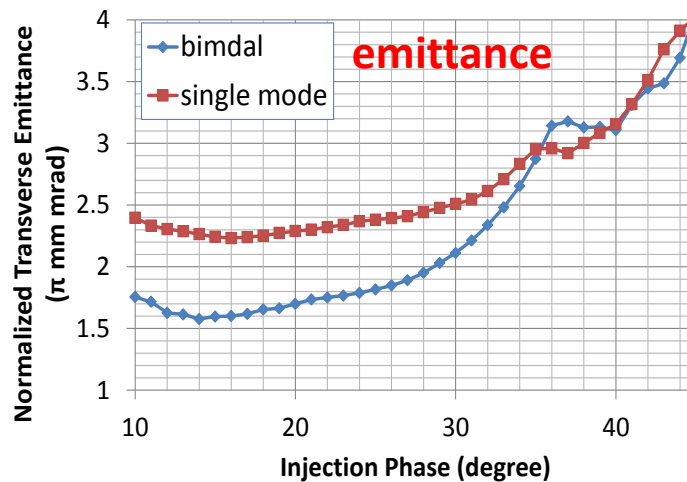
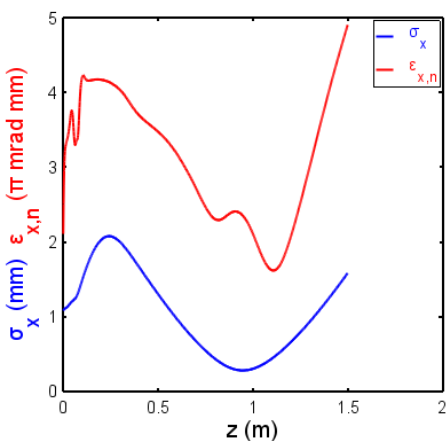


# Simulation of Bimodal Electron Gun

**1.3 GHz + 2.6 GHz** initial: charge 1 nC, beam size  $\sigma_x=1\text{mm}$ , pulse length  $L_t=20\text{ ps}$ , rise time  $rt=2\text{ ps}$ , intrinsic emittance  $\epsilon_{\text{therm}} = 1.23\text{ }\pi\text{ }\mu\text{m}$

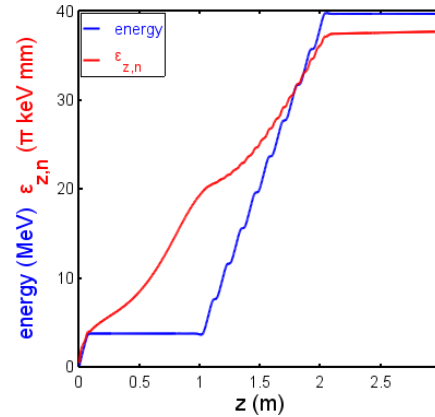
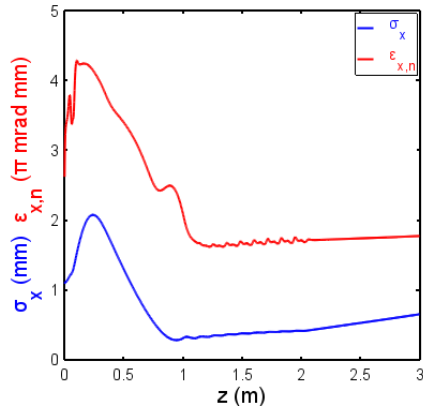
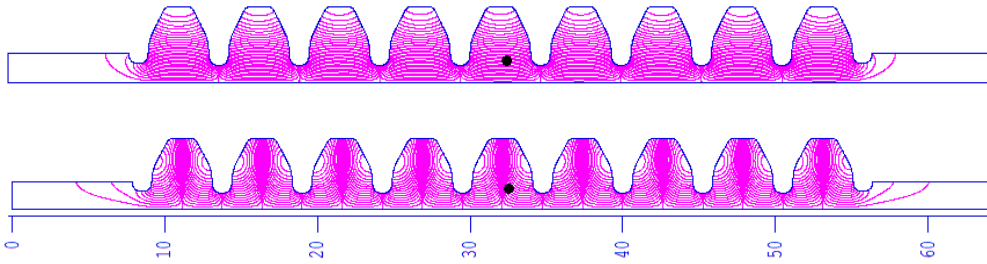


**Simulation Results:** Beam energy 3.74 MeV, beam size  $\sigma_{x,\text{min}}=0.28\text{mm}$ , normalized emittance  $\epsilon_{x,\text{min}} = 1.62\text{ }\pi\text{ mm mrad}$ , energy spread  $\Delta E/E = 1.9\%$ ,  $P_1=2.2\text{ MW}$ ,  $P_2 = 1.7\text{ MW}$ ,  $B_{z,\text{max}}=0.16\text{ T}$ .

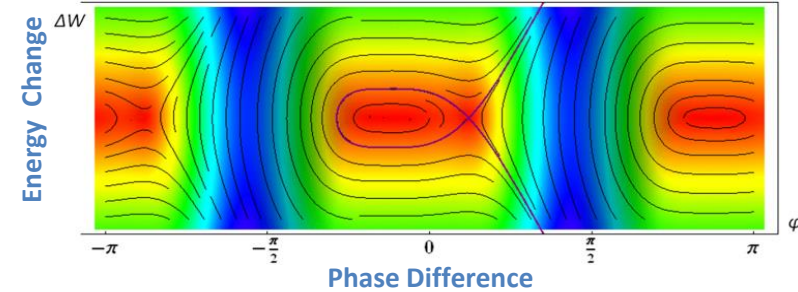


# BIMODAL ELECTRON GUN with Boost Linac

## Two Frequency Boost Linac



## Two Frequency Fish Plot



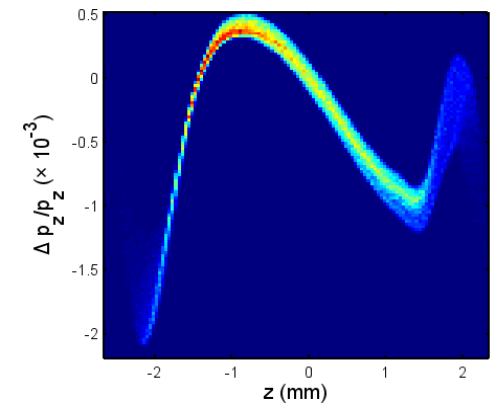
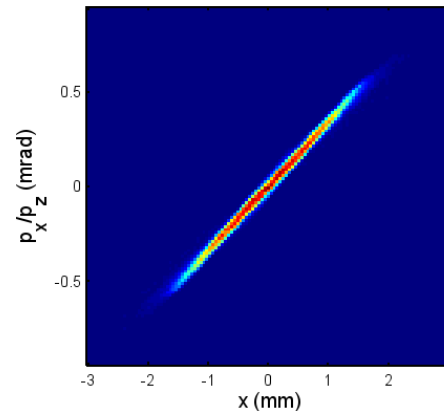
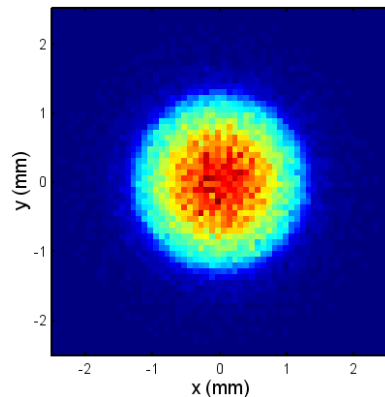
## Simulation Results:

Beam energy 39.6 MeV

Norm. emit.  $\epsilon_x = 1.8 \pi$  mm mrad

Norm. emit.  $\epsilon_z = 37.6 \pi$  keV mrad

Energy spread  $\Delta E/E = 0.082\%$



# Bimodal Cavity for Pulsed Heating Suppression

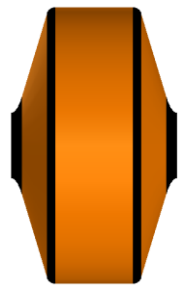
**TM<sub>010</sub>+TM<sub>012</sub> (f + 3f)**

TM<sub>010</sub> 12 GHz

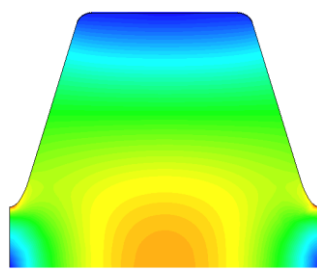
TM<sub>012</sub> 36 GHz

elliptical cavity

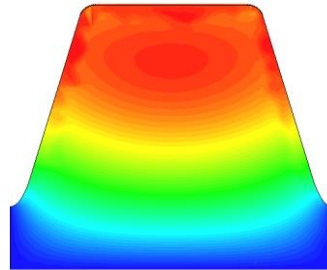
a/λ=0.1



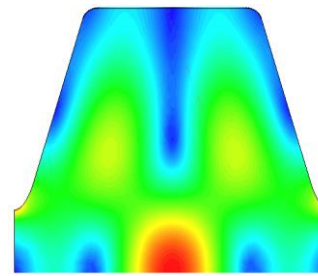
E



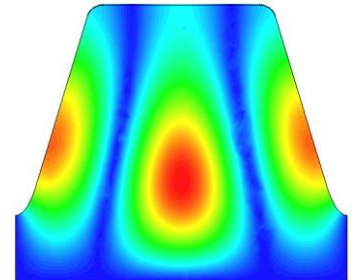
H



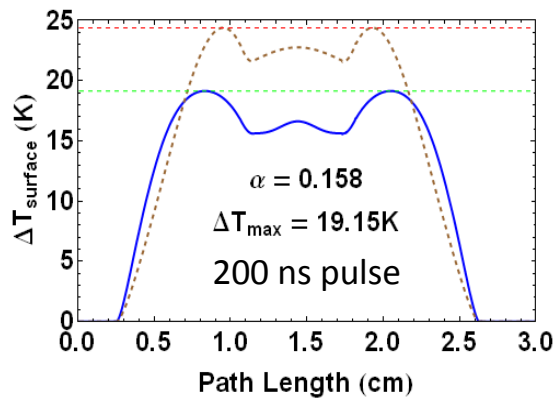
E



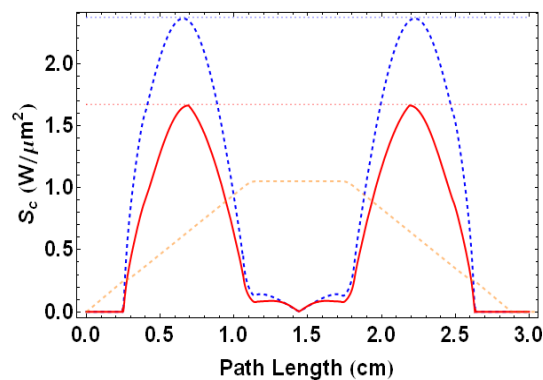
H



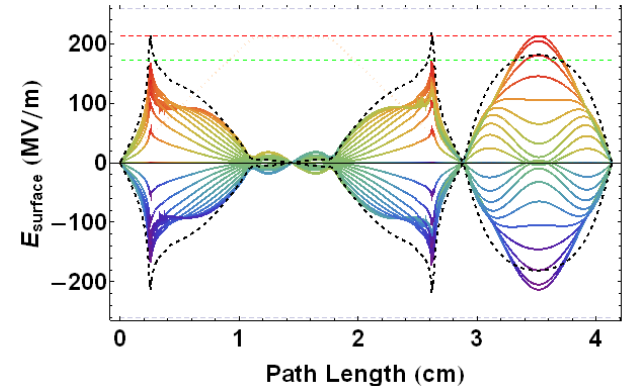
pulsed heating temperature



modified Poynting vector  $S_c$



surface E-field along periphery



2-mode superposition compared to fundamental mode alone in the same MHC :

◇ pulsed heating temperature ↓22

◇ peak surface E-field ↓19.4%

◇ total RF power ↓ 19%

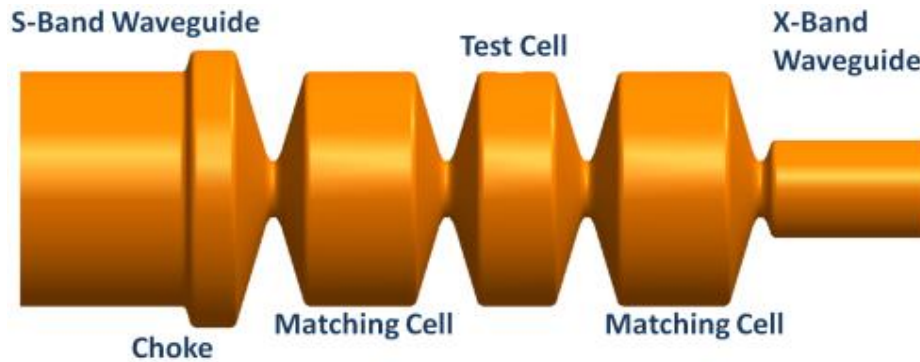
◇ effect shunt impedance ↑23%

◇ modified Poynting vector ↓30%

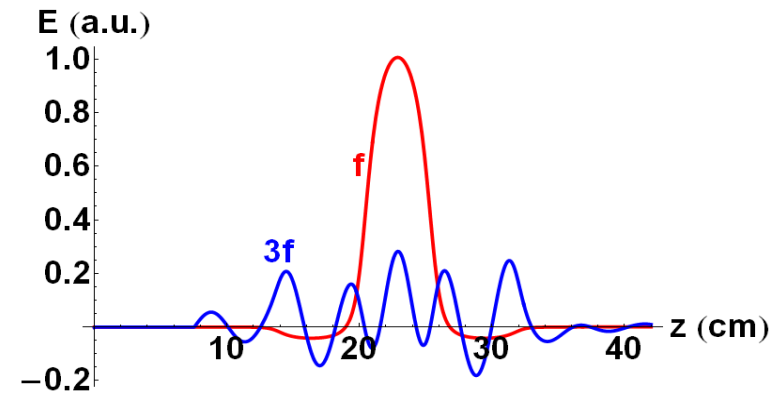
# RF Driven Bimodal Cavity with Pulsed Heating Suppressed

**RF Driven: Output Cavity of the Two-Frequency RF Source could be changed to third harmonic  $\Rightarrow f + 3f$  – or tested with beam drive.**

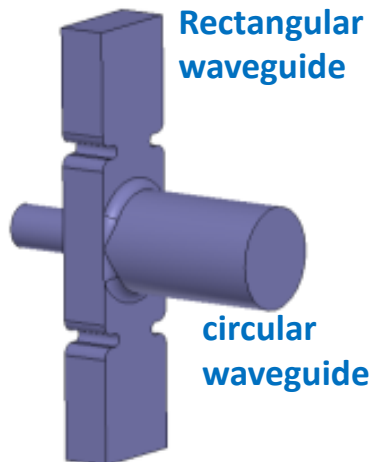
## TM<sub>010</sub> + TM<sub>012</sub> Test Structure



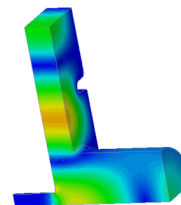
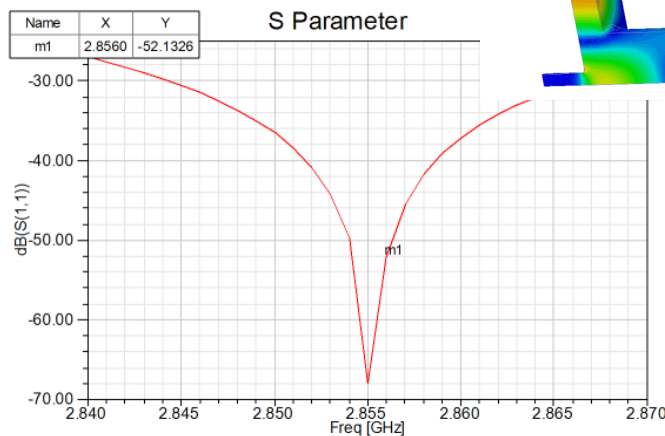
## Axial E fields of TM<sub>010</sub> and TM<sub>012</sub>



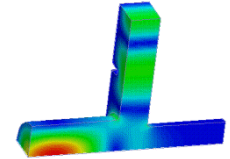
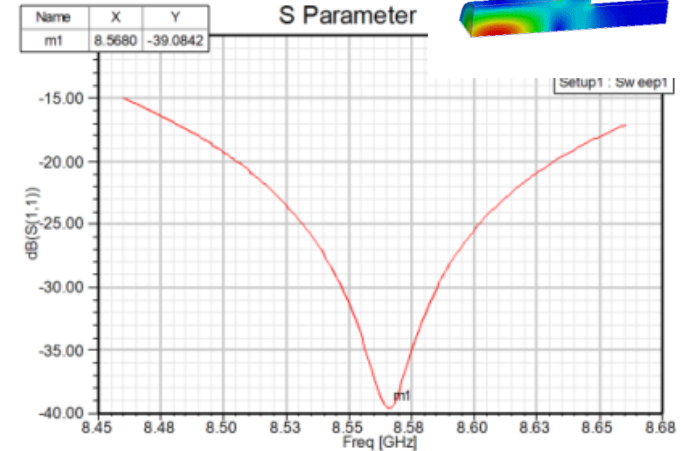
## TM<sub>01</sub> mode launchers



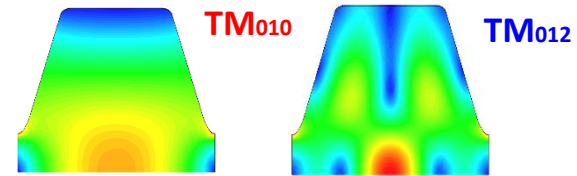
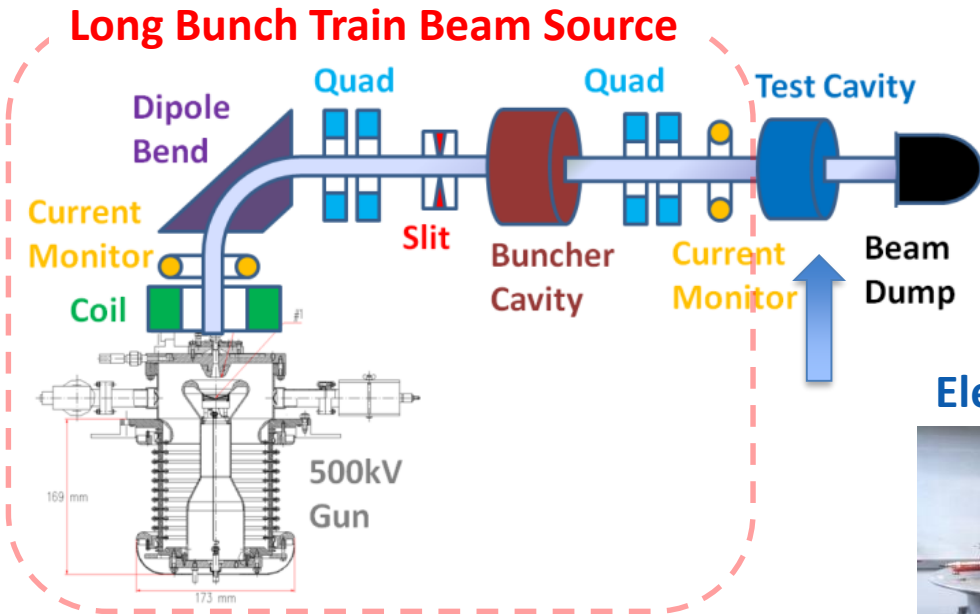
### S-Band



### X-Band



# Beam Driven Accelerator Test Stand



Detuned Cavity Two-Beam Acceleration & RF Breakdown Test of Bimodal Cavity

Electron Gun 500kV 220 A

Gun Filament ON

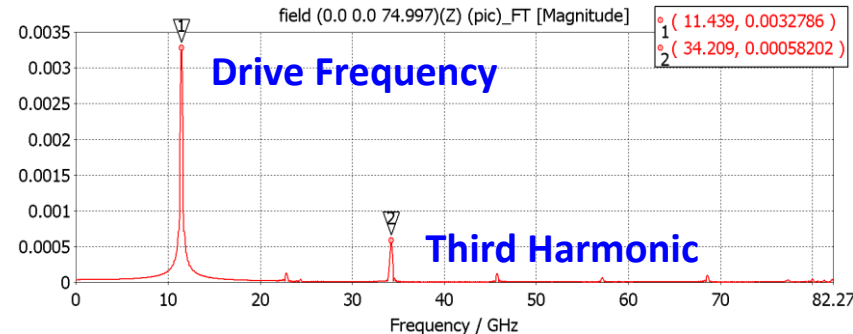
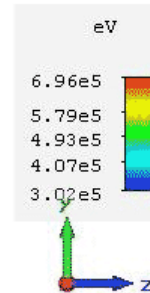
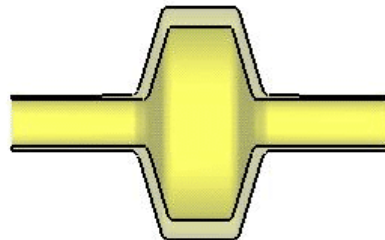
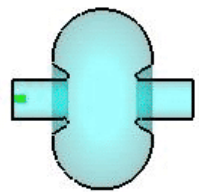


Solid State Marx Modulator 500kV 250 A 1.8  $\mu$ s 20 Hz

## Beam Driven Accelerator Test Stand

- Long bunch train (1  $\mu$ s, X-band)
- High current (up to 220 A DC)
- High charge per bunch

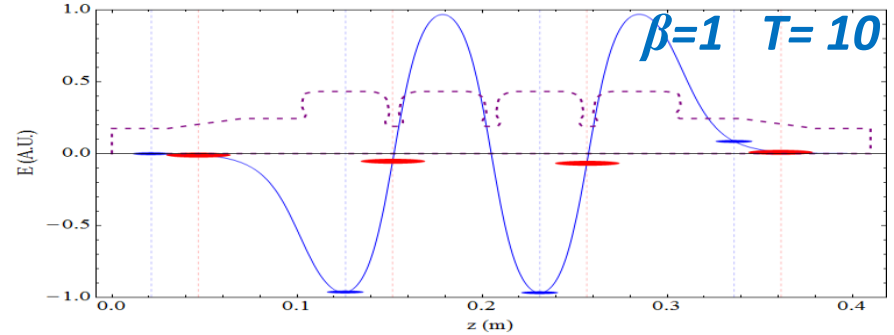
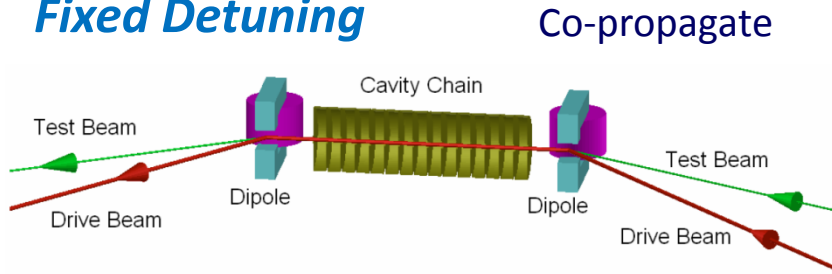
Can provide beam excitation of test structure to steady state



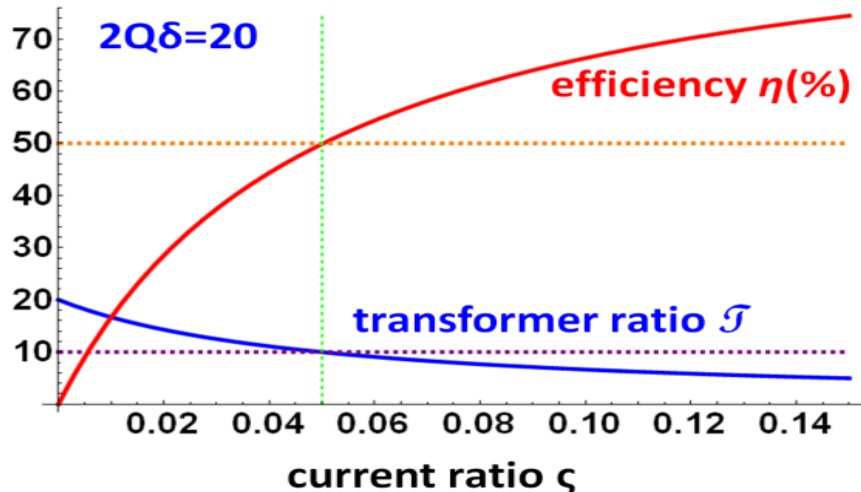
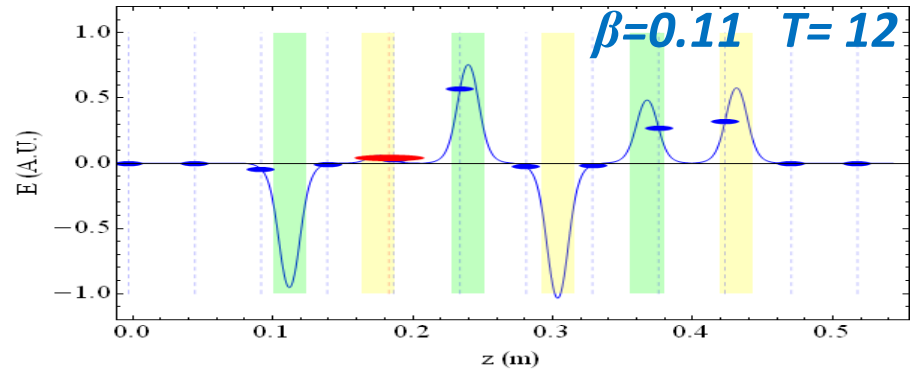
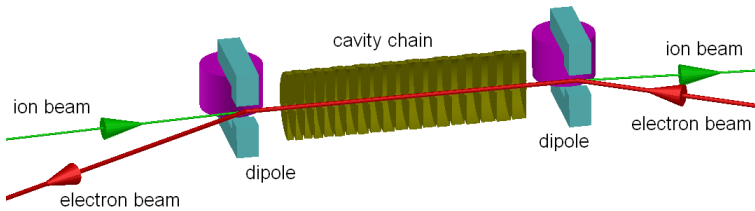
# Detuned-Cavity Two-Beam Accelerator

## Planned experiment using Beam Driven Accelerator Test Stand: Detuned-Cavity Two-Beam Accelerator

### Fixed Detuning



### Alternative Detuning Counter-propagate



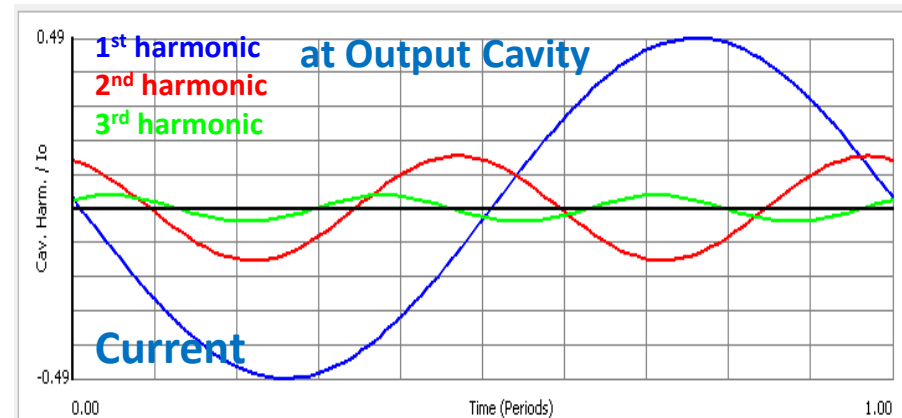
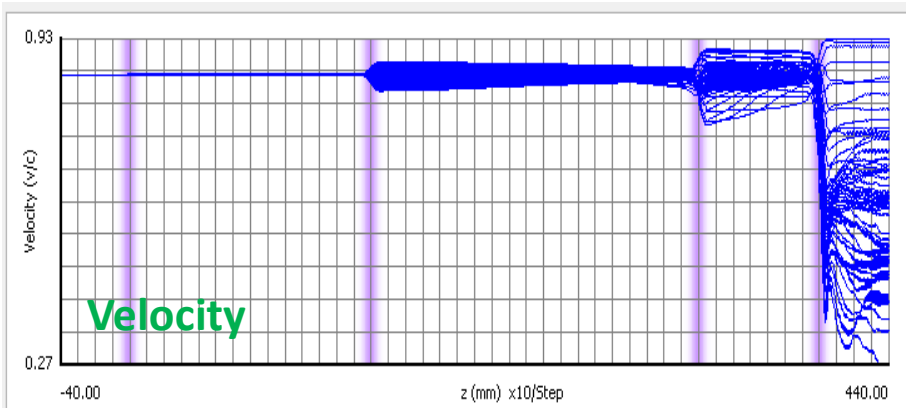
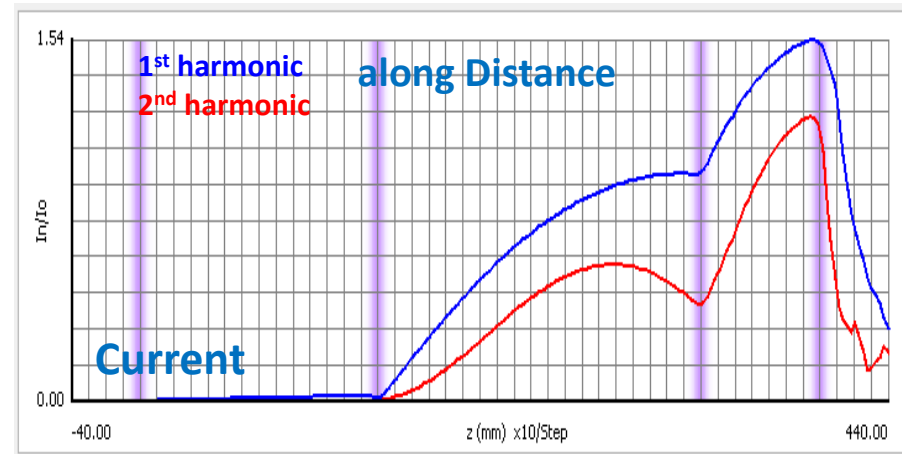
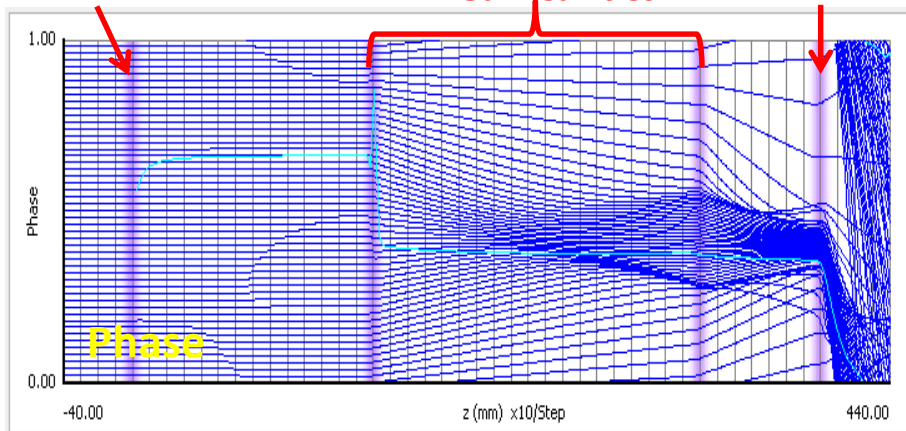
“High-gradient two-beam accelerator structure”, S. Yu Kazakov, S.V. Kuzikov, Y. Jiang, and J. L. Hirshfield, PRSTAB 13, 071303 (2010)

# Klystron-like Bunching Scheme

Low Drive Power Requirement, Very High Current, Full Phase Acceptance  
=> can use Boost Linac (future) to freeze bunch structure.

X-band Klystron using Magnicon Gun (AJDisk) - use high efficiency klystron design concept  
500 kV, 218 A, Drive 100 W, Output 64 MW, Gain 58 dB, Efficiency 60%, Cavity Chain 40 cm

Input Cavity      Gain Cavities      Test Cavity



# Bimodal TBA using BDA Test Stand

Issue: Low Energy Drive Beam (without Boost Linac) can be reflected by high field

Solution:

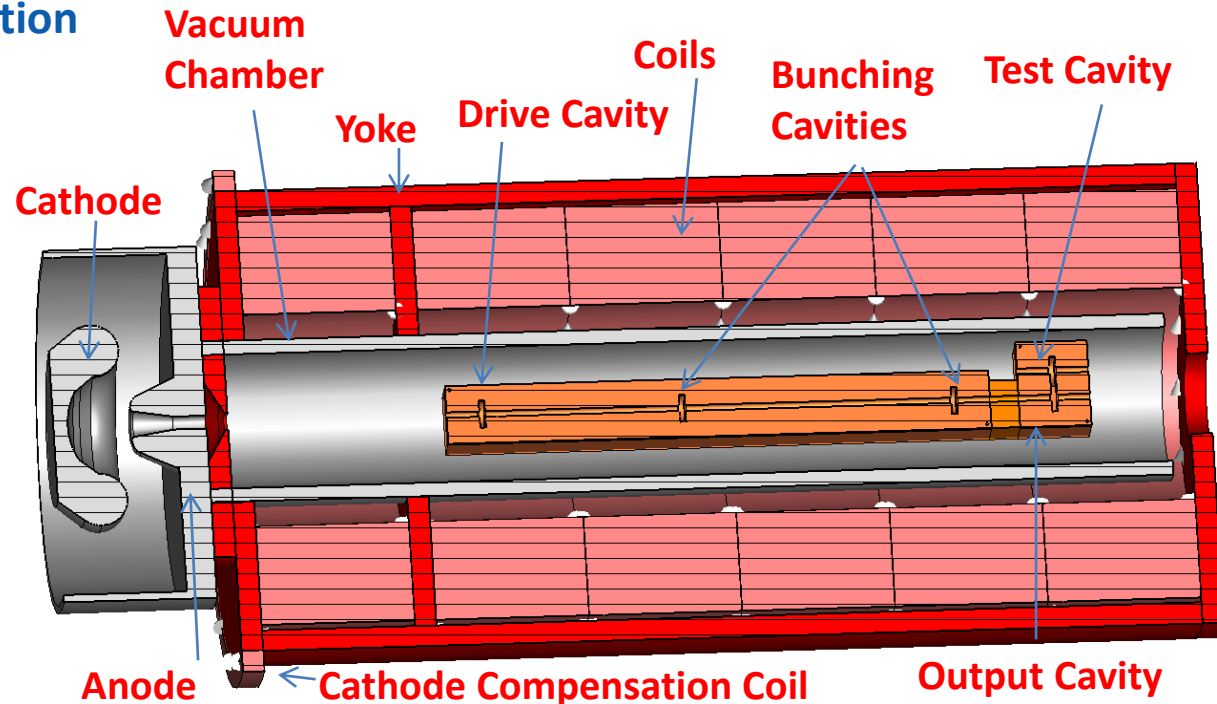
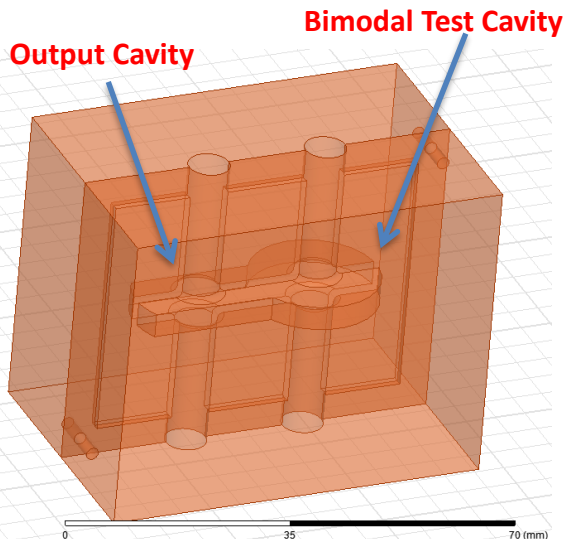
add a two-frequency output cavity and couple to test cavity (until Boost Linac available)



- ✓ Avoid beam reflection
- ✓ Allow large drive beam pipe  
→ lower Brillouin Field  
(limited by available coils in lab)

## Open Half Cavity Design

- ✓ Avoid surface current interruption
- ✓ Good vacuum property
- ✓ Wakefield damping

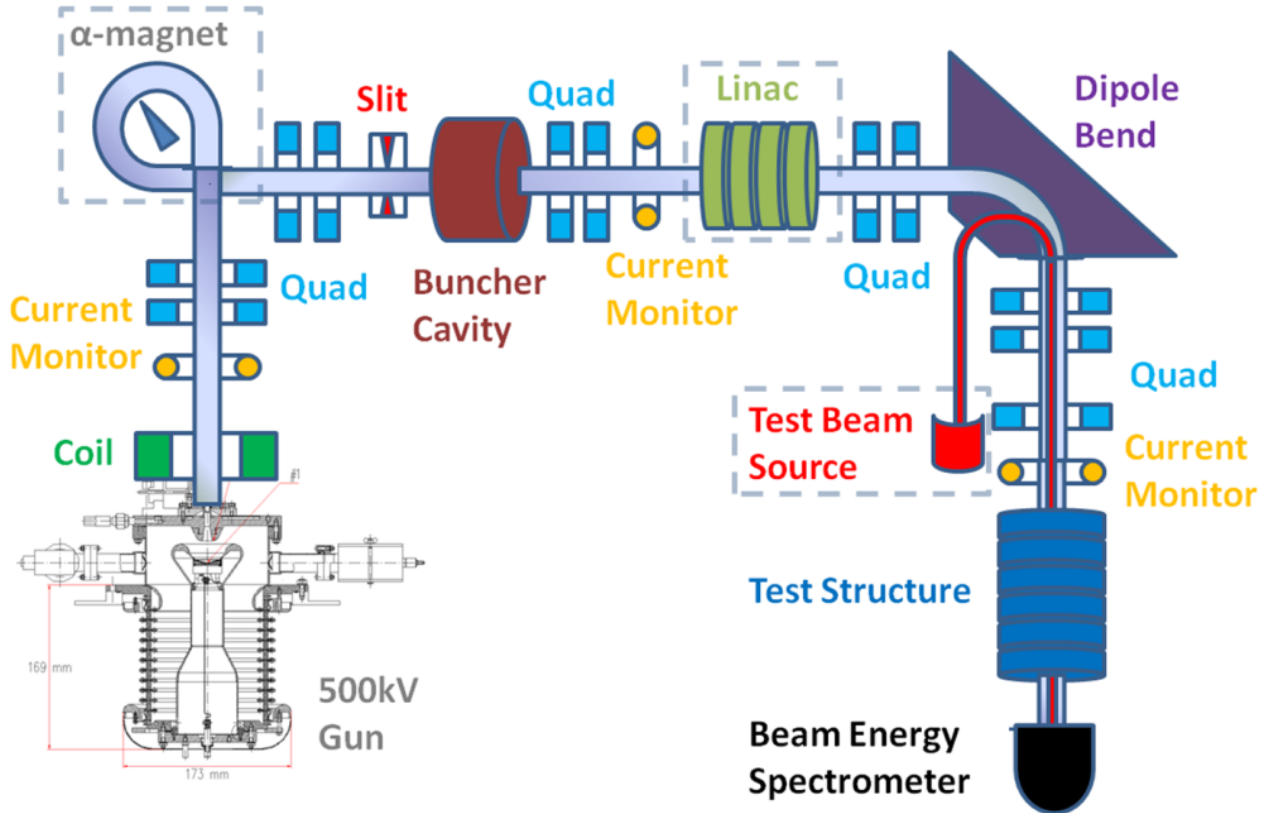




# Future BDA Test Stand Upgrade and Tests

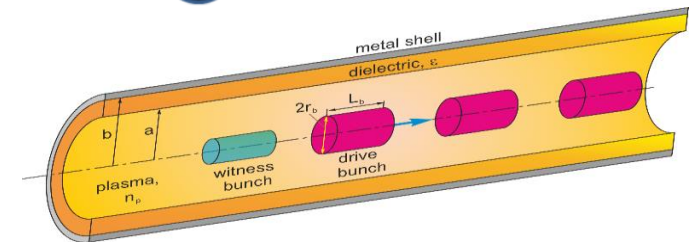
## Bimodal TBA Structure Test

- Introduce boost linac to freeze bunch structure
- Add test beam source to direct measure transformer ratio and acceleration gradient

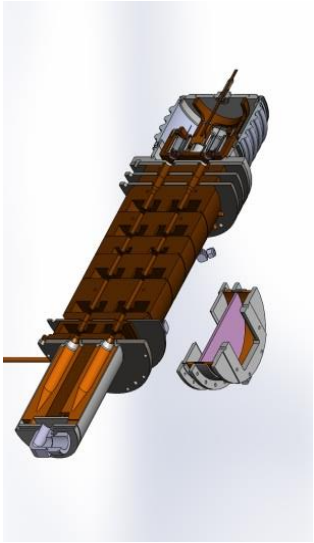


## Beam Driven Dielectric Wakefield Accelerators

...



# High Efficiency Klystron Development: ILC MBK



**Design**  
*Omega-P, Inc.*



**Assembly**  
**COR**



**Initial Test**  
**CP**  
Communications & Power Industries

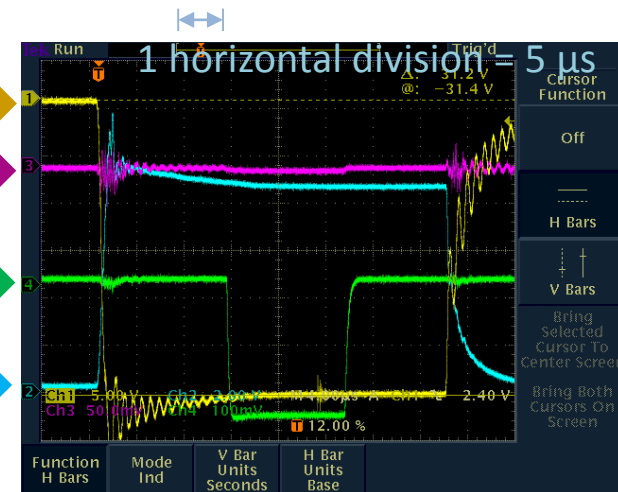


**Scheduled to Further Test at SLAC**

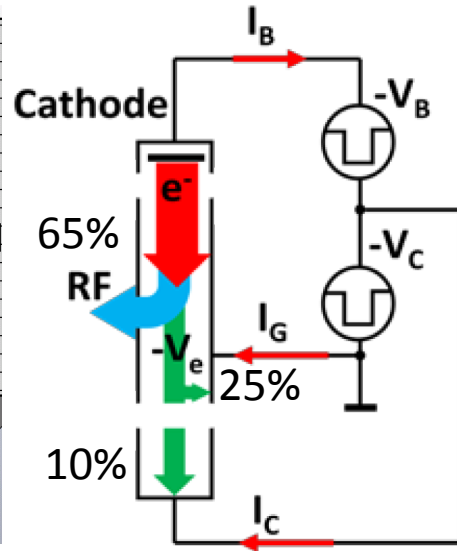
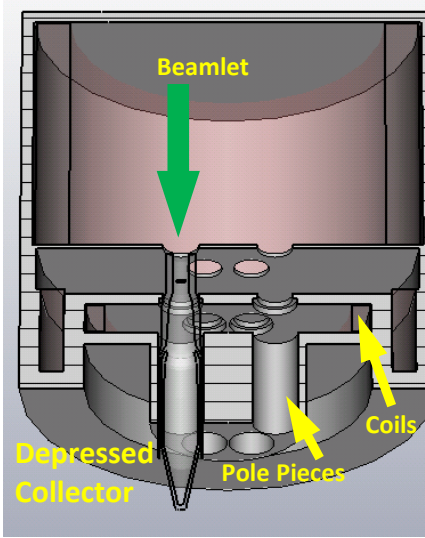
## Design Values

**COMPACT** six-beamlet quadrant, 54" high  
**LOW-VOLTAGE** operating voltage of 60 kV  
**HIGH-POWER** 2.5 MW, 50 dB gain  
**LONG PULSE** 1.5 ms RF pulses  
**HIGH EFFICIENCY** ~65%

gun voltage 1  
 input power 3  
 output power 4  
 beam current 2

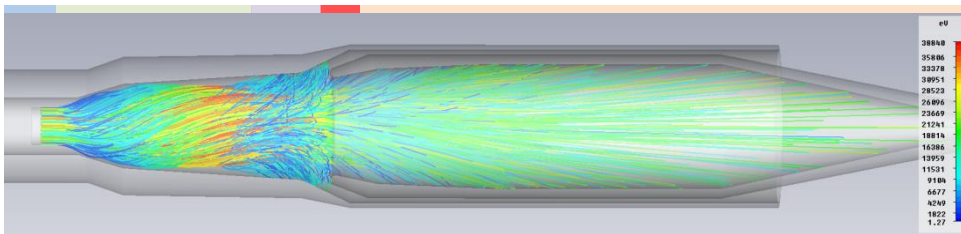


# Depressed Collector FOR ILC MBK

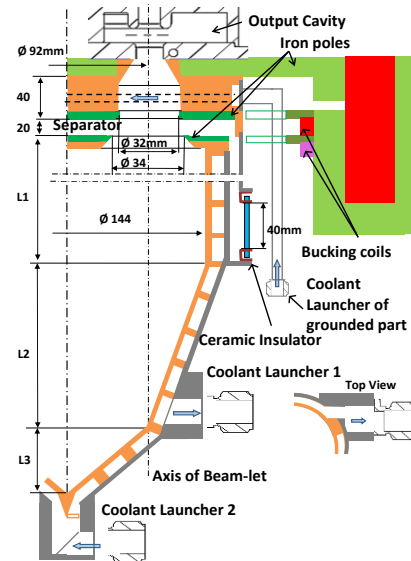
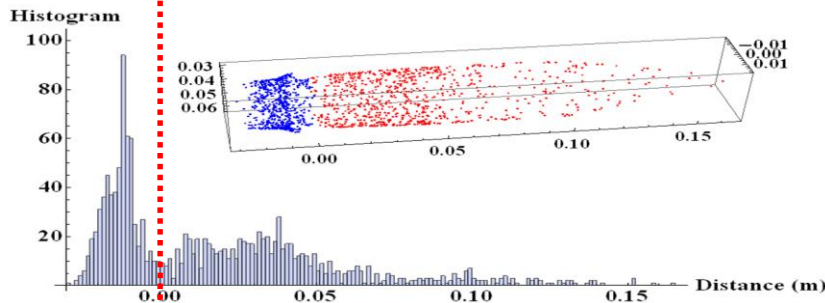


## Partially-Grounded Depressed Collector

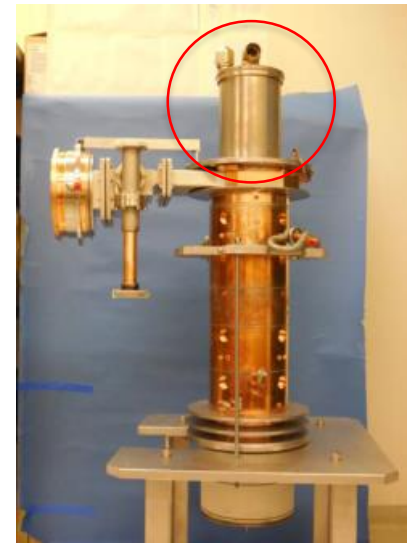
- Steep B-field gradient and space charge forces → transverse deflection and trapping electrons
- Grounded section absorbing otherwise reflected low-energy electrons
- High energy recovery efficiency :  
Increase from intrinsic tube efficiency 65% towards 75~80%



Y. Jiang, V. E. Teryaev, S.V. Shchelkunov, and J.L. Hirshfield



## Collector Rework



# Summary

- Use of bimodal cavities is predicted to reduce breakdown probability, for a given acceleration gradient, *via*
  - **Anode-cathode effect** to suppress field emission; and/or
  - **Quadratic effect** to also suppress pulsed heating.
- Concept can apply to a linac comprising bimodal cavities, with external two-frequency rf drive; or
- Concept can apply to a beam-driven two-beam accelerator arrangement, using detuned bimodal cavities.
- Virtues include increased shunt impedance, reduced rf power, reduced effective poynting vector, and high transformer ratio (for detuned cavities).
- Experiments to confirm rf-driven and beam-driven approaches to suppress beakdown are progressing, *all be it slowly*.
- A half-cell rf gun design using a bimodal cavity has evolved with predicted performance that is competitive to a conventional 1-1/2 cell gun.
- Parallel efforts in high efficiency klystron development
- ***LOTS OF WORK REMAINS!!***

# Acknowledgements



U.S. DEPARTMENT OF  
**ENERGY**



Yale University



*Omega-P R&D, Inc.*



中国科学院上海应用物理研究所  
Shanghai Institute of Applied Physics, Chinese Academy of Sciences

Beam Physics Lab, Yale University

<http://bpl.yale.edu>



Prof. Jay Hirshfield



Dr. Yong Jiang



Dr. Sergey Shchelkunov



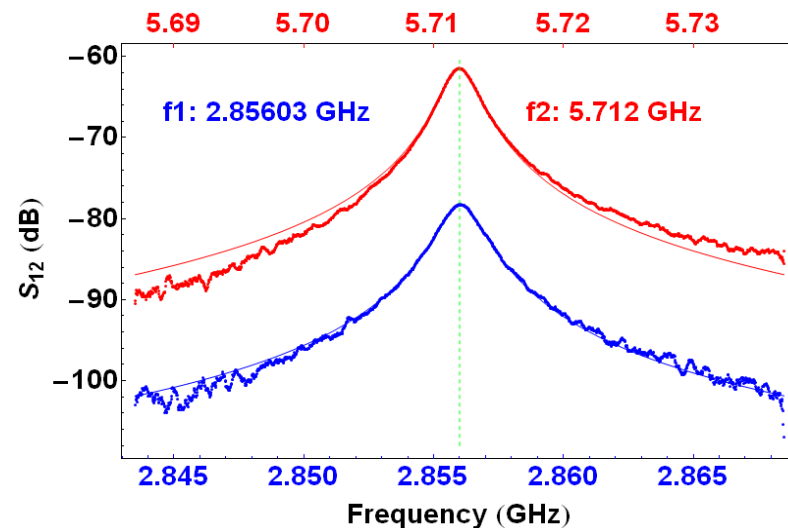
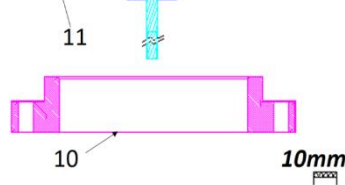
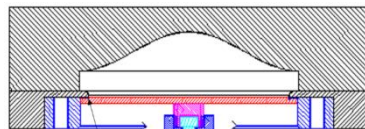
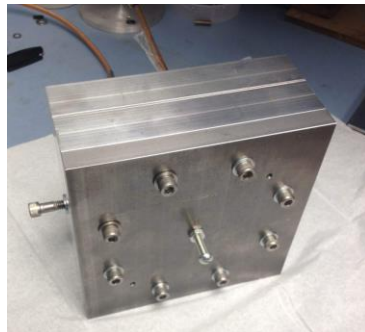
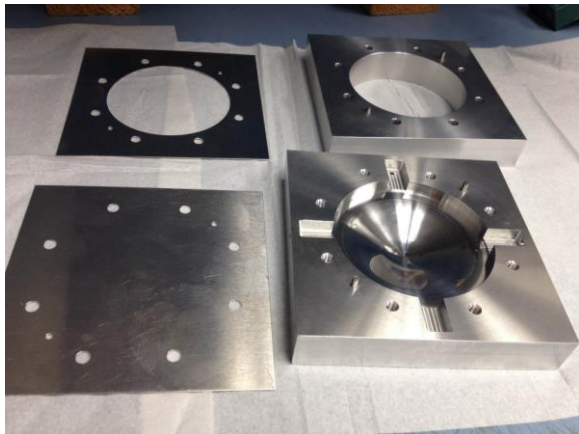
Dr. Xiangyun Chang

**Postdoc Position Open**

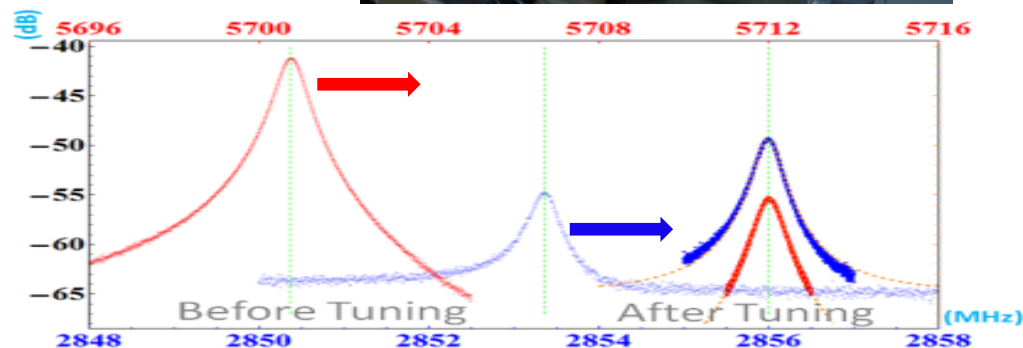
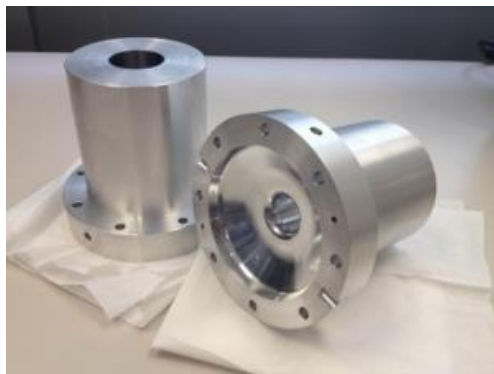
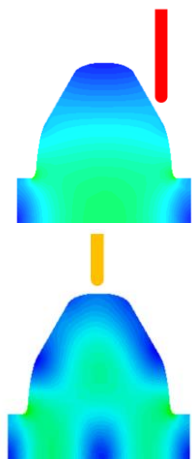
# *BACKUP SLIDES*

# Two Frequency Tuning of Bimodal Cavity





## Anode-Cathode Cavity



## Symmetric Bimodal Cavity



# TM<sub>010</sub>+TM<sub>011</sub> Cavity





$a/\lambda=0.12$ $\pi$ mode standing wave effective gradient $E_{acc}=100\text{MV/m}$	 TM <sub>010</sub> +TM <sub>011</sub> Bimodal Cavity			 Pillbox A	 Pillbox B	 Nose-cone
	1 <sup>st</sup> harmonic alone	2 <sup>nd</sup> harmonic alone	78% 1 <sup>st</sup> +22% 2 <sup>nd</sup>	1 <sup>st</sup> harmonic only	1 <sup>st</sup> harmonic only	1 <sup>st</sup> harmonic only
frequency (GHz)	11.9942	23.9884		11.9942	11.9942	11.9942
effective shunt impedance (M $\Omega$ /m)	95.7	38.3	▲ 131.4	89.7	99.1	113.9
transit time factor	0.765	0.786		0.768	0.753	0.758
max $E_{surf}$ (MV/m)	246.8	367.4	246.8	209.7	246.8	225.0
max $H_{surf}$ (MA/m)	0.327	0.634	0.350	0.327	0.298	0.289
max $S_c$ (W/ $\mu\text{m}^2$ )	2.45	10.3	▼ 1.95	3.75	3.02	4.20
max $\Delta T$ (K) @ 200ns pulse length	27.5	148.2	▼ 18.6	27.5	22.87	21.5
wall loss (MW)	1.306	3.263	▼ 0.95	1.392	1.262	1.097

2-mode superposition compared to fundamental mode alone in the same MHC :

- pulsed heating temperature ↓ 32%
- total required RF power ↓ 27%
- maximum modified Poynting vector  $S_c$  ↓ 20%
- effective shunt impedance ↑ 37%



# TM<sub>010</sub>+TM<sub>012</sub> Cavity

$a/\lambda=0.10$ $\pi$ mode standing wave effective gradient $E_{acc}=100$ MV/m frequency (GHz)	 TM <sub>010</sub> +TM <sub>012</sub> Bimodal Cavity			 Pillbox A	 Pillbox B	 Nose-cone
	1 <sup>st</sup> harmonic alone	3 <sup>rd</sup> harmonic alone	84% 1 <sup>st</sup> +16% 3 <sup>rd</sup>	1 <sup>st</sup> harmonic only	1 <sup>st</sup> harmonic only	1 <sup>st</sup> harmonic only
effective shunt impedance (M $\Omega$ /m)	100.73	24.65	▲ 124.19	100.43	99.18	127.7
transit time factor	0.753	0.633		0.762	0.758	0.749
max $E_{surf}$ (MV/m)	209.8	359.2	▼ 178.0	206.7	178.0	218.6
max $H_{surf}$ (MA/m)	0.309	0.776	0.339	0.309	0.309	0.267
max $S_c$ (W/ $\mu\text{m}^2$ )	2.365	9.700	▼ 1.670	3.190	3.181	3.68
max $\Delta T$ (K) @ 200ns pulse length	24.46	261.8	▼ 19.15	24.46	24.46	17.65
wall loss (MW)	1.241	5.069	▼ 1.006	1.244	1.260	0.979

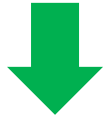
2-mode superposition compared to fundamental mode alone in the same MHC :

- ◇ pulsed heating temperature ↓ 22%
- ◇ effect shunt impedance ↑ 23%
- ◇ peak surface E-field ↓ 19.4%
- ◇ modified Poynting vector ↓ 30%
- ◇ total RF power ↓ 19%

	Bimodal (16%)	Nose-cone	
effective gradient $E_a$	150	150	MV/m
effective shunt impedance	124.2	127.7	M $\Omega$ /m
max $E_{surf}$	267	327.9	MV/m
max $H_{surf}$	0.509	0.401	MA/m
max $S_c$	3.76	8.28	W/ $\mu\text{m}^2$
max $\Delta T$ @ 200ns pulse length	43.1	39.7	K
wall loss	2.26	2.20	MW

# Circuit Model for Collinear Two Beam Accelerator

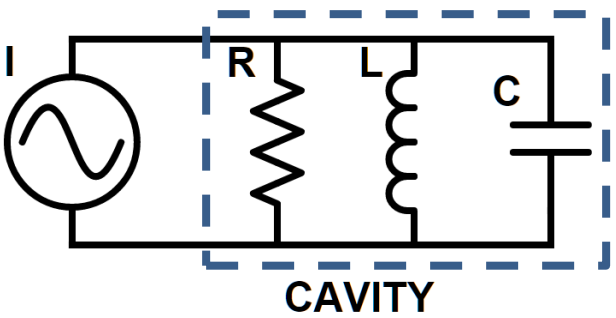
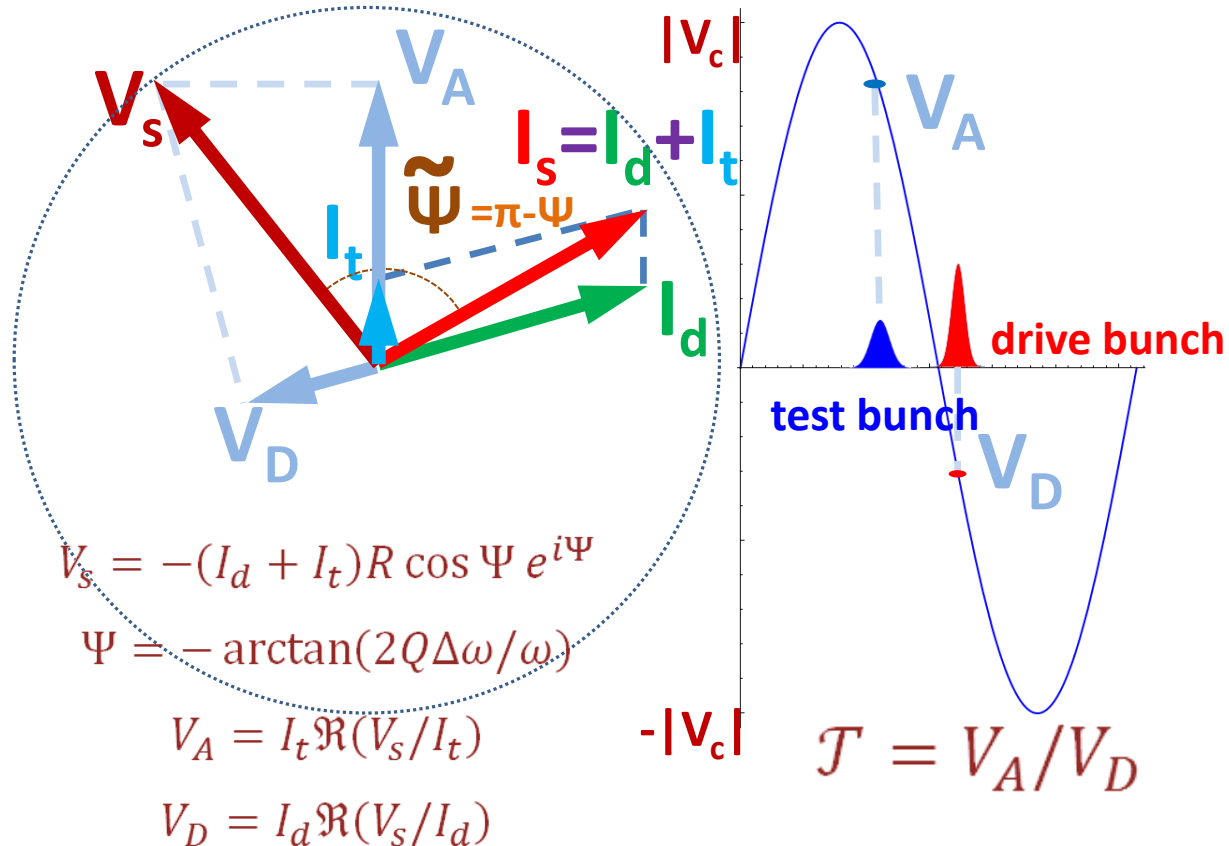
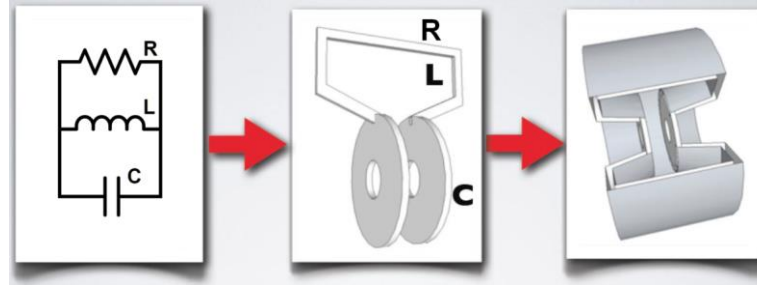
RLC Circuit Model



Accelerating Cavity



Beam Cavity Interaction



$$V = \frac{I R e^{i\omega t}}{1 + i2Q\Delta\omega/\omega}$$