

# Conformal Tracking for All-Silicon Trackers at future Electron-Positron Colliders

**Frank Simon**

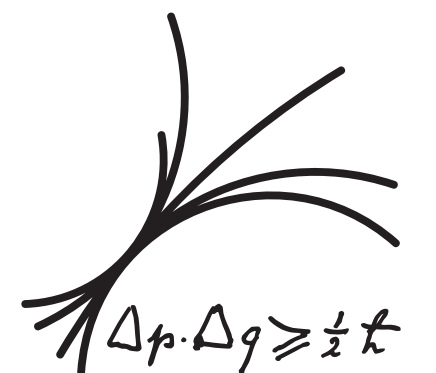
**Max-Planck-Institute for Physics**

*on behalf of the CLICdp Collaboration*

*presenting work by Erica Brondolin, Emilia Leogrande et al.*



**LCWS**  
**Sendai, October 2019**



Max-Planck-Institut für Physik  
(Werner-Heisenberg-Institut)

# Outline

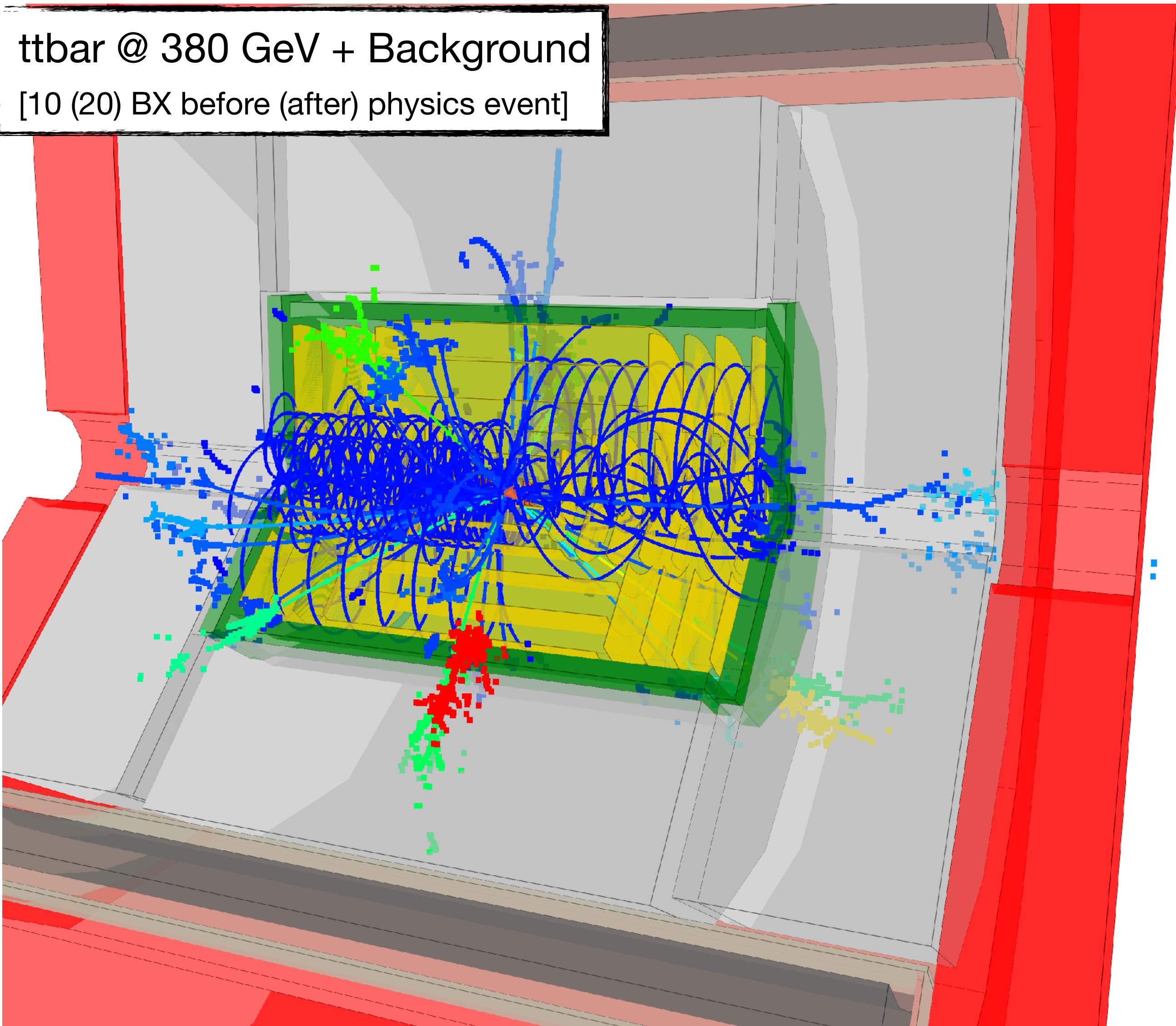
- Tracking Challenges at CLIC
- CLIC Detector Concept - with a focus on the Tracker
- Conformal tracking at CLIC
- Tracking Performance

# Tracking Challenges at CLIC

*What an Event looks like*



ttbar @ 380 GeV + Background  
[10 (20) BX before (after) physics event]



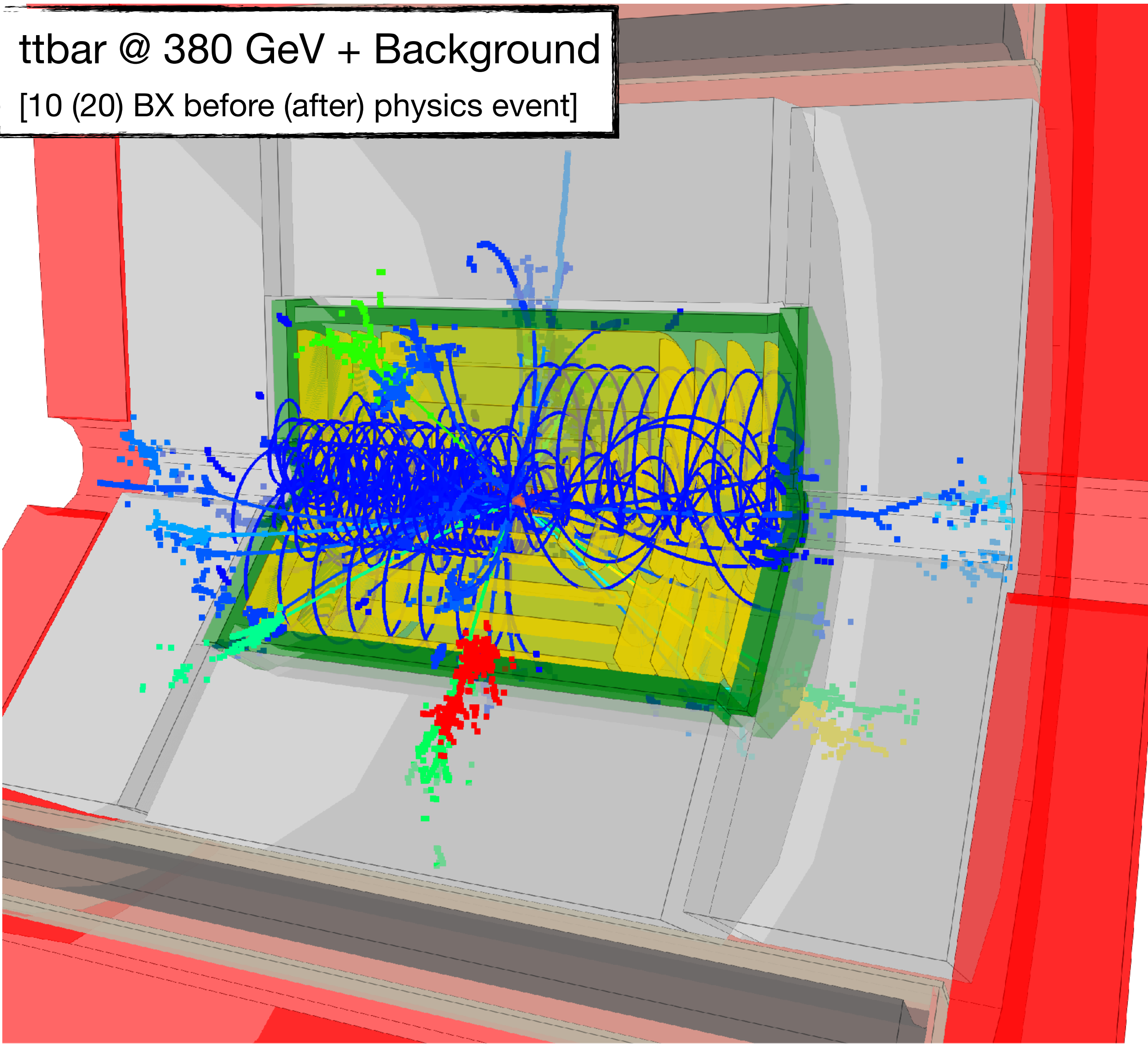


# Tracking Challenges at CLIC

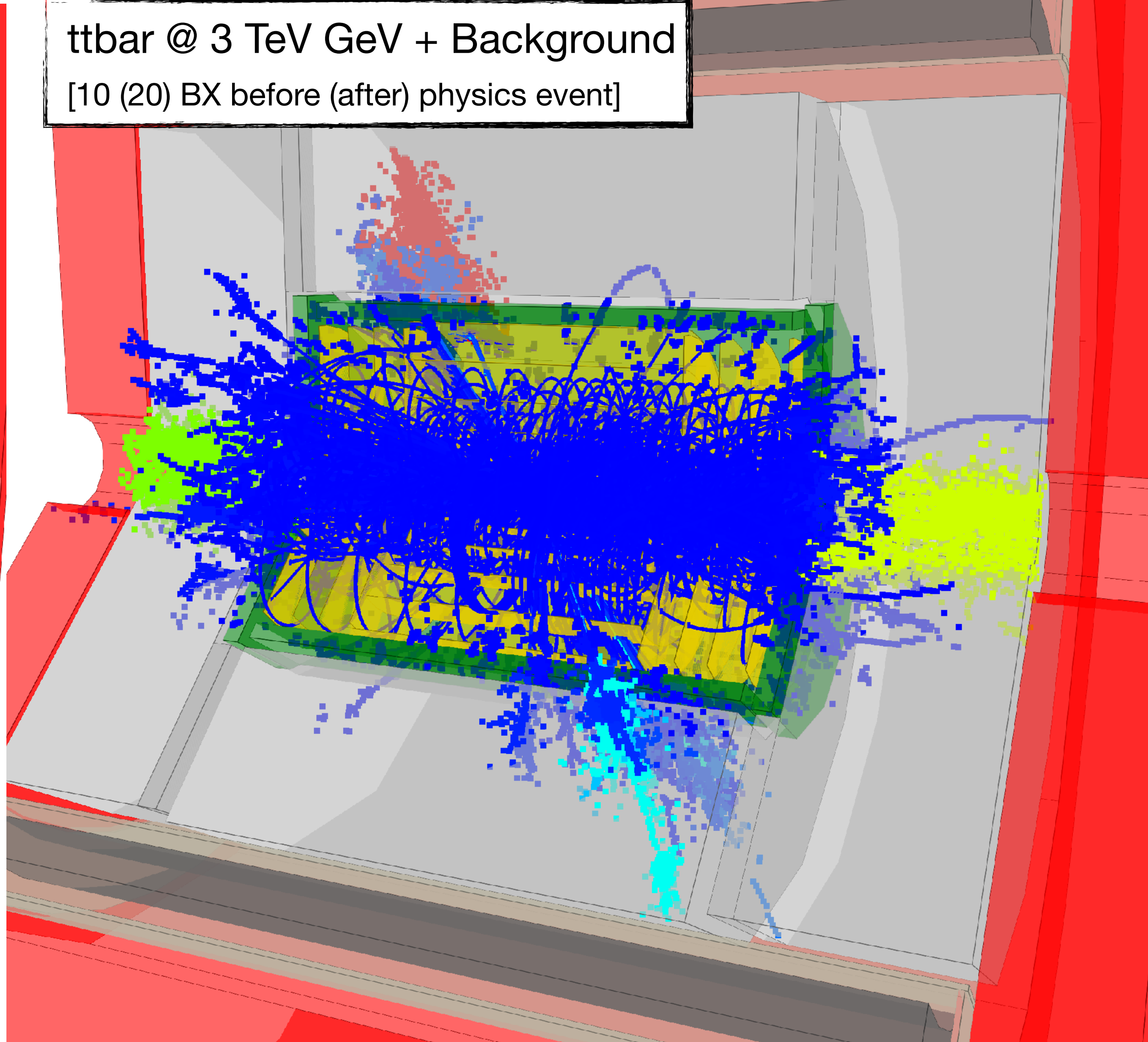
*What an Event looks like*



ttbar @ 380 GeV + Background  
[10 (20) BX before (after) physics event]



ttbar @ 3 TeV GeV + Background  
[10 (20) BX before (after) physics event]





# Tracking Challenges at CLIC

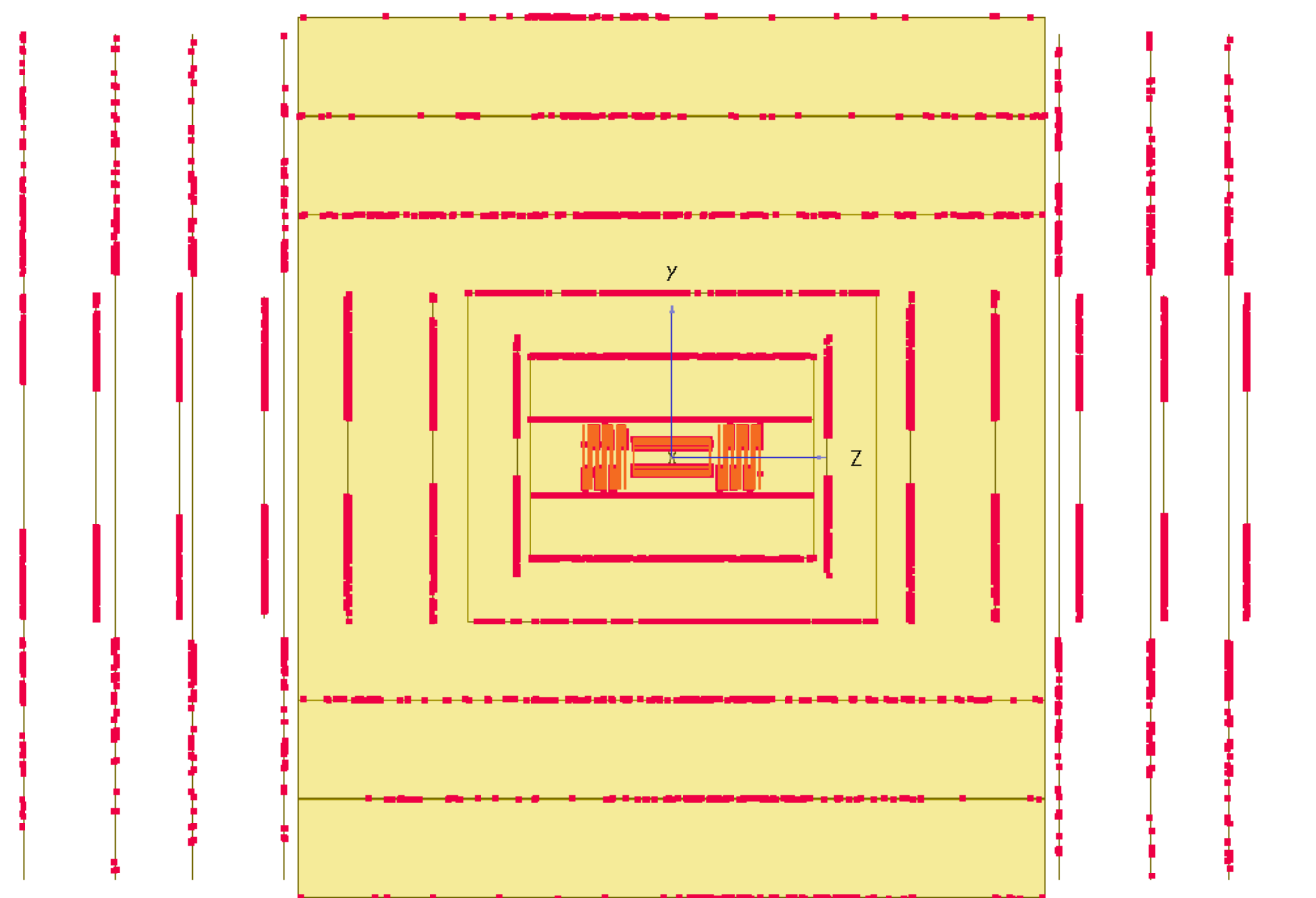
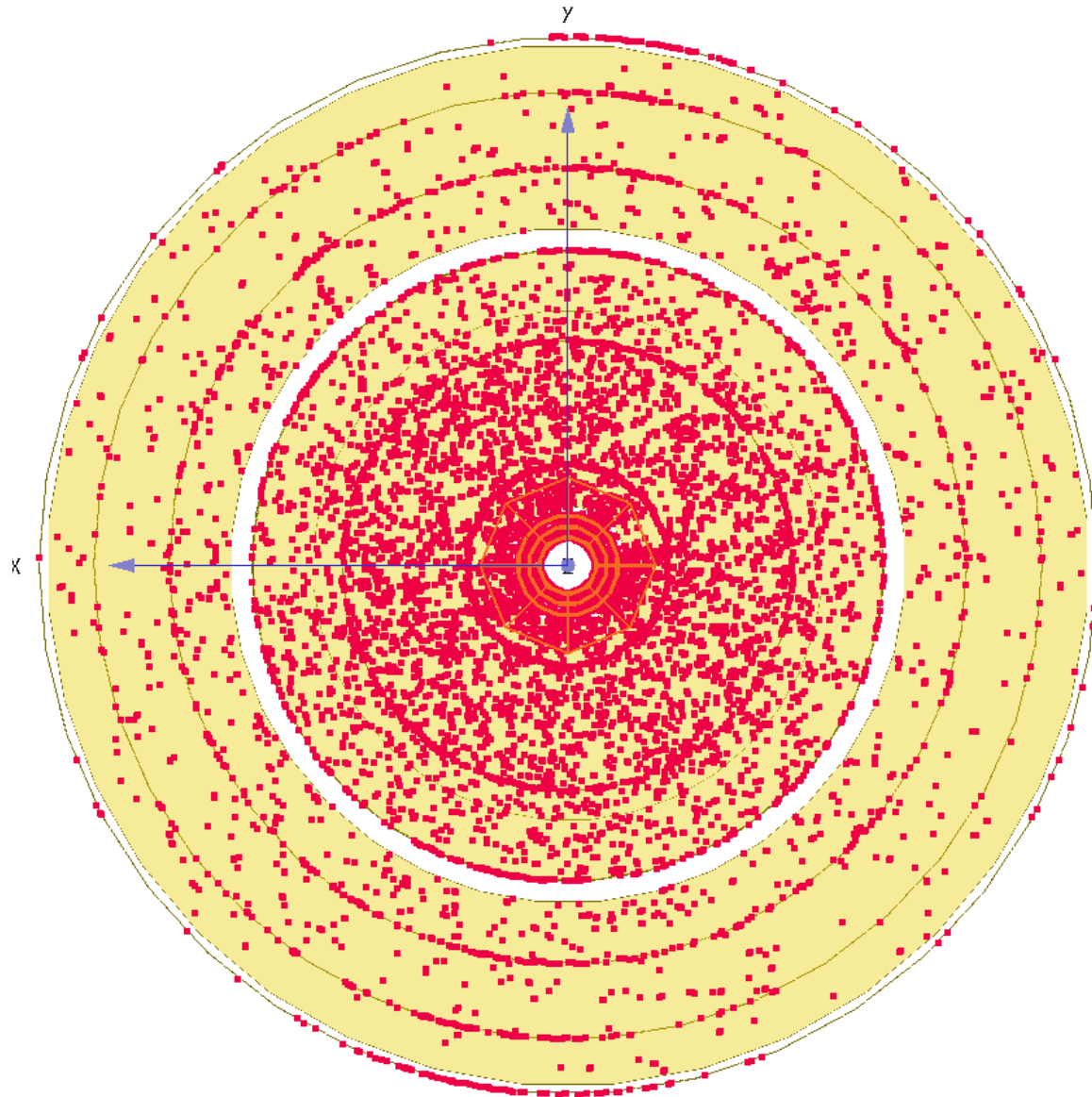
## *and Detector Requirements*

ttbar @ 3 TeV + Background  
[10 (20) BX before (after) physics event]

- Precisely reconstructing such events requires:

*Physics driven requirements:*

- Excellent momentum and impact parameter resolution
- High angular coverage



# Tracking Challenges at CLIC

## and Detector Requirements

ttbar @ 3 TeV + Background  
[10 (20) BX before (after) physics event]

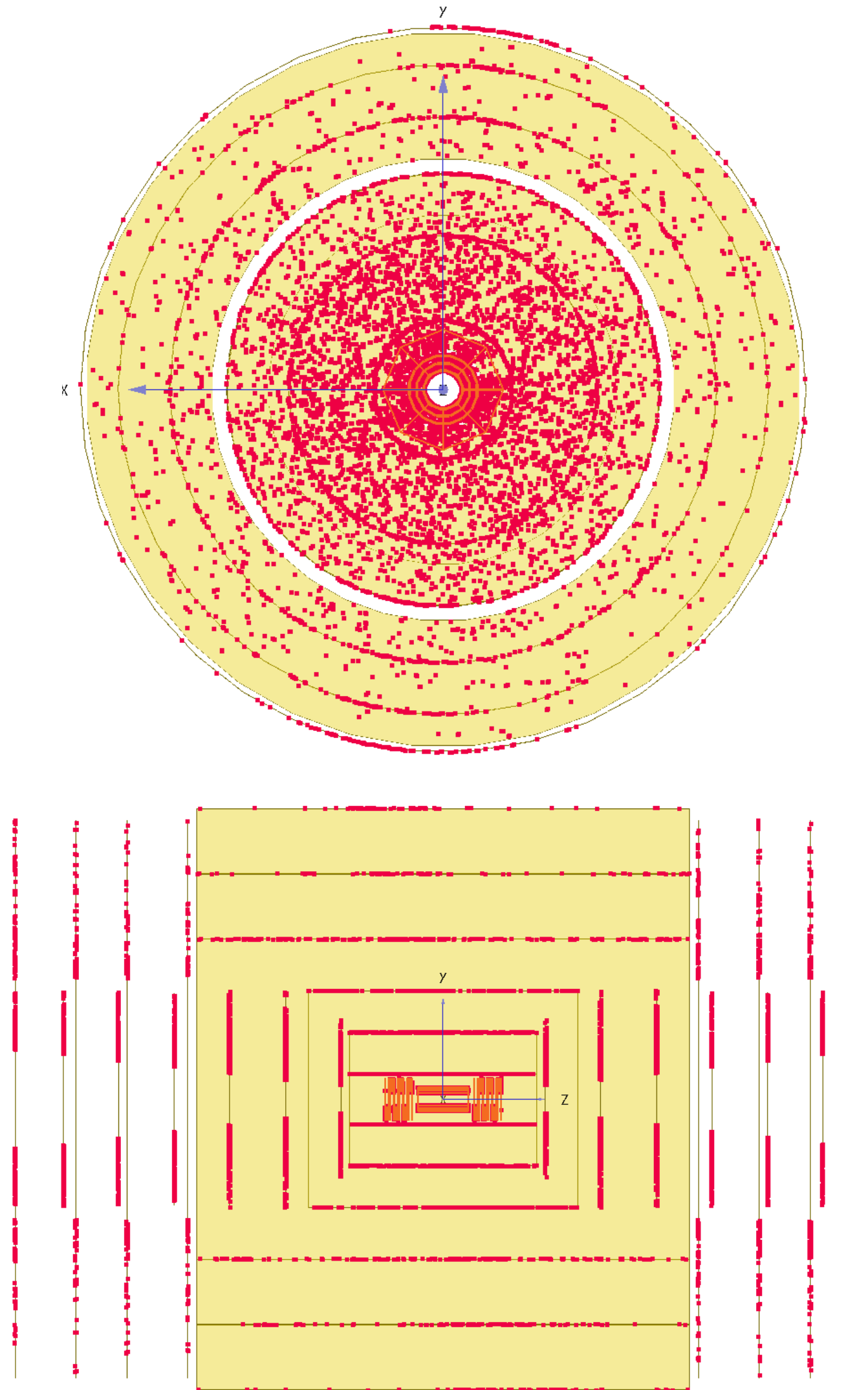
- Precisely reconstructing such events requires:

### *Physics driven requirements:*

- Excellent momentum and impact parameter resolution
- High angular coverage

### *Technological consequences:*

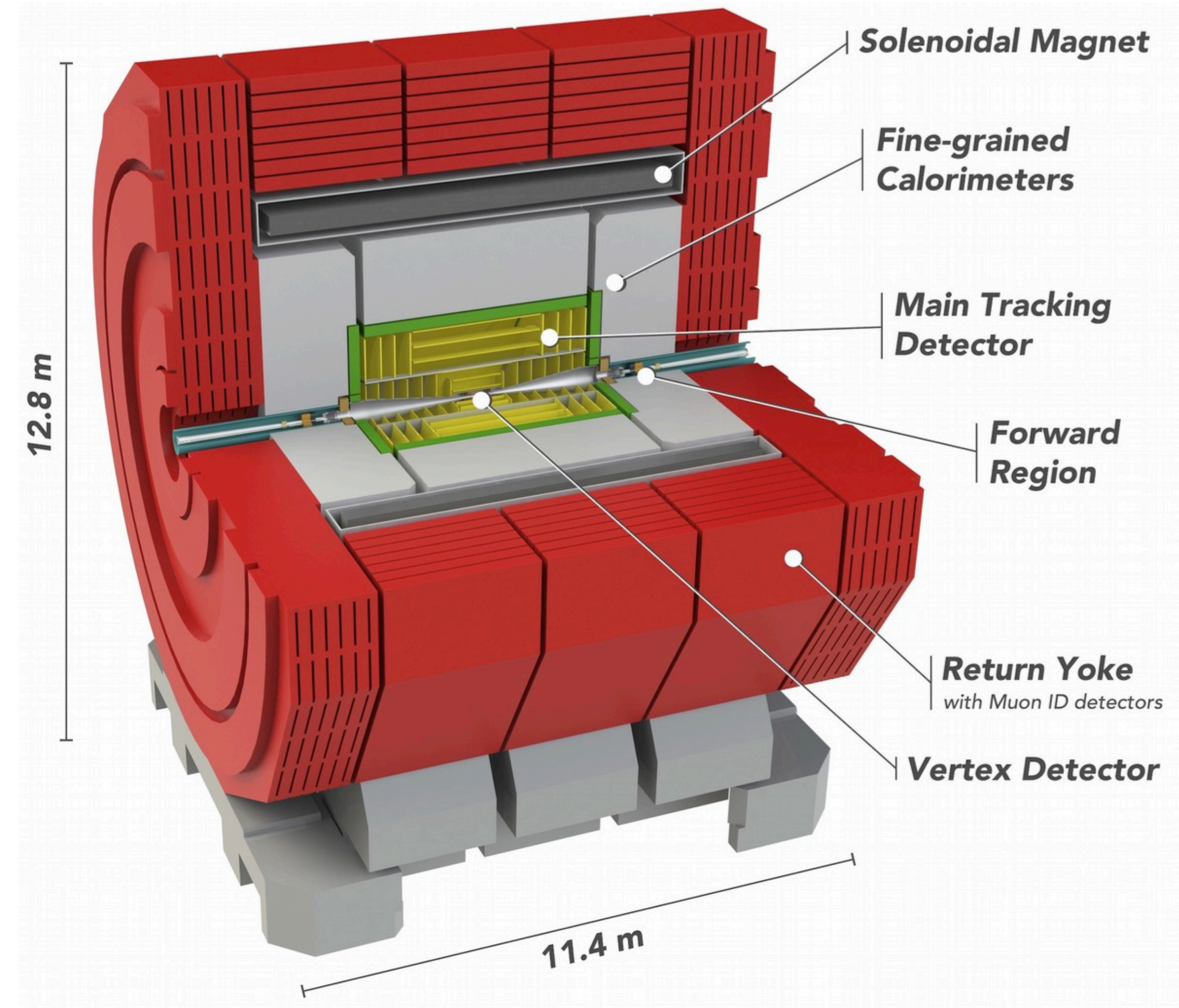
- Low material budget
- Background rejection and separation of bunch crossings:
  - High granularity
  - < 10 ns hit time-stamping in tracking





# The CLIC Detector Concept

*With a tracking focus*



**Superconducting solenoid** with 4T magnetic field

## **Vertex Detector**

- 3 double layers (barrel) / forward spiral (3 crossings in z) with  $25 \times 25 \mu\text{m}^2$  pixels; air cooling
- Extremely accurate and light:  
single point resolution =  $3 \mu\text{m}$   
material budget  $< 0.2 \% X_0$  per layer

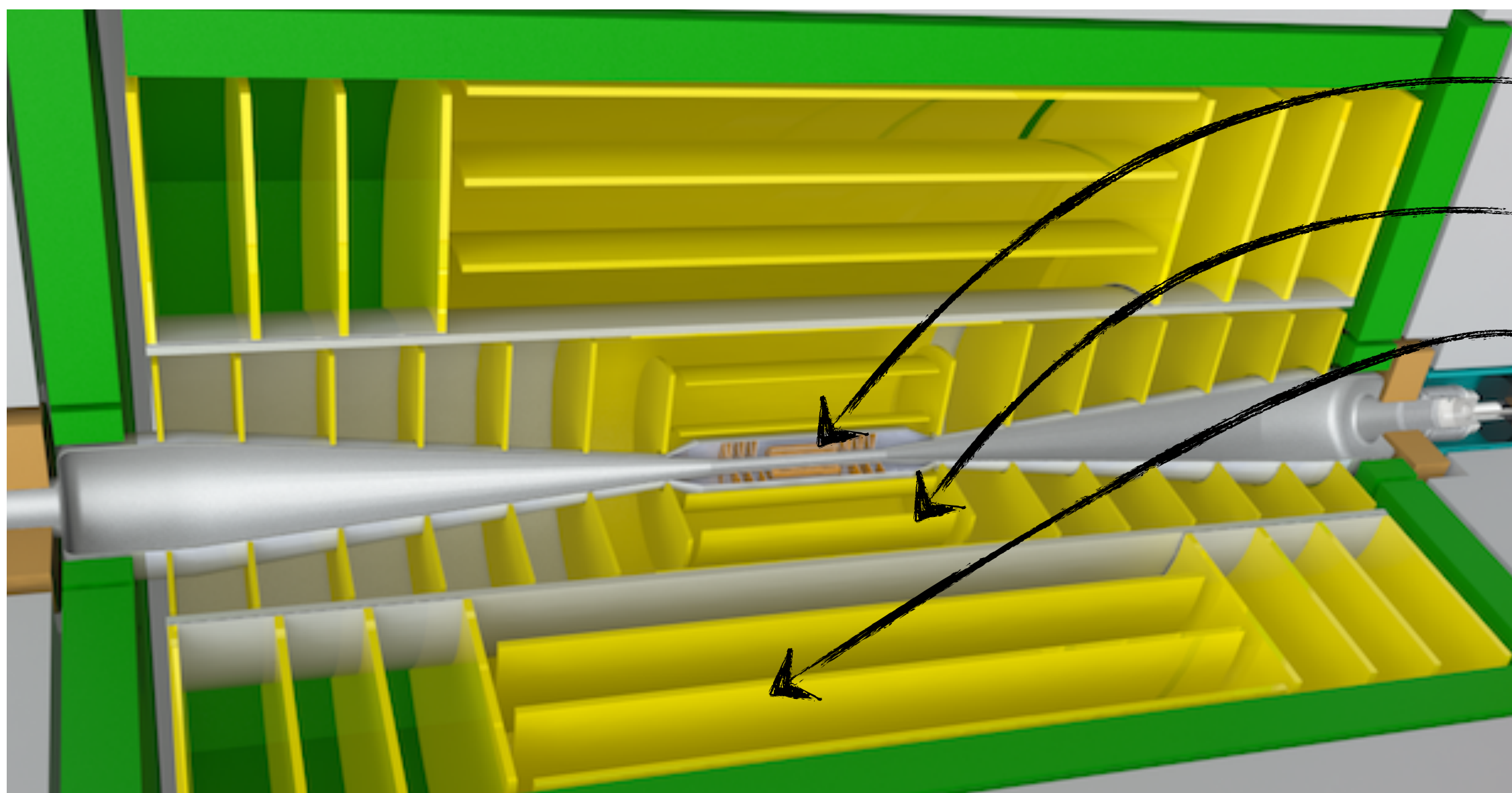
## **Silicon Tracker**

- Tracker composed of large pixels/strips
- Outer radius  $\sim 1.5 \text{ m}$
- Single point resolution =  $7 \mu\text{m} \times 90 \mu\text{m}$
- Material budget:  
Detector:  $\sim 1\% X_0$  per layer  
Support & services:  $\sim 2.5\% X_0$
- Precise timing for background rejection:  
 $< 10 \text{ ns}$  hit time-stamping in tracking



# The CLICDet Tracker

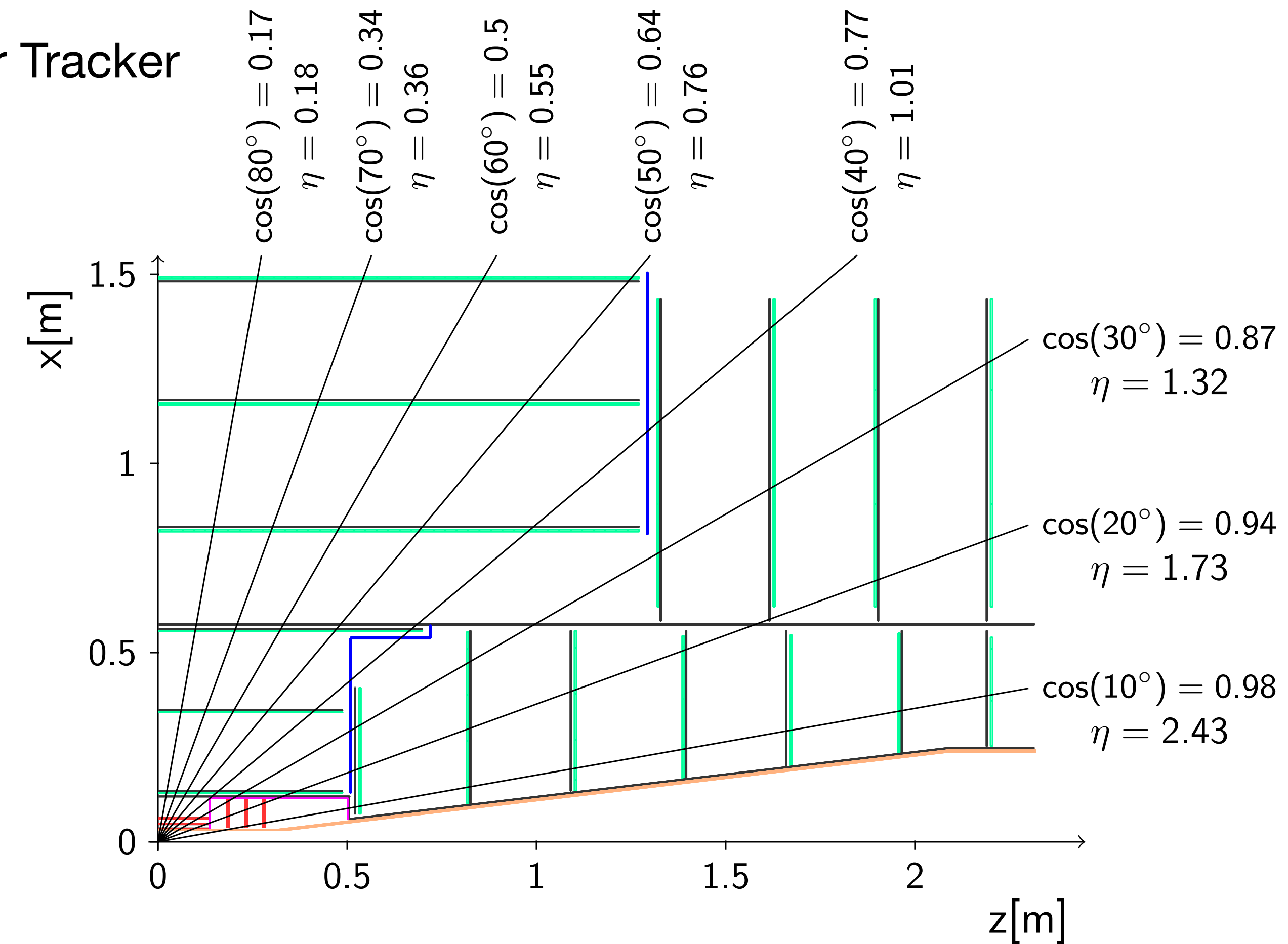
## Geometrical Details



Vertex Tracker

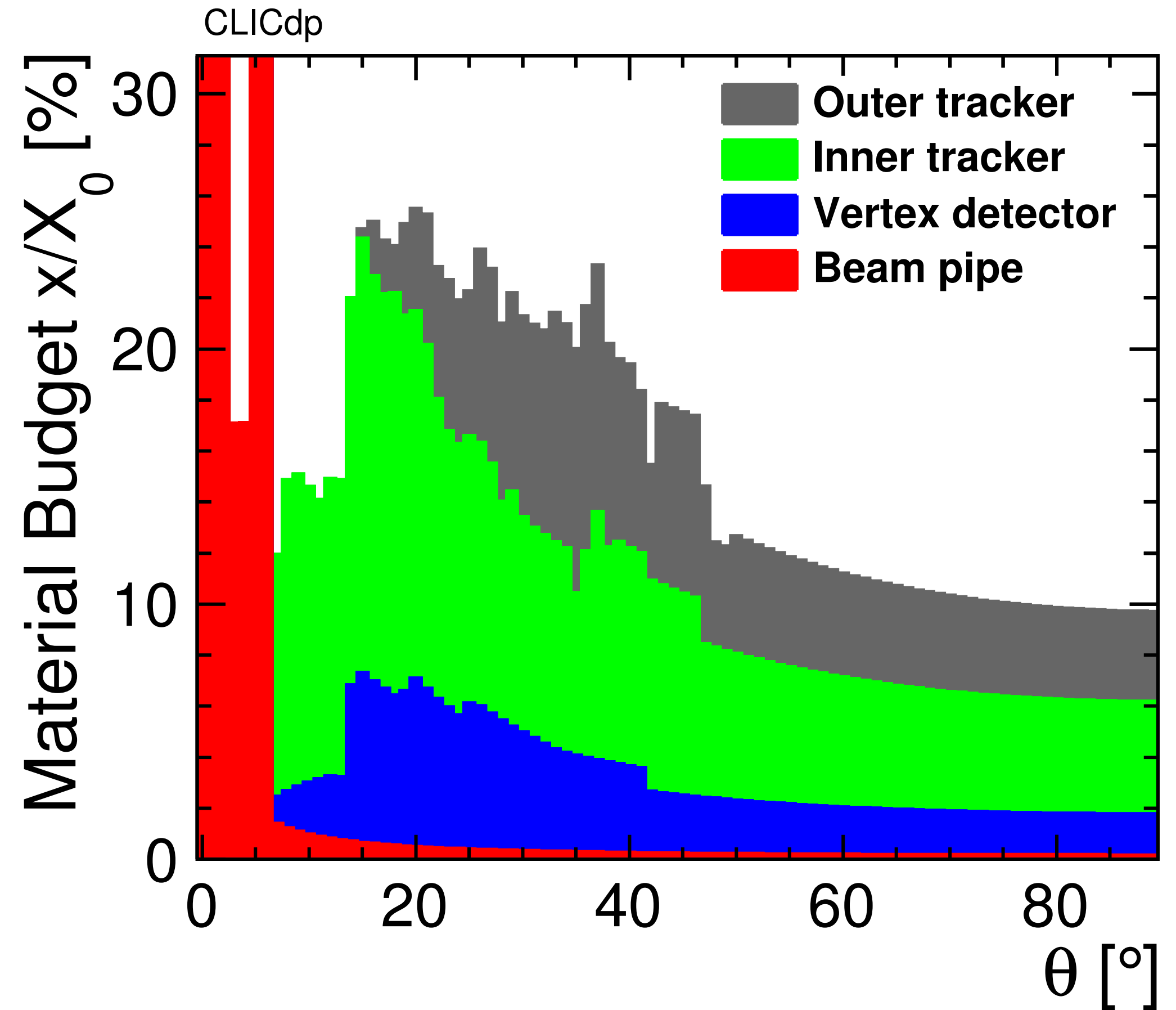
Inner Tracker

Outer Tracker



# The CLICDet Tracker

## Geometrical Details

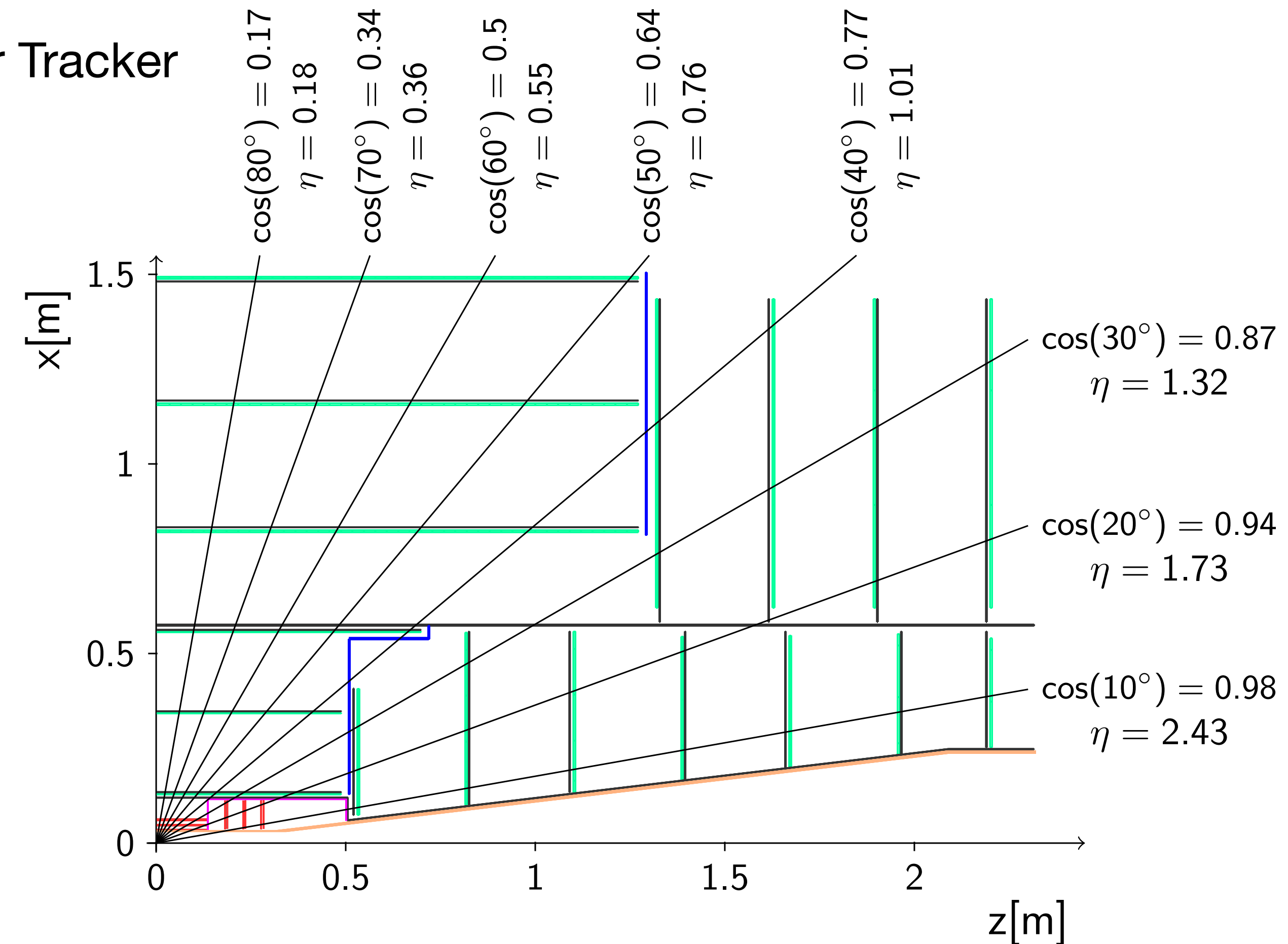


Material budget incl. support and services  
[in units of  $\lambda_1 \sim 4\%$  at  $90^\circ$ ,  $10\%$  at  $20^\circ$ ]

Vertex Tracker

Inner Tracker

Outer Tracker



# Conformal tracking at CLIC

A new tracking concept, optimized for low-mass trackers

- ***Conformal mapping***: A coordinate transform to aid track finding / pattern recognition
- ***Cellular automaton***: Building tracks from hits in conformal space
- ***Track fitting*** using a Kalman filter and smoother
- ***Track selection*** to purify the track sample by removing mis-identified tracks



# Conformal Mapping

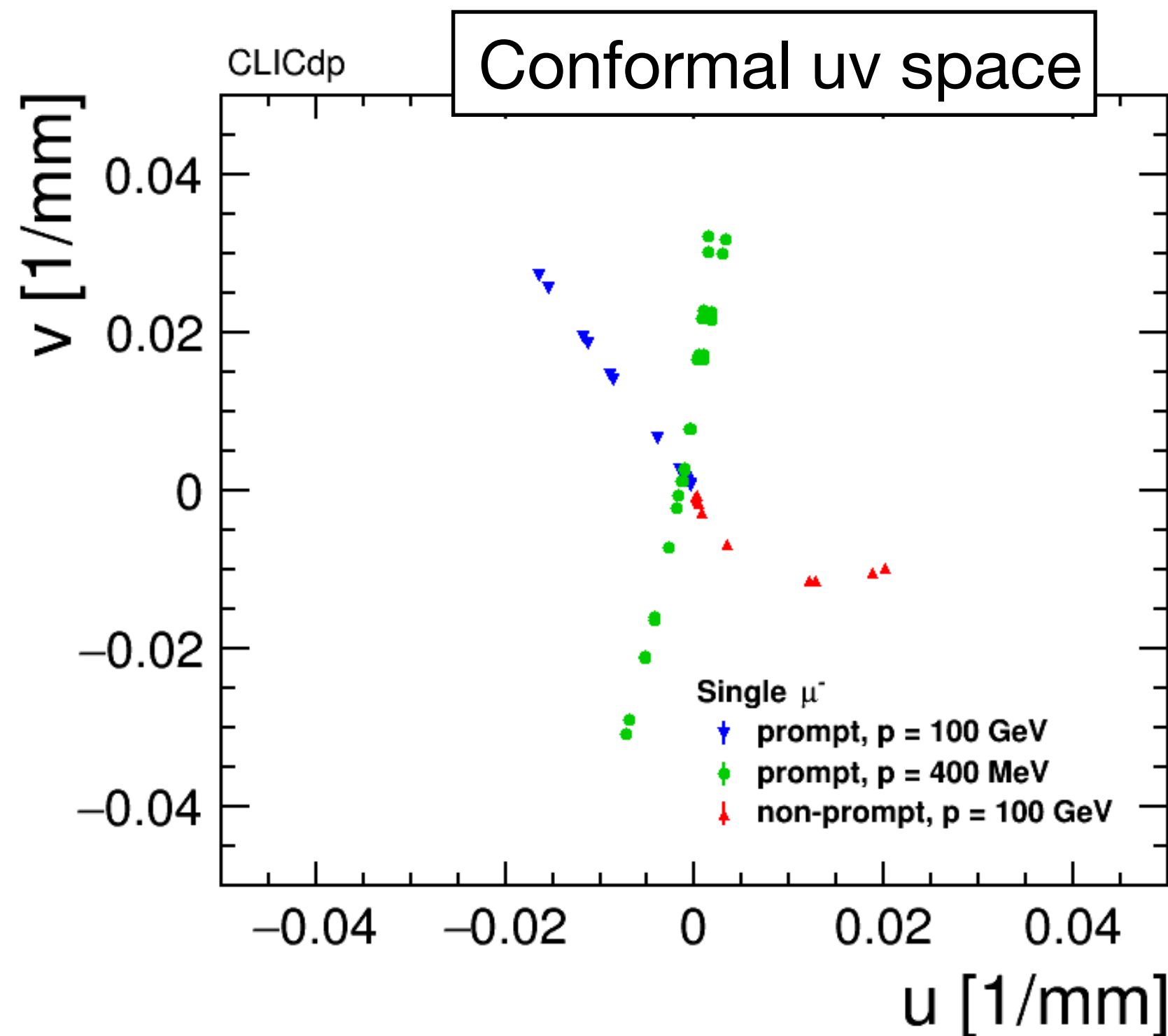
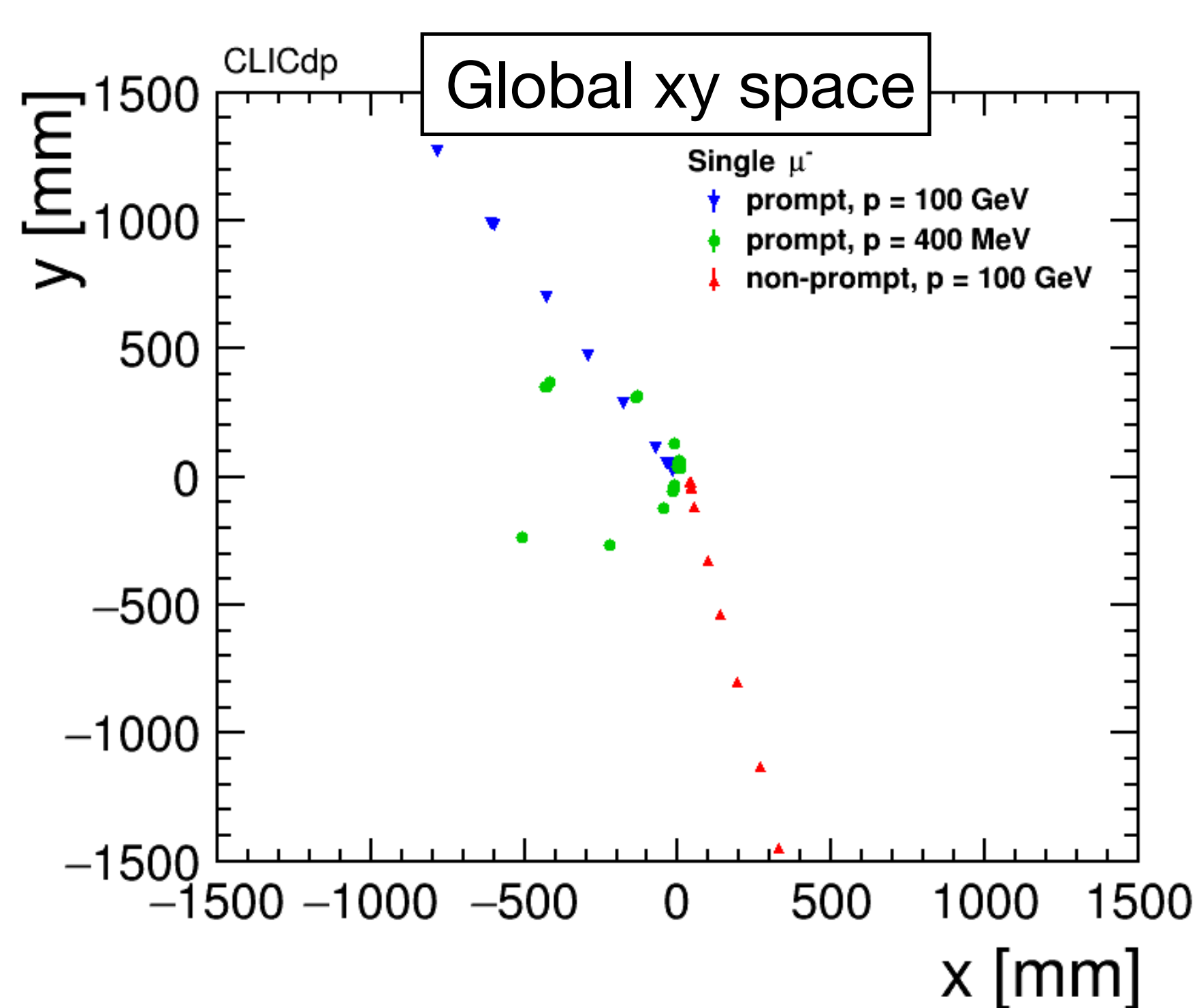
*A coordinate transform to aid pattern recognition*



- The conformal mapping method is based on the fact that circles passing through the origin of a coordinate system  $xy$  can be translated onto straight lines in a new coordinate system  $uv$

$$u = x / (x^2 + y^2)$$

$$v = y / (x^2 + y^2)$$



**NB:**

- Multiple scattering results in deviation from straight line
- Displaced tracks do not form a straight line

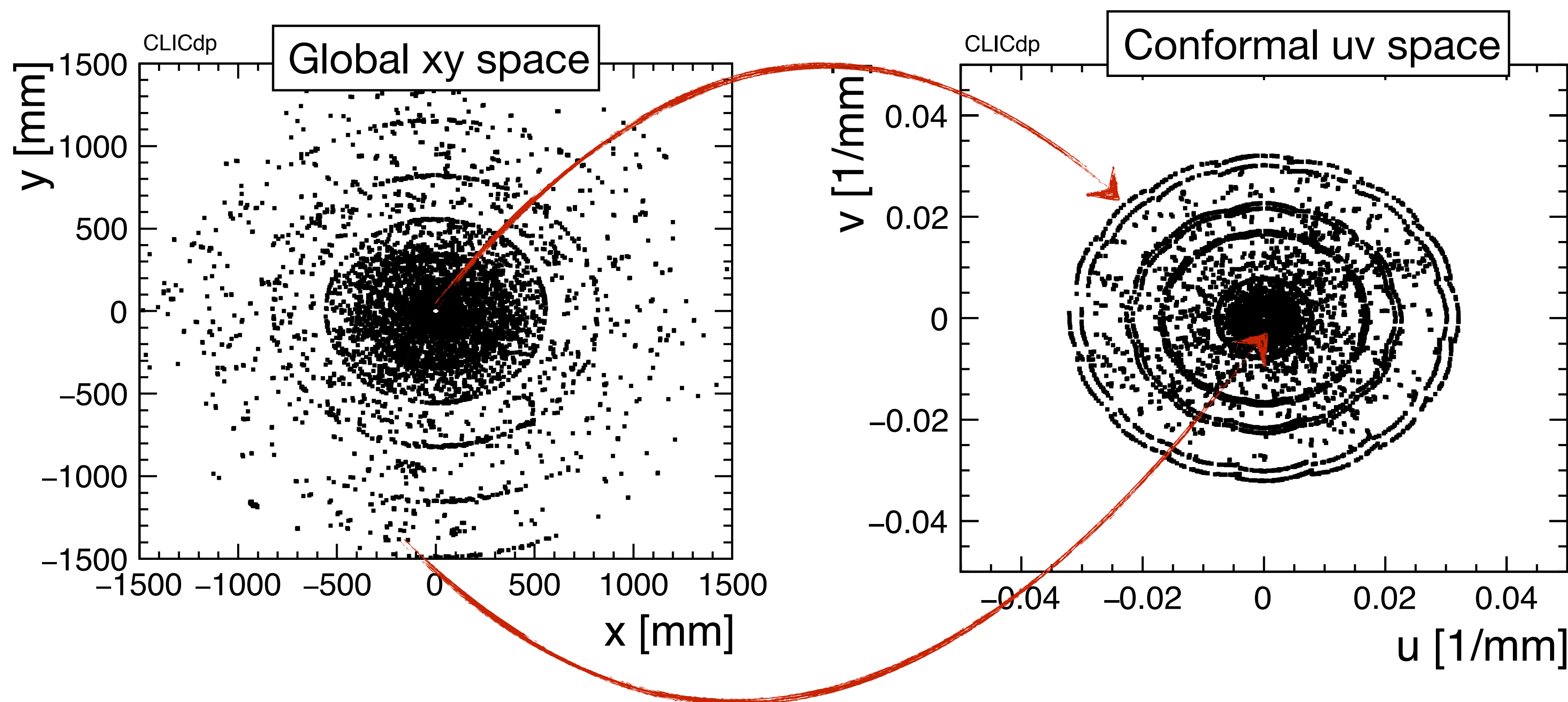
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*A coordinate transform to aid pattern recognition*

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$$u = x / (x^2 + y^2)$$

$$v = y / (x^2 + y^2)$$



**NB:**

- Multiple scattering results in deviation from straight line
- Displaced tracks do not form a straight line
- The innermost hits are in the main tracker, the vertex hits are mapped to the outside

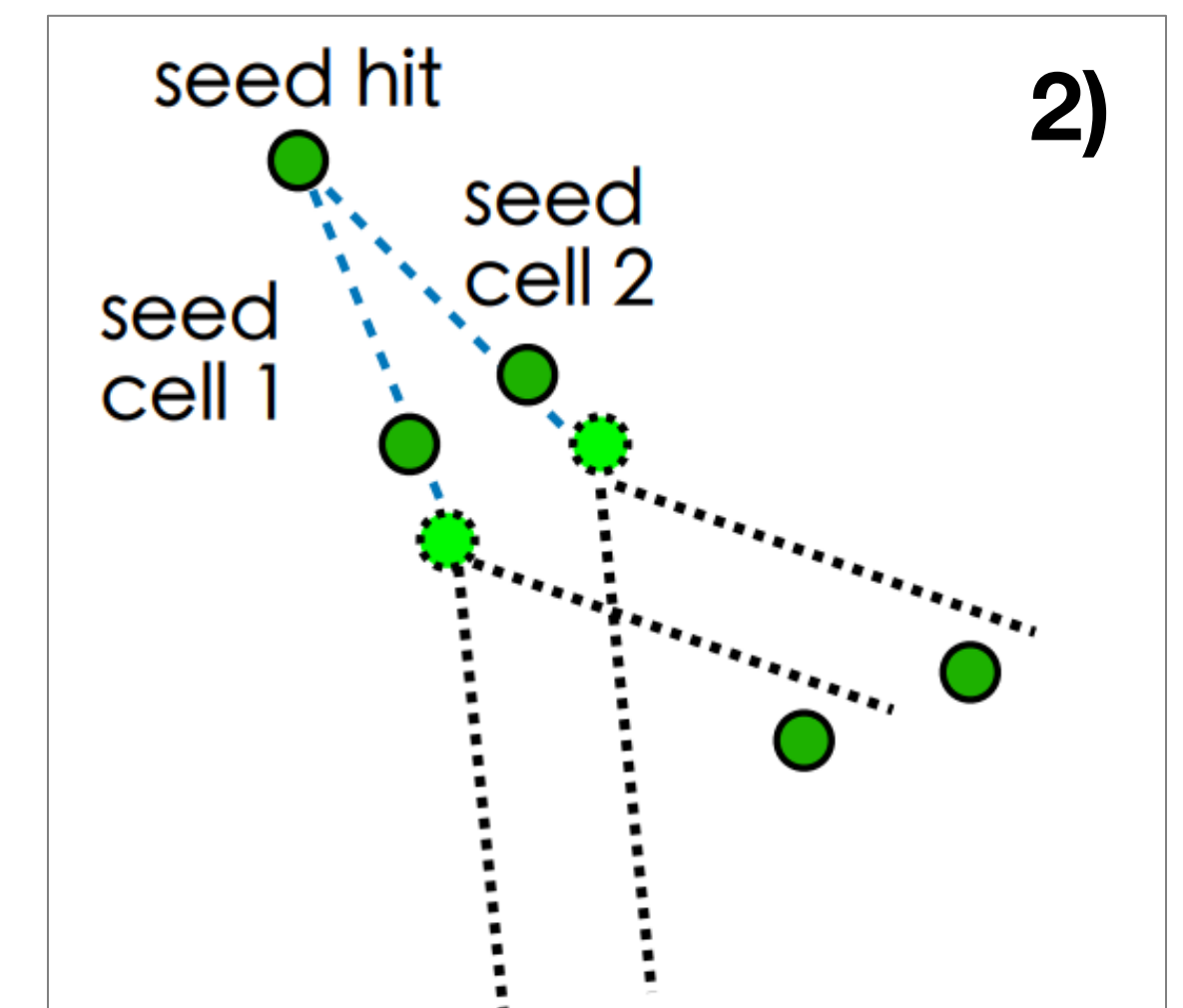
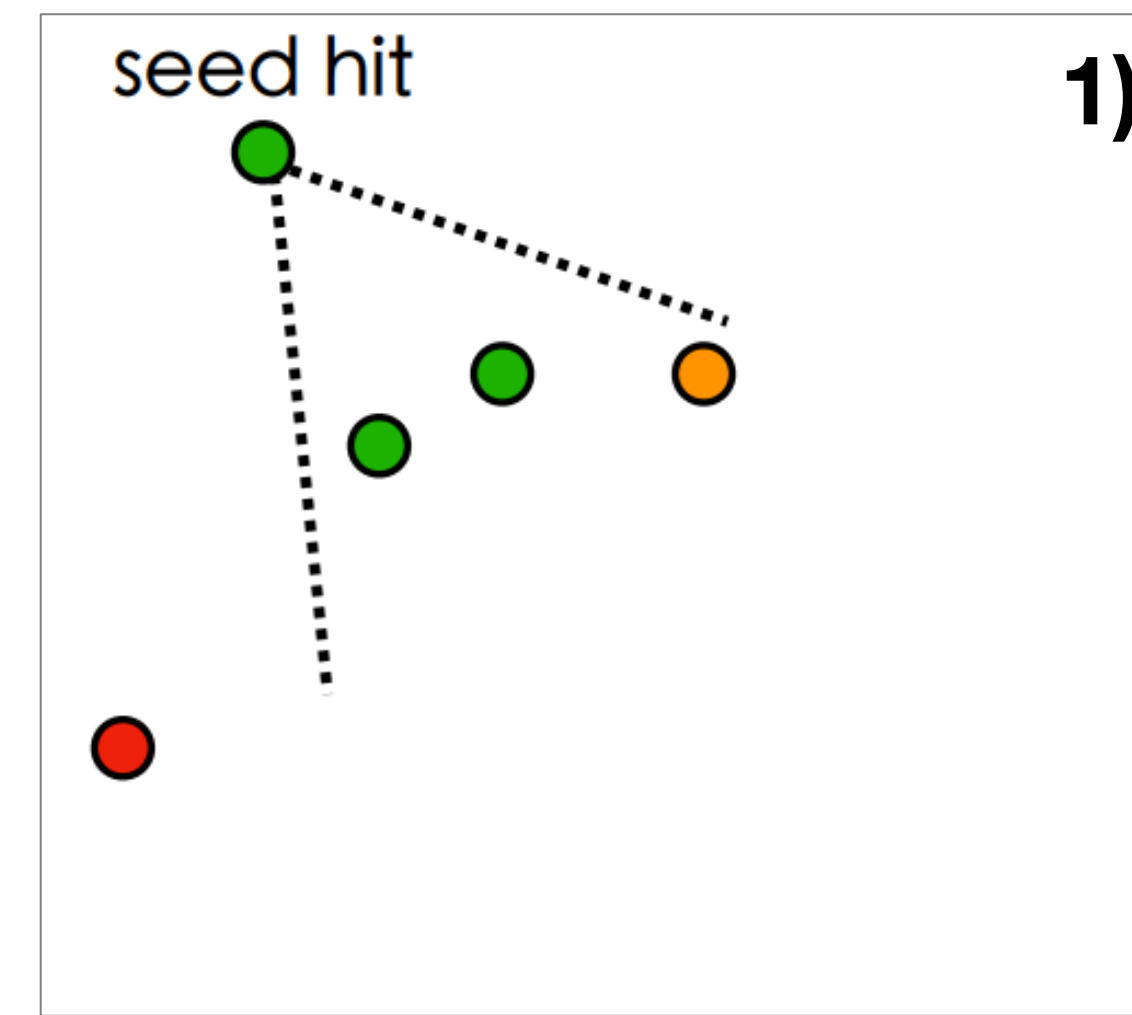
# Track Finding: Cellular Automaton

*A straight line fit is not enough*

- Cell is a segment between two hits with a weight associated
- Cellular tracks are vectors of cells
- Cellular automaton (creation/extension of cells) can be used to:
  - Build cellular tracks
  - Extend cellular tracks

## *Selecting the tracks*

1. Define seed hits
2. Create cellular track candidate
  1. Define hit neighbor if in cone, not too far and not yet used
  2. Cell is created with weight, each link increments weight
  3. Seed cell is extended to virtual hits
3. Select cellular tracks among candidates
4. Mark hits in cellular tracks as used





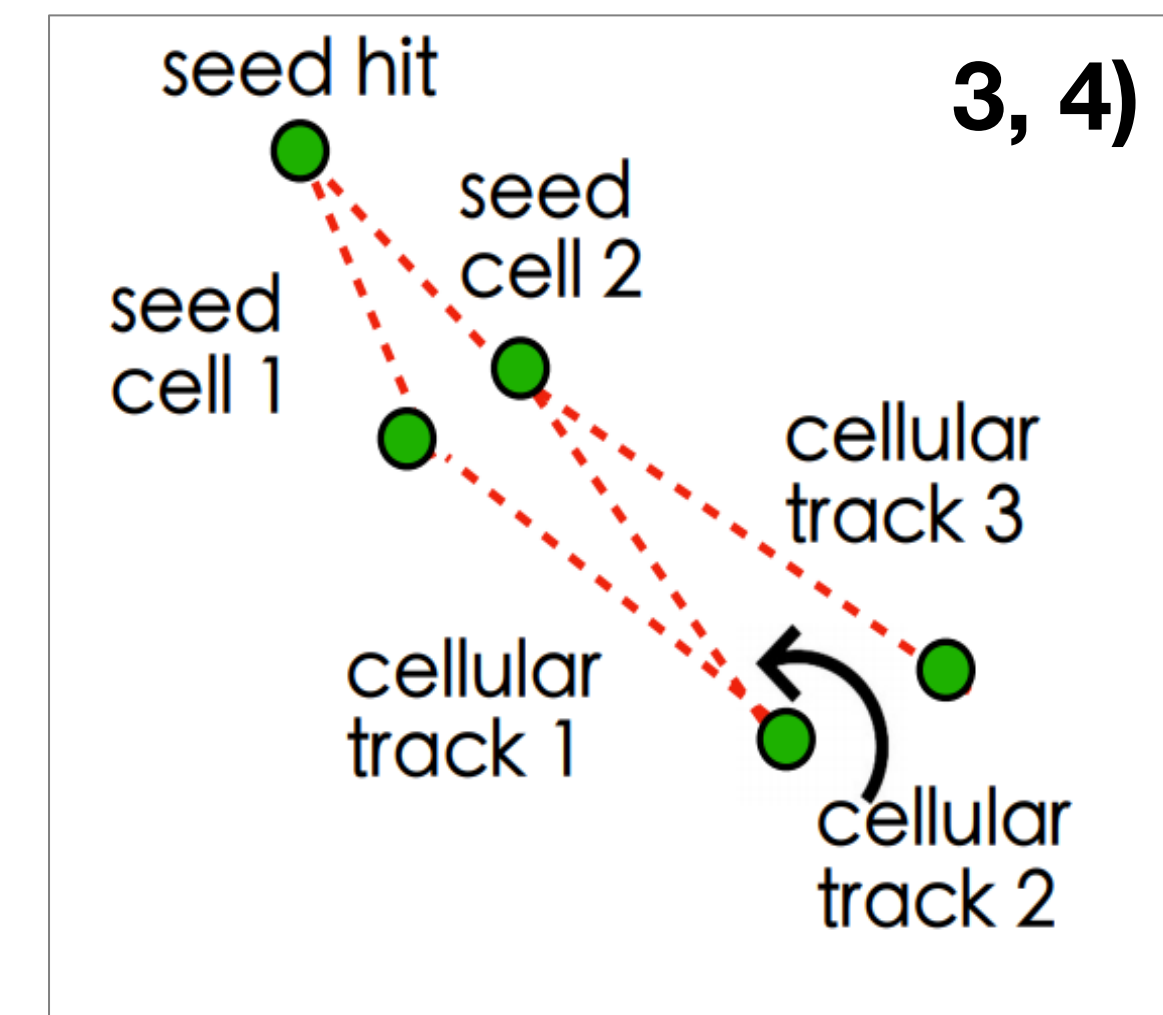
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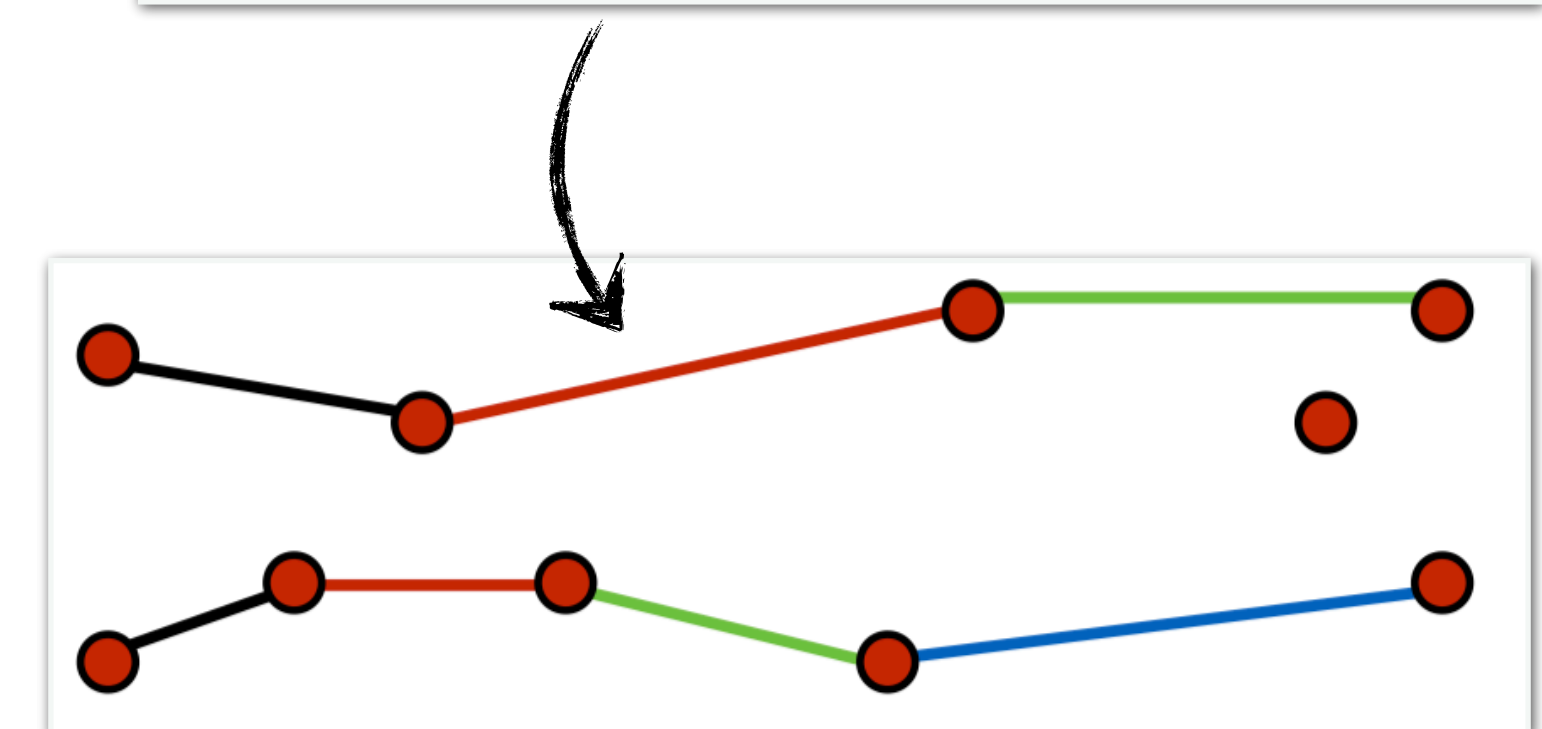
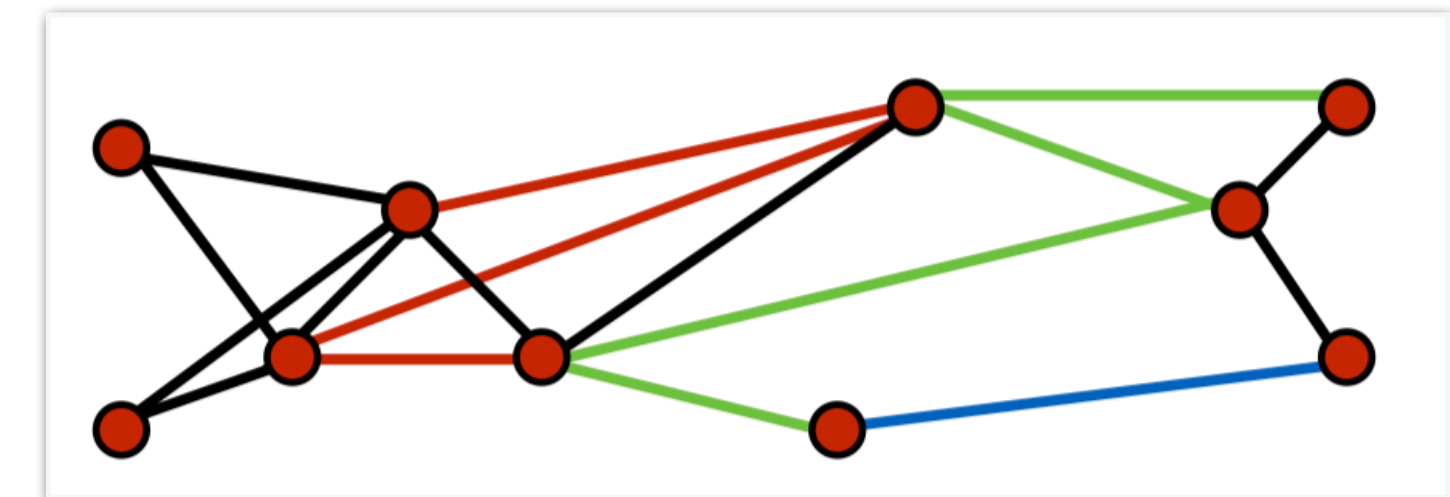
- Cell is a segment between two hits with a weight associated
- Cellular tracks are vectors of cells
- Cellular automaton (creation/extension of cells) can be used to:
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## *Selecting the tracks*

1. Define seed hits
2. Create cellular track candidate
3. Select cellular tracks among candidates
  - create valid tracks starting from higher weight back to the seed cell
    - if more paths available, branch the track
4. Mark hits in cellular tracks as used



$w = 0$   
 $w = 1$   
 $w = 2$   
 $w = 3$



# Track Finding: Cellular Automaton

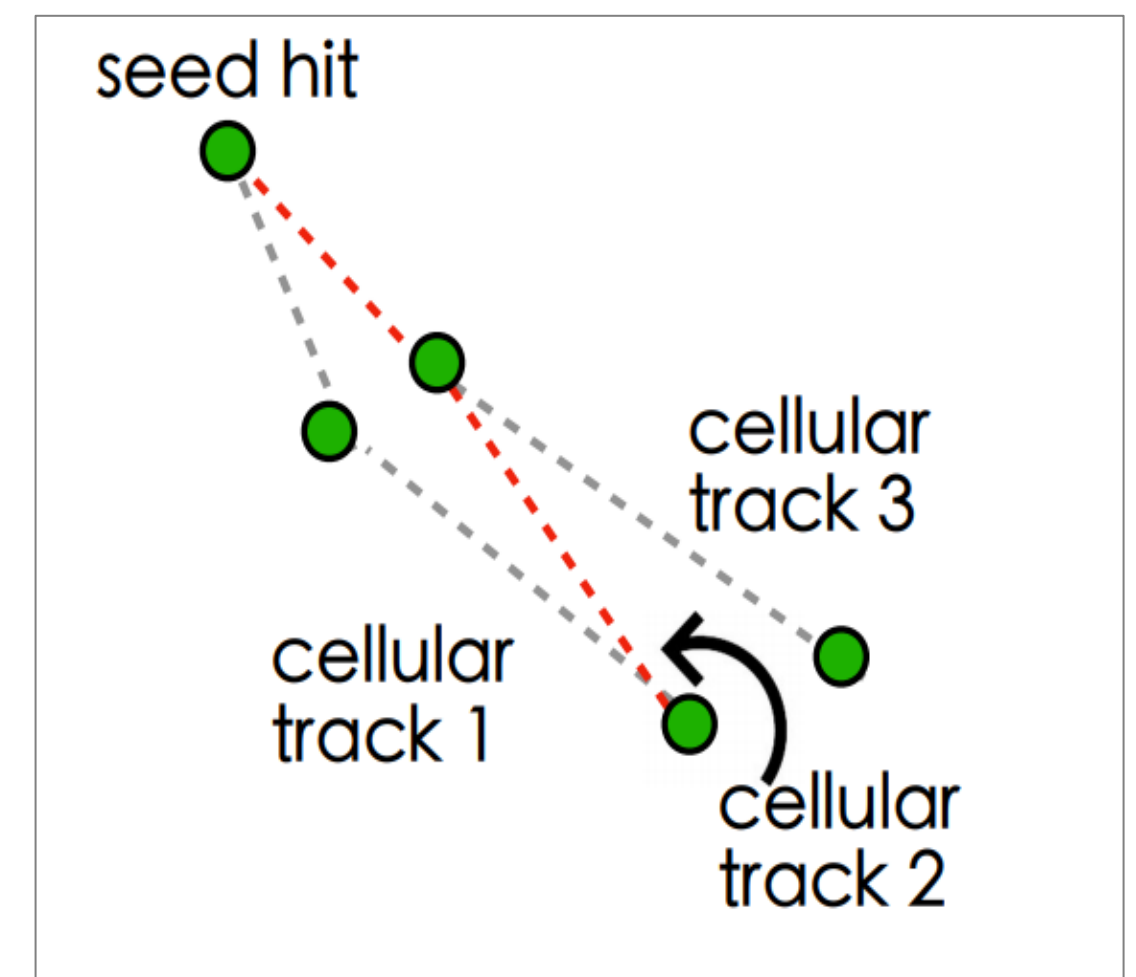
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## *Building the tracks*

- For all cellular tracks originating from one seed hits
  - linear regression in (u, v) and (s, z) to determine  $\chi^2$
  - hits progressively removed, refit, select track with lowest  $\chi^2$
- Clones (with 2 or more overlapping hits):
  - Keep longest track
  - If similar length, prefer best  $\chi^2$




# Track Finding: Cellular Automaton

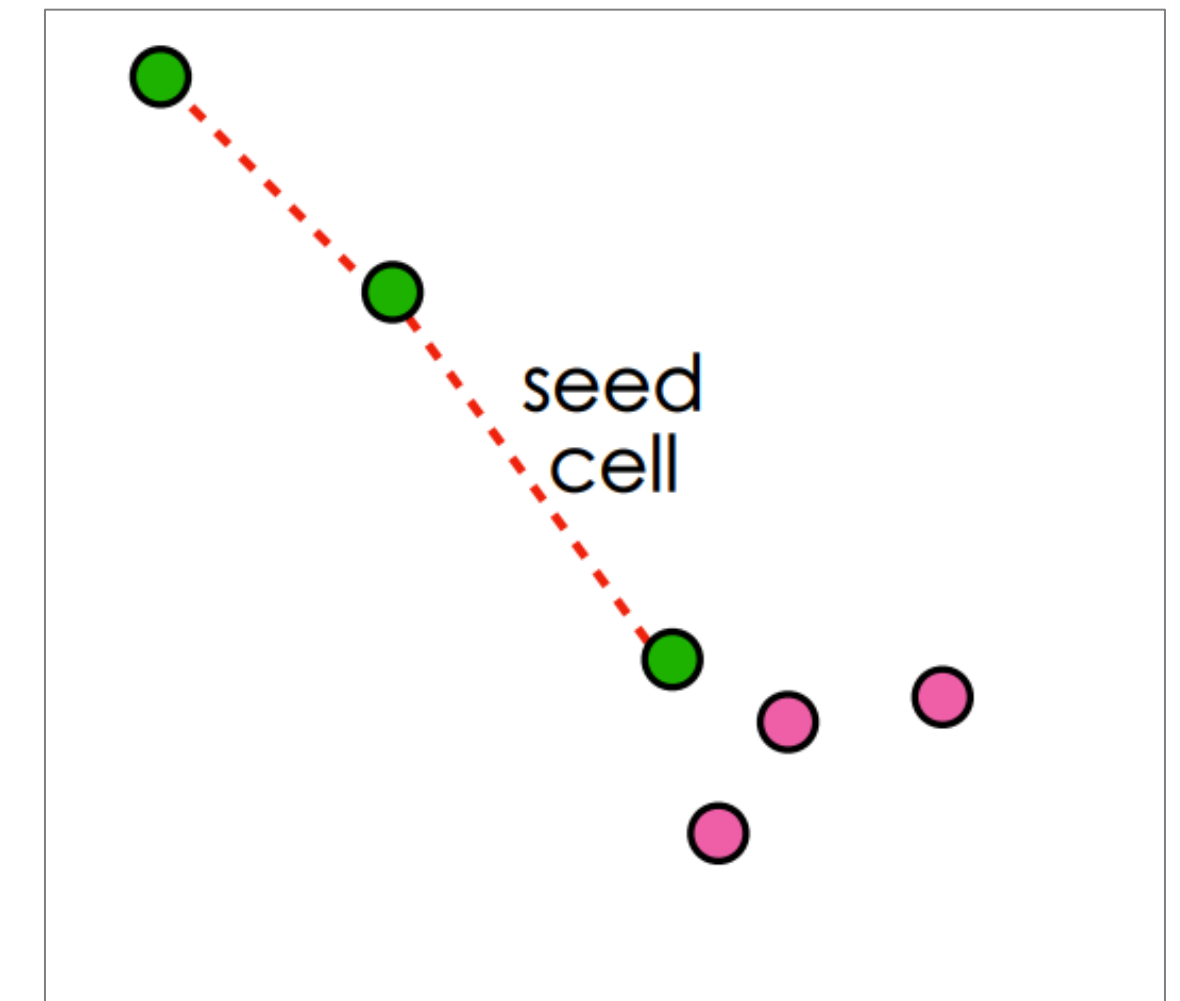
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## *Extending the tracks*

- 
- Starting from the last cell of cellular track
  - Search for neighbors in the following layer
  - The track is refitted for each hit candidate  
hit is accepted/rejected based on a  $\chi^2$  cut





# Full Pattern Recognition Chain in CLICDet

*A concrete application*



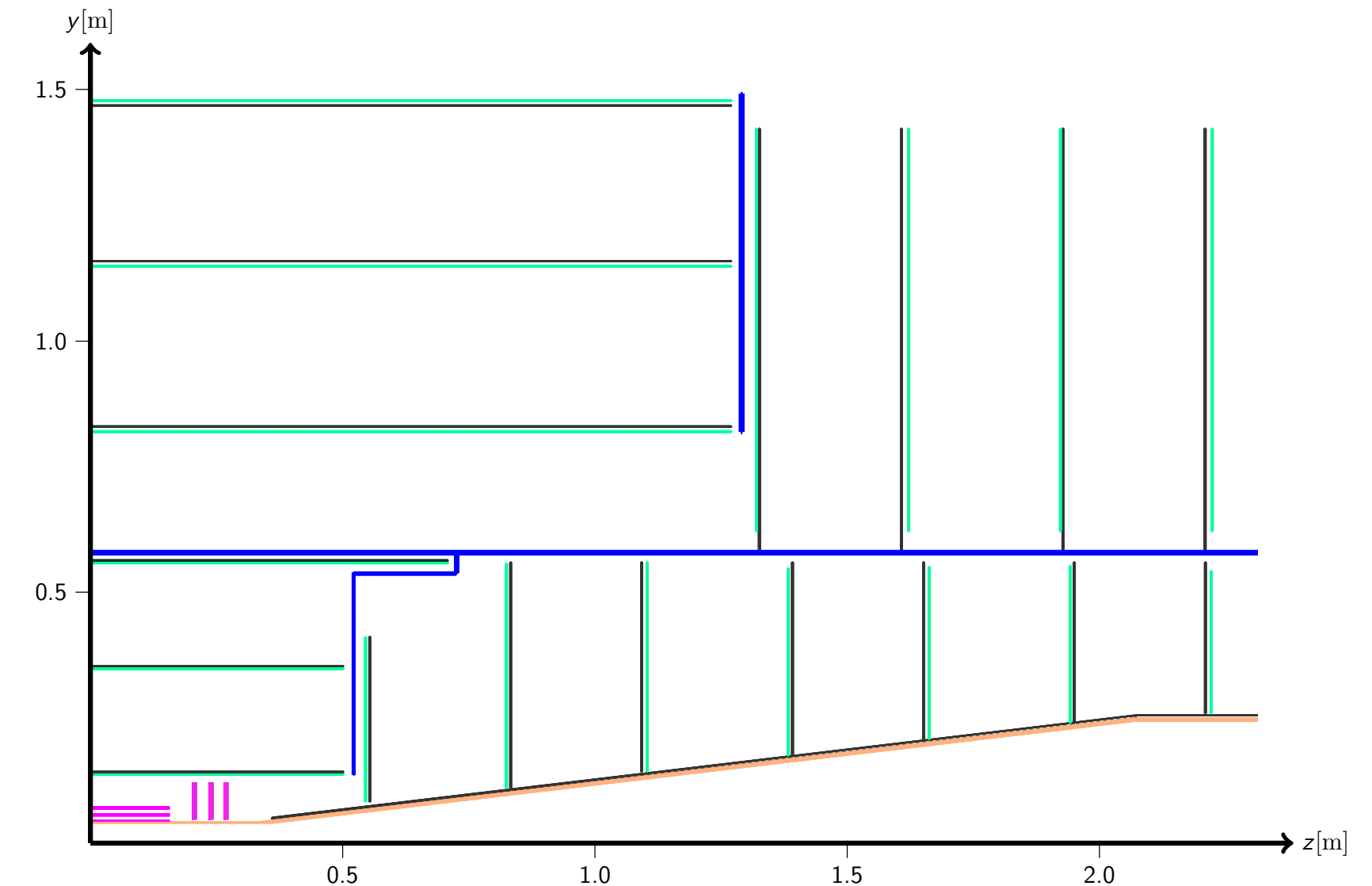
Algorithm	Hit collection	Configuration
Build tracks	Vertex barrel	Standard cuts
Extend tracks	Vertex endcap	Standard cuts
Build tracks	Vertex	Looser cuts (angle x 5)
Build tracks	Vertex	Looser cuts (angle x 10; $\chi^2$ x 20)
Extend tracks	Tracker	Looser cuts (angle x 10; $\chi^2$ x 20)
Build tracks	Vertex + Tracker	Displaced cuts

*5 steps to reconstruct prompt tracks:*

- from vertex detector to silicon tracker
- min number of hits = 4
- standard or looser (angle or  $\chi^2$ ) cuts

*1 final step to reconstruct displaced tracks:*

- quadratic terms in the CT fit added
- inverted order search: from silicon tracker to vertex detector
- broader search angle than for prompt tracks



# Full Pattern Recognition Chain in CLICDet

*A concrete application*



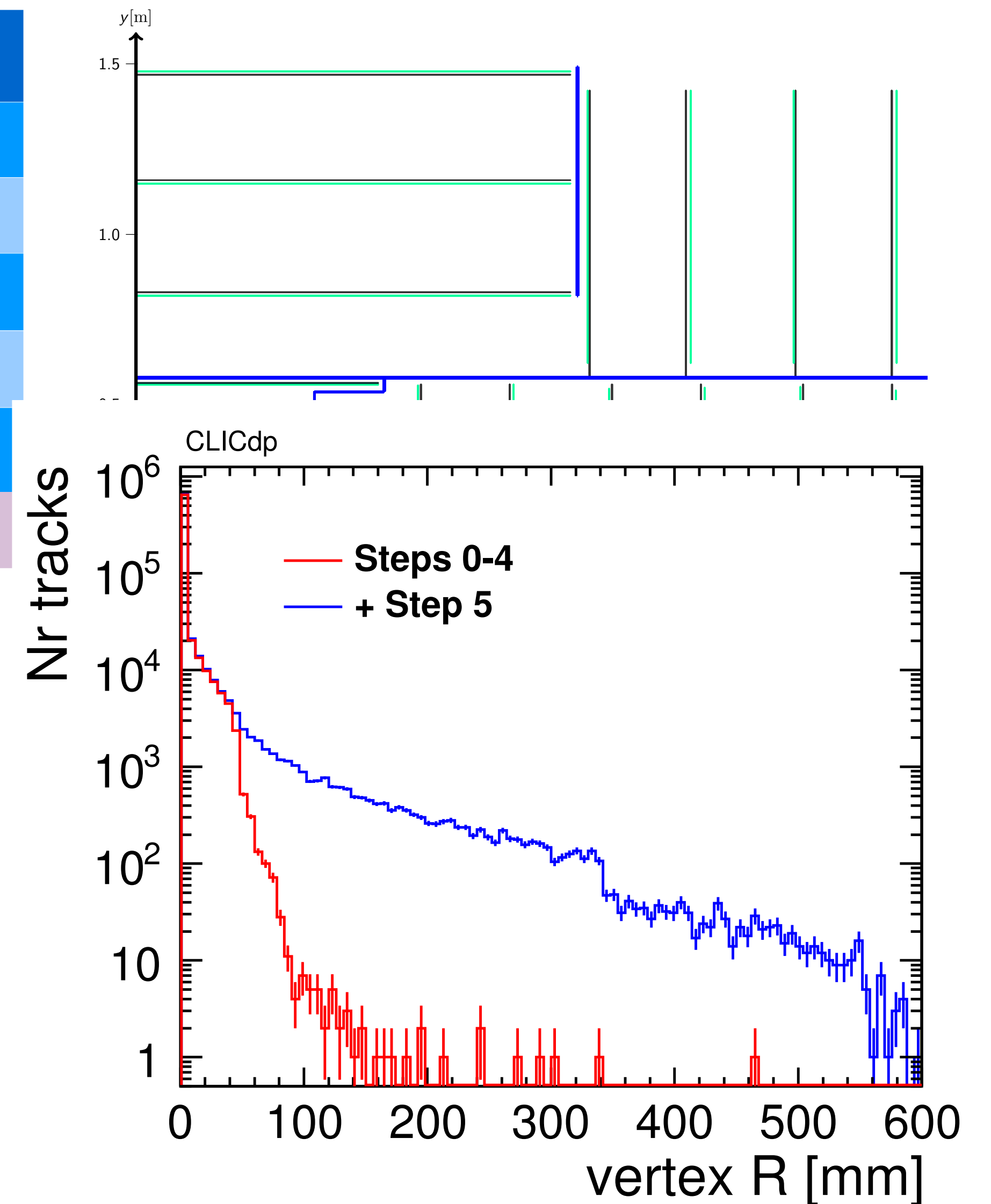
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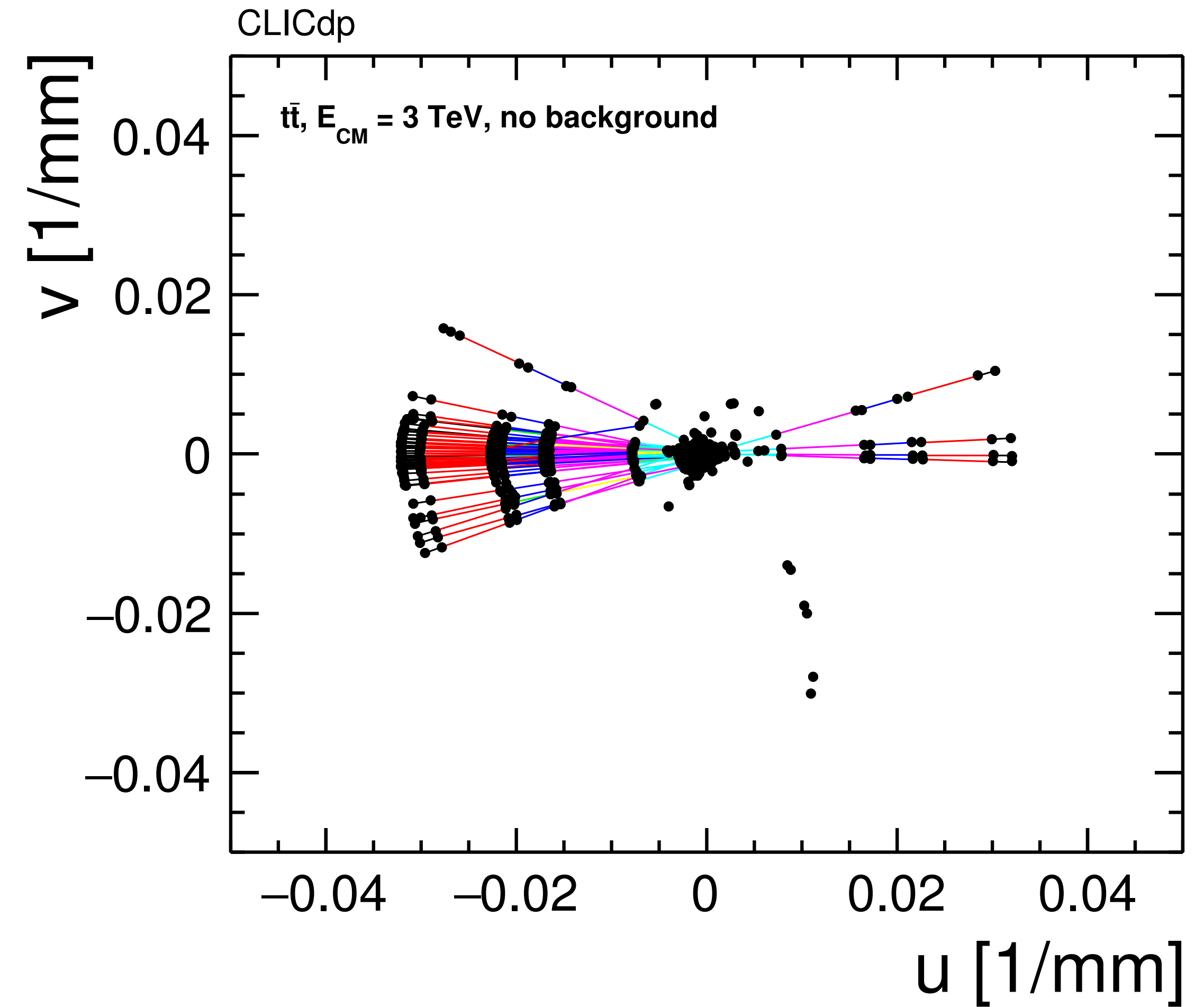
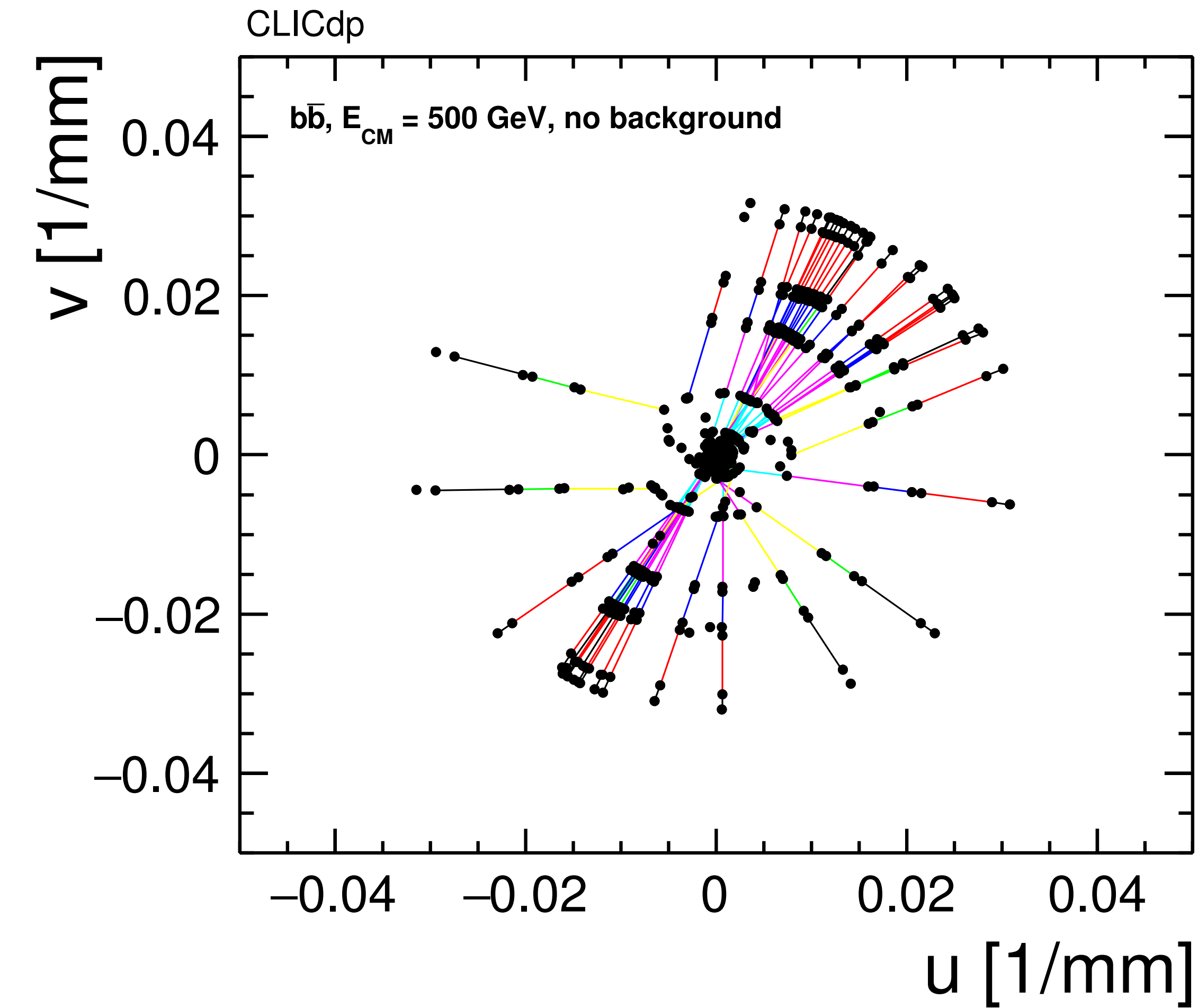
*1 final step to reconstruct displaced tracks:*

- quadratic terms in the CT fit added
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# Full Pattern Recognition Chain in CLICDet

Two example events





# Track Fitting, Selection and CPU Performance

*The final steps*



*Track fit:* Kalman filter (KF) and smoother in global coordinate space

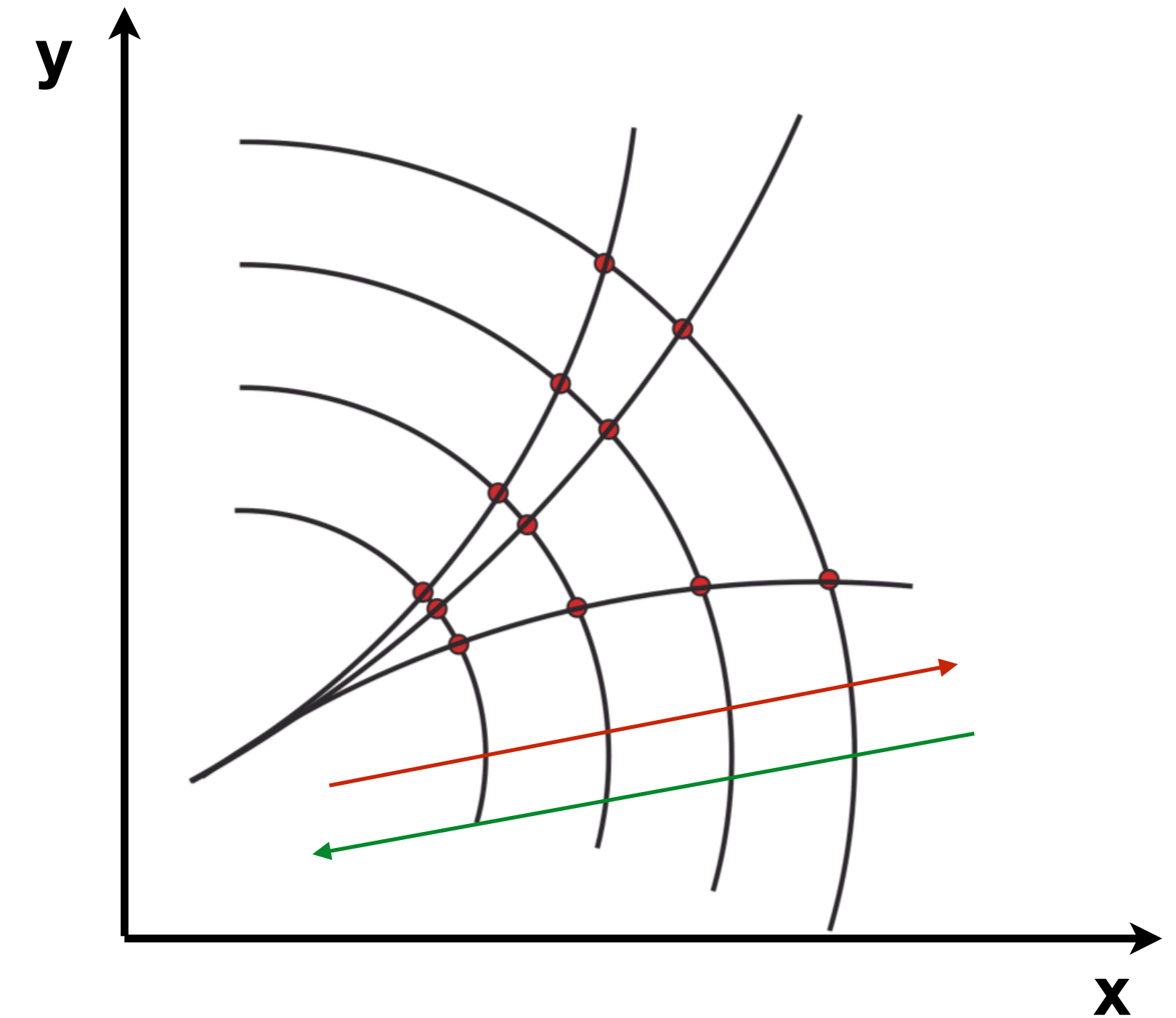
- Pre-fit: helix fit with three hits (first, middle, last) to initialize KF
- Kalman filter from innermost hits outwards
  - Hits added one by one, acceptance / rejection based on  $\chi^2$
  - If forward KF fit fails, fit is tried from outside in

*Track selection:*

- minimum number of hits = 4
- treatment of clones repeated once more as very last step

*CPU time:*

- 3 TeV ttbar takes ~50 longer with background than w/o
- most time consuming: Kalman filter w/o background, Cellular Automaton with background
  - most time consuming in CA: displaced track reconstruction -
  - 50% of total CA time w/o background, 75% with background



# Performance Results

- Definitions for performance evaluation:
  - *Associated particle*: Simulated MC particle from which the majority of the hits on a track originate
  - *Reconstructable particles*: (Quasi-)stable particles with  $p_T > 0.1$  GeV,  $|\cos\theta| < 0.99$ , unique hits  $\geq 4$
  - *Purity*: Fraction of track hits associated to the same particle
    - *pure track* for purity  $\geq 75\%$ , *fake track* for purity  $< 75\%$

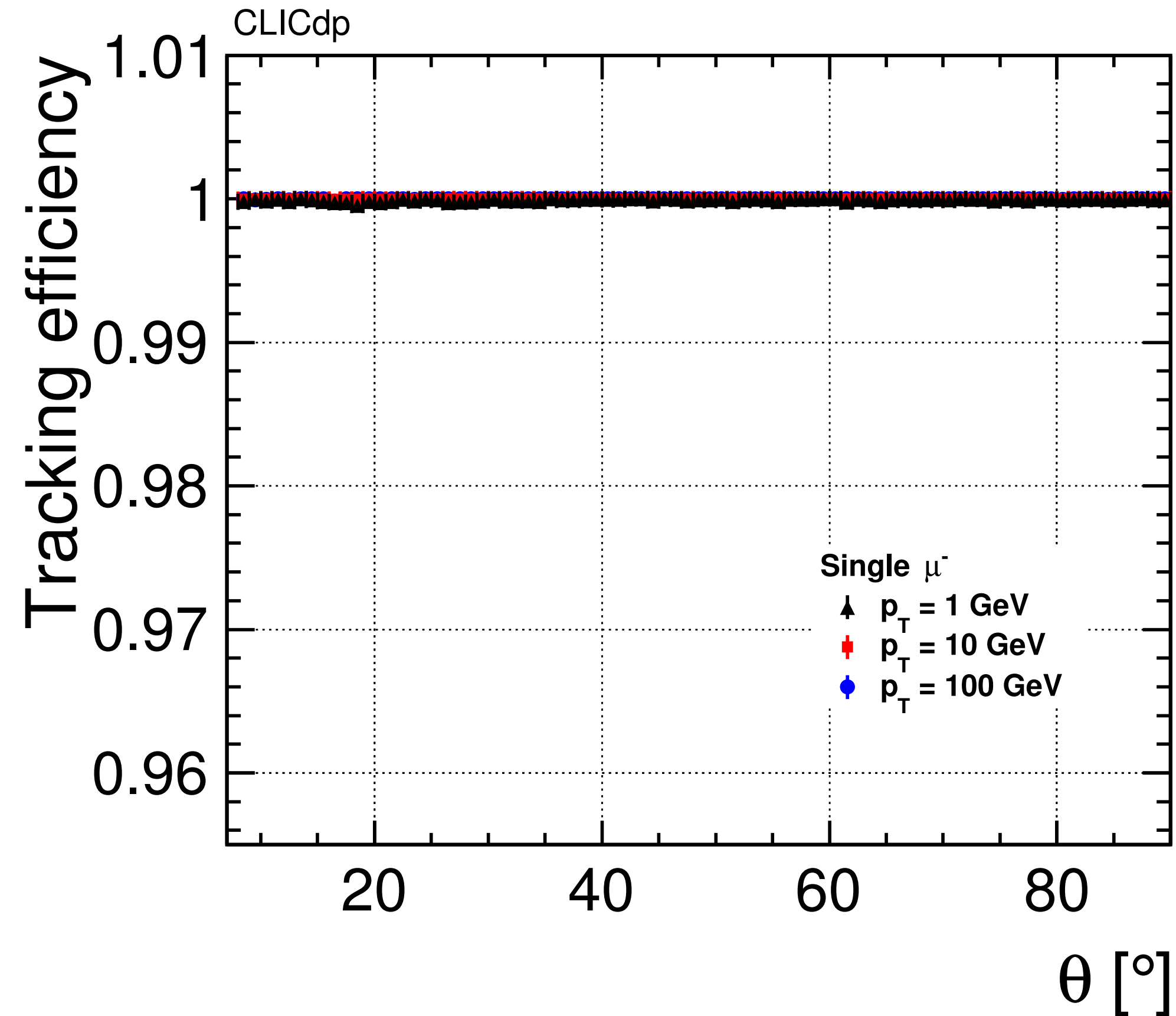
- *Efficiency*: 
$$\frac{\text{\# MC particles associated to at least one pure track}}{\text{\# reconstructable MC particles}}$$

- *Fake rate*: 
$$\frac{\text{\# fake tracks}}{\text{\# reconstructed tracks}}$$

Note: only MC tracks with a minimum angular isolation of 0.02 rad considered in efficiency calculations

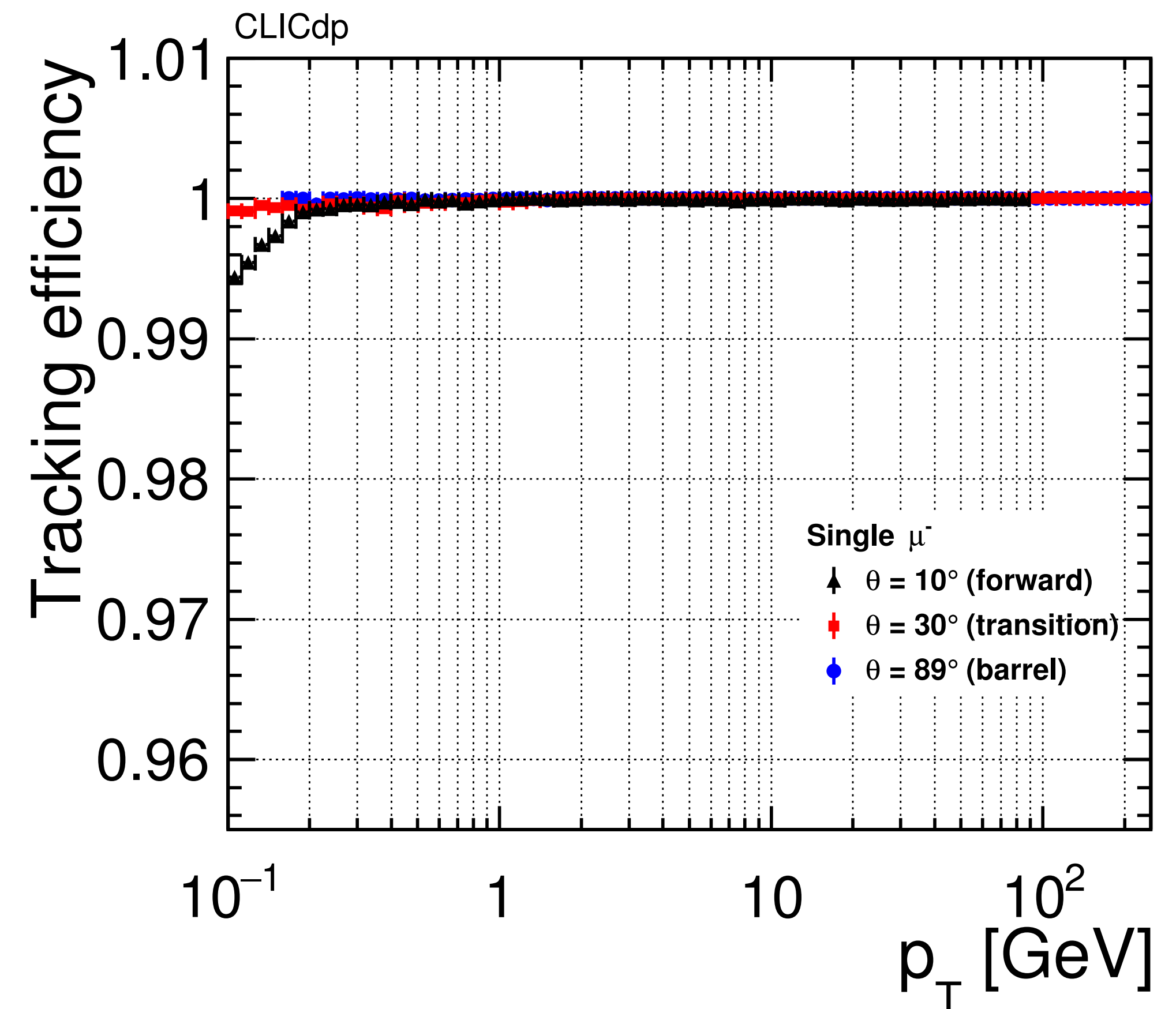
# Performance for Prompt Isolated Muons

*The easy case*



- Full efficiency everywhere from  $\sim 0.2$  GeV  $p_T$

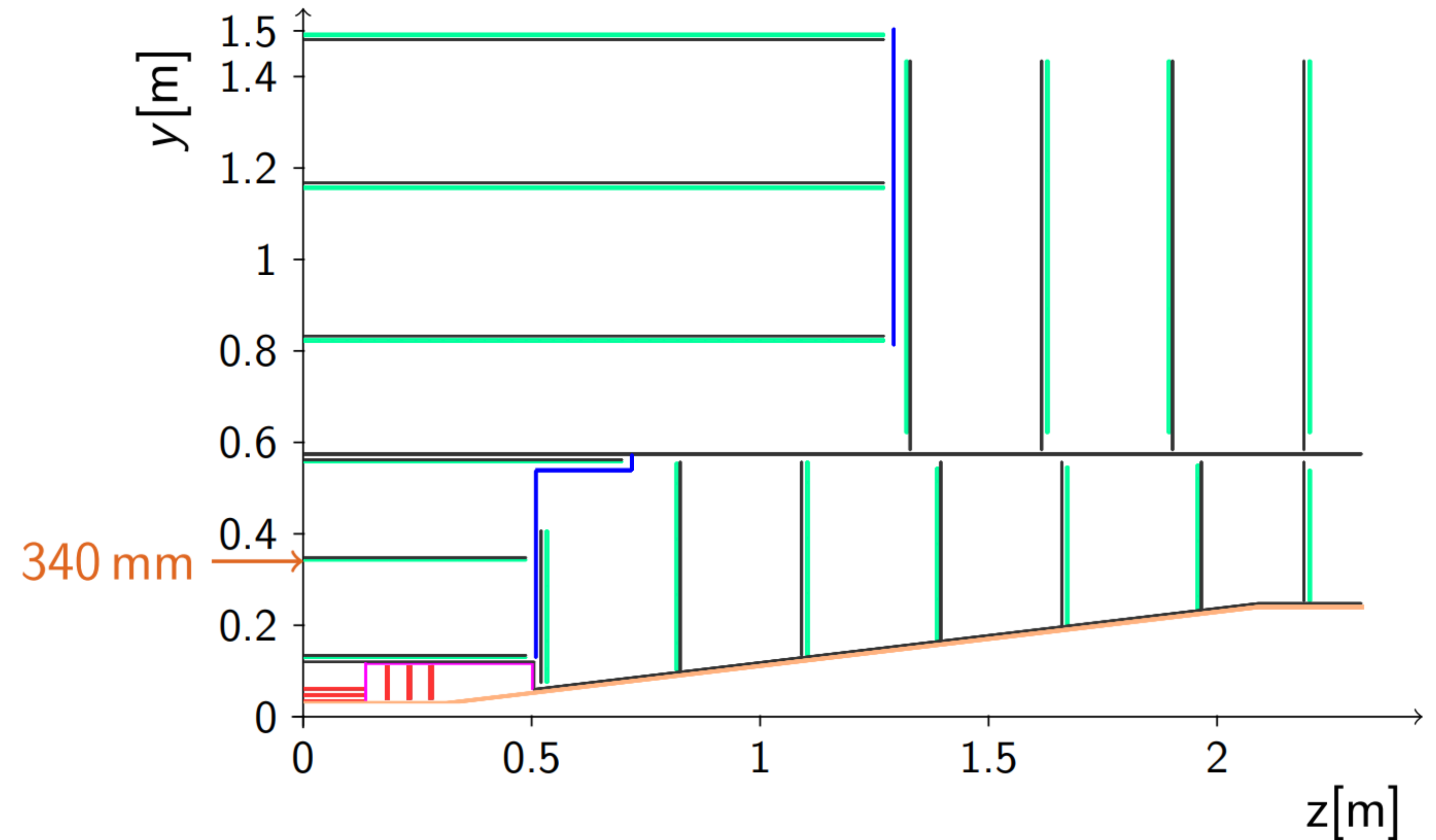
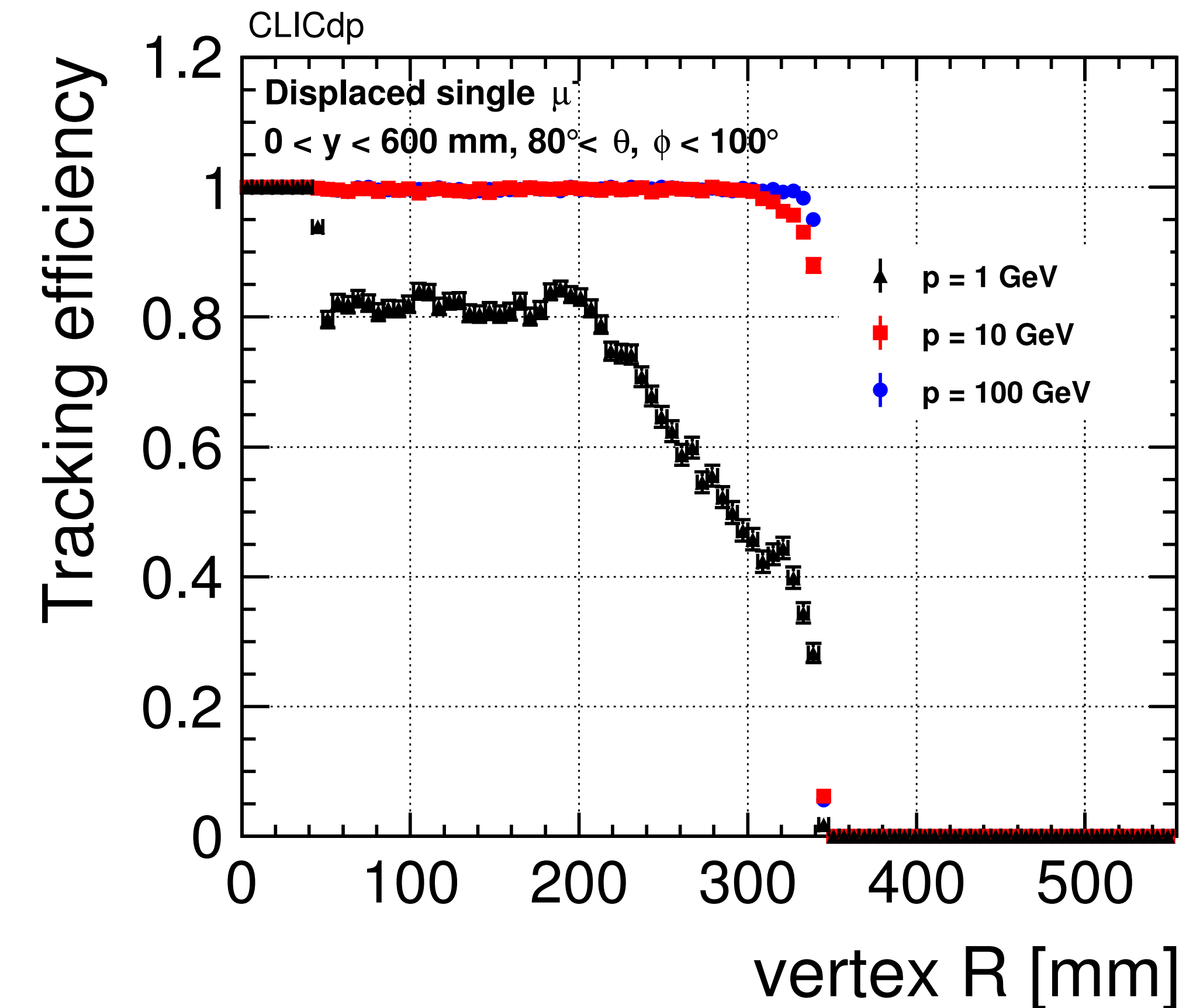
- Tracking fully efficient over full acceptance for high  $p_T$  tracks





# Performance for Displaced Isolated Muons

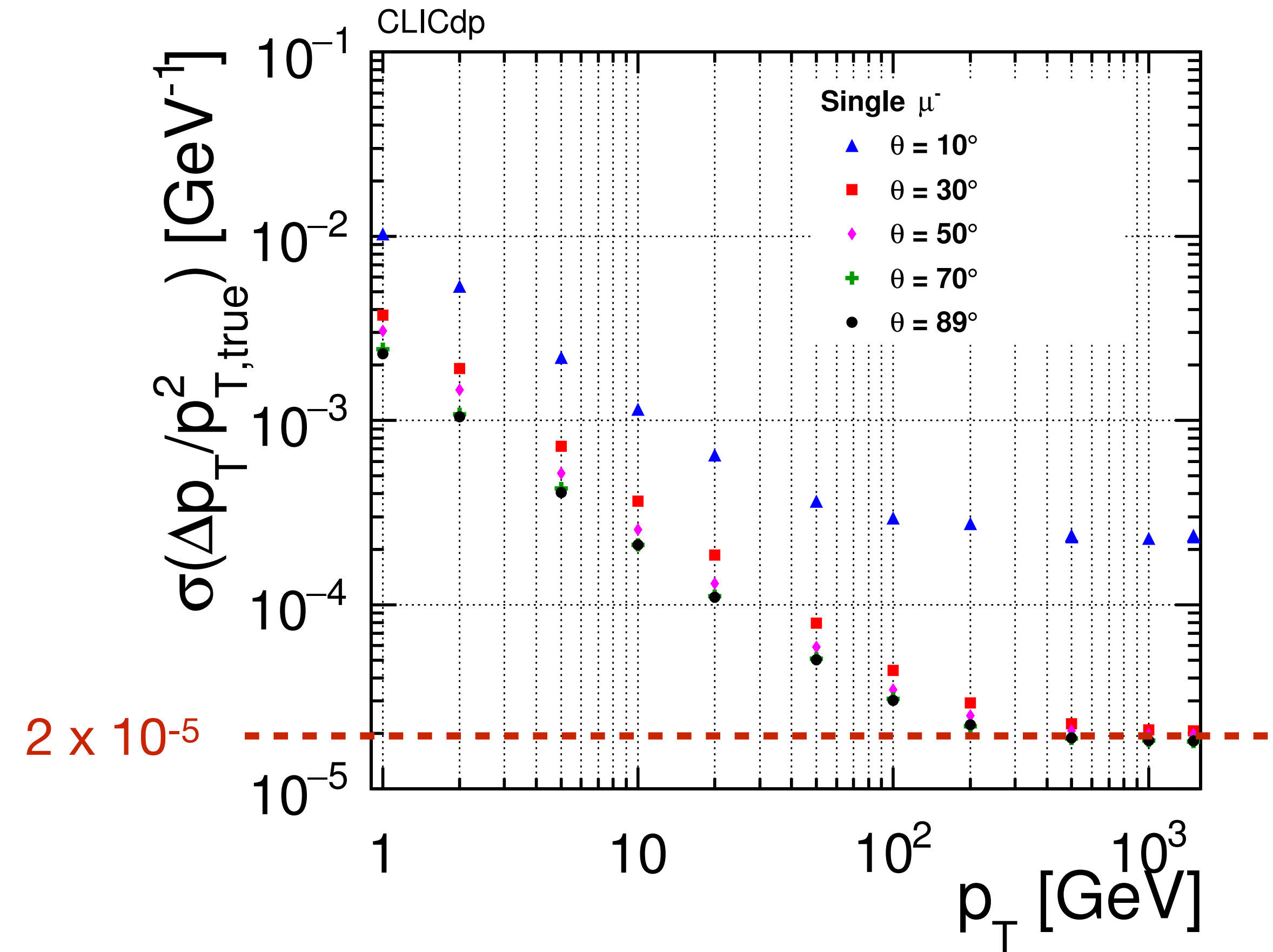
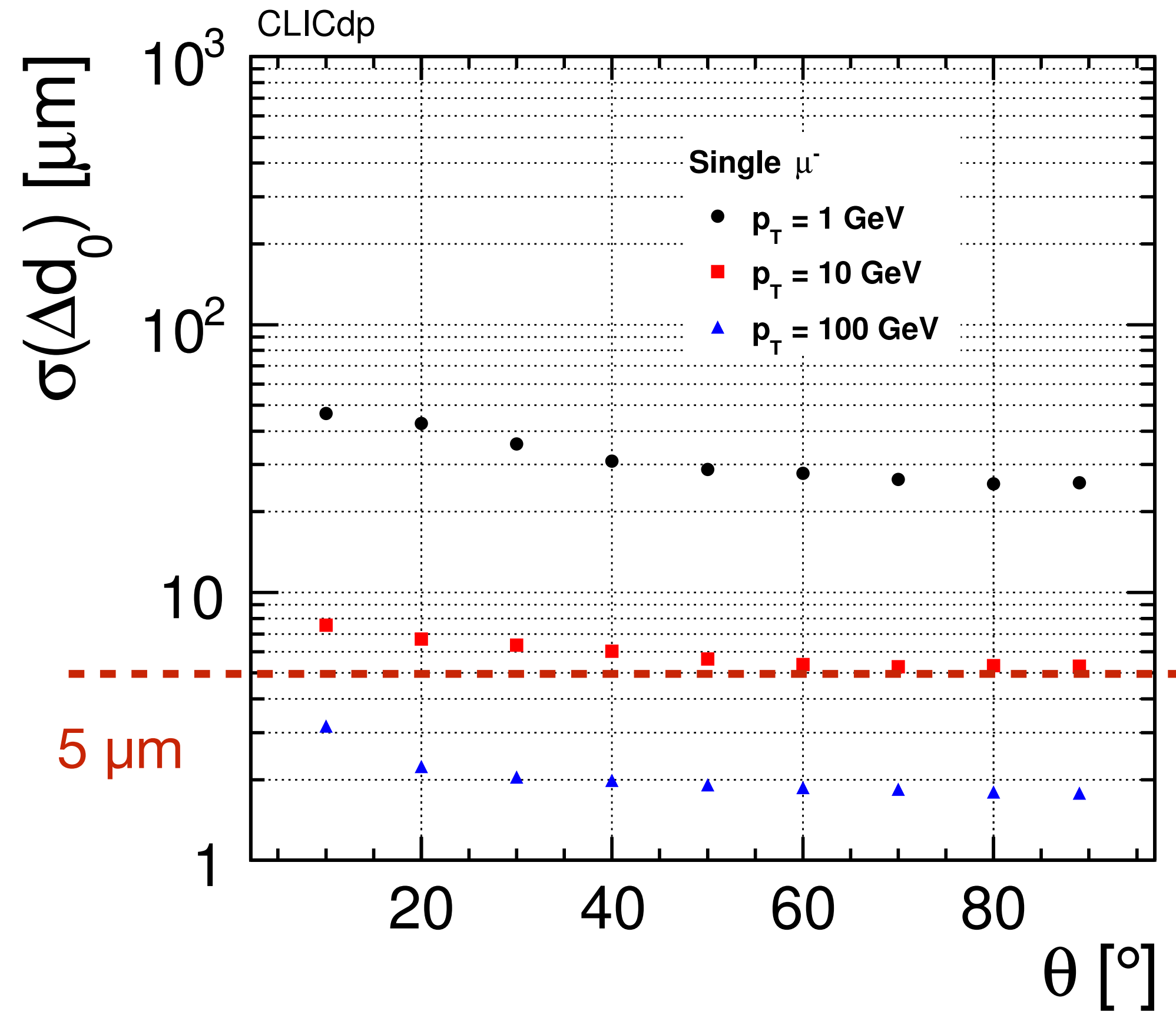
Adding (some) complexity



- For lower  $p_T$ , tracking remains efficient up to  $\sim 40 \text{ mm}$ : 2<sup>nd</sup> VTX double layer
- At high  $p_T$ , tracking remains efficient up to  $\sim 340 \text{ mm}$ : 2<sup>nd</sup> main tracker layer

# Momentum and Vertex Resolution for Isolated Muons

*Achieving physics-driven performance goals*

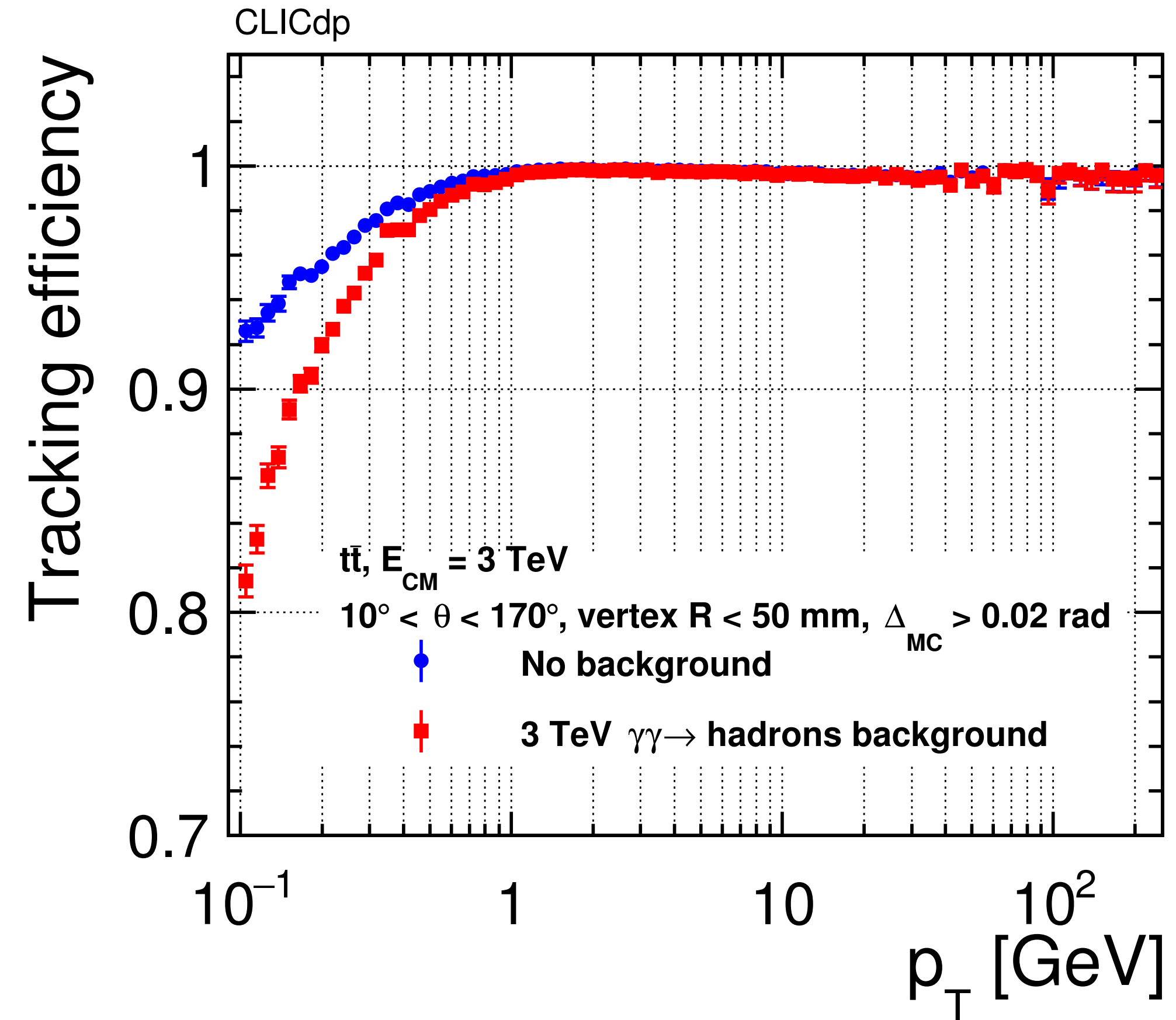
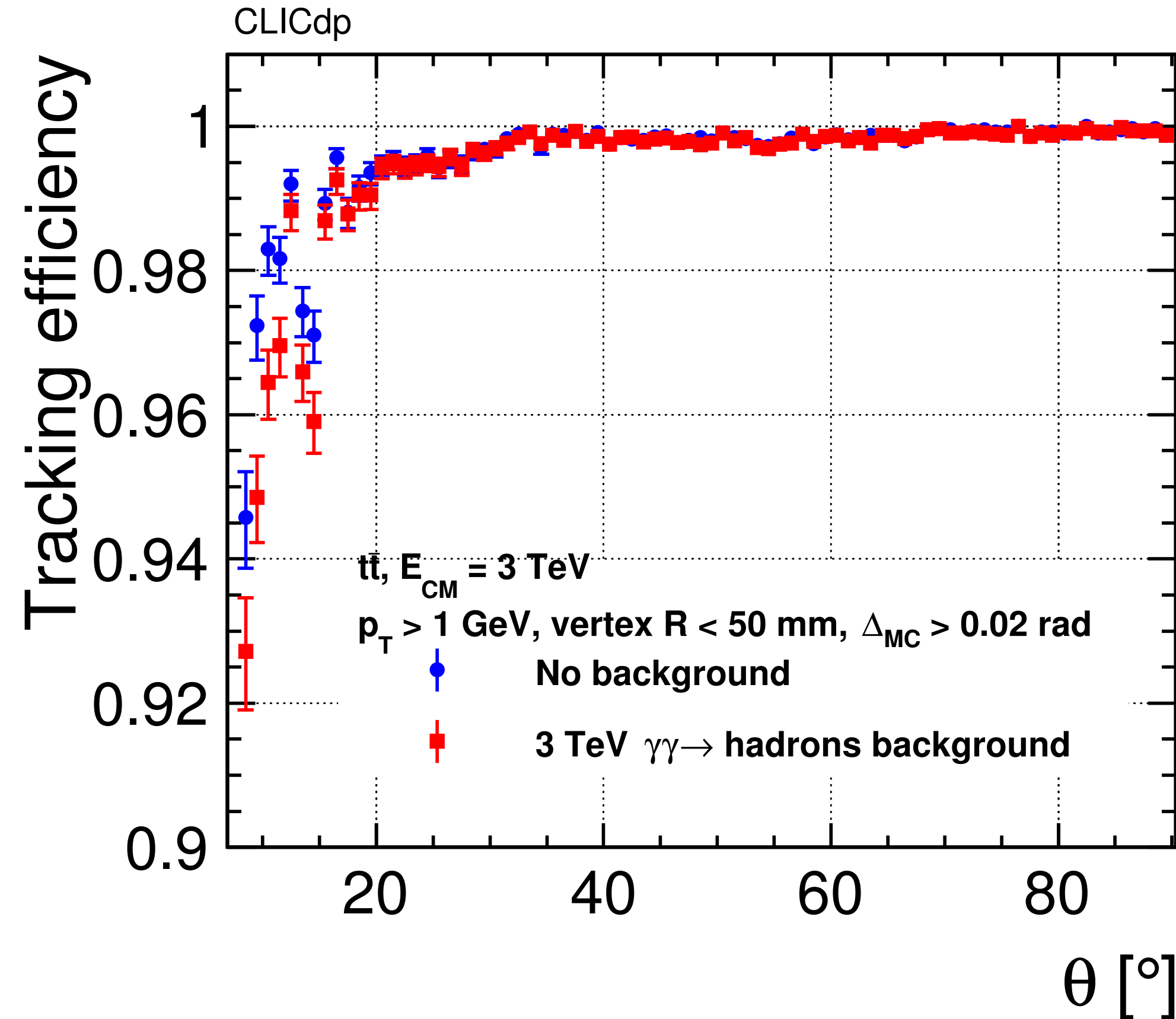


# Performance for ttbar Events at 3 TeV

*High degree of event complexity*



- Global Efficiency:



- High efficiency in barrel region and above 1 GeV  $p_T$ : plateau region insensitive to background
- Background impact mostly far forward and at low  $p_T$

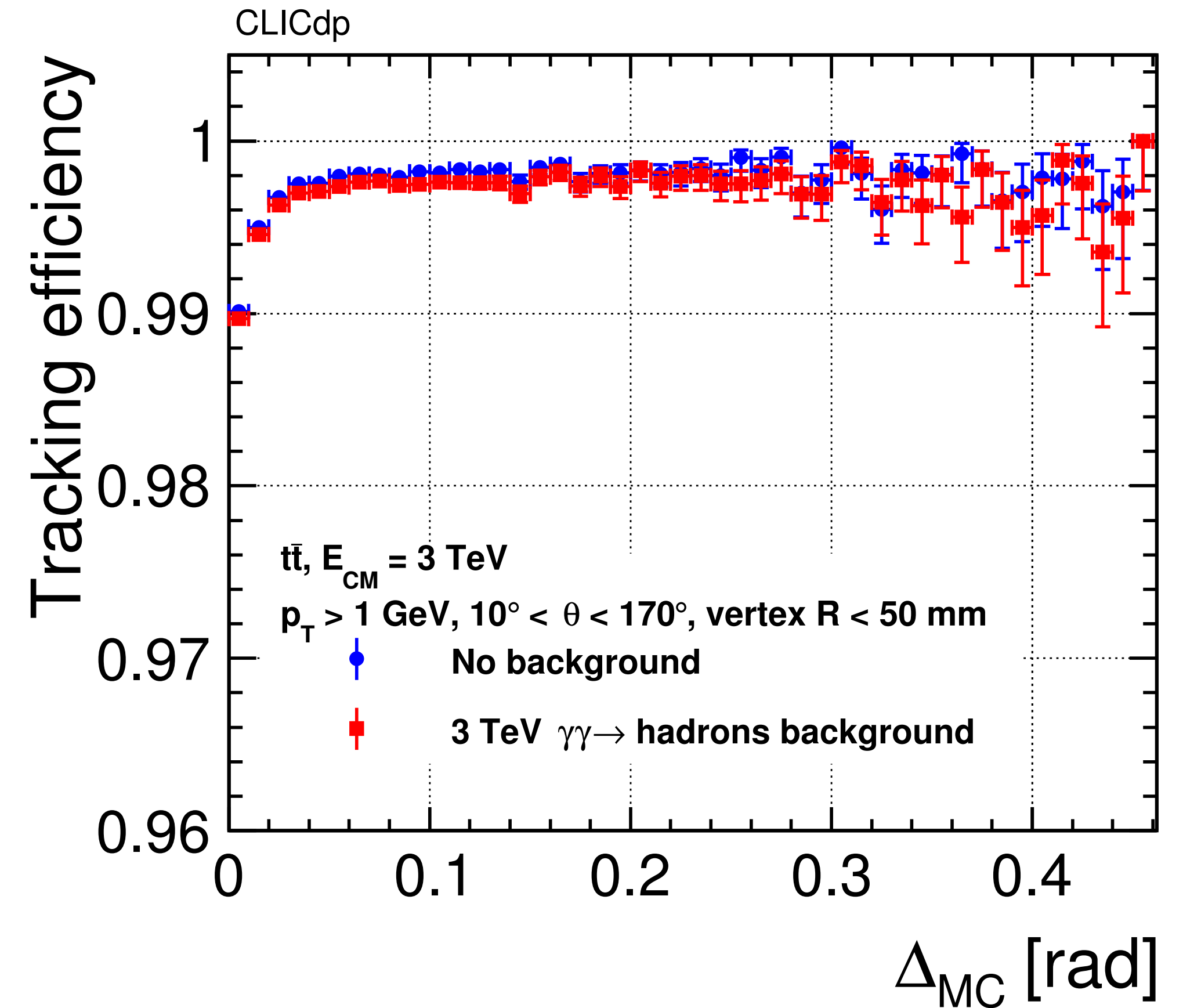
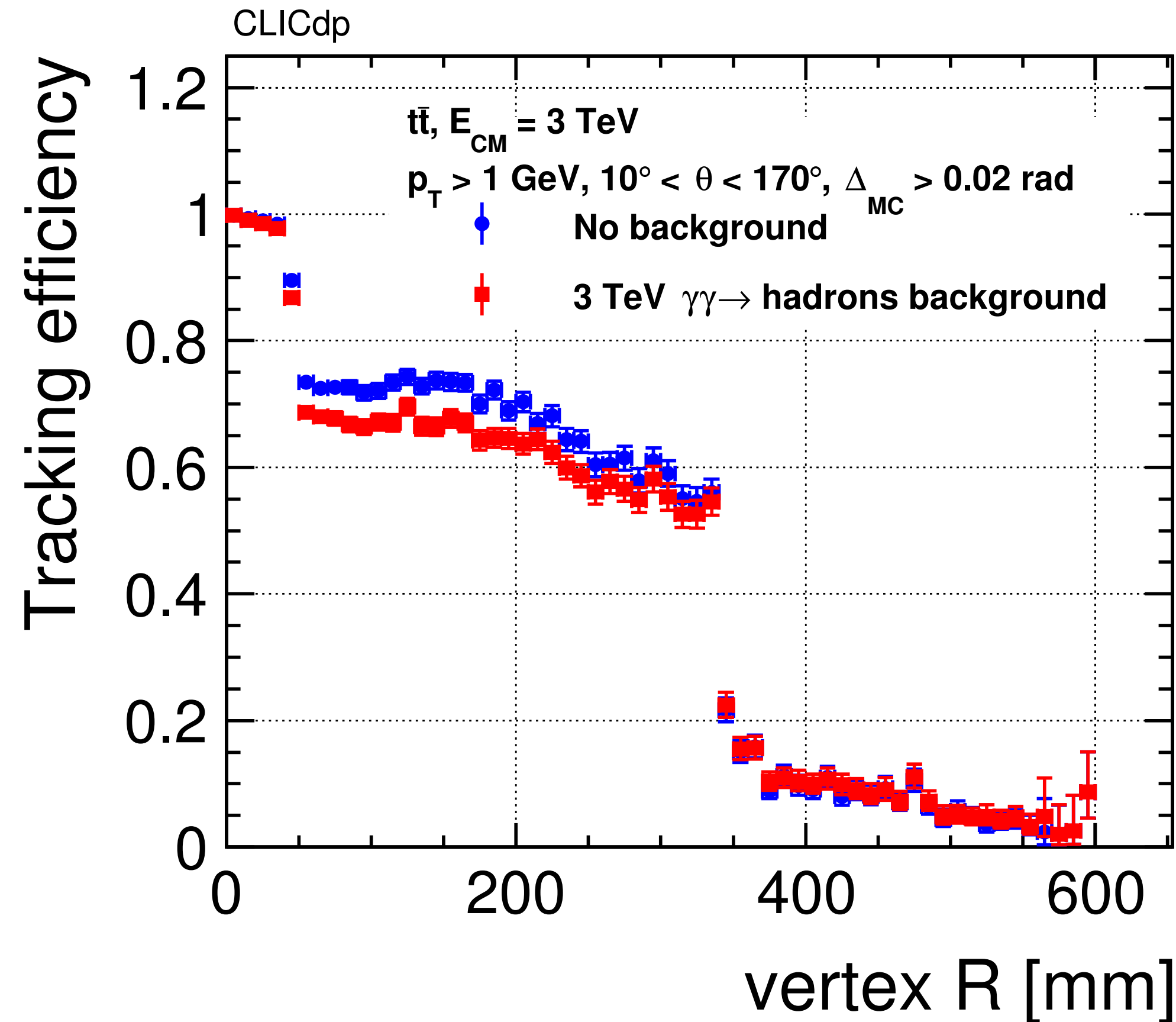


# Performance for ttbar Events at 3 TeV

*High degree of event complexity*



- Efficiency for displaced tracks and high density:



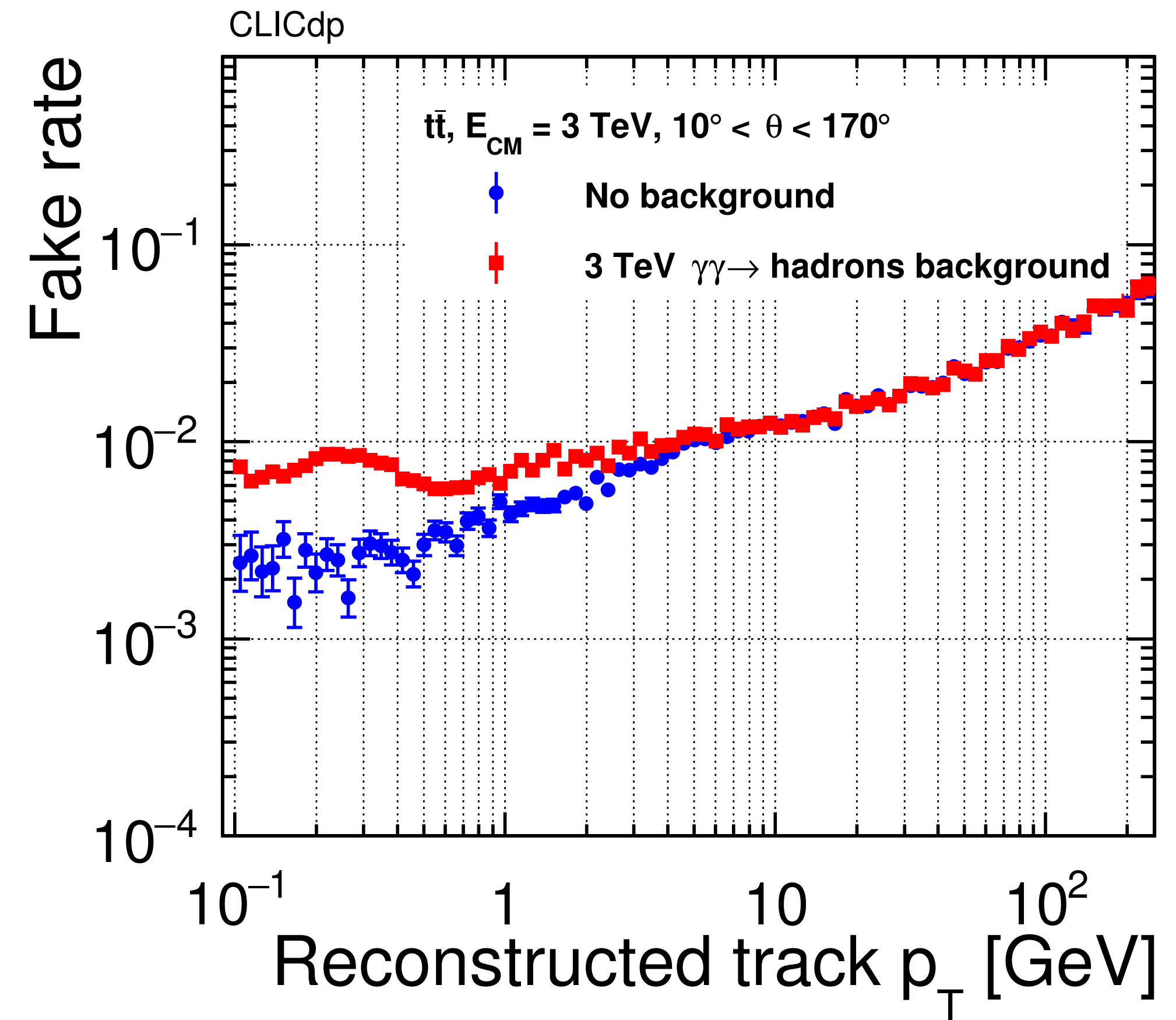
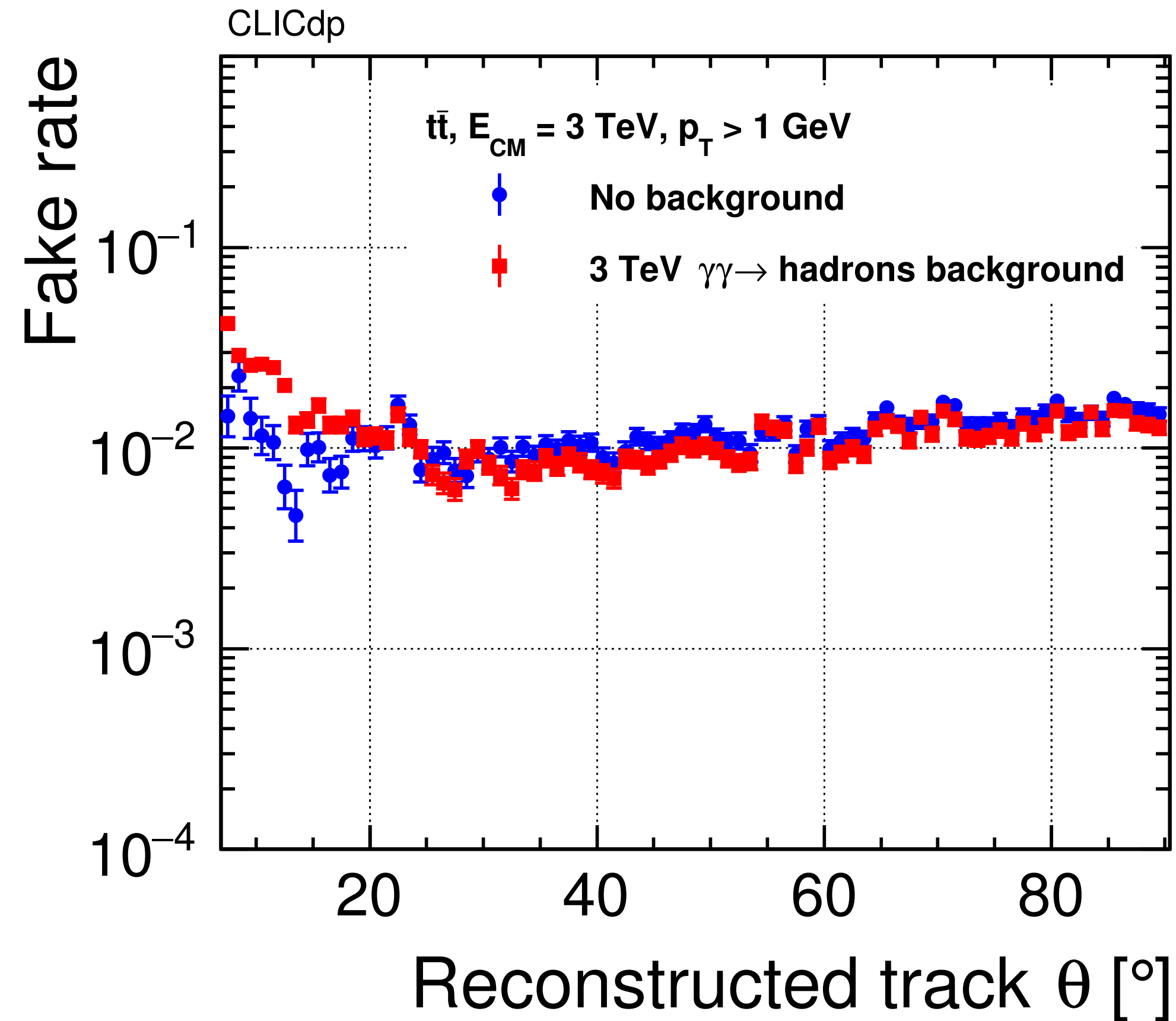
- Efficiency for vertices outside of VTX reduces - as seen for low energy muons
- Slight efficiency drop for very close-by tracks

# Performance for $t\bar{t}$ Events at 3 TeV

*High degree of event complexity*



- Fake rates



- Background results in an increase in fake rates at very forward angles and at low  $p_T$ , in line with observed efficiency drop in same regions

- Tracking at CLIC is an interesting challenge:
  - High precision required by ambitious physics goals
  - Significant beam-induced backgrounds and multi-TeV final states result in highly complex events
- The CLIC tracker is optimised for these conditions:  
Timing capabilities on the sub - 10 ns level, very low mass to limit scattering, enable resolution goals
- Low-mass trackers allow the use of novel tracking algorithms:  
Conformal mapping + cellular automaton + Kalman filter
  - Provides robust pattern recognition in complex events with background
  - Performs well for tracks from displaced vertices
  - High efficiency, low fake rates, meets CLIC requirements

⇒ Developed and tested for CLICdet, but inherently flexible and geometry-independent

*More details:* Paper recently submitted to NIM A: arXiv:1908.00256