

# A dual-readout crystal electromagnetic calorimeter for future $e^+e^-$ Higgs factories

Junjie Zhu

University of Michigan



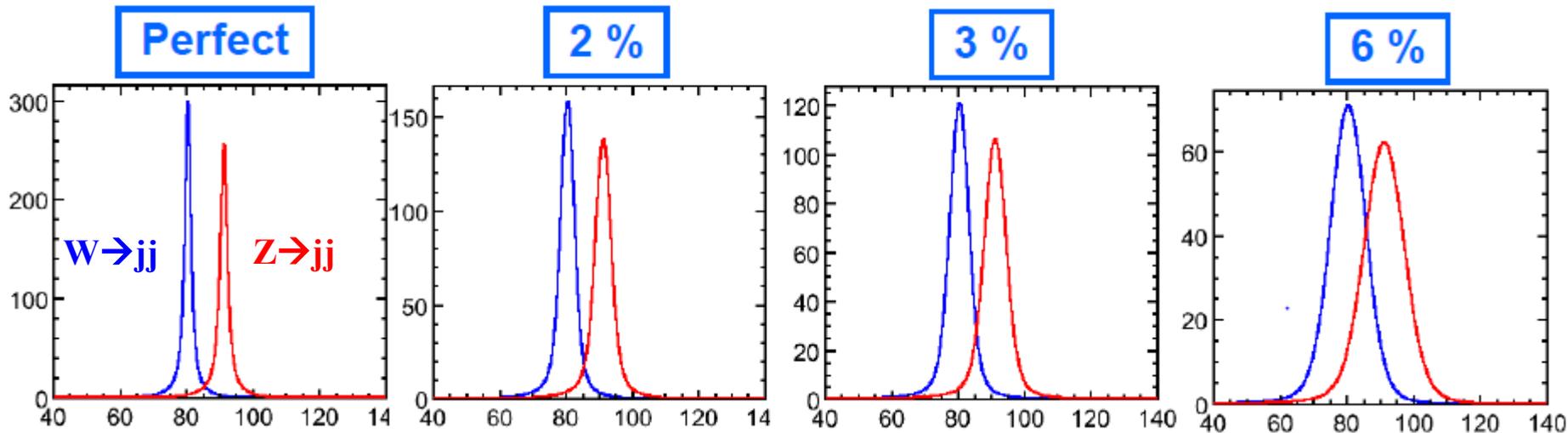
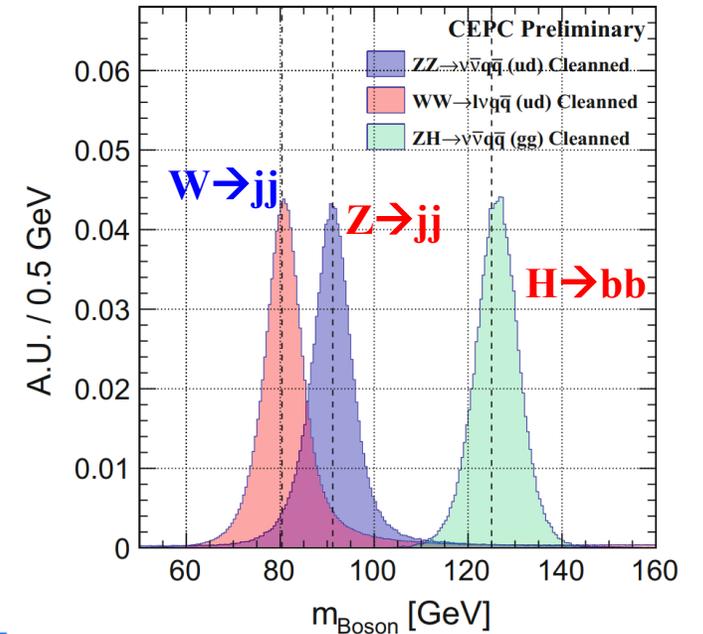
On behalf of the CALVISION team

S. Eno, N. Akchurin, A. Belloni, S. Chekanov, M. Demarteau, J. Freeman, P. Harris, R. Hirosky, J. Hirschauer, A. Jung, S. Kunori, S. Magill, H. Newman, J. Qian, C.G. Tully, H. Wenzel, B. Zhou, J. Zhu, R.-Y. Zhu

# Introduction

- Jet energy resolution is a key benchmark of the  $e^+e^-$  detector performance
- Important to build calorimeters that can achieve  $\Delta E/E \sim 3\text{-}4\%$  for jets at 100 GeV to separate hadronically-decayed W and Z bosons
- Very hard to achieve this with a traditional approach to calorimetry
  - Limited by a typical HCAL resolution of  $>50\%/\sqrt{E}$
- Two different but complementary approaches considered:
  - High granularity calorimeter
  - Dual Readout (DRO) calorimeter

EPJC (2018) 78:426

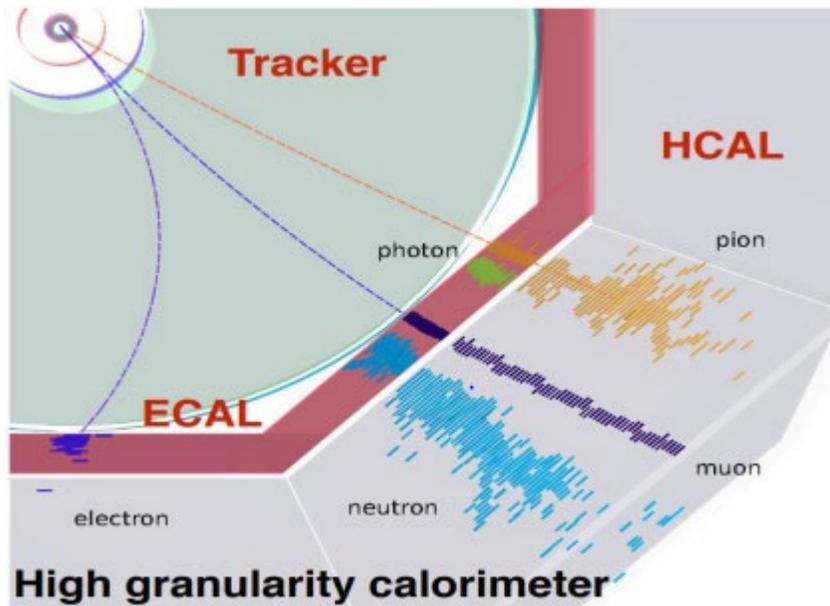


3-4% jet energy resolution gives decent W/Z separation  $\sim 2.5 \sigma$

# High Granularity Calorimetry

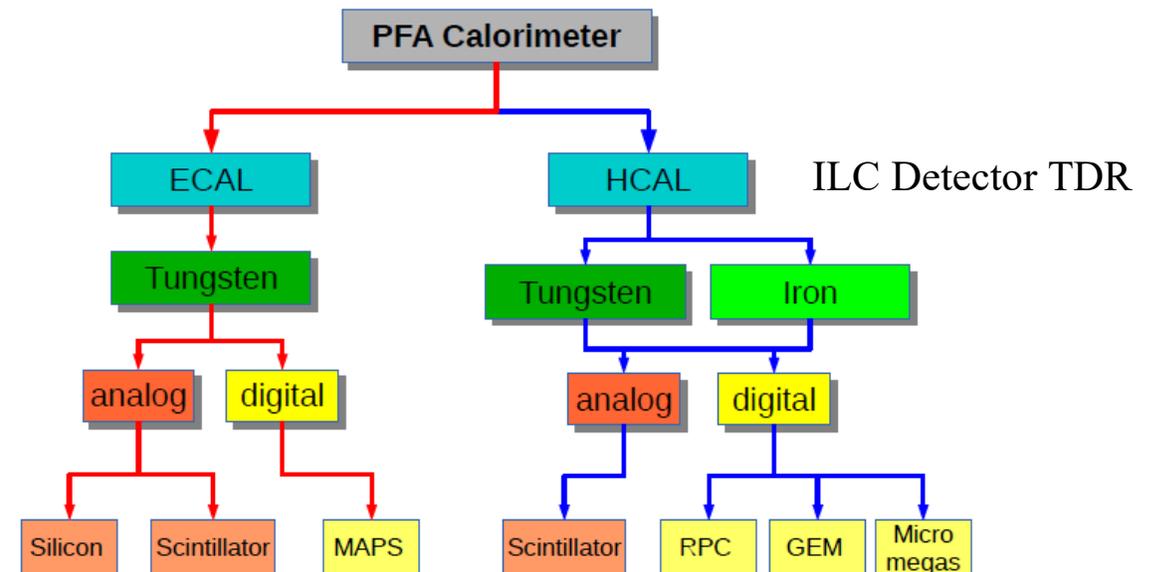
- Sampling calorimeter, reconstructing and identifying individual particles in showers, measuring the energy of each particle with the detector subsystem providing the best resolution for that particle type:

Particles	Energy fraction	Detector	Typical resolution
Charged particles	~65%	Tracker	$<5 \times 10^{-5} p_T$
Photons	~25%	ECAL	$\sim 15\%/\sqrt{E}$
Neutral hadrons	~10%	ECAL+HCAL	$\sim 60\%/\sqrt{E}$



Characteristics:

- High granularities  $\Rightarrow$  large channel counts;
- relatively small sampling fractions

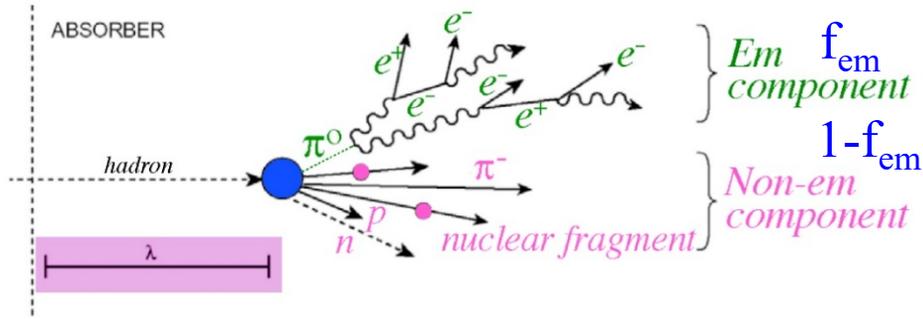


# Dual Readout Calorimetry

- Read out both scintillation and Cerenkov photons to disentangle EM and hadronic components event-by-event, allowing for the corrections for different EM and hadronic responses

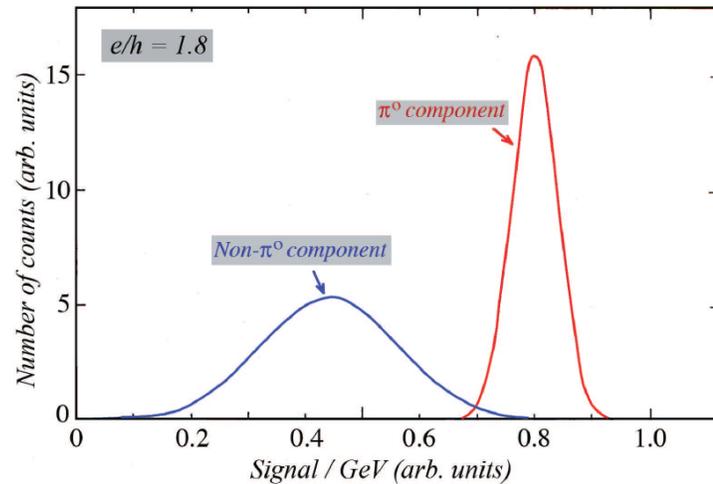
Scintillation – sensitive to  $dE/dX$  energy loss  $\Rightarrow$  charged particles

Cerenkov – relativistic charged particles, mostly electrons



$$S = E \left[ f_{em} + \left(\frac{h}{e}\right)_S (1 - f_{em}) \right]$$

$$C = E \left[ f_{em} + \left(\frac{h}{e}\right)_C (1 - f_{em}) \right]$$



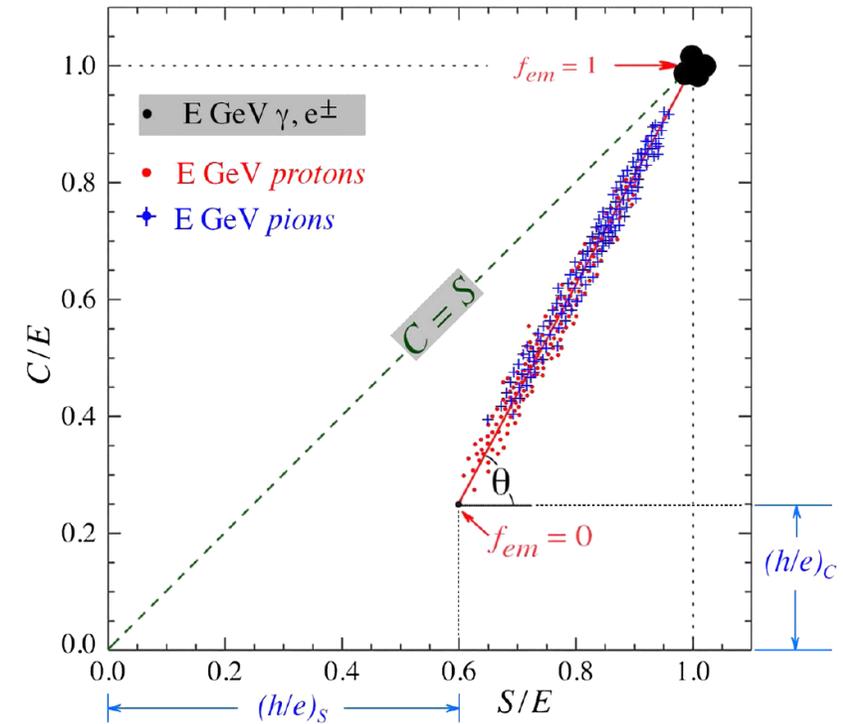
$$\Downarrow$$

$$\frac{S}{E} = \left(\frac{h}{e}\right)_S + f_{em} \left[ 1 - \left(\frac{h}{e}\right)_S \right]$$

$$\frac{C}{E} = \left(\frac{h}{e}\right)_C + f_{em} \left[ 1 - \left(\frac{h}{e}\right)_C \right]$$

$$E = \frac{S - \chi C}{1 - \chi}$$

$$\chi = \frac{1 - \left(\frac{h}{e}\right)_S}{1 - \left(\frac{h}{e}\right)_C} = \cot \theta$$



DRO calorimetry relies on the fact that  $e/h$  is different For Cerenkov and Scintillation readout

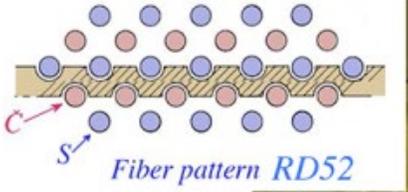
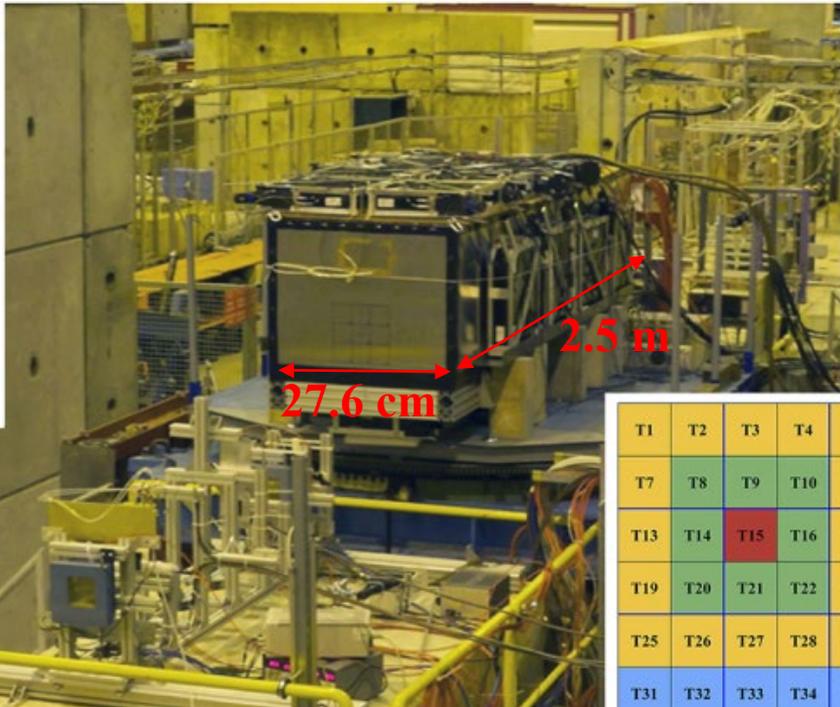
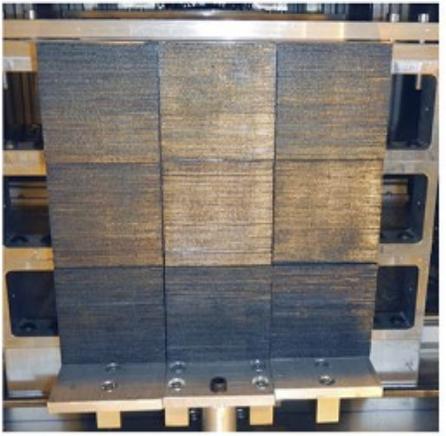
$\chi$  is independent of the incident hadron's energy

$f_{em}$  fluctuations dominate the hadronic energy resolution

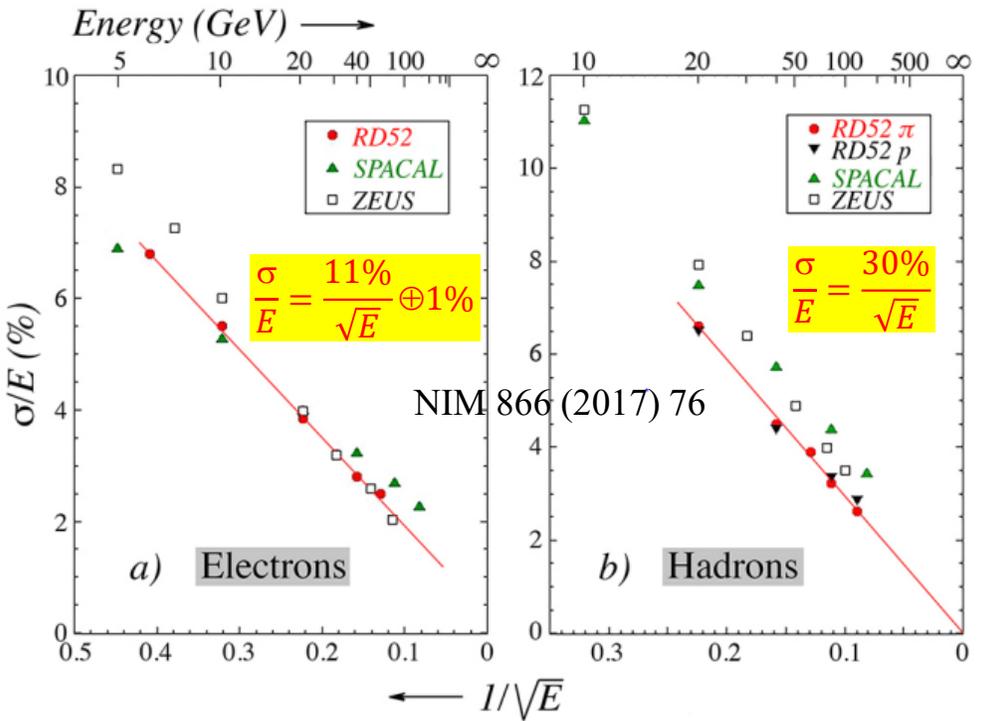
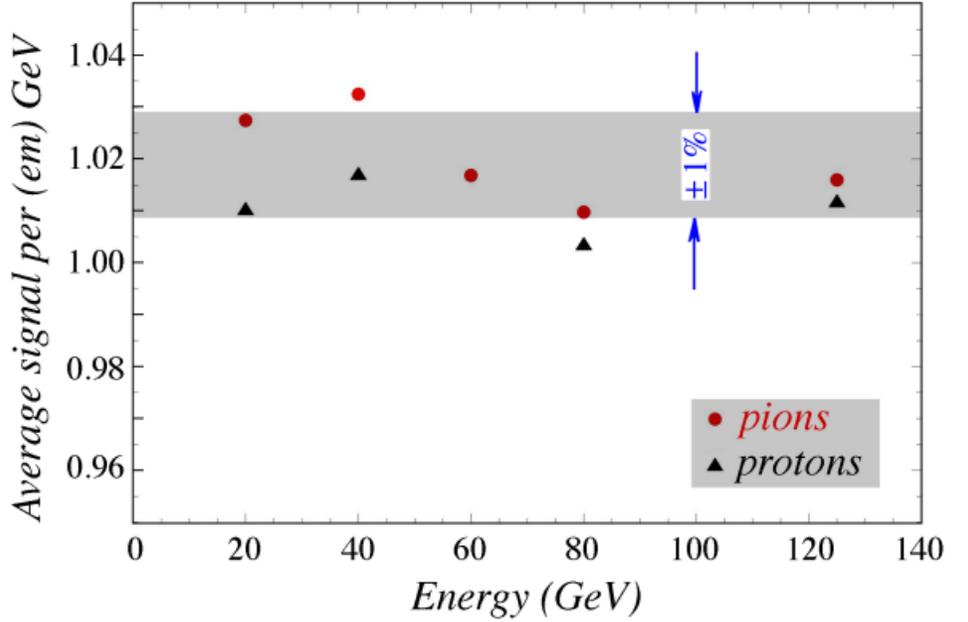
# Dual Readout Calorimetry

- Extensive R&D by the DREAM/RD52/IDEA collaborations (Rev. Mod. Phys. Vol 90, April 2018):  
 Sampling calorimeter with lead or copper absorber  
 Clear and scintillation fibers for C/S readout.

**Lead absorber, 9 modules with ~36k fibers**

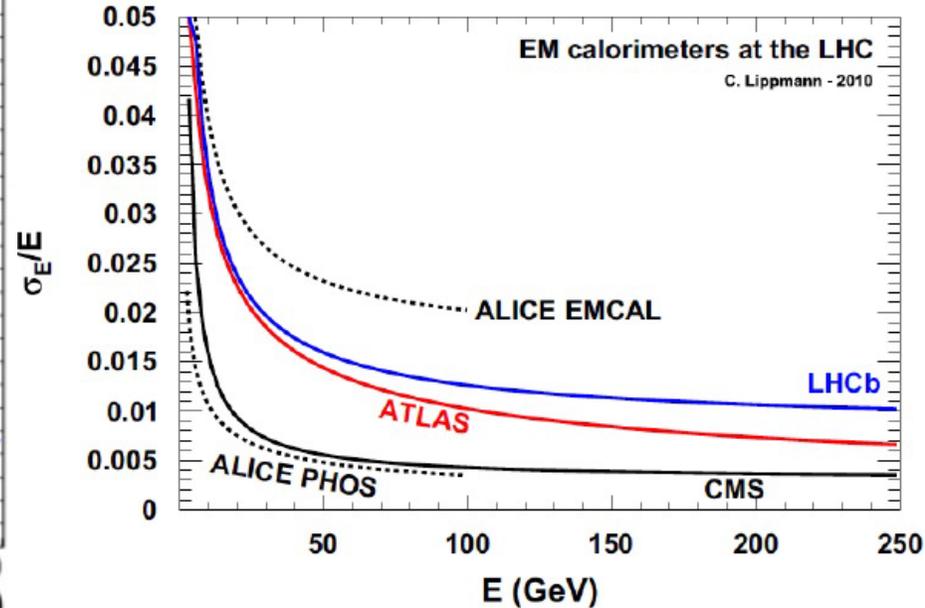
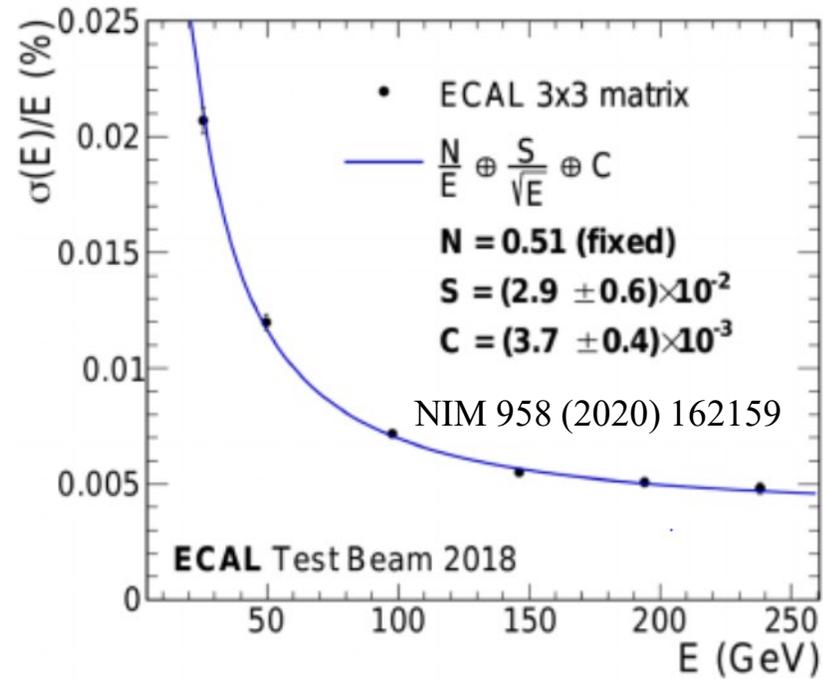
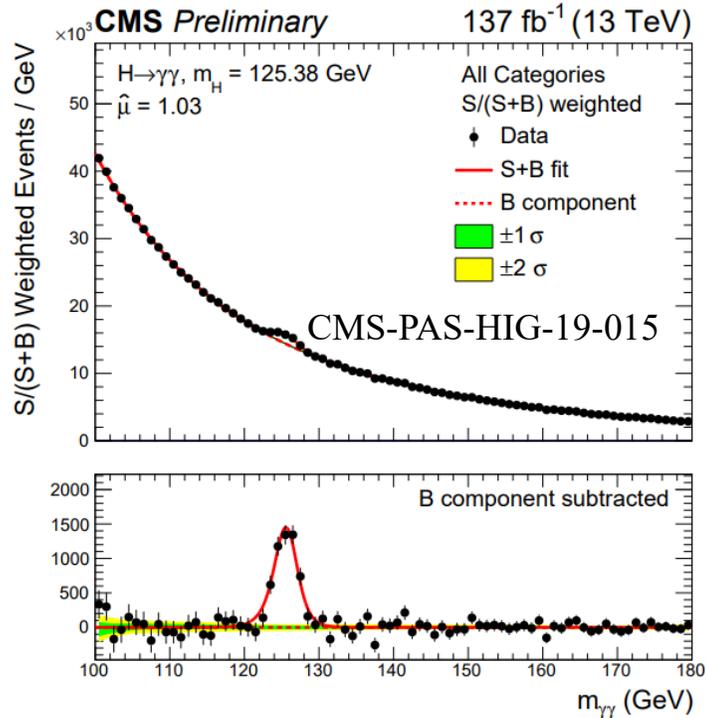


T1	T2	T3	T4	T5	T6
T7	T8	T9	T10	T11	T12
T13	T14	T15	T16	T17	T18
T19	T20	T21	T22	T23	T24
T25	T26	T27	T28	T29	T30
T31	T32	T33	T34	T35	T36
Ring 1	Ring 2	Ring 3			



# Crystal Calorimeters

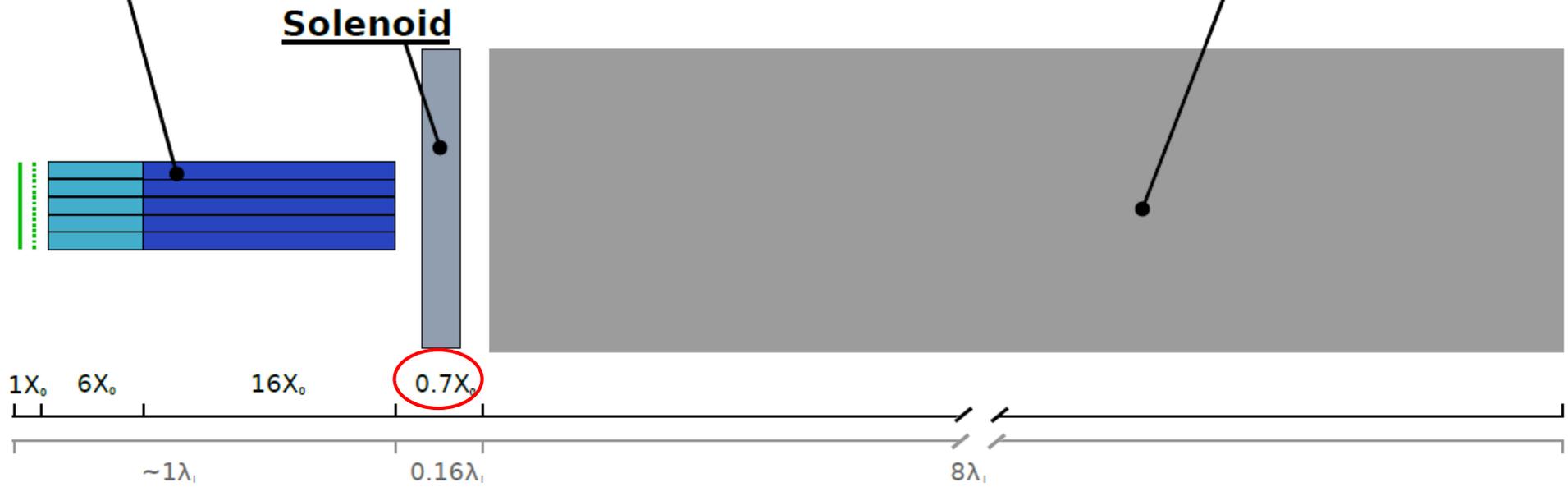
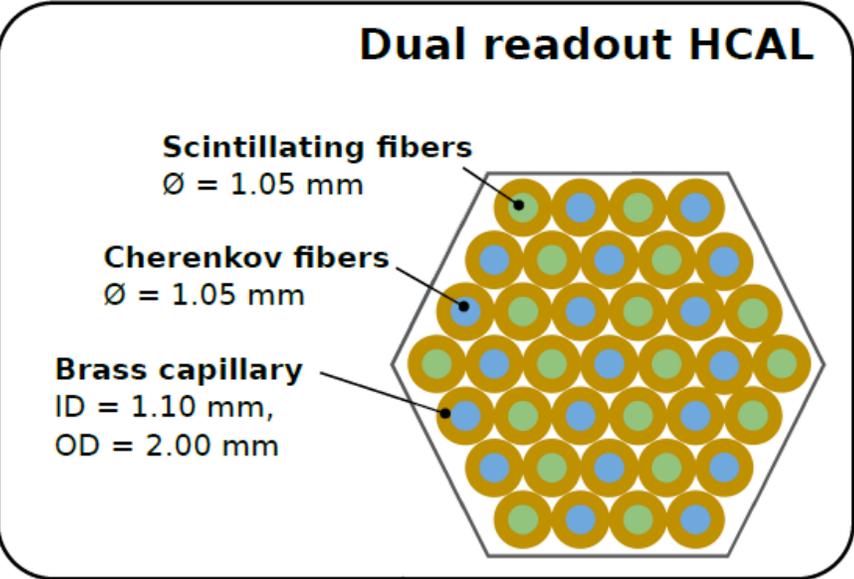
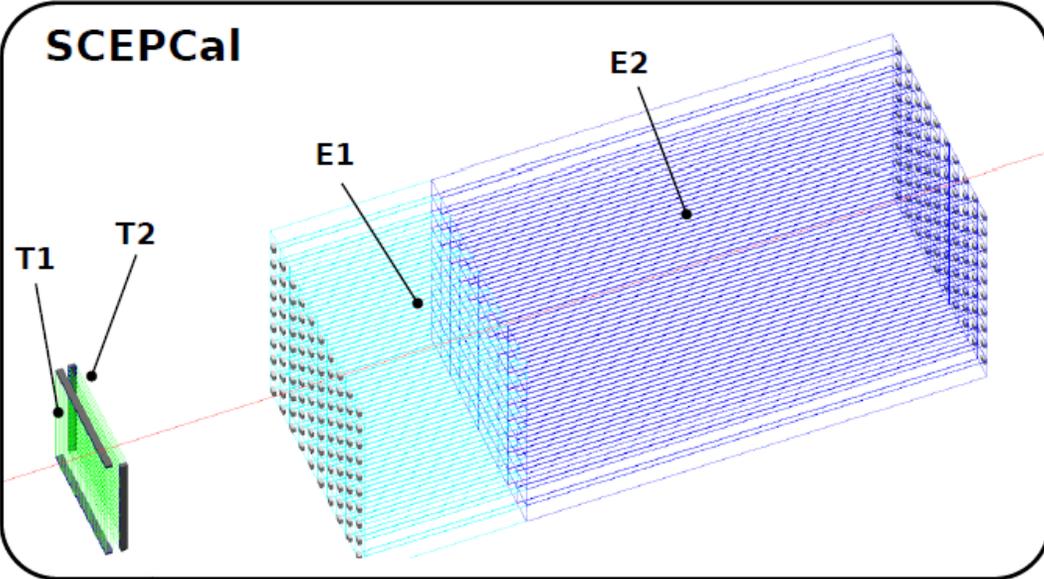
- Crystal calorimeters are homogeneous detector, have excellent EM energy resolutions,  $\sim 3\%/\sqrt{E}$  or better



- Traditional crystal calorimeters suffer from large non-uniform e/h responses
- Can we combine the strengths of a crystal ECAL with that of a DRO calorimeter?
- Can a DRO crystal ECAL be combined with a DRO HCAL to have excellent energy resolution for both EM particles and hadrons?

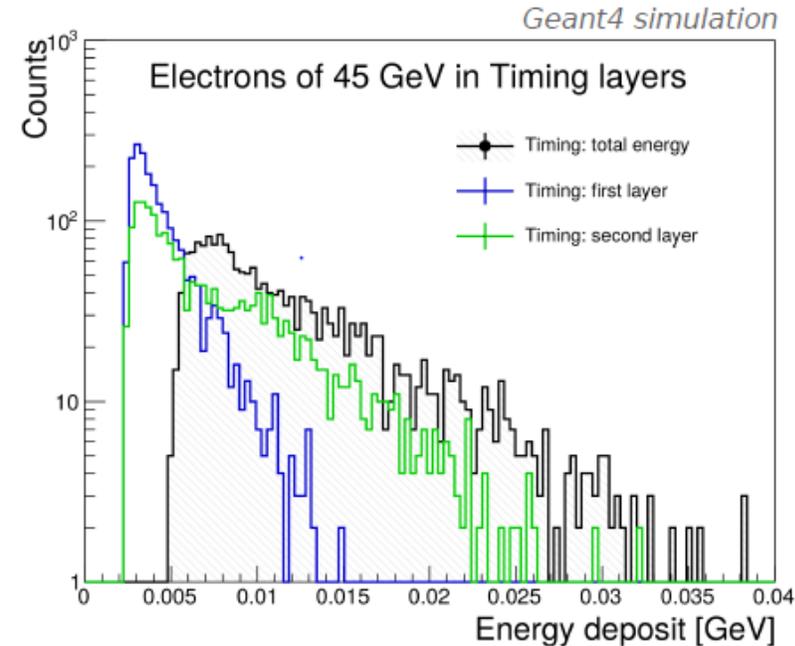
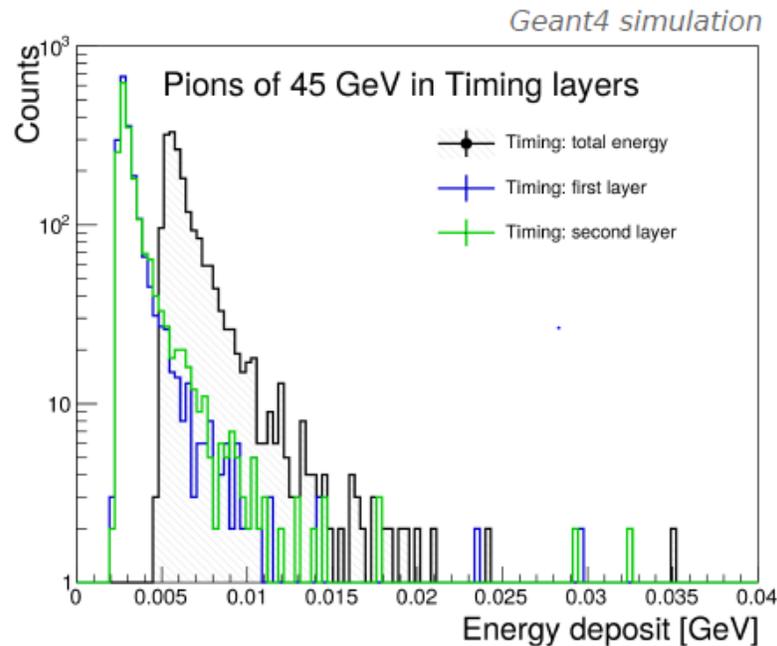
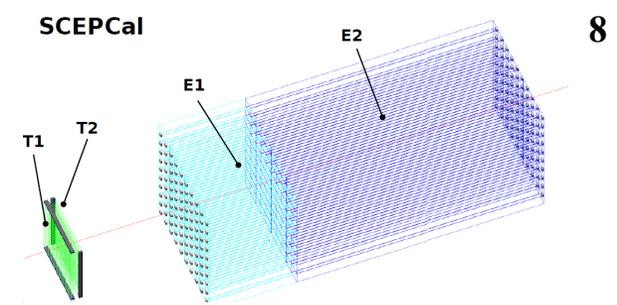
# A Segmented DRO Crystal ECAL with a DRO Fiber HCAL

arXiv:2008.00338



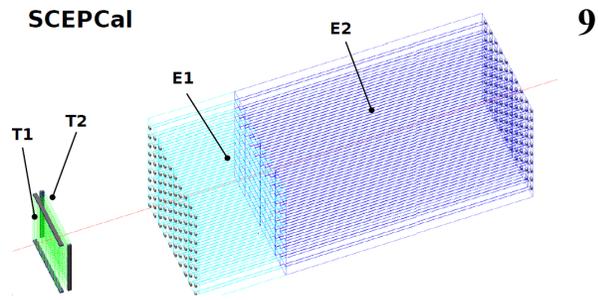
# Timing Layers (Optional)

- Two timing layers ( $\sigma_t \sim 20$  ps)
- Similar timing performance as the CMS barrel MIP Timing detector
- LYSO:Ce scintillating crystals ( $\sim 0.8 X_0$ )
- $3 \times 3 \times 100$  mm<sup>3</sup> thin crystal bar
- $3 \times 3$  mm<sup>2</sup> SiPM (15-20  $\mu$ m cell size)
- Two layers are orthogonal to each other  $\rightarrow$  position resolution  $\sim 1$  mm in x-y directions
- Excellent timing resolution will be useful for searches of long-lived particles, and for providing new possibilities for identifications of charged hadrons through TOF

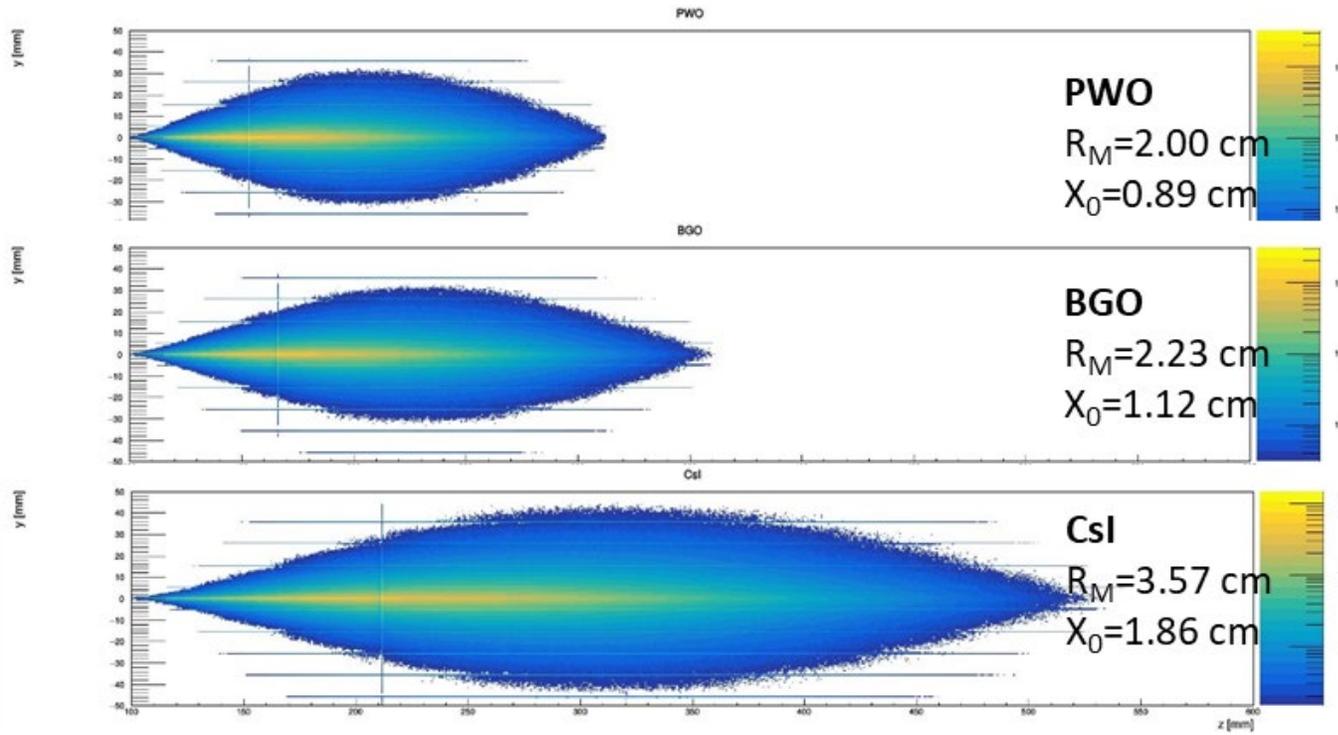


# Segmented ECAL

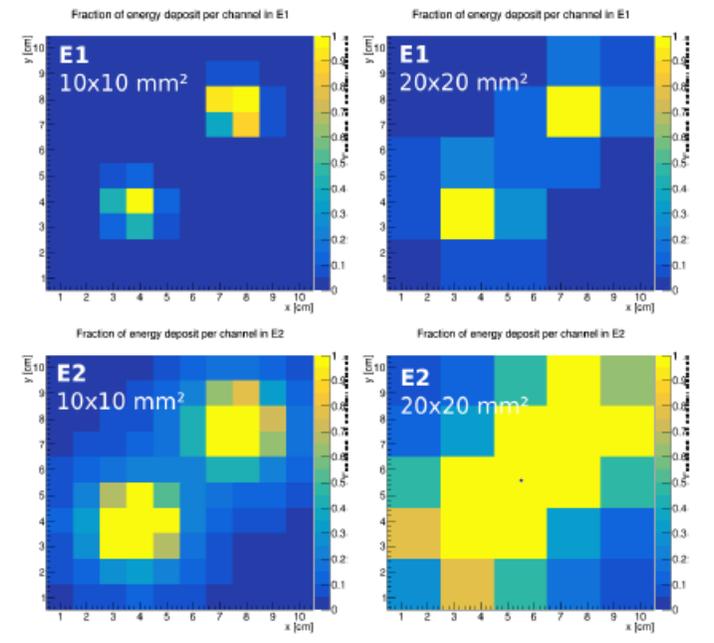
- Two layers with  $\text{PbWO}_4$  crystals (high density, short radiation length, small Moliere radius, fast signal, reasonable C/S ratio ( $\sim 30\%$ ), cost effective, relatively low light yield)
- BGO and BSO are also good candidates
- Crystal cross-section:  $10 \times 10 \text{ mm}^2$



Crystal	Density $\text{g/cm}^2$	$X_0$ cm	$\lambda_1$ cm	$R_M$ cm	Relative Yield	Decay time ns	Refractive index
$\text{PbWO}_4$	8.3	0.89	20.9	2.00	1.0	10	2.20
BGO	7.1	1.12	22.7	2.23	70	300	2.15
BSO	6.8	1.15	23.4	2.33	14	100	2.15
CsI	4.5	1.86	39.3	3.57	550	1220	1.94



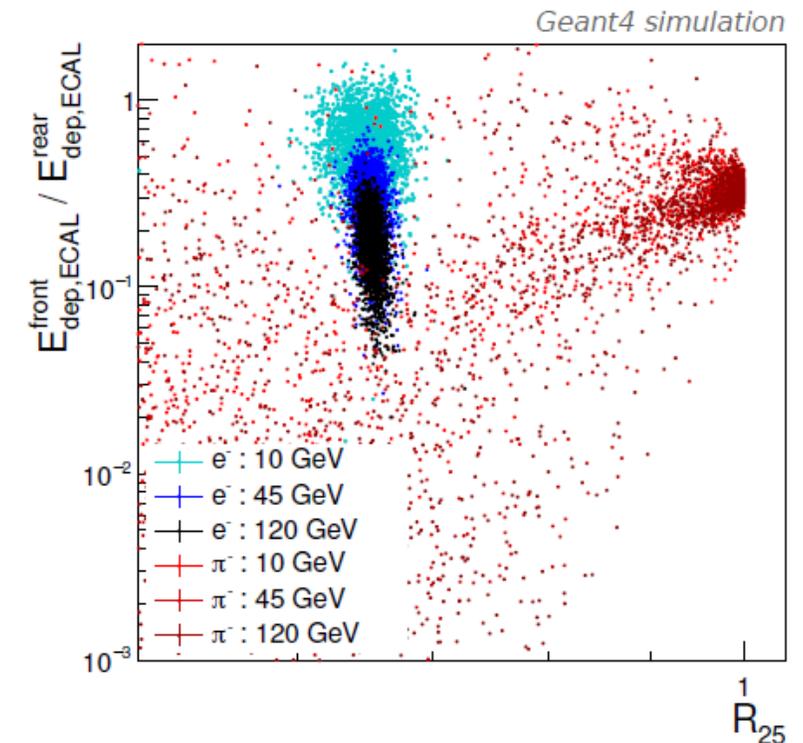
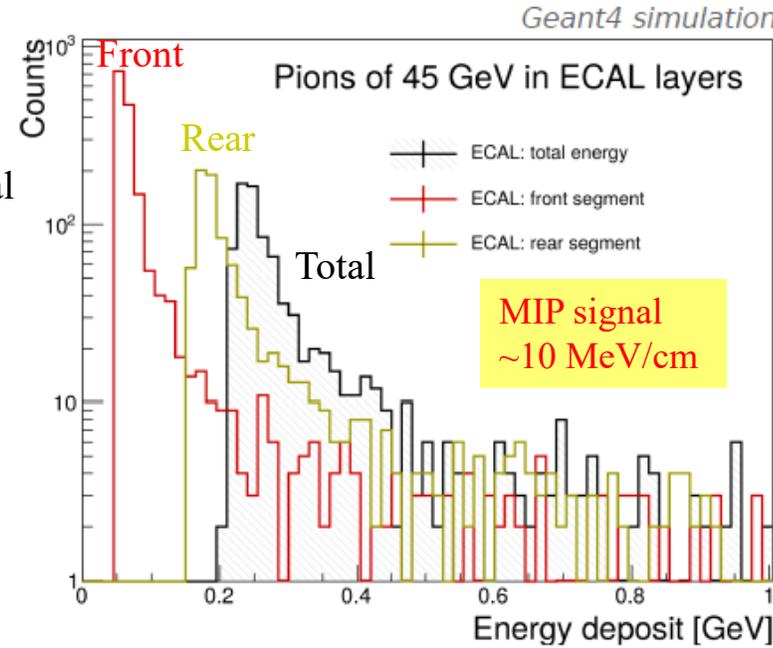
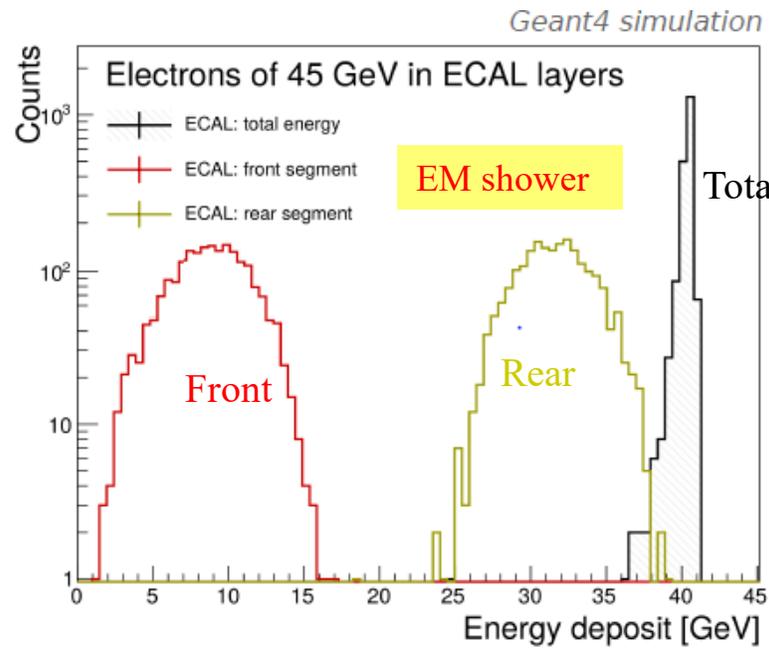
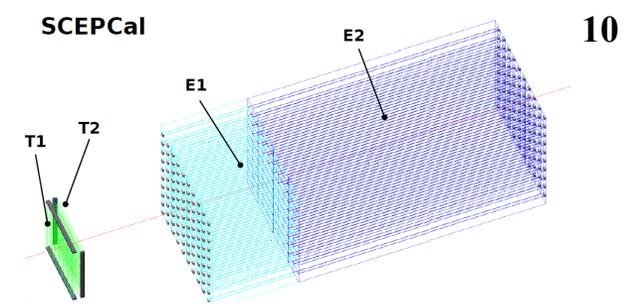
Longitudinal profile



Reconstruction of two photons emitted with an angle of 3 degrees

# Segmented ECAL

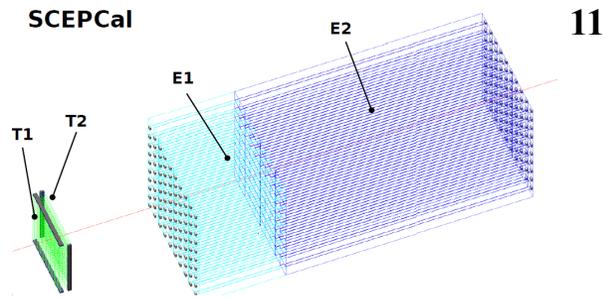
- Two segmented layers:
  - Front segment ( $\sim 6 X_0$ ,  $\sim 50$  mm)
  - Rear segment ( $\sim 16 X_0$ ,  $\sim 140$  mm)
- The longitudinal segmentation will be useful for the separation of electrons and pions



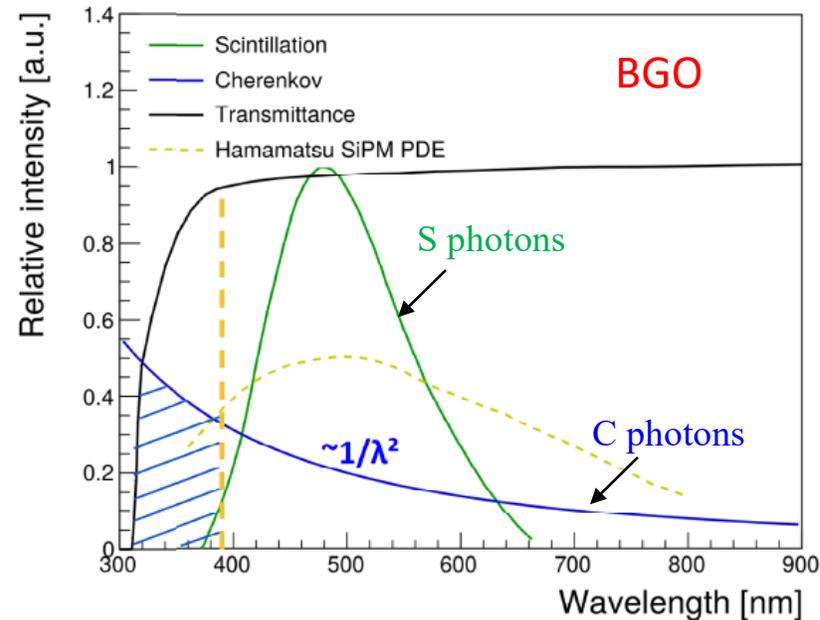
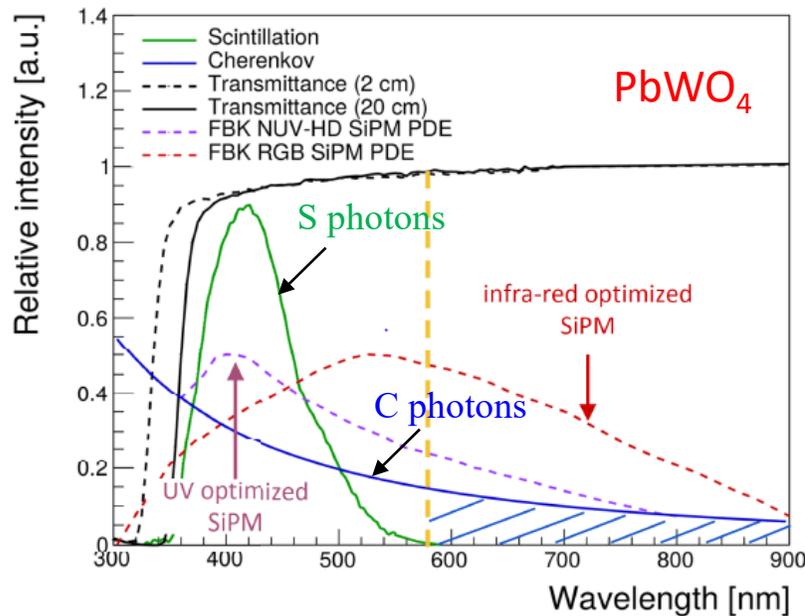
Ratio of energy deposited in front and rear segments vs Ratio of energy deposited in the central crystal and the total energy deposited in a  $5 \times 5$  crystal matrix

# Segmented ECAL

- 5×5 mm<sup>2</sup> SiPM (10-15 μm cell size)
  - Rely on optical filters to separate S and C
- 3 SiPMs (one on entrance, two on exit)
  - Front: optimized for scintillation light
  - Rear: two SiPMs optimized for scintillation and Cherenkov light



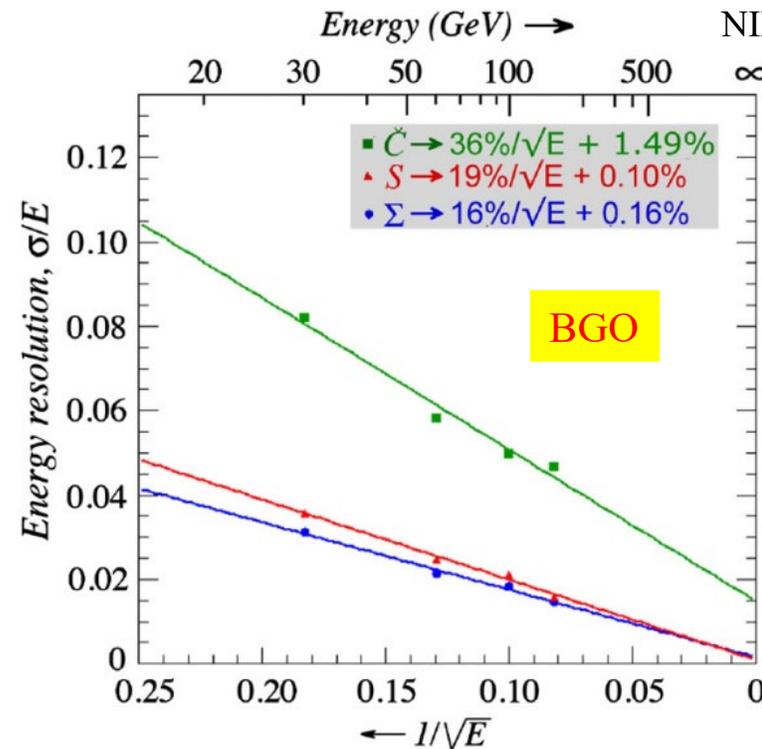
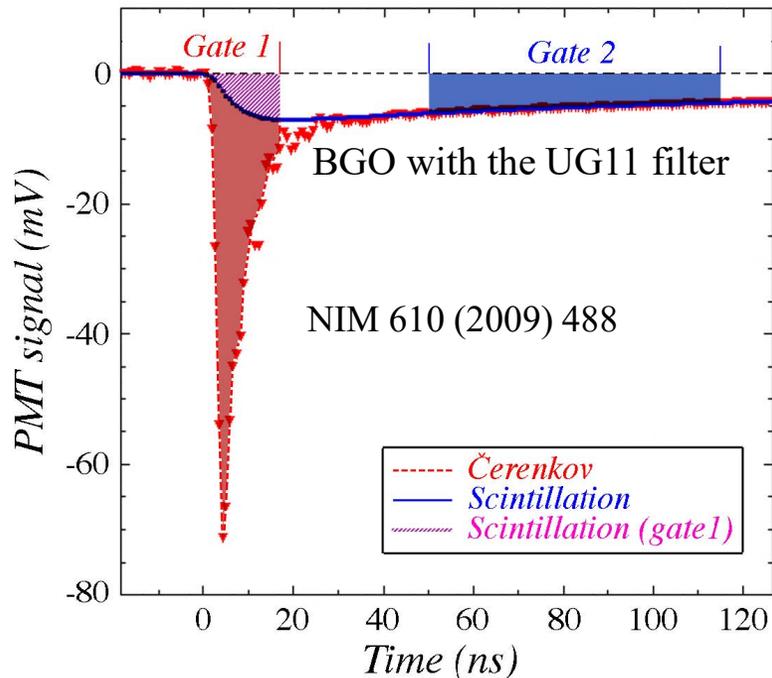
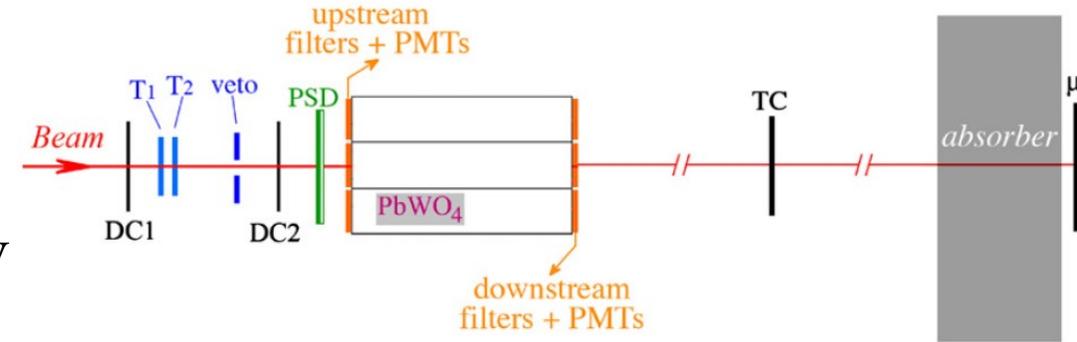
	Scintillation [photons/GeV]	$f_S$ [%]	Cherenkov [photons/GeV]	$f_C$ [%]
Generated	200000	100	56000	100
Collected	10000	5.0	2130	3.8
Detected by NUV SiPM #1 ( $\lambda < 550$ nm)	2000	1.0	140	0.25
Detected by RGB SiPM #2 ( $\lambda > 550$ nm)	< 20	< 0.01	160	0.3



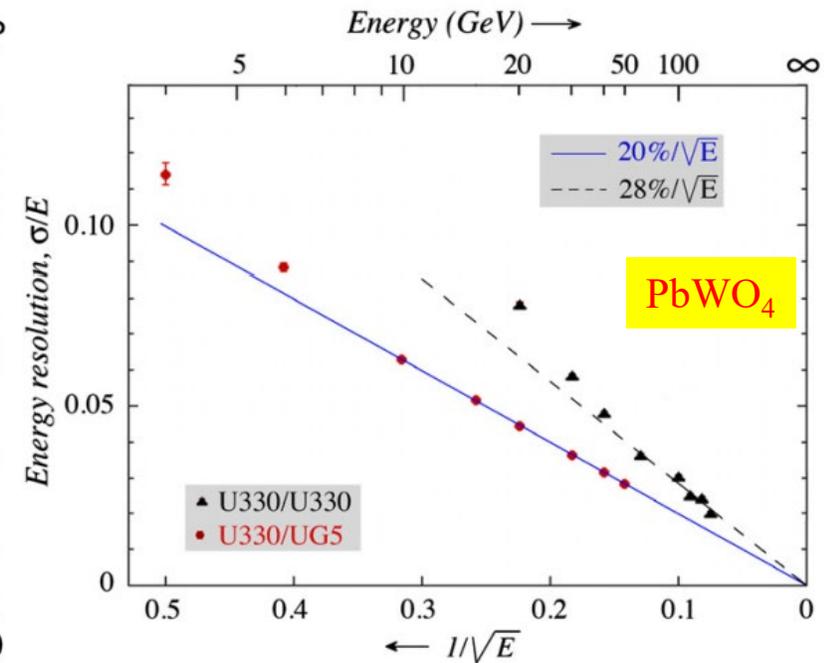
Light yield: ~200 S and ~56 C photons/MeV  
 Local collection eff: ~5% assumed  
 Photon detection eff: ~20% assumed

# Previous DREAM/RD52 Work on the DRO Crystal Calorimeter

- DREAM/RD52 has investigated DRO of crystals with **PMTs** using **optical filters** and **timing** to separate C and S signals (NIM 686 (2012) 125)
  - BGO: GG495 filter ( $\lambda > 495$  nm) for S photons, UG11 UV filter ( $\lambda < 400$  nm) for C photons
  - PbWO<sub>4</sub> (w/ or w/o Mo-doped): U330 ( $\lambda < 410$  nm) or UG5 ( $\lambda < 400$  nm)

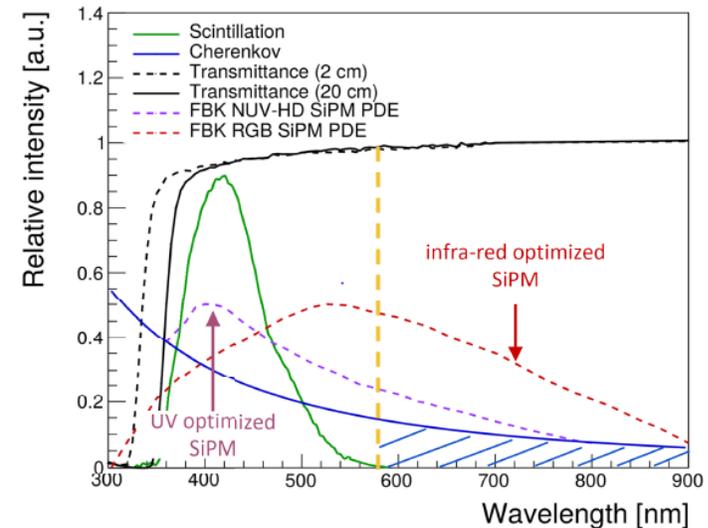
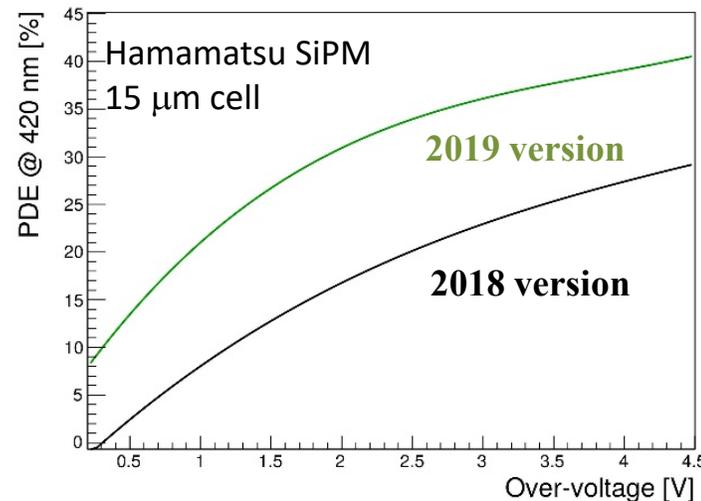


NIM 686 (2012) 125



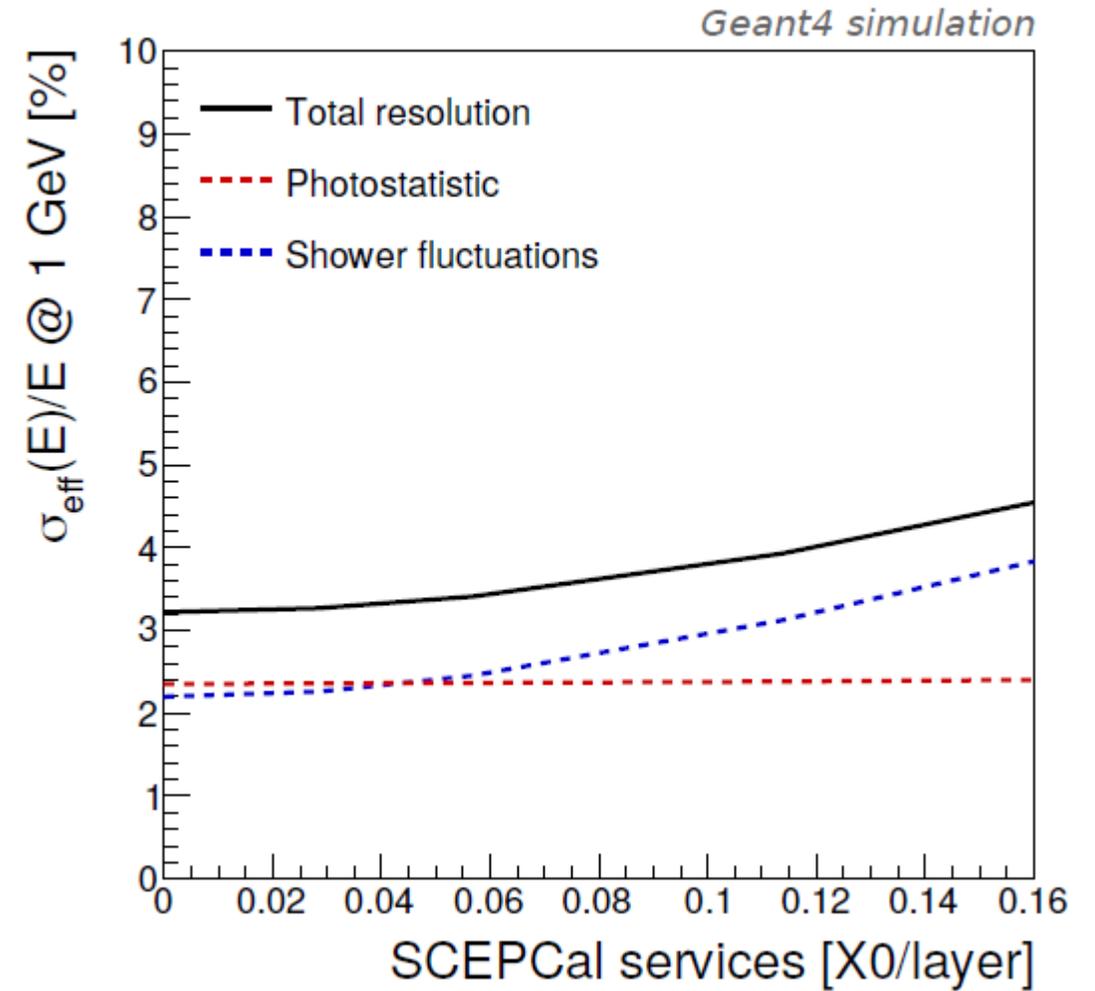
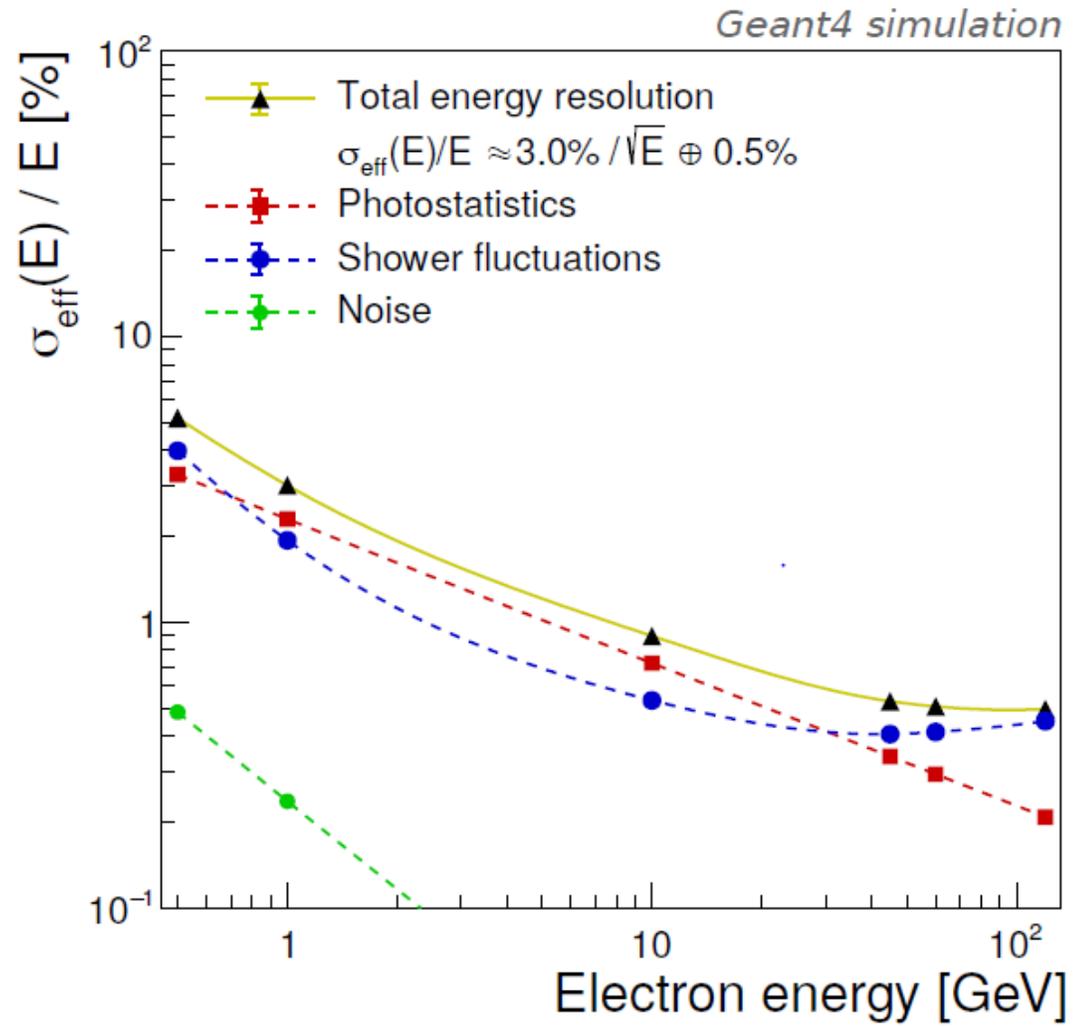
# Previous DREAM/RD52 Work on the DRO Crystal Calorimeter

- A proof of principle for a DRO crystal calorimeter:
  - Worse electron energy resolution ( $15\text{-}30\%/\sqrt{E}$ ) compared to that provided by normal crystal calorimeters ( $3\%/\sqrt{E}$ )
  - Resolution dominated by limited statistics for # of photons detected (only a small fraction of C and S photons are selected)
- Not pursued further by the DREAM/RD52 collaboration for various reasons:
  - Cost with PMT readout (SiPMs were not well developed at the time they performed these studies)
  - Limited wavelength sensitivity for PMT, did not go much below or above the scintillation region
  - DRO fiber calorimeter achieved a respectable EM resolution
- SiPMs change this picture



# EM Energy Resolution

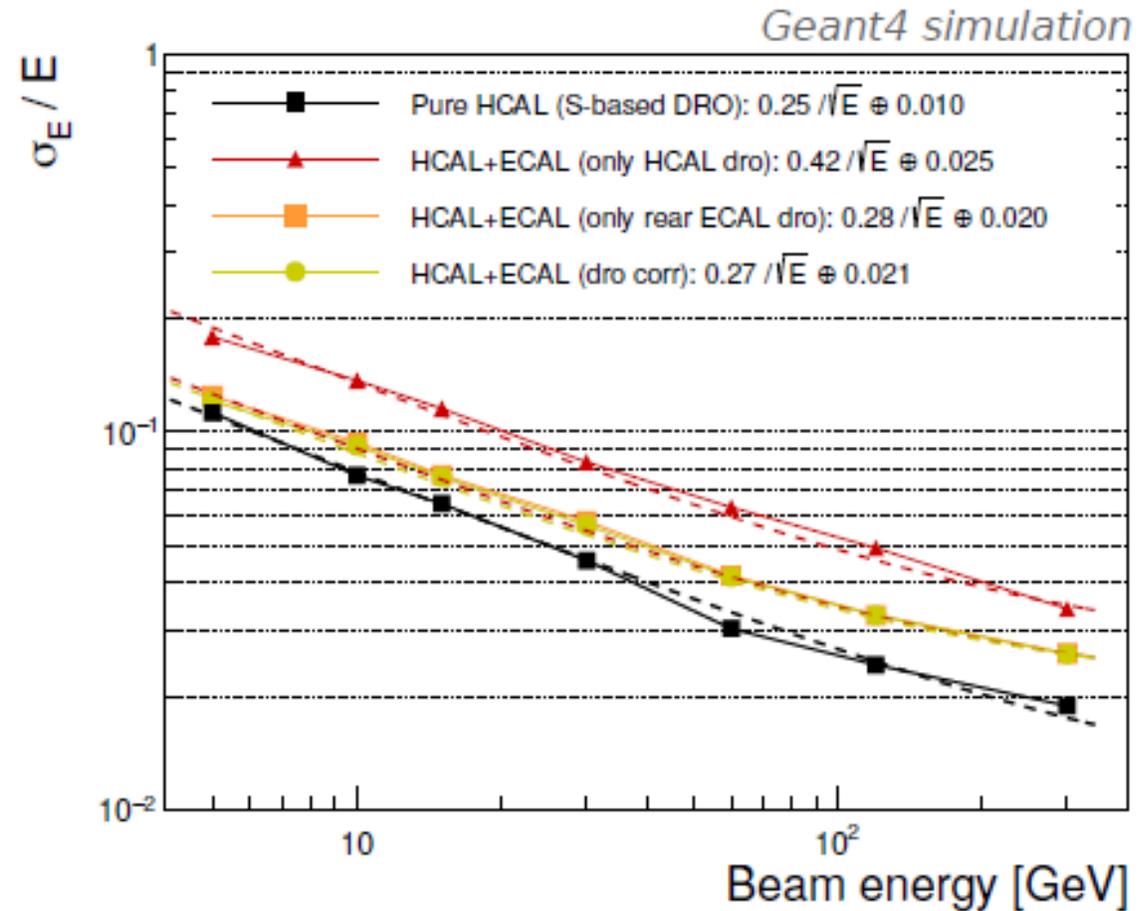
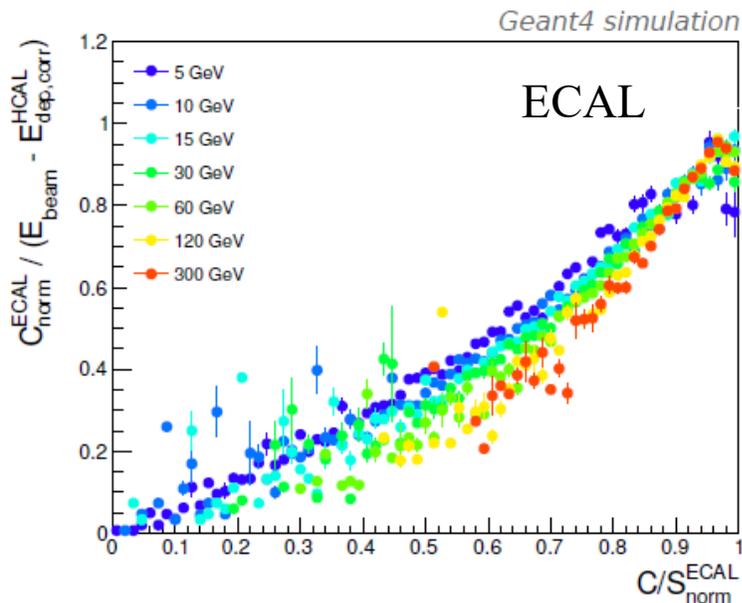
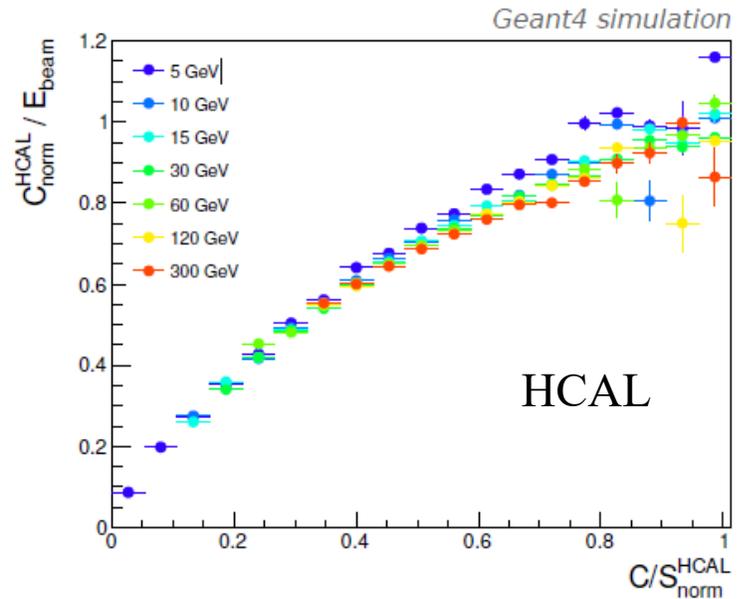
$$\sigma_E/E \sim 3\%/\sqrt{E} \oplus 0.5\%$$



Impact of dead material between layers on energy resolution

# Neutral Hadron Energy Resolution

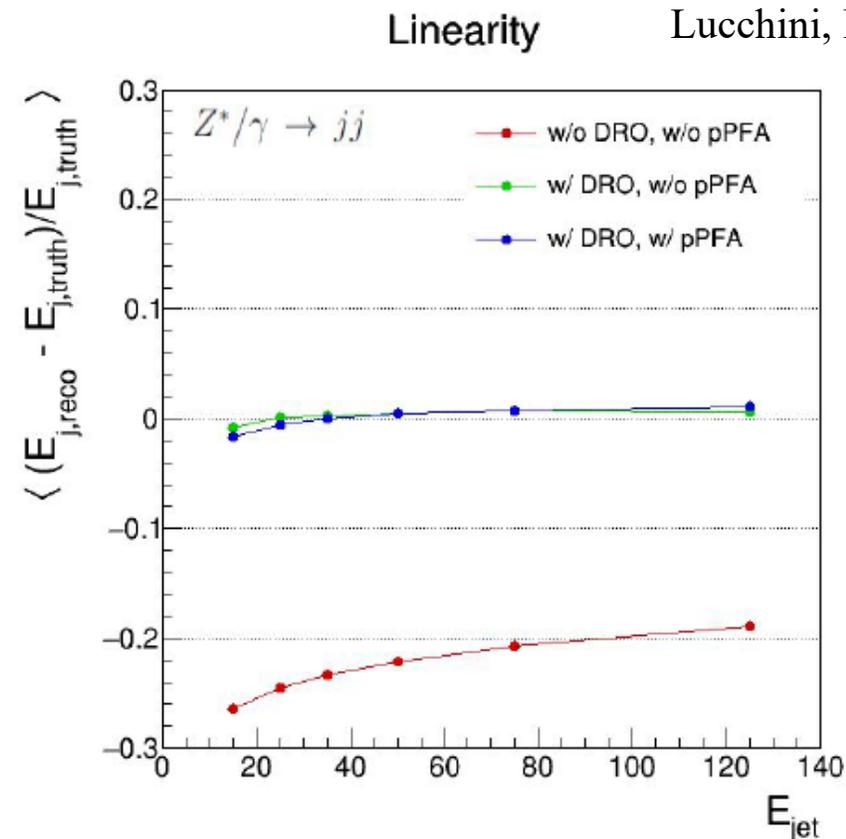
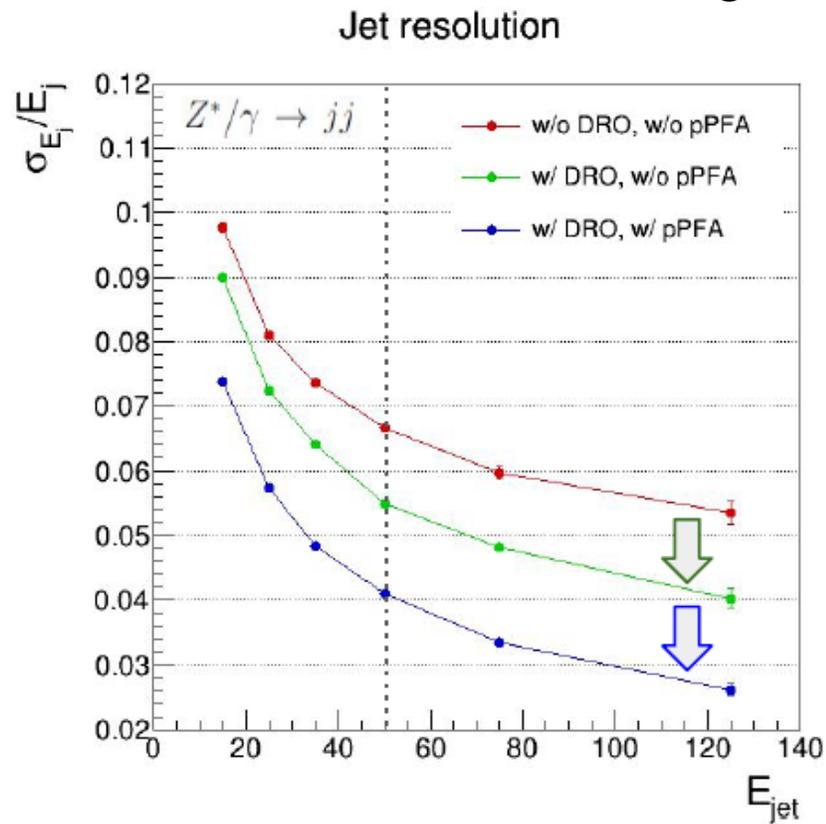
$$\sigma_E/E \sim 27\%/\sqrt{E} \oplus 2\%$$



- Similar sampling term as that of a pure DRO HCAL
- Larger constant term due to the intrinsic limitation of a system that combines segments with different e/h ratios, and to the material budget from the ECAL services and the solenoid

# Jet Energy Resolution and Linearity

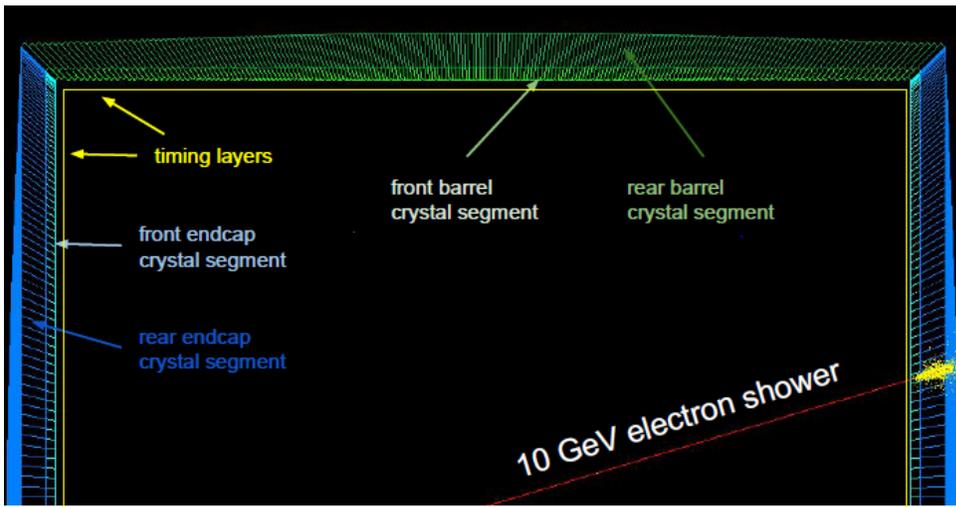
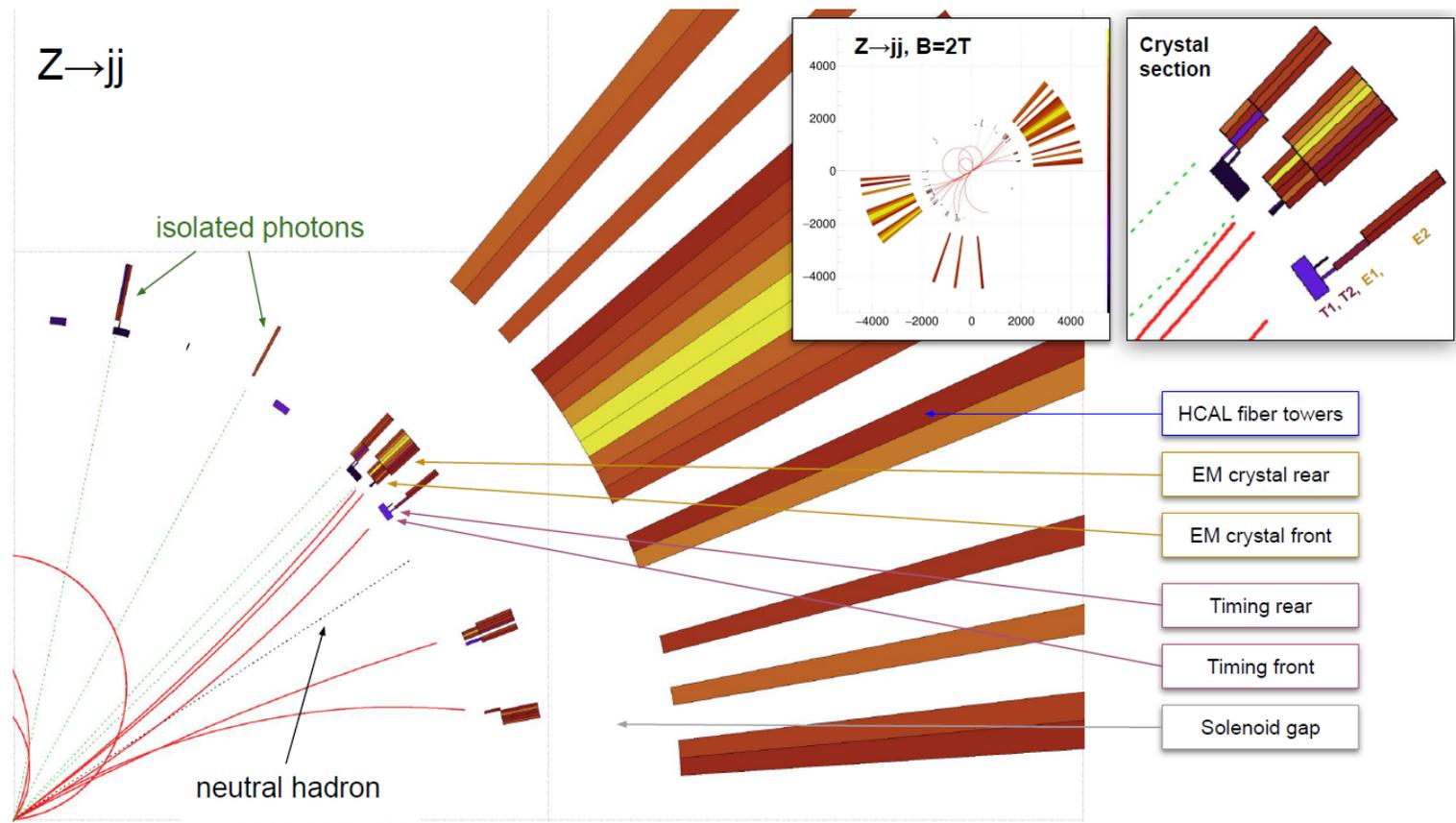
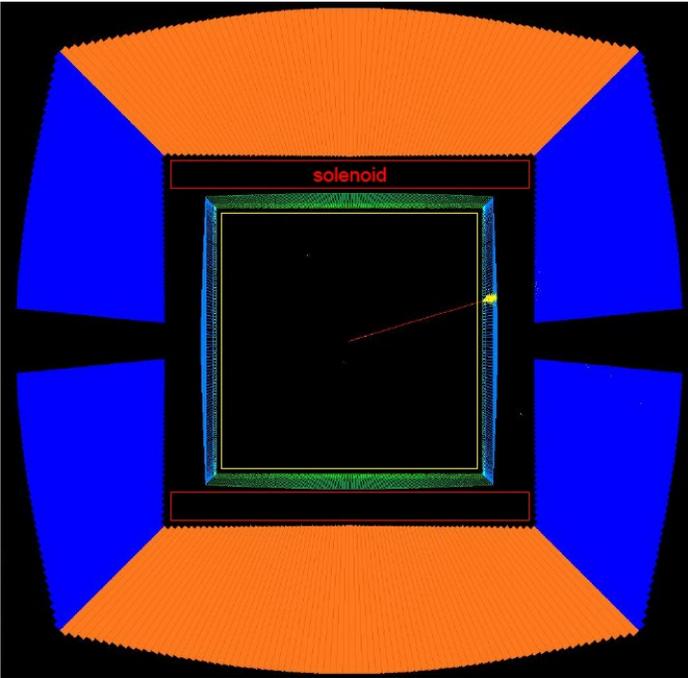
- The crystal ECAL is “particle-flow friendly”
  - Relatively compact showers, O(1 mm) transverse segmentation for timing layers, O(1 cm) transverse segmentation for ECAL, 5 longitudinal segmentations, high EM resolution for  $\pi^0$  clustering, timing and dual-readout information for additional handling of particle identifications
- Maximally exploit object identification, high resolution and linear response provided by the crystal ECAL to improve the tracker-calorimeter hit matching in PFA



Lucchini, EPS-HEP Conf 2021

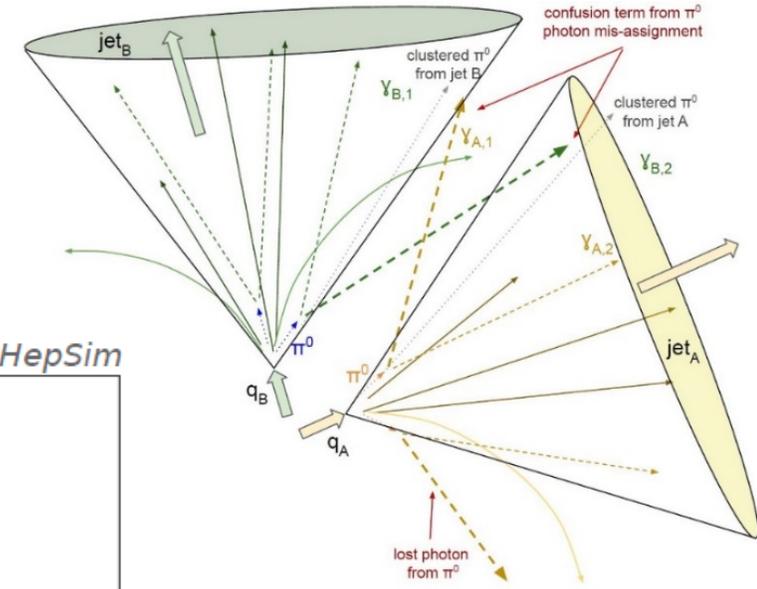
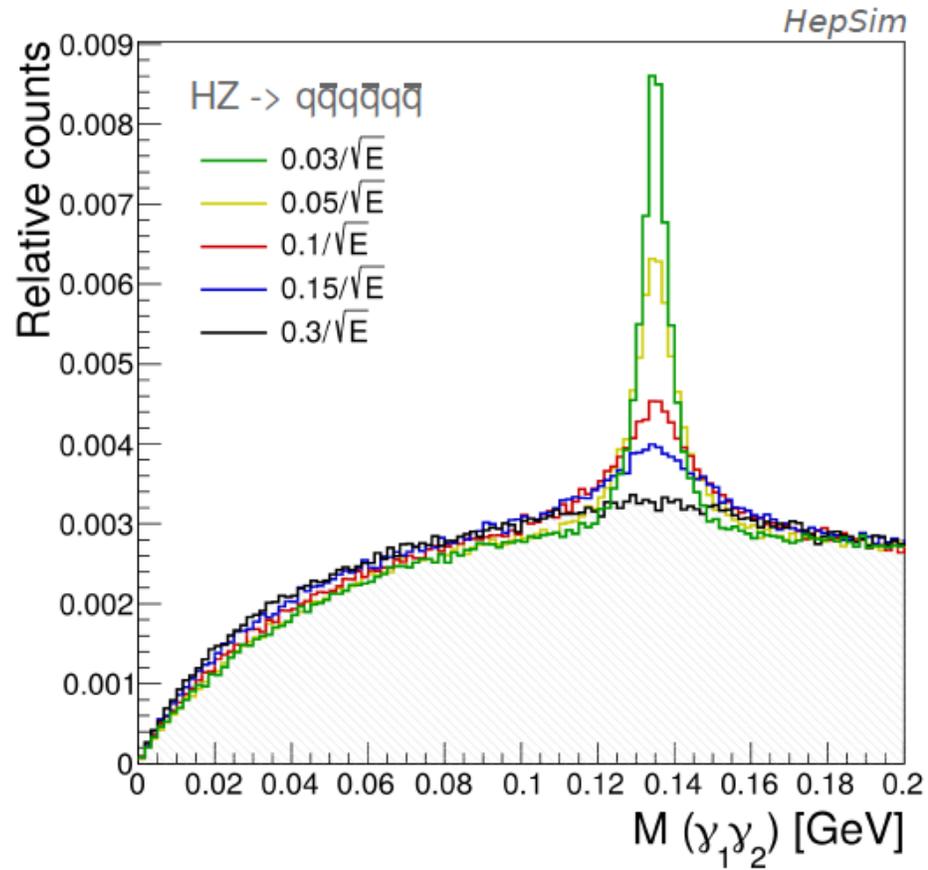
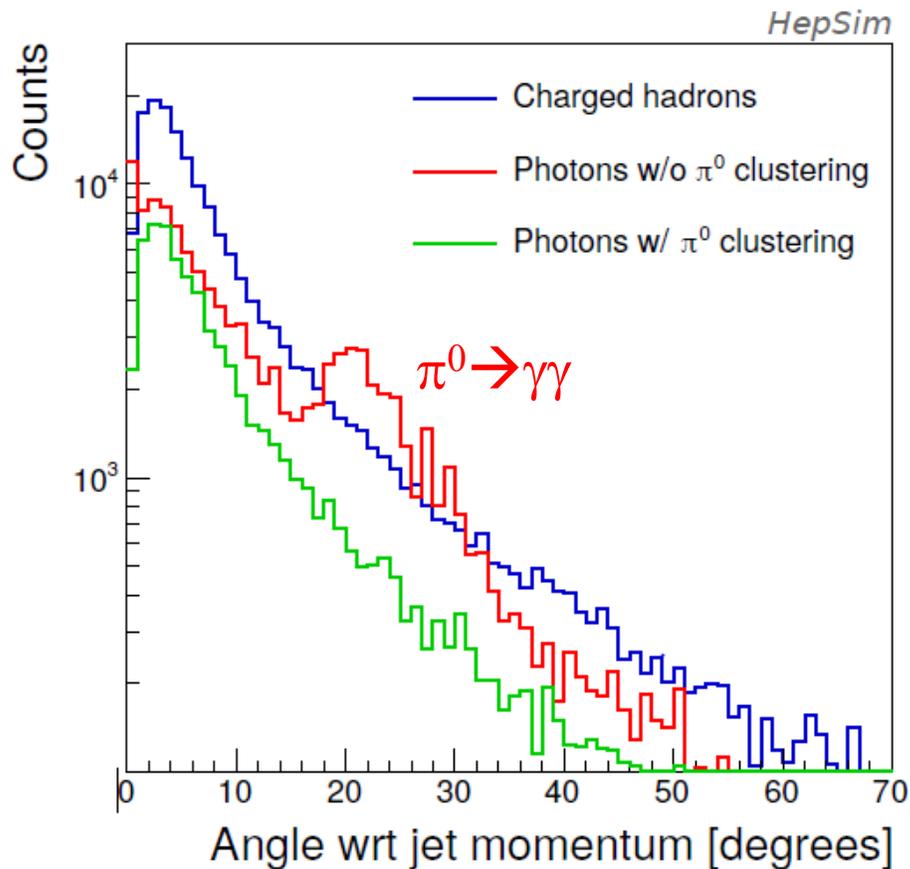
# GEANT Simulation: A $Z \rightarrow jj$ Event Display

Lucchini, EPS-HEP Conf 2021



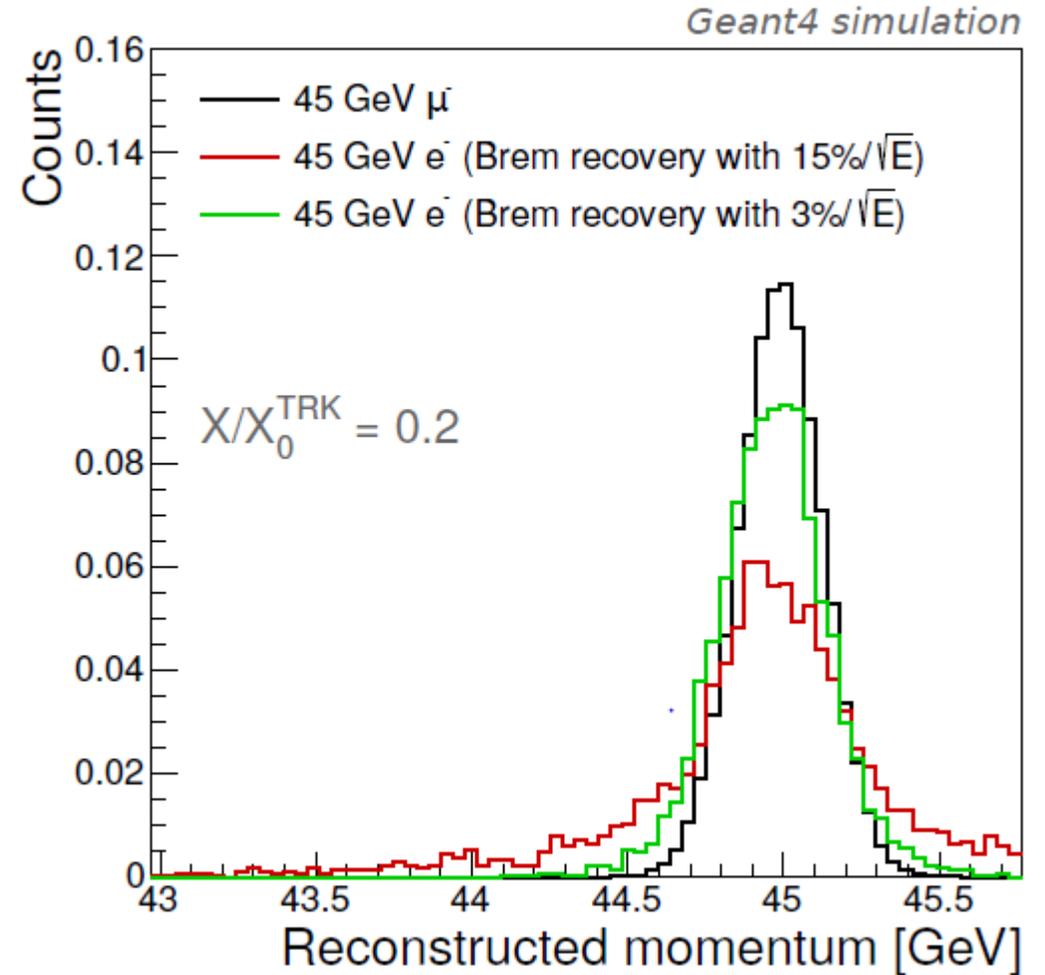
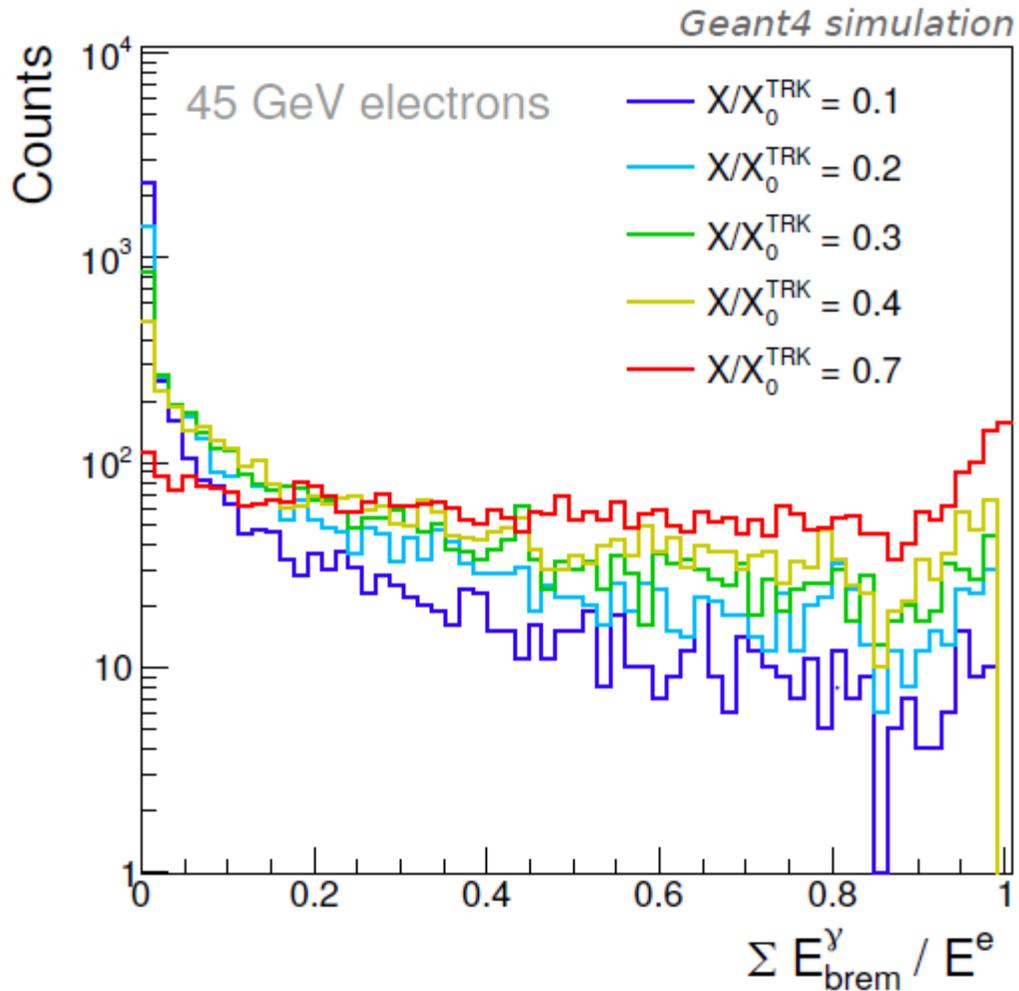
# Advantages with a High-resolution EM Calorimeter

- In hadronic showers,  $\pi^0$  is a significant component of neutral particles. Good EM resolution is critical for the  $\pi^0$  reconstruction and therefore is important for correctly clustering  $\gamma$ 's into the right jets



# Advantages with a High-resolution EM Calorimeter

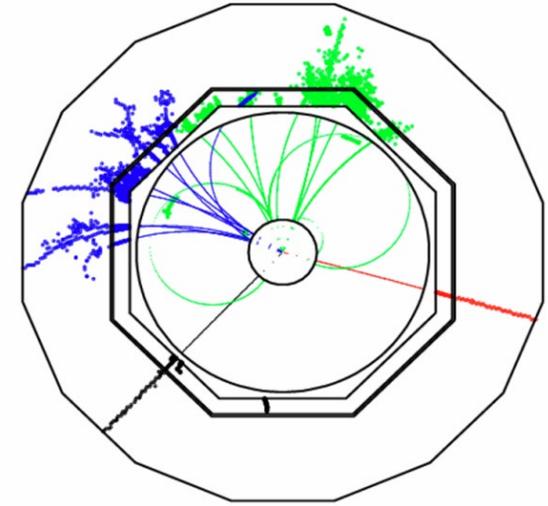
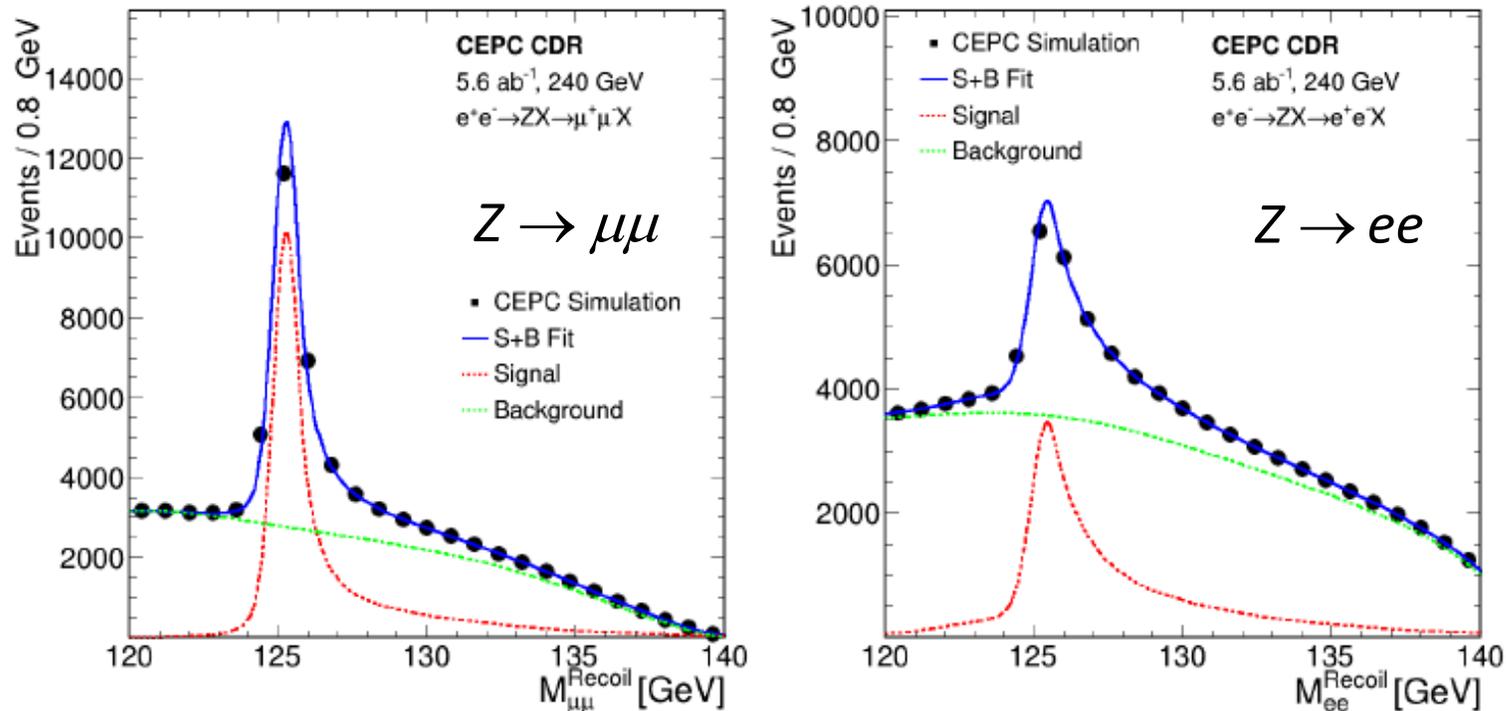
- Recovery of photons from bremsstrahlung



Electrons: tracker measurement + photons

# Advantages with a High-resolution EM Calorimeter

- Improve Higgs tagging: the Higgs boson from the  $e^+e^- \rightarrow ZH$  process can be identified through the recoil mass of the Z boson  $\rightarrow$  identify the Higgs boson without looking at the Higgs boson



Much worse recoil mass resolution in the  $Z \rightarrow ee$  channel due to bremsstrahlung radiation, need to have good EM resolution for the radiation recovery

# Conclusions

- With the advancement in SiPM technologies, a dual-readout segmented ECAL using DRO becomes an attractive option for future Higgs factories
- When combined with the DRO fiber HCAL, the EM energy resolution can be significantly improved ( $\sim 3\%/\sqrt{E}$ ) while the neutral hadron energy resolution is not expected to be adversely affected ( $\sim 27\%/\sqrt{E}$ )
- Significant R&D effort is needed to demonstrate DRO capability of a segmented crystal ECAL through simulation, cosmic ray and beam tests
- Integration with the IDEA detector concept in the simulation to optimize the design of the crystal ECAL
- The DRO crystal ECAL could also be combined with a high-granularity HCAL
- The CALVISION team plans to carry out of these R&D (if funded)

